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# THE GOVERNMENT OF CANADA

BY

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TO  
MY STUDENTS  
IN POLITICAL SCIENCE 1A AND 1B  
UNIVERSITY OF TORONTO



## PREFACE

IT is a disturbing fact that until a year or two ago no comprehensive book on the government of Canada had ever been written. The nearest approach had been a few slim and dreary volumes on Canadian 'civics' for use in the high schools, some excellent work on Canadian constitutional history, and several collections of government documents and allied material dealing with constitutional questions. The great obstacle in the way of preparing a more ambitious account of Canadian government has been the dearth of specialized studies on various phases of the subject which would provide a fund of secondary material and ease the burden of research among the primary sources. Progress along these lines during the past twenty years has been slow, but by no means negligible, and as a result the task, while still formidable, has been greatly lightened. Several years ago Professor Clotie took the plunge, and appeared with what may fairly be called the first descriptive account of the government of Canada. This volume is the second. Even so this does not attempt to cover, except incidentally, anything more than the Canadian federal or central government and its relations with the provinces.

The absence of an adequate supply of secondary sources has not only entailed research, it has also led me to rely heavily on many of my friends and acquaintances for suggestions and for the checking of material. I have, indeed, been quite inconsiderate in the immoderate demands which I have made on both their knowledge and good nature, and it is difficult to overstress the substantial assistance which they have rendered. It is one of the most pleasing and satisfying sides of academic life that help of this kind can invariably be taken for granted and is always given cheerfully and without stint whenever required. From this host of advisers and critics I wish to thank especially the following, although the list given is by no means exhaustive. Dr. Eugene A. Forsey leads by a substantial margin. He has struggled through almost the entire manuscript, and his exceptional knowledge and frank expressions of opinion have been, quite literally, of incalculable benefit to me. Professor J. A. Coory, Professor Chester

Martin, and Mr A D P Heeney have within more limited fields given help of equal value Dr Arthur Beauchesne and Mr L C Moyer of the parliamentary staff, Ottawa, Mr R B Bryce and Mr J W Pickersgill of the Dominion civil service, Mr Harry Johnson, Toronto, and Professors F C Auld, H A Innis and V W Bladen have read various chapters and have contributed many useful suggestions and criticisms Finally, I desire to acknowledge the aid given by the Library staff of the University of Toronto and the editorial staff of the University of Toronto Press They have combined unfailing courtesy and understanding with a most painstaking efficiency and have helped in no small measure to lighten my task

R MACG D

UNIVERSITY OF TORONTO  
September, 1947

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PART I

CONSTITUTIONAL DEVELOPMENT





## CHAPTER I

### REPRESENTATIVE AND RESPONSIBLE GOVERNMENT

THE character of a government, like that of an individual, is shaped by the two primary forces of heredity and environment, and the study of a government, again like that of an individual, must perforce devote some attention to parentage and the special associations which have had direct contact with each particular institution. Discussions along these lines will appear in the following chapters as occasion warrants. But there are also other influences of a similar though more general nature to be considered—influences which can be traced beyond the immediate family to the more remote ancestors or which flow in from the broader *milieu* in which the institution has developed. Thus while the government of Canada came into existence on July 1, 1867, and its features were to a material degree determined by the British North America Act, a very significant part of the new government was contributed by the practices of the component provinces which, in turn, were associated with the political experience of other areas on the continent. The greater part of the government was also profoundly affected by British law, traditions, and habits of mind, and by precept and example in the United States, and these influences have never ceased to operate during the ensuing eighty years. This and the two chapters following will, therefore, be primarily concerned with certain broad lines of constitutional development and they will endeavour to supply a general background for the more particular and detailed studies which follow.

[The early colonial governments in what later became the Dominion of Canada acquired their importance for the most part after the American Revolution when England began to rebuild her shattered Empire.] Three of these colonies, however, were in existence at the time of the

Revolution and held aloof from it, and to these might be added a number of small colonies in the West Indies. But while Quebec had been a British possession and Prince Edward Island a separate colony for only a few years before the Declaration of Independence, Nova Scotia (excluding Cape Breton) had finally come under the British Crown as early as 1713, and the continuous British connection with the West Indies was of far longer standing. The revolting American colonies, Nova Scotia, Quebec, Prince Edward Island, and the British West Indies, despite the widest differences in population and origins, were thus to a degree the beneficiaries of one tradition and for a short period had a common if not a uniform experience as subordinate governments under the British Crown.

The first permanent English settlement on the Atlantic seaboard was Virginia, 1607, and twelve years later the first representative government in the Americas was constituted when the Governor of that colony, acting in accordance with his instructions, secured the election of "two burgesses out of every town, hundred or other particular plantation" to assist the appointed Council of State. In the year following, 1620, two other representative bodies appeared. Bermuda set up a legislature which included a number of elected members, and the Pilgrim Fathers established a completely self-governing colony at Plymouth. The Massachusetts Bay Company, because its charter happily omitted to specify where the Company's headquarters was to be located, was able to follow the Plymouth example and to found in 1630 another self-governing community. It was about this time that the Crown, which despite the above events had not hitherto definitely favoured representative institutions, began a practice which gave representation an explicit though indirect support and thereby greatly advanced its general adoption. When the Crown granted a charter and extensive economic and political rights to a lord proprietor it inserted a clause which compelled him to secure the approval of the people of the colony to all proposed laws. Thus in 1627 when the Earl of Carlisle was granted most of the Lesser Antilles, his charter stated

that the laws were to be made "with the Consent, Assent and Approbation of the freeholders of the said Province, or the greater part of them thereunto to be called,"<sup>1</sup> and the charter issued to Lord Baltimore in 1632 contained a similar provision concerning the government of Maryland. From then on, while local conditions might furnish the cause or excuse for occasional lapses, representative institutions in the British colonies became the established practice, although the exact legal rights of the colonists to these institutions remained in doubt for some time. This uncertainty was dispelled during the eighteenth century by the decisions of the British courts, which declared that a colony's rights of self-government depended primarily on whether the Crown had acquired the colony by settlement or by conquest.

In a colony which was acquired by settlement the courts held that British subjects were assumed to have taken with them all English laws and liberties so far as they were compatible with local conditions. When legislation was to be enacted in the colony (and this included taxation) it could be passed only with the consent of the local inhabitants or their representatives. In short, the right to participate in legislation was an inalienable right of a British subject which could not be lost merely by crossing the Atlantic and establishing a settlement on another continent. On the other hand, the inhabitants of a colony acquired by conquest from, or cession by, a civilized power were held by the courts to possess no such rights under the common law. Here the Crown (the King-in-Council or the Governor-in-Council in the colony) had an unfettered right to legislate without consulting the original inhabitants or any British subjects who might happen to be there. Such a lack of political rights, however, was clearly not apt to attract British settlers, and in practice the Crown, in order to encourage immigration to the colony, would frequently promise or

<sup>1</sup>As a result of this provision in the charter, Barbados, Antigua, St. Kitts, Nevis, and Montserrat had each its little representative Assembly as early as 1663. At the time of the American Revolution no less than twelve governments in the West Indies (including Bermuda) had representative institutions. H. Wrong, *Government of the West Indies*, pp. 22-52, 80-1.

grant representative institutions. The courts decided<sup>1</sup> that if this promise or grant was once made, it was irrevocable and the Crown would have to abide by its gift, although there was, of course, nothing to prevent the British Parliament (as distinguished from the executive power, the Crown) making any change it desired. Representative institutions were therefore the inherent right of all settled colonies, and even, when once granted or promised, the right of colonies acquired by conquest or cession. Thus all the American colonies had representative government—all, save one,<sup>2</sup> by right of settlement, the exception being New York, captured from Holland, which secured it by virtue of a grant by the Crown.<sup>3</sup> The precise form which this government assumed, however, varied greatly in different colonies.

Nova Scotia, although it had been captured from the French not once but four times, and although the French had unmistakable priority of permanent settlement, was conveniently considered to be a settled English colony. An early statute of 1759 recited that the province "did always of Right belong to the Crown of England, both by Priority of Discovery and ancient Possession"<sup>4</sup> and the courts showed the same splendid disregard of the historical facts.<sup>5</sup> Nevertheless the colony was ruled in the first instance (following its cession by France in 1713) by a military Governor and an appointed Council, although in 1727 a representative Assembly was promised as soon as local circumstances warranted. There was also some uncertainty as to whether the final government was to be modelled on that of Virginia or

<sup>1</sup>The ruling case was *Campbell v Hall* (1774), 1 Cowper, 204.

Strictly speaking, there were three, for Spain ceded East and West Florida to England in 1763, and these were promised representative institutions in that year (see *infra*, p. 8n). But Florida was at this time of little consequence and reverted to Spain in 1783.

<sup>2</sup>The treaty of cession in 1664 guaranteed representative government to "the town of Manhatans," and this constituted a moral though probably not a legal obligation on the Crown. The distinction between settled and conquered colonies was of no significance in this very early period.

<sup>3</sup>*N. S. Statutes*, 33 Geo. II, c. 3.

<sup>5</sup>*Unacke v Dickson*, 1 N. S. Reports, 287, C. J. Townshend, *History of the Court of Chancery in Nova Scotia* (1900), pp. 4-19.

of Massachusetts<sup>1</sup>. When systematic settlement began with the founding of Halifax in 1749 the Commission issued to Lord Cornwallis clearly indicated that the decision had been given in favour of the Virginia or "royal" type, for the Governor was empowered to appoint a Council of his own choosing and "to summon and call General Assemblies of the Frecholders and Planters within your Government according to the usage of the rest of our Colonies and Plantations in America". For a few years conditions in the new settlements made an elected body undesirable, but soon agitation by British and particularly by New England immigrants, combined with repeated instructions from the home authorities, forced the issue. In 1758 Governor Lawrence summoned the first Assembly, a step which marked the explicit beginning of democratic government in Canada.

Prince Edward Island was given by the Crown in 1769 a separate government which was at first composed of only a Governor and Council, but four years later it too secured a representative legislature. In 1784 New Brunswick was divorced from Nova Scotia and the Crown authorized the Governor to appoint a Council and summon an Assembly of its own<sup>2</sup>. The origin of all three Maritime legislatures was therefore a summons by the Crown, an exercise of the prerogative and not a statute of the British Parliament. Despite many vicissitudes these three provincial legislatures still rest on that foundation<sup>3</sup>.

Quebec was legally, as well as in fact, a conquered colony, but almost as soon as the cession was completed, Governor

<sup>1</sup>The government of Virginia was the "royal" type composed of an appointed Governor and Council and an elected Assembly. Massachusetts after 1691 had the "charter" type with an appointed Governor and an elected Council and Assembly. Rhode Island and Connecticut were also "charter" colonies, but they elected their Governors as well as the Council and Assembly.

<sup>2</sup>In the same year Cape Breton Island was separated from Nova Scotia and given its own Governor and Council but no Assembly. It was reunited to Nova Scotia in 1820.

<sup>3</sup>See *infra*, pp. 169-72.

<sup>4</sup>See C. A. Stuart, "Our Constitution outside the British North America Act," *Canadian Bar Review*, Feb., 1925, pp. 69-79.

Murray received instructions<sup>1</sup> which not only required him to establish a Council but also stated that "so soon as the Situation and Circumstances of Our said Province will admit thereof, You shall, with the Advice of Our Council, summon and call a General Assembly of the Freeholders of Our said Province, You are, therefore, as soon as the more pressing Affairs of Government will allow to give all possible attention to the carrying this important Object into Execution " But before these intentions were implemented the British Government changed its mind The overwhelming preponderance of the French in Quebec and the friction between them and the few but very aggressive British merchants gave little encouragement to proceed the growing difficulties with the American colonies, who found their chief spokesmen and leaders in the Assemblies, made the British Government look upon colonial popular chambers with active distrust, while there were some people (Guy Carleton, the second Governor of Quebec, for one) who desired to use the colony as a base of operations against the Americans in the event of the growing tension culminating in war There was, moreover, some doubt whether the French really wanted so novel an institution as an Assembly, and a further question arose whether an Assembly could be used by the Roman Catholic French members who might not be allowed to sit unless they had first taken the oath of supremacy and had made a declaration against transubstantiation<sup>2</sup> But the regrets and misgivings of the British Cabinet were of themselves insufficient to bring about a change, for the Crown had no power to revoke the representative institutions which it had in an unguarded moment so freely promised Such action could be taken only by Parliament

<sup>1</sup>Dated Dec 7, 1763 An earlier proclamation (Oct 7, 1763) had also contained a similar statement of intention The proclamation covered not only Quebec but also East and West Florida and Grenada, and referred to the calling of Assemblies "in such Manner and Form as is used and directed in those Colonies and Provinces in America which are under Our immediate Government A Shortt and A G Doughty, *Documents relating to the Constitutional History of Canada, 1759-1791*, pp 163 S, 181-205

<sup>2</sup>This same barrier, however, had been overcome in Grenada without much difficulty

The result was the passage of the Quebec Act of 1774.<sup>1</sup> This greatly extended the western limits of the colony so that it included the hinterland between the Ohio and the Mississippi. It also declared that Roman Catholics were to enjoy freedom of religious worship (thus confirming and even perhaps amplifying the terms of the Treaty of Paris) and that the French-Canadian civil law was to be retained until altered by the colony. The criminal law was to remain that of England. The Act explicitly repealed the Proclamation of 1763 because it was "found upon Experience to be inapplicable to the State and Circumstances of the Province," and inasmuch as the calling of an Assembly was "at present inexpedient," provision was made for an appointed Legislative Council. The Council was given, however, no power of levying general taxes, this being exercised by the British Parliament. Finally, a special oath was provided for Roman Catholics so that they could accept seats in the Council.<sup>2</sup>

The Quebec Act was warmly approved by the French who not unnaturally saw in it a great charter of their liberties, but it is difficult not to consider it in other respects a reactionary measure, for it completely reversed the principle of representative government and political self-expression which had hitherto marked out British colonies as distinct from those of any other nation. "It is not right," said Fox, "for this country to originate and establish a constitution in which there is not a spark or semblance of liberty. To go at once and establish a perfectly despotic government, contrary to the genius and spirit of the British constitution carries with it the appearance of a love of despotism and a settled design to enslave the people of America, very unbecoming this country." The autocratic government, the extension of the western boundaries, which was an obvious challenge to American expansion, and the association of all this with other conciliatory measures passed by the British Parliament about this time, could not fail to arouse both resentment and suspicion in the American colonies, and

<sup>1</sup>See W. P. M. Kennedy, *The Constitution of Canada* (1922 ed.), pp. 50-70.

<sup>2</sup>Sir Henry Cavendish, *Debates of the House of Commons in the Year 1774* (1839 ed.), pp. 61-2.



there can be no doubt that the Act was an important contributory factor to the *débâcle* which was only a few months distant<sup>1</sup>. On the other hand, when the war did break out the Act was instrumental in keeping Quebec fairly cold to American blandishments (although the *habitant* showed little enthusiasm for either side), and Canada never tried to avail herself of Article XI in the Articles of the American Confederation which provided for her admission as the fourteenth state.

Whatever effects the American Revolution may have had on subsequent British colonial policy, it had one immediate result on Canadian development. The Revolution brought a great exodus of loyalist stock to the Maritime Provinces<sup>2</sup> and to the western part of Quebec around Lake Ontario and Lake Erie. These people had grown up in an atmosphere of political freedom and they had long enjoyed representative institutions, so that settlement and a demand for political reforms took place almost simultaneously. Those who came to Quebec lost no time in objecting to the restrictions of the Quebec Act, and they insisted on their right to enjoy the British civil law, habeas corpus, trial by jury, and, above all, representative government<sup>3</sup>.

The solution was not long delayed and was embodied in the Constitutional Act of 1791. Quebec was to be divided into two parts, Upper and Lower Canada, and each province was thereupon to be given its own Governor, nominated Council, and elected Assembly. The Act thus restored the rights of representation which had been denied Quebec seventeen years before and marked a return to the overseas practice of the British constitution. In another respect, however, the Acts of 1774 and 1791 were not contradictory but complementary, for they combined to preserve and consolidate the French nationality in British North America: the former provided the essential guarantees, the latter

<sup>1</sup>See R. Coupland, *The Quebec Act*, Chester Martin, *Empire and Common wealth*, pp. 94-147.

<sup>2</sup>Resulting in the creation of the new province of New Brunswick in 1781. See *supra*, p. 7.

<sup>3</sup>See petition of Nov. 24, 1784, in Shortt and Doughty, *Documents relating to the Constitutional History of Canada, 1759-1791*, pp. 712-52.

protected the French from possible conflict, absorption, and subordination. By 1840, when the two provinces were again united, the half-century of segregation and self-government had done its work and French Canada was too firmly entrenched to be seriously threatened. Lord Durham's expectation that Quebec might come to be another weak French outpost like Louisiana proved in the event to be a startling miscalculation in a report conspicuous for the shrewdness and perspicacity of many of its judgments.<sup>1</sup>

The first half of the nineteenth century witnessed the steady economic and political development of British North America, and as the colonies increased in importance and virility so their dissatisfaction with their government increased also. In this they acted in accordance with the best traditions on the continent and, it might be added, in accordance with the best traditions of the British people as well. "It is the genius of the English race in both hemispheres," said Robert Baldwin, "to be concerned in the government of themselves."<sup>2</sup> The form of representative government in British North America was not only a copy of that previously existing in the American colonies, but it was reproducing with unhappy accuracy many of the identical problems and sources of friction which had appeared in those colonies before the Revolution. Quarrels between Governor and legislature, for example, were as common—and as crucial—in British North America as they had been fifty or a hundred years before, and the outcome (as in the earlier struggles) was largely determined by the degree of financial independence which the Governor could command. Thus in Lower Canada, where the Crown enjoyed a substantial independent revenue derived in large measure from land and from the imposts of the Quebec Revenue Act (passed by the British Parliament in 1774 before a representative legislature existed in Quebec), the Governor was able successfully to hold out against the Assembly and carry on the executive government with or without its co-operation.<sup>3</sup>

<sup>1</sup>See *infra*, p. 17

<sup>2</sup>Quoted in Martin, *Empire and Commonwealth*, p. 50

<sup>3</sup>D. G. Creighton, "The Struggle for Financial Control in Lower Canada 1818-1831," *Canadian Historical Review*, June, 1931, pp. 120-44

In Nova Scotia, on the other hand, the independent revenue of the Crown was small. The income from land was comparatively unimportant, and the indirect taxes, imposed (as in Quebec) on articles of trade and commerce, were for the most part paid into the general provincial fund over which the legislature and not the Governor had control.<sup>1</sup> Time and again the Assembly showed its vigilance and its determination to maintain its advantage intact,<sup>2</sup> for it fully realized the great value of this financial weapon in its contest with the Governor for supreme power.

The discouraging feature of the struggle in British North America over self-government was not only its similarity to an earlier experience, but the apparent inability of the home government to learn anything from its first failure. For if the British had drawn any moral from the American Revolution, it was apparently the exact opposite of the correct one. They were confident that the key to the disaster was excessive freedom and too much power in the popular Assembly, and they were therefore disposed to increase and not diminish the pressures on that body and to support the power of the Governor and the social classes in the colony on which he ruled. Some, indeed, took an even gloomier view, and held that no effort to hold the colonies could in the nature of things be successful, but that in a few more years the inherent centrifugal forces would gain control and the colonies would once again declare their independence.

In truth the similarity of organic growth between the first Empire and the second is borne in upon one at every point. Not only are the local problems the same but with the exception of Nova Scotia the process of colonial government begins again almost *de novo*, and if the second Empire, like the first, came to the brink of disaster it was not because it had not a fair chance. All the approved specifics for making the officials of government "independent of the factious will and caprice of an assembly" are tried again. The same catchwords of "due subordination" and "the rights and liberties of British subjects" appear on either side. The same bitter

<sup>1</sup>Under the Colonial Tax Repeal Act of 1778 the Imperial Parliament declared its policy of relinquishing from this time forward its own colonial taxing powers (save for the regulation of commerce) and provided that the net proceeds of any tax raised in any colony should be spent there as a part of the general revenue for the use of that colony.

<sup>2</sup>See H. A. Innis, *The Cod Fisheries*, pp. 269-71.

struggle ensues, a struggle wholly indigenous to Canadian soil but complicated by false analogies drawn from the results of the earlier conflict. Within fifty years the same malignant disease had run its course. Fortunately there was no recourse to surgery, though the poisoning of mutual confidence threatened the same dissolution. Governor Bernard never valued his political opponents in Massachusetts more heartily than Sir Francis Head berated the "republicans" of Upper Canada. Before the struggle was over, men as staunchly British as Robert Baldwin and Joseph Howe had become very nearly as desperate as Washington in 1774. Baldwin solemnly warned Lord Durham in 1838 that without responsible government "England will continue to retain these Colonies by means of her troops alone." Howe advised Charles Buller as late as 1846 that if men like those who "drove the old Colonies to separation" had their way, the problem would be "discussed in a different spirit, ten years hence, by the Enemies of England, not by her friends."<sup>1</sup>

The crux of the matter was the control of the executive power. All the colonies, it is true, had representative government through the elected Legislative Assembly, but the latter's control in any real sense was frustrated by other elements in the government which held no popular mandate whatever. Even the legislative power was shared by the Assembly with an appointed Legislative Council, but this was of small importance when compared to the exclusive executive authority wielded by the Governor and the Executive Council, the members of which he appointed. The lack of popular control in this arrangement was greatly aggravated by the social, religious and (in Quebec) racial factors involved. The Council in all colonies was drawn from a small and exclusive group representing wealth, education, government, church, and society, who frequently used their official positions to advance their own interests through the direction of policies and the distribution of patronage. Even the Governor found it to his interest to propitiate the "Chateau Clique" of Lower Canada, the "Family Compact" of Upper Canada, and similar groups in the other provinces. Joseph Howe's letter still presents an unrivalled account of the Governor's predicament.

He may flutter and struggle in the net, as some well-meaning Governors have done, but he must at last resign himself to his fate and like a snared bird be content with the narrow limits assigned him by his keepers. I have

<sup>1</sup>Martin, *Empire and Commonwealth*, pp. 48-50

known a Governor bullied, sneered at, and almost shut out of society, while his obstinate resistance to the system created a suspicion that he might not become its victim, but I never knew one who, even with the best intentions and the full concurrence and support of the representative branch backed by the confidence of his Sovereign was able to contend, on anything like fair terms, with the small knot of functionaries who form the Council fill the offices and wield the powers of the Government. The plain reason is because, while the Governor is amenable to his Sovereign, and the members of Assembly are controlled by their constituents, these men are not responsible at all, and can always protect and sustain each other whether assailed by the representatives of the Sovereign or the representatives of the people.'

The attacks of the reformers went to the centre of these difficulties, and they demanded the right to control the advisers of the Governor. Let the Governor call to his Executive Council those who have the confidence of the Assembly, and let these advisers be changed whenever the Assembly indicates that it wishes a change to be made, and at one stroke the friction and quarrelling between the Executive and the legislature will disappear. *Representative* government would then be transformed into *responsible* government. The solution had been discovered in England many years before, although certain implications of the general principle were still being worked out by empirical methods. But the colonial situation presented one important difference. If this innovation took place, how would the Governor be able to follow the advice of a Council responsible to the Assembly and at the same time obey instructions from London? How could he serve two masters with no assurance or even likelihood that they would agree? This dilemma became a most useful argument in the hands of the reactionaries and for years it remained also an insurmountable barrier to many sincere reformers.

The story of the fight for responsible government in the provinces, its different aspects, the rebellions in Upper and Lower Canada, the more restrained yet equally effective tactics in Nova Scotia, the agitation in other provinces, the devices resorted to by the Assemblies to enforce their demands, the personalities involved, and many other details

<sup>1</sup>Joseph Howe Letter to Lord John Russell Sept 18, 1839 in *Speeches and Public Letters of Joseph Howe* (ed. by J. A. Chisholm) I, pp. 230-1.

cannot be discussed in these few pages. The immediate sequel, as is well known, was the despatch in 1838 of Lord Durham as Governor-in-Chief of all the provinces (and Newfoundland) with authority to restore order and tranquillity, to inquire into the causes of the rebellion, and to suggest measures for the future.

The result of Lord Durham's mission was the presentation of his Report—the greatest constitutional document in British colonial history. In substance, he found the complaints of the critics of the existing system amply justified. The situation in Lower Canada, while bearing a strong resemblance to that in other provinces, was complicated and endangered by the clash between the English and French. "I expected to find a contest between a government and a people," wrote Durham in a famous passage, "I found two nations warring in the bosom of a single state. I found a struggle, not of principles, but of races, and I perceived that it would be idle to attempt any amelioration of laws or institutions until we could first succeed in terminating the deadly animosity that now separates the inhabitants of Lower Canada into the hostile divisions of French and English."<sup>1</sup> To this situation in Lower Canada were added all the problems and difficulties which were found in the other colonies as well. The Governor had never been free to follow his own judgment, for he was hampered by his advisers in the colony and tied down by instructions from, and constant supervision by, the Secretary of State and British civil servants. He was therefore too prone to refer all matters to London, but neither Minister, Parliament, nor civil servant had sufficient knowledge of local conditions to give adequate guidance, and, as a result of this ignorance, neither Parliament nor the British people had ever been able to maintain any more than a sporadic interest in colonial affairs. The governments in the colonies had become confused and increasingly incompetent. The Governor was the central figure, but there were no heads to the important departments, and the members of the Executive Council took an equal part in all matters which came before them.

<sup>1</sup>*Lord Durham's Report* (ed. by Sir C. P. Lucas), II, p. 16

This Council, with no special legal qualifications, also sat in each colony as a court of appeal. Municipal institutions either did not exist at all or were extremely ineffective, and nowhere was there an adequate system of municipal taxes. A part (and, until recent years in some colonies, a very large part) of each government's revenue and expenditure was not dependent upon the annual vote of the legislature and hence was administered under executive and not legislative control. The English system of securing the consent of the Crown before introducing financial measures into the legislature was not followed, and as a result a wild scramble ensued over any surplus funds that were available for public works in the constituencies. But the fundamental weakness common to all the governments was their failure to meet the elementary political needs of their communities for the control of their own affairs through the subordination of the executive to the legislative authority.

It was a vain delusion to imagine that by mere limitations in the Constitutional Act, or an exclusive system of government, a body, strong in the consciousness of wielding the public opinion of the majority, could regard certain portions of the provincial revenues as sacred from its control, could confine itself to the mere business of making laws, and look on as a passive or indifferent spectator, while these laws were carried into effect or evaded and the whole business of the country was conducted by men, in whose intentions or capacity it had not the slightest confidence. Yet such was the limitation placed on the authority of the Assembly of Lower Canada, it might refuse or pass laws, vote or withhold supplies, but it could exercise no influence on the nomination of a single servant of the Crown. The Executive Council, the law officers, and whatever heads of departments are known to the administrative system of the Province, were placed in power, without any regard to the wishes of the people or their representatives, nor indeed are there wanting instances in which a mere hostility to the majority of the Assembly elevated the most incompetent persons to posts of honour and trust. However decidedly the Assembly might condemn the policy of the Government, the persons who had advised that policy retained their offices and their power of giving bad advice. If a law was passed after repeated conflicts, it had to be carried into effect by those who had most strenuously opposed it. The wisdom of adopting the true principle of representative government and facilitating the management of public affairs, by entrusting it to the persons who have the confidence of the representative body has never been recognized in the government of the North American Colonies.<sup>1</sup>

<sup>1</sup>*Ibid.*, II, pp. 76-7

The two major recommendations of the Report were the re-union of Upper and Lower Canada and the immediate grant of responsible government. So far as the two Canadian colonies were concerned these proposals were interdependent, for Durham considered that only union could eliminate the racial conflict in Lower Canada and thus make it possible for responsible government to function effectively. His expectation was that this would result in the absorption of the French by the combined British elements in the two colonies, and hence his idea of a union was not at all along federal lines but one of fusion, "a complete amalgamation of peoples, races, languages, and laws"<sup>1</sup>. Durham also looked forward to a wider union of all the British North American colonies, but he considered that the time for so ambitious a project had not yet arrived.

His endorsement of responsible government as a comprehensive cure for many of the troubles of the colonies was whole-hearted and explicit. Moreover, as he pointed out, this change would involve no legislation and no radical innovation of any kind, but simply a consistent application of the principles of the British constitution, where the same change had occurred in the same informal way.

Every purpose of popular control might be combined with every advantage of vesting the immediate choice of advisers in the Crown, were the Colonial Governor to be instructed to secure the co-operation of the Assembly in his policy, by entrusting its administration to such men as could command a majority, and if he were given to understand that he need count on no aid from home in any difference with the Assembly, that should not directly involve the relations between the mother country and the Colony. This change might be effected by a single despatch containing such instructions.<sup>2</sup>

The above passage also suggests Lord Durham's answer to the question of how colonial autonomy and British supremacy were to be reconciled, how the Governor was to be spared the dilemma of having to follow two masters offering conflicting advice. Durham believed that the key to the difficulty was the division of subjects between the Imperial and colonial governments. The Governor as head of the colonial administration would follow the advice of

<sup>1</sup>Sir C. P. Lucas in *Lord Durham's Report*, I, p. 124.

<sup>2</sup>*Lord Durham's Report*, II, pp. 279-80.



his Council in matters of colonial concern, but, as the agent of the British Government, he would be expected to see that the allotment of authority was kept intact and that the local Cabinet and Assembly did not trespass on the field of his principal. There would be few if any occasions for conflict, and these would properly be brought to the attention of the Imperial authorities. "The matters which so concern us, are very few. The constitution of the form of government—the regulation of foreign relations, and of trade with the mother country, the other British Colonies, and foreign nations—and the disposal of the public lands, are the only points on which the mother country requires a control."<sup>1</sup> The list of reserved subjects named by Durham was somewhat odd, and much more comprehensive than at first appears. Presumably "foreign relations" included the control over all the armed forces of the colony, "the disposal of public lands" certainly involved matters of local revenue which Durham stresses elsewhere in the Report as being essential to self-government, and reasonable implication would suggest that the clause affecting trade would cover a wide area. But Durham's central idea that a line of division was practicable was the solution adopted some years later, although events were to demonstrate that such a distinction was neither easy to draw nor easy to maintain.

The Report also offered a number of minor recommendations which need only be mentioned here. It urged the creation of strong municipal governments in each colony. All Crown revenues (except those derived from the sale of public lands) were to be placed at the disposal of the colonial governments in exchange for a civil list. All financial bills should originate in the Assembly and should receive the consent of the Crown before being introduced, that is, they should be initiated only by a member of the Cabinet. Durham did not recommend an elected Legislative Council, a measure frequently advocated by the reformers, for he felt that it would then cease to act as a check on the lower house, but he expressed dissatisfaction with its existing composition.

<sup>1</sup>*Ibid*, II, p. 282

The responsibility of the Cabinet, however, was unmistakably to be to the Assembly and not to the Legislative Council. Finally, the independence of the judiciary was to be ensured by giving its members a secure salary through the civil list and a tenure during good behaviour.

The first major recommendation of the Report as well as a number of the minor ones were implemented by the Union Act of 1840 which brought Upper and Lower Canada together once more. The Act provided for a Governor, a Legislative Council, appointed for life by the Crown,<sup>1</sup> and an elected Legislative Assembly of eighty-four members, each of the two former colonies being given forty-two members. This favoured Upper Canada, which at the moment had the smaller population, but after some years, when the favours began to fall the other way, Upper Canada with a suddenly awakened conscience protested the unfairness of the arrangement and demanded inconsistently but none the less vehemently "Rep by Pop." The Union Act made English the official language of record, but this was altered eight years later and both languages placed on an equality for all official purposes. Revenues were consolidated and independent Crown income was surrendered in exchange for a permanent civil list, but bills dealing with Crown lands and religion were to be reserved by the Governor for the consideration of the home authorities. All money bills were to originate with the Governor, and then be introduced into the Assembly.

The Union Act thus made no mention of responsible government, and even if it had done so this would not have affected any of the other provinces. In fact, neither the colonial Governors nor the British Government had been converted to the proposal, although an increasing emphasis was laid in despatches from the Colonial Office on the desirability of admitting to the Governor's Council and to the public service "those persons, who, by their position and character, have obtained the general confidence and

<sup>1</sup>This was changed in 1856 by making the members submit to election for an eight-year term.

esteem of the inhabitants of the province"<sup>1</sup> But this was far removed from an absolute obligation being placed on a Governor to shed the old advisers and take on the new ones whenever the Assembly indicated its desire for a change Various half-way measures were tried during the next few years, and although some met with a temporary success and the members of the Assembly received more consideration than before the Durham Report, responsible government was still very far from being achieved The Governor during this transitionary period was forced by circumstances to become a politician, for since he could not ignore the Assembly and have any Council he chose, the obvious and perhaps the only alternative to responsible government was to try to persuade the Assembly that those who followed his leadership deserved its support The Governor thus became his own First Minister and endeavoured to build up, usually from the moderate element, his own party Governors in more than one colony plunged into the political battles and devoted their utmost efforts, sometimes successfully, though as a rule for only short periods, to secure the election of those whom they favoured At other times the Governor's necessities would compel him to organize a coalition Council, although the very nature of its personnel and the fact that only the Governor's adroitness and resource had brought it into existence, made cohesion difficult and stability almost impossible

The transitionary period was brief, indeed, the unhappy consequences of the half-way policy could scarcely have continued for long without threatening once again to tear the structure to pieces Fortunately a change of government in Great Britain in 1846 brought Earl Grey to the Colonial Office, and Grey was prepared to give the Durham proposal

<sup>1</sup>Lord John Russell to C Poulett Thomson (Lord Sydenham), Sept 7, 1839 in W P M Kennedy *Constitutional Documents of Canada* (1930 ed.), p 417 Lord John Russell (Colonial Secretary, 1839-41) was one of those who considered that responsible government placed the Governor in an impossible position between the British and colonial authorities His statement to the British House of Commons on responsible government was the occasion for Joseph Howe's four open "Letters to Lord John Russell," which are not unworthy of a place beside Lord Durham's Report See *Speeches and Public Letters of Joseph Howe*, I, pp 221-66

a fair trial "This Country," he wrote some six years later, "has no interest whatever in exercising any greater influence on the internal affairs of the Colonies, than is indispensable either for the purpose of preventing any one Colony from adopting measures injurious to another, or to the Empire at large, or else for the promotion of the internal good government of the Colonies, by assisting the inhabitants to govern themselves"<sup>1</sup> The appointment of two exceptionally able and liberal-minded Governors opportunely prepared the way on the other side of the Atlantic Sir John Harvey was made Governor of Nova Scotia shortly before Grey came to office, and a few months after the latter event Lord Elgin, Durham's son-in-law, was appointed Governor of the Province of Canada Grey's despatches from the Colonial Office laid down the lines on which he felt the change to responsible government should be made, and, as Durham had foreseen, no other action, save the cordial and unreserved support of the Governors and the colonial governments, was necessary to bring the new system into operation The acid test to determine the existence of responsible government was, of course, not merely a Governor's Council supported by the Legislative Assembly—that condition had already occurred repeatedly during the transition—but the immediate changing of that Council when it had lost the confidence of the popular body The first test of this nature was applied in Nova Scotia when, following a general election, a direct vote of want of confidence in the administration was carried by the Assembly on January 25 1848 the first effective vote of its kind to be taken outside the British Isles The Executive Council resigned on the 27th, and the new Premier, J. B. Uniacke, was asked to form a Government the following day In March of the same year a similar defeat on a vote of want of confidence and an immediate change of government took place in the Province of Canada The same principle was asserted almost simultaneously in New Brunswick, and three years later it was conceded in Prince Edward Island

<sup>1</sup>Earl Grey, *The Colonial Policy of Lord John Russell's Administration* (1853 ed.), I, p. 17

British Columbia and Vancouver Island had a more varied history, and their isolation and small white population delayed the growth of self-government. They were governed first by a Governor and Council, from 1849 to 1856, but in the latter year a small Assembly was added. The discovery of gold on the Fraser and the resulting influx of miners led in 1858 to the passage of a British statute which made the mainland of British Columbia a separate Crown Colony without an Assembly. In 1866 another act was passed reuniting the two colonies and setting up only one government composed of a Governor and a Council. Although the latter contained some elected members, they were in a minority, so that the change took from Vancouver Island the popular control of her legislature. In 1870 the British Government by order-in-council gave the Council a majority of elected members, and this latter body proceeded to set up an elected Assembly. In the same year British Columbia entered Confederation, and (in accordance with the terms of union) instituted responsible government.<sup>1</sup>

The Province of Manitoba was created after Confederation by Dominion statute in 1870, and it obtained at once the usual Governor, Council, and elected Assembly with a responsible Cabinet. To complete the story, Alberta and Saskatchewan, after being governed as federal territories by the Dominion Government assisted by a territorial legislature, were set up as provinces in 1905 with a Governor, an Assembly, and a Cabinet responsible to the latter.

In none of these four Western Provinces has responsible government been given any statutory recognition: the Governor is simply empowered to summon an Executive Council, and no mention is made of the necessity for members of the Council to have seats in the Assembly or to enjoy its confidence. In fact, responsible government in every province of Canada rests on the same airy foundation. Whether the provincial constitution is that of one of the Maritime Provinces, which were called into existence by

<sup>1</sup>For about a year longer, however, the Governor and not the Premier ruled. See W. N. Sage, "The Position of the Lieutenant-Governor in British Columbia," *Essays in Canadian History* (ed. by R. Flenley), pp. 178-99.

the prerogative, or that of one of the Prairie Provinces which were explicitly created by a Dominion statute, or that of one of the other provinces, which have had a more chequered past, responsible government receives the same treatment it is denied any explicit description and is found entirely in custom and usage. Lord Durham's simple and convenient device for introducing cabinet government has proved so simple and so convenient that it seems likely to remain in its present form indefinitely.

The achievement of responsible government in 1848 concluded a critical chapter in a long development that began with the granting of representative institutions to Virginia in 1619, or, if Canadian antecedents only are considered, with a similar grant to Nova Scotia in 1758. The American struggle to extend popular control ended in independence. The loyal colonies were at that time either so alien or so small and undeveloped that the process had to begin anew under almost identical conditions, yet with the enormous advantage of having the American Revolution constantly in the background as a warning to official intransigence. Had these colonies been of greater consequence or even, in Quebec, of Anglo-Saxon stock, they might have been able to restate and to assert with some weight and to their own advancement the true lesson to be drawn from the Revolution. For in a very short time it again became evident that representative institutions alone—as the American colonies had discovered and, one might have thought, had unmistakably proved—were but a stage in the evolution of self-government. A limited control over legislation was merely an irritant to a people imbued with British political traditions, and no peace was possible until the next logical step was taken and executive power was either given to those directly chosen by the people (as was done in most of the United States) or was brought under the authority of the popular legislature. But responsible government within the Empire, as Durham and others clearly realized, could only be conceded at that time if it was placed squarely upon a separation of Imperial and local affairs. Experience was soon to demonstrate, however, that responsible government

on these terms contained elements almost as unstable as any representative institutions which tried to stop with the exercise of legislative powers alone. The line of demarcation between Imperial and local affairs proved in the event to be neither clear-cut nor obvious, and the desire for more and more autonomy began immediately to widen the local area and narrow that which had been reserved in general terms for the Imperial authorities. This was, however, a gradual process, which was possible in large measure because of the comparative unimportance and immaturity of British North America in international affairs, the temper of the people, and the very nature and vagueness of the disputed area. For the practice of responsible government based on the idea of allocation not only permitted further adventures in self-government, but it facilitated an advance which proceeded step by step and which could be made with little friction or ill-feeling. These developments were eventually to affect many aspects of Canadian government, and they did not reach their logical destination until 1926 and 1931 when the full equality of Great Britain and the Dominions was formally declared.

## CHAPTER II

### CONFEDERATION

THE Dominion of Canada was the legal creation of the British North America Act, which became effective on July 1, 1867. This chapter obviously cannot do justice to this great climacteric in Canadian history, for such discussion is essentially the task of the historian and it would also demand far more space than can be given here. All that will be attempted, therefore, is a very general account of the leading events and a brief statement of some of the constitutional provisions, many of which will receive more detailed treatment in later pages.

The possible union of the colonies in British North America was mooted almost as soon as the American colonies had won their independence, and the project was put forward repeatedly as time went on by both visionaries and men of affairs.<sup>1</sup> It was mentioned, as noted above, by Lord Durham in 1839, but was dismissed as inopportune. The establishment of responsible government, however, and the almost simultaneous abandonment of the old colonial system of Great Britain gave a new impetus to the proposal. The one produced a greater vitality in provincial governments and institutions by giving the training and responsibility which were an essential preparation for the venture, the other disrupted provincial trade and forced the provinces both to seek their own solutions to their problems and to strengthen their position as best they might in a highly competitive and even dangerous world. Each colony had its own special difficulties to solve, and the success of the federation movement was largely due to the discovery that many of these difficulties could be met through one common solution.

There were, of course, common difficulties as well, such as the general trade problem, mentioned above, which the British had precipitated in 1846 by their repeal of the

<sup>1</sup>R. G. Trotter, *Canadian Federation*, pp. 5-10.



navigation laws and the abandonment of the preferential tariff on colonial goods<sup>1</sup> There was also the many-sided menace from the United States which cast a shadow over all the colonies the bellicose statements of many American politicians, the exceptional military power of a country engaged in a prolonged civil war, the danger, frequently apparent, of becoming embroiled in war through British-American quarrels, and the threat to the colony of Canada, although this in a sense was a common threat also, of having the United States isolate the whole north-eastern corner of North America from the remainder of the continent by taking possession of all the empty western territory The pre-Confederation period, moreover, was also a time of disturbing changes in the economic world, and the different colonial economies were finding great difficulty in adjusting themselves to the new technological and industrial conditions<sup>2</sup> "The shift from wood to iron," writes Professor Creighton, "from water-power to steam, from canals to railways and from sailing-ships to steamboats became virtually an accomplished fact All these changes fell with jarring force upon provincial economies which were unprepared to sustain the tremendous and expensive adjustments involved"<sup>3</sup>

Each province also had its particular problems to meet, some of which were exclusively its own while others were special manifestations of more general questions in which all might have some share It was evident from the first that the colony of Canada had most to gain from some form of union both because of the seriousness of its existing difficulties and the possibilities of profitable development under the new regime In the decade before the beginning of the negotiations which eventually led to federation, the government of the colony was hopelessly deadlocked as a result of a balance created by political and racial differences,

<sup>1</sup>D. G. Creighton, *The Commercial Empire of the St. Lawrence, 1760-1830*, pp. 358-70

<sup>2</sup>H. A. Innis, *Problems of Staple Production in Canada*, pp. 17-23

<sup>3</sup>D. G. Creighton, "British North America at Confederation," *Rouell-Sirois Report*, Appendix No. 2, p. 11

and several attempts at constitutional tinkering<sup>1</sup> had failed to yield any substantial improvement. From 1841 to 1867 there were no less than eighteen different Cabinets in office. Portfolios were divided equally between Canada East and West, some government departments, such as education, were run in two separate sections with approximately equal expenditures, and appropriations in one section were always balanced against appropriations in the other.<sup>2</sup> A perpetual source of dissatisfaction in Canada West was the fact that although she had a much greater population than her partner<sup>3</sup> and surpassed her in wealth and importance and in the payment of taxes, the two sections still retained equal representation in the Legislative Council and in the Assembly. Economic embarrassments in the colony were also acute, one of the chief troubles centring about the pending expiration of the reciprocity treaty between Canada and the United States. If, as seemed likely, the United States would be unwilling to renew the treaty, the Canadian producers would face a serious loss of markets, and one obvious answer for this and other economic difficulties was an enlargement of political and economic boundaries. The province had been engaged for over two decades in a strenuous and costly endeavour to develop a transportation system of canals and railroads which could hold the trade of the Great Lakes region against American competition, but the financial burden was becoming excessive and the harvest of traffic had been disappointing. The Canadian effort was thus gradually working towards a more restricted national east-to-west economy built about the existing and projected means of transportation, and this necessarily involved expansion westwards into the territory of the Hudson's Bay Company. The French in the Province of Canada could scarcely be expected to support a development

<sup>1</sup>Such as, an elected upper house, an increase in the number of members in the Assembly, although retaining an equal number from Canada East and West, the "double majority" convention which endeavoured unsuccessfully to ensure that a Cabinet should retain a majority in both sections of the province.

<sup>2</sup>Creighton, "British North America at Confederation," *Rowell-Sirois Report*, Appendix No. 2, p. 21.

<sup>3</sup>In 1861 this excess of population was 284,525 and by 1864 was well over 300,000.

of Canada West which would yield such one-sided returns but an expansion under federal or other auspices was not nearly so objectionable and might, indeed, yield very substantial returns to French Canada as well <sup>1</sup> Both sections of Canada also favoured a winter outlet to the Atlantic coast which would make them independent of the favour of either a sister colony or a foreign government <sup>2</sup>

Many in Nova Scotia and New Brunswick also felt they had something to gain by federation, although their eyes were at first fixed upon a smaller and less ambitious Maritime union with Prince Edward Island Their limited population made these provinces conscious of their isolation and weakness, and they therefore put in the forefront of their demands for the larger federation the construction of an inter-colonial railway Nova Scotia and New Brunswick had, indeed, been urging this project upon the British authorities for years past, and, failing to obtain sufficient support, had begun to build short segments on their own responsibility But the financial drain had been heavy, and both provinces looked to the proposed federation to supply the capital to complete the project Nova Scotia and New Brunswick also expected other economic benefits from union a common tariff, a sale in the Canadian provinces for certain of their natural products such as coal and fish, and also a market for those manufactured articles which they confidently expected to produce as a result of their accessibility to coal Maritime ship-building, shipping, and commerce, which had been for years past major activities, were not unnaturally expected to profit greatly by this anticipated expansion of trade

Prince Edward Island did not have, however, the same inducements as the two mainland provinces The Island would in any event be able to make use of the intercolonial

<sup>1</sup>Creighton, "British North America at Confederation," *Rowell-Sirois Report* Appendix No 2, pp 14-21

<sup>2</sup>"He would defy any one to take a map of the world and point to any great nation which had not seaports of its own open at all times of the year Canada was shut up in a prison, as it were, for five months of the year in fields of ice which all the steam engineering apparatus of human ingenuity could not overcome" Sir E P Taché, in *Confederation Debates*, 1865, p 6

railway, if built, and it had not the diversity of resources which could hope to find an extensive market in Canada. Its great hope was that the federation might give substantial assistance in buying out the rights of the landed proprietors whose grip on the Island had been its greatest single handicap since its settlement. Maritime union had virtually nothing to offer, for this almost inevitably meant the extinction of the Island as a separate political entity, although the smaller union might conceivably become a first step to the wider federation.<sup>1</sup> The advantages of a federal scheme to Newfoundland were probably even more doubtful than to Prince Edward Island, although the possibilities of increased trade were somewhat more alluring. However, Newfoundland was definitely interested in the outcome of the federation negotiations, and her entry into the union was regarded both by herself and others as a very real possibility.

Canadian federation began to be a matter of practical politics in the spring of 1864<sup>2</sup> when Dr. Charles Tupper, the Premier of Nova Scotia, introduced a resolution into the legislature of that province requesting that delegates should be appointed "to confer with delegates who may be appointed by the Governments of New Brunswick and Prince Edward Island for the purpose of considering the subject of the union of the three provinces under one Government and Legislature." The resolution was unanimously passed, and similar resolutions were carried in the legislatures of the two other Maritime colonies. Arrangements were thereupon made to hold a conference of delegates at Charlottetown in September. On June 30 a new coalition Government was formed in the Province of Canada, pledged to use its best

<sup>1</sup>W. M. Whitelaw, *The Maritimes and Canada before Confederation*, pp. 196-207, 254-6.

<sup>2</sup>There were numerous harbingers of federation which preceded the Nova Scotia resolution. There was an earlier resolution of the Nova Scotia Assembly in 1861 which had advocated consultation on the feasibility of both general and Maritime union; an interprovincial conference at Quebec in 1862 had discussed among other things political union and had shelved it; the Governor of New Brunswick in a despatch to the Colonial Secretary, December 31, 1862, had proposed Maritime union and henceforth (with Colonial Office approval) became its ardent advocate; and in 1863 the legislature of Prince Edward Island had passed a resolution stating that it was "prepared attentively to consider" any proposal for union which might come from its neighbours.

efforts to bring about confederation in the British North American colonies. Believing that the Charlottetown meeting was most opportune for the consideration of the wider union, the Canadian Government inquired if it might also send representatives to join in the discussion. The request was granted, although the Maritime Governments cautiously pointed out that their authority was formally limited to proposals for a Maritime union.

The Charlottetown Conference was not the first inter-provincial meeting, for others had been called on occasion to discuss postal services, tariffs, railway lines, and other matters of common concern. But these gatherings had been rare, and this was the most ambitious conference which had been attempted up to that time. Indeed, one of the most awkward obstacles in the way of co-operation was the lack of previous contact and understanding among the delegates, who were virtually unknown to one another, and even such leaders as Charles Tupper and Leonard Tilley had not met John A. Macdonald before the Charlottetown Conference. "We don't know each other," stated a Halifax newspaper in 1866. "We have no trade with each other. We have no facilities, or resources, or incentives, to mingle with each other. We are shut off from each other by a wilderness, geographically, commercially, politically, and socially. We always cross the United States to shake hands."<sup>2</sup> A special tour of Canadians to the Maritime colonies was arranged in the summer of 1864 for the purpose of increasing knowledge and mutual understanding, and the experiment was generally considered to have been most successful. The conditions of the time, however, are indicated by two very significant facts: first, the need for organizing such a trip, and second, that the route followed by the party was the usual one whereby travellers went from Montreal to Saint John by way of Portland, Maine.<sup>3</sup> It is evident that, while provincial isolation and lack of knowledge of one another were two of the

<sup>1</sup>J. Pope, *Memoirs of Sir John A. Macdonald*, I, p. 271.

<sup>2</sup>Quoted in Creighton, "British North America at Confederation," *Rouell Sirs Report*, Appendix No. 2, p. 36.

<sup>3</sup>Trotter, *Canadian Federation*, pp. 88-90.

greatest barriers to federation, they constituted at the same time two of the strongest arguments in its support

The conference at Charlottetown was made up of twenty-three delegates. Canada sent eight, and Nova Scotia, New Brunswick, and Prince Edward Island, five each.<sup>1</sup> All delegations contained representatives from different political parties, but that from Canada, representing a coalition Government, spoke with one voice, whereas the Maritime delegations represented not only three colonies, but frequently divergent views in each colony. The advent of the "unofficial" Canadian delegation completely altered the original agenda of the conference, and discussion on Maritime union was temporarily pushed to one side. The Canadian representatives put forward their proposals for a comprehensive union, and each of five speakers gave an exceptionally able presentation of some particular aspect of the general plan and then submitted to cross-questioning by the other members of the conference. Finally, after some days' discussion the Maritime delegates met alone to consider what had been the main purpose of the meeting, but in the face of Prince Edward Island's determination to preserve its own legislature and to have the new capital located on the Island, little progress was made in the direction of Maritime union. The conference adjourned its sessions to convene again at Halifax, Saint John, and Fredericton, where general meetings of all delegates and special meetings of Maritime delegates were again held. All sessions were secret, and while the advisability of such a procedure could scarcely be questioned, it served to arouse suspicion and distrust in some quarters. The decision was reached that a formal conference of all delegations (including Newfoundland) should reassemble at Quebec in October, and the Maritime representatives decided that the proposed smaller union should be held in abeyance awaiting the results of the Quebec Conference.<sup>2</sup>

<sup>1</sup>John A. Macdonald, Brown, Cartier, Galt, and McGee were the outstanding Canadian delegates. Tupper and Tilley the leading delegates from the Maritime Provinces. Joseph Howe was unable to be present.

<sup>2</sup>Whitelaw, *The Maritimes and Canada before Confederation*, pp. 219-31.

The Charlottetown Conference had thus made substantial progress in bringing the delegates together and making them known to one another, and also in breaking the ground for federation and familiarizing the political leaders in all colonies with the major issues involved. Its informal and tentative character forbade explicit resolutions and commitments, but it had nevertheless brought many of the issues to the point of general agreement and a large part of the framework of the new federation had begun to take shape. It was, however, a somewhat embarrassing circumstance, as disclosed by the sparse records of the Quebec Conference, that at times the delegates differed widely in their ideas as to what had actually been agreed upon at Charlottetown, for the absence of definite resolutions at the earlier meeting made varied and conflicting understandings possible.<sup>1</sup>

That the union should be one of a federal character—that the legislative residuum should be in the central Parliament—that Parliament's powers should be general and provincial powers local—that there should be an Upper and a Lower House—that there should be three districts in the Confederation, each equally represented in the Upper House—that the Commons representation should be based on population—that there should be a system of executive government and a judiciary and proper arrangements concerning property, assets, and finance—that the Confederation was to be British in system and practice—was all easily settled, not at Quebec, but at Charlottetown, before the Quebec Conference was even called. Even detail was to some extent disposed of at Charlottetown. Halifax, Saint John and Fredericton, before the Conference met at Quebec. If the *principles* of union had not been settled in advance it is evident that Union would not at that time have been discussed through to conclusion—that there would not have been any Quebec Conference. Thus we find the Quebec Conference concerning itself, principally, with the completion of matters of detail, already largely settled, and the *recording* of matters of principle. The task of applying already admitted general principles to practical and political facts was, however, found to be the really difficult part of constitution building.

The same representatives who had been at Charlottetown appeared also at Quebec, although all the colonies except Nova Scotia increased the size of their delegations. Canada had now twelve (its entire Executive Council), New Bruns-

<sup>1</sup>See, for example J. Pope, *Confederation Documents*, pp. 69-70 S15.

<sup>2</sup>Senate of Canada, *Report on the British North America Act* (1939) Annex 4, pp. 32-3. See also, W. M. Whitelaw, "Reconstructing the Quebec Conference," *Canadian Historical Review*, June, 1938, p. 131.

wick and Prince Edward Island, seven each, Nova Scotia, five, and Newfoundland, two. Both political parties were again represented on each delegation, but the conference was nevertheless essentially Conservative, for that party had the majority of delegates from every colony except New Brunswick, and even the Reformers in New Brunswick had never been very aggressive. Party clashes, in any event, were of no great consequence during the deliberations, for disagreements tended to follow other lines. Each colony had one vote, but for obvious reasons Canada was allowed to count as Upper and Lower Canada with one vote each, an arrangement which still gave the Atlantic colonies a majority of four to two. It is of some interest to observe that there is no record of any split in the Canada vote, that on only one recorded occasion did all four Atlantic votes go against the two from Canada, and that in fully half the recorded votes Prince Edward Island voted against all the others.<sup>1</sup> Here, as in all other proceedings of the conference, the record is by no means complete, for the meetings (following the Charlottetown precedent) were held in secret and no official minutes of the discussions were kept. The information which is available has thus been gathered from many sources and pieced together by careful historical investigation and reconstruction.

The fundamental principle accepted at Charlottetown was endorsed unreservedly at Quebec, namely, that the new government should be a federation and not a unitary government, or, to use the current phrase, a "legislative union." All the delegates would doubtless have admitted, as many of them did, that they considered a legislative union a superior form to a federation, but all would also have added that nothing but the latter would be able to find general acceptance. The peculiar position of Quebec with its different racial origin, religion, language, educational institutions, laws, and culture would alone have made a large measure of local autonomy imperative, but Quebec was not the only colony with a strong local patriotism. "We had either to take a federal union," said George Brown, "or drop

<sup>1</sup>Whitelaw, *The Maritimes and Canada before Confederation*, pp. 237, 240.



the negotiation. 'Not only were our friends from Lower Canada against it, but so were most of the delegates from the Maritime Provinces. There was but one choice open to us—federal union or nothing.'<sup>1</sup> John A. Macdonald, for one, relinquished the idea of legislative union with regret,<sup>2</sup> and he chose the next best thing, a centralized form of federalism. This distribution of power between the Dominion and the provinces was the feature of the constitution greatly affected by American experience. The United States had been engaged for some years in a civil war which was attributed primarily to the constitutional emphasis on the rights and powers of the individual states, leading to the assertion of state sovereignty and eventually to armed conflict.) British North America had the opportunity of profiting by the experience of her neighbour, and the conference believed that the outstanding lesson to be learned was the necessity of strengthening the centripetal forces in the proposed federation. Macdonald pushed the argument as far as he dared, and had the satisfaction of seeing the delegates accept a constitution along the lines he had suggested.

The true principle of a Confederation [said he with more conviction than accuracy] lay in giving to the General Government all the principles and powers of sovereignty, and that the subordinate or individual states should have no powers but those expressly bestowed on them. We should thus have a powerful Central Government, a powerful Central Legislature, and a decentralized system of minor legislatures for local purposes.<sup>3</sup>

The proposed constitution therefore aimed at building up the authority of the Dominion in a number of ways.

First, it granted the provinces a moderate, but only a moderate, list of powers, these being essentially those of a local or private nature which would be best suited to the capacity and position of the provincial authority. Some powers, which in the United States had been left with the states, such as criminal law, marriage, and divorce, were given in the Canadian constitution to the federation.<sup>4</sup>

<sup>1</sup> *Confederation Debates*, 1865, p. 108

<sup>2</sup> *Ibid.*, p. 29

<sup>3</sup> *Ibid.*, p. 1002

<sup>4</sup> *Ibid.*, p. 41

Secondly, the new constitution was to grant to the Dominion all powers which were not explicitly given to the provinces. In any federal constitution an exhaustive enumeration of powers granted to the central and local governments is quite impossible, and hence it is customary to insert a blanket clause whereby all unallotted powers (the so-called residual powers) are vested in one party or the other. The United States' constitution had allowed the states to retain this residue and had thereby greatly enhanced their importance, the Quebec Conference decided to follow the other course and pass on the residue to the Dominion. And to make the matter quite clear, this comprehensive grant was illustrated by a long list of powers which were explicitly placed under Dominion jurisdiction. To quote Macdonald once more

In framing the constitution care should be taken to avoid the mistakes and weaknesses of the United States' system, the primary error of which was the reservation to the different States of all powers not delegated to the General Government. We must reverse this process by establishing a strong central Government, to which shall belong all powers not specially conferred on the provinces.<sup>1</sup>

We have strengthened the General Government. We have given the General Legislature all the great subjects of legislation. We have conferred on them, not only specifically and in detail, all the powers which are incident to sovereignty, but we have expressly declared that all subjects of general interest not distinctly and exclusively conferred upon the local governments and local legislatures, shall be conferred upon the General Government and Legislature.<sup>2</sup>

Thirdly, the constitution stated that the Dominion Government was to have the power of appointing and removing the Lieutenant-Governors in each province, thereby placing the Dominion in the position occupied up to that time by the Imperial Government in relation to the administration of the individual colonies. The Dominion would thus have an agent and a spokesman in each province, he was given the power of refusing assent to provincial bills or reserving them for the pleasure of the Governor-General, and while it was sometimes stated that the Lieutenant-

<sup>1</sup>Pope, *Memoirs of Sir John A. Macdonald*, I, p. 269

<sup>2</sup>*Confederation Debates*, 1865, p. 33

Governor would be an independent official,<sup>1</sup> there can be little doubt that the expectation was that his influence would be as important a factor in the future province as it had proved to be in the colony of the past <sup>2</sup>

Fourthly, the Dominion Government was given the right to disallow or set aside any provincial law within a year of its passage. This was another existing function of the British Government, and one which would give the new Dominion the last word on all acts of all the provincial legislatures. "By vesting the appointment of the lieutenant-governors in the General Government," said George Brown, "and giving a veto for all local measures, we have secured that no injustice shall be done without appeal in local legislation "<sup>3</sup>

The federation was thus intended to depart radically from the pure federal form in which the component local units are of equal or co-ordinate rank with the central government. The provinces were to be inferior bodies possessing little more prestige and authority than inflated municipalities. Even the sketchy records of the contemporary discussions which have come down to us contain scattered references to "municipal councils on a large scale," "local municipal parliaments," and the like, while the provincial legislatures are repeatedly described as "subordinate," "minor," and "inferior" bodies. "We propose," said Dr. Charles Fupper in a revealing sentence, "to preserve the Local Governments in the Lower Provinces because we have not municipal institutions", but he was also careful to state that while "we should diminish the powers of the Local Governments, we must not shock too largely the prejudices of the people in that respect "<sup>4</sup>

History was to prove, however, that the Dominion had not acquired, nor had the provinces relinquished, nearly as much as the Fathers of the Confederation had planned or the paper constitution suggested. The course of this

<sup>1</sup>See Pope, *Confederation Documents*, p. 78

<sup>2</sup>*Confederation Debates*, 1865, p. 42

<sup>3</sup>*Ibid.*, p. 108

<sup>4</sup>Pope, *Confederation Documents*, pp. 85, 75-6

development will be taken up in another chapter,<sup>1</sup> but the general trend may be indicated briefly here. The emphasis which was later laid by the courts on the plenary nature of provincial authority, the broad interpretation which they gave to the provincial jurisdiction over property and civil rights, and the narrow interpretation which they placed on the residual power of the Dominion, combined to render almost completely nugatory the intentions of the Quebec Conference on these matters. Moreover, the anticipated Dominion influence through the agency of the Lieutenant-Governors proved in the event to be of little consequence, and had Canada followed the practice (later adopted in Australia) of allowing the British Government to continue its appointment of local Governors, the relative position of Dominion and province would have been virtually the same as it is today. The remaining instrument of Dominion control, the power of disallowance, also failed to realize the original expectations. For many years after Confederation, it is true, the Dominion Government frequently intervened on various grounds and disallowed provincial statutes, but towards the end of the century the power began to lapse and it was used thereafter as an exceptional rather than a normal expedient. Sporadic revivals of disallowance have occurred during the past twenty-five years, but it is still far from being the active agent in assuring to the Dominion that oversight which was contemplated in 1864.<sup>2</sup> The combined trend of all these aids to Dominion predominance in the federation has been generally to impoverish and not to enrich Dominion authority. Such is the vanity of foresight in constitutional matters.

The detailed working-out of some of the ideas tentatively agreed upon at Charlottetown caused at times many difficulties and misgivings at Quebec. One of the most acute controversies centred about the composition of the two legislative houses proposed. The small provinces (knowing that representation by population would be adopted for the lower house) urged that they should each receive eight

<sup>1</sup>See *infra* pp 107-15

<sup>2</sup>See *infra*, pp 253-8

members in the upper chamber (or thirty-two) as against twenty-four for each of the Canadas (or forty-eight for Upper and Lower Canada combined). Curiously enough, there seems to have been only one suggestion (from a Prince Edward Island delegate) that representation in the upper chamber should be on the strictly federal basis of granting the same representation to each province, and even this was substantially modified by its proposer almost as soon as it was put forward.<sup>1</sup> The most likely explanation of this lack of assertiveness on the part of the small provinces is that the conference regarded this feature of the American constitution as one of the grave dangers implicit in the doctrine of states rights. After a three days' struggle, which threatened to break up the meeting, a compromise was reached which was founded on the still extant proposal for Maritime union. This compromise gave to the three Maritime Provinces (soon, perhaps, to be united) twenty-four members (Nova Scotia and New Brunswick, ten each, Prince Edward Island, four), to Newfoundland an additional four, while the two Canadas retained twenty-four each. The recent Canadian experience with an elected upper chamber had not impressed even the Canadian delegates from that province, and this was reinforced by the conviction that inasmuch as responsible government was necessarily identified with the lower house, it would be wiser not to risk a possible rival by calling into existence a second elected body. The conference therefore decided to have the members of the new Senate appointed for life by the Governor-General-in-Council. The original body, however, was to be filled wherever possible (except in the case of Prince Edward Island) from the members of the existing Legislative Councils in each province on the nomination of the provincial Governments, who were to see that both political parties were fairly represented.<sup>2</sup> This proposal, while tending to destroy the federal character of the Senate, was clearly

<sup>1</sup>"Notes on the Quebec Conference" (A. A. Macdonald), *Canadian Historical Review*, March, 1920, pp. 35-6.

<sup>2</sup>Pope, *Confederation Documents*, pp. 62-6, Whitelaw, *The Maritimes and Canada before Confederation*, pp. 242-6. This preference to existing Legislative Councilors was eventually left out of the British North America Act.

designed to facilitate the acceptance of the federation plan by the provincial legislatures

The apportionment of members in the House of Commons was a much simpler problem, for Lower Canada had already conceded representation by population in this chamber in exchange for equality with Upper Canada in the Senate. The same principle was accepted without demur by everyone except some of the delegates from Prince Edward Island. Quebec was to be given the constant number of sixty-five members, and the representation of each of the other provinces was to bear the same relation to its population as sixty-five bore to the population of Quebec. Inasmuch as the Newfoundland census on which its first representation was to be based was taken four years earlier than that of the others, Newfoundland was granted an additional member. The plea of Prince Edward Island to be allowed more than the allotted five members<sup>1</sup> was, however, not heeded, on the ground that the rule must be "rigid and unyielding" and apply equally to all.<sup>2</sup> The decision was not satisfactory to the Island delegates whose votes from this time on were cast steadily against the other votes of the conference.

The distribution of powers between Dominion and provinces seems to have occasioned surprisingly few difficulties of a serious nature, so convinced were the delegates of the necessity of creating a strong central government. A suggested distribution had been worked out previously in the Canadian delegation and this was agreed upon by the entire conference substantially as proposed. "Sea coast and inland fisheries" were left under federal jurisdiction but were to be shared with the province,<sup>3</sup> "incorporation of private or local companies, except such as relate to matters

<sup>1</sup>One Island delegate stated that "not even two or three more members would induce me to give my assent to the scheme. I never understood that any proposition at Charlottetown was to be binding as to representation by population. It was a mere suggestion then thrown out by Canada for consideration." Pope, *Confederation Documents*, p. 69.

<sup>2</sup>The agreement reached was: Upper Canada, 82; Lower Canada, 65; Nova Scotia, 19; New Brunswick, 15; Newfoundland, 8; Prince Edward Island, 5. *Ibid.*, pp. 66-73.

<sup>3</sup>Eventually, under the British North America Act, these went back to the exclusive jurisdiction of the Dominion.

assigned to the General Parliament" was added to the suggested provincial powers, and several other minor changes were made.<sup>1</sup> Education was placed under provincial jurisdiction with the rider added that the rights and privileges as to denominational schools which were possessed by the Protestant and Catholic minorities in Lower and Upper Canada respectively were to remain undisturbed. Finance was one of the most controversial questions and it threatened for a time to stop the negotiations. The division of the taxing field won substantial agreement, but it left the provinces in a large measure dependent upon the Dominion for assistance. The Atlantic Provinces, because of the rudimentary character of their municipal institutions and the meagre revenues and services which these provided, were accustomed to rely more upon the provincial government to supply various services than was the practice in Canada, and their delegates therefore demanded generous contributions from the Dominion to meet this need.<sup>2</sup> The Dominion assumption of provincial debts, however, supplemented by a system of subsidies and special grants,<sup>3</sup> eventually secured consent, although Prince Edward Island, whose financial sacrifices were relatively heavy, was far from satisfied and voted against these resolutions.

The conference proposals regarding the executive power were covered for the most part in one vague comprehensive clause stating that this power was vested in the Sovereign and was to "be administered according to the well understood principles of the British Constitution by the Sovereign personally or by the Representative of the Sovereign duly authorized." The feeling of the delegates was that the prerogative powers of the Crown could not be restricted by clauses relating to the composition and personnel of the Cabinet, and that any explicit mention of responsible government was both improper and unnecessary.

The resolutions dealing with the law and the judiciary were non-controversial, and were easily settled, jurisdiction

<sup>1</sup>Pope, *Confederation Documents*, pp. 22-30.

<sup>2</sup>O. D. Skelton, *Life and Times of A. I. Gall*, pp. 369-70. Whitclaw, *The Maritimes and Canada before Confederation*, pp. 253-6.

<sup>3</sup>See *infra*, pp. 119-22.

in these matters being divided between the two authorities Provision was made for the possible creation by the Dominion of a general court of appeal

The Maritime Provinces obtained an undertaking that the Dominion would secure without delay the completion of the intercolonial railway Another clause (a *quid pro quo* demanded by the Canadian delegates) stated that the conference regarded communication with the north-western territory of the highest importance, and that this should be prosecuted "at the earliest possible period that the state of the Finances will permit" Another clause made tentative and general provision for the later admission of the North-West Territory, British Columbia, and Vancouver, although it was stated that the exact terms and conditions would be decided at the time of entrance

The Quebec Conference brought its labours to a close in the short period of three weeks, although after an interval of travelling and speech-making the delegates held a very brief session at Montreal to make a few minor revisions The recommendations were embodied in seventy-two resolutions One of these suggested the next step which was contemplated, namely, that "the sanction of the Imperial and Local Parliaments shall be sought for the Union of the Provinces on the principles adopted by the Conference" Inasmuch as no legislature had formally authorized the conference (although that of Canada had been given a general statement of its Government's federal intentions) some formal approval would seem to have been highly desirable A general appeal to the people in an election for a mandate to proceed with the proposals was considered unwise by the conference which also felt that such an expedient leaned too much towards the American rather than the British practice

The sanction mentioned in the Quebec Resolutions, however, was never obtained In March, 1865, the legislature of Canada, after a long debate, accepted the seventy-two resolutions as recommended, and requested the Imperial Parliament to implement them But the other colonies thought otherwise Prince Edward Island, the consistent



rebel at the Quebec Conference, rejected the resolutions and even went on record as wishing to dissociate itself permanently from any kind of union with Canada or with any other colony. Newfoundland postponed any final decision for the time, but later definitely repudiated federation. The Premier of New Brunswick held a general election on the question, and he and his party sustained a decisive and humiliating defeat. The Premier of Nova Scotia, mindful of the New Brunswick election results and influenced by Joseph Howe's opposition and his growing popular support, avoided a vote in the Assembly on the Quebec proposals by securing another which again expressed approval of Maritime union. Thus one of the five colonies at the Quebec Conference had approved of federation, one had side-stepped it because of increasing opposition, and three had virtually or explicitly repudiated the proposals.

A delegation composed of members of the Canadian Cabinet nevertheless went to England to discuss, among other questions, the suggested federation. The British Government proved to be extremely sympathetic and gave assurances that it would use all legitimate means to induce the Maritime Provinces to agree to the proposal. This proved in the event to be no idle promise. A clear intimation to the Governors in both Atlantic Provinces that the British authorities were very desirous of having federation approved, the resulting activity of the none too scrupulous Governor of New Brunswick,<sup>1</sup> a blunt refusal by the Colonial Office in 1865 to assist in any plan for Maritime union unless it were part of a wider scheme, a growing appreciation by New Brunswick of the benefits to be expected from the intercolonial railway, the threatened Fenian invasion from the United States in 1866—all helped to turn public opinion in New Brunswick more and more in the direction of federation. On April 10, 1866, the Government of New Brunswick resigned, being virtually pushed out of office by the

<sup>1</sup>A new Governor was selected for Nova Scotia who would be more enthusiastic about federation than his predecessor.

Governor,<sup>1</sup> and in the ensuing election, which was financed in large measure by contributions from Canada, the federation party secured a victory as complete as that of its opponents a year before. Dr Tupper, who had been carefully watching the progress of events, decided that the time for more decisive action had come, and he moved, and the Nova Scotia Assembly passed, a resolution in April, 1866, expressing the desirability of general federation and authorizing the Governor "to appoint delegates to arrange with the Imperial Government a scheme of union which will effectively ensure just provision for the rights and interests of this Province." The New Brunswick legislature passed a resolution in June in almost identical terms. Thus although neither Nova Scotia nor New Brunswick had accepted the Quebec Resolutions, their legislatures had expressed a desire to have the whole federation question reconsidered and to work out a scheme of union in consultation with the British Government. In December, 1866, the new conference began its sittings in London.

Although the Quebec Resolutions had been in high disfavour in Nova Scotia and New Brunswick they were nevertheless used as the basis of discussion at the London Conference. A few important changes and a large number of comparatively minor alterations and additions were made, but in most essentials the terms of the Quebec Resolutions were re-endorsed. There is, however, no doubt that the seven draft bills (resulting finally in the British North America Act) were founded on the London and not on the Quebec Resolutions. This substitution of new resolutions for those drafted at Quebec was, indeed, essential. The provinces represented at London were not the same as those represented at Quebec, and the dropping-out of Prince Edward Island and Newfoundland might well have neces-

<sup>1</sup>See George E. Wilson, "New Brunswick's Entrance into Confederation," *Canadian Historical Review*, March, 1928, pp. 4-24. The Governor of Canada, Lord Monck, considered the possibility of dragging New Brunswick into federation even against the wishes of the people. Why should the British Parliament, he asked Macdonald, "allow a majority in one branch of the Legislature in a small province to overbear the expressed opinion of the rest of B N A?" *Ibid.*, pp. 21-2.

sitated substantial changes or the cancellation of compromises which had been made on the assumption that these provinces were to enter. Moreover, the Quebec Resolutions had been approved by Canada only, and Nova Scotia and New Brunswick had reappointed delegates with the express intention of receiving terms more acceptable than those obtained at Quebec.<sup>1</sup> The Maritime Provinces were therefore bound to insist on a complete reconsideration of the federation proposals, and this took place at London.

The changes made by the London Resolutions affected the Dominion and provincial powers, education, the Senate, the pardoning power, provincial subsidies, and the inter-colonial railway, as well as minor matters. Jurisdiction over penitentiaries was transferred from the province to the Dominion, statistics became a stated Dominion topic, solemnization of marriage was given to the province, jurisdiction over sea coast and inland fisheries ceased to be a concurrent power and was given to the Dominion alone. A greater protection of minority rights and privileges in education was extended to all provinces, and provision was made for an appeal to the Dominion Parliament in the event of these rights or privileges being infringed. Nova Scotia and New Brunswick each received twelve senators instead of ten, although provision was made that in the event of Prince Edward Island entering Confederation, these would revert to ten and the Island would receive the four seats thus made available. The pardoning power of the Lieutenant-Governors was restricted. Additions were made to the provincial subsidies which improved somewhat the position of the Maritime Provinces, and the provision regarding the inter-colonial railway was altered by making its immediate construction mandatory. When the colonial delegates had consulted with the British authorities and the proposals

<sup>1</sup>When Dr. Tupper reported back to the Nova Scotia Assembly in 1867, he said: "The position that we occupy is one of no little pride for we are able to say that we have not only obtained everything which was granted at Quebec, but that very important concessions have been made in the arrangements that are now being consummated, and that all these alterations are most favourable to the interests of these Maritime Provinces." *N. S. Assembly Debates*, March 18 1867, p. 9.

were drafted in the form of a bill, other changes were made, the chief ones being the omission of the Lieutenant-Governor's power of pardon, and a clause designed to overcome some of the rigidity in the total number of senators by permitting a limited number of emergency appointments. The bill also contained skeleton constitutions for Ontario and Quebec, for these provinces, unlike Nova Scotia and New Brunswick, had no separate existence in 1867 and it was therefore necessary to substitute two provincial governments for the one which had been in existence since the Union Act of 1840.

The bill was introduced into the House of Lords by the Colonial Secretary, Lord Carnarvon, and passed both houses without arousing any great interest or enthusiasm. A. F. Gait was shocked by the English indifference to Confederation and attributed much of it to a fear that Canadian issues might involve England in a war with the United States. Sir John Macdonald caustically observed some years later that the bill was treated with no more concern than if it "were a private bill uniting two or three English parishes", and a spectator, sitting in the gallery of the Commons, noted that when the House passed on to the next bill, which dealt with the imposition of dog taxes, there was a perceptible brightening of the interest of the members in the business before them. The British North America Act received the royal assent on March 29, was proclaimed on May 22, and came into effect on July 1, 1867.

Mention should be made of the curious fact that there was no general consensus of the authorities in British North America behind the written constitution as eventually enacted in 1867. Nova Scotia and New Brunswick may be considered as repudiating by inaction and by the implication of their later resolutions the terms which had been drafted at Quebec, although they both empowered their representatives to negotiate a new agreement at London. The legislature of Canada, on the other hand, had formally accepted the Quebec Resolutions, but it had not given its Cabinet any authority to enter into further negotiations to draw up a new or modified agreement.<sup>1</sup> Both the Nova Scotia and New

<sup>1</sup>See Senate of Canada, *Report on the British North America Act* (1939), Annex 4, pp. 24-30, 36-47.

Brunswick delegates reported to their respective legislatures the sixty-nine London Resolutions as embodying the results of their labours, but the Canadian delegation apparently retained the fiction that the British North America Act rested on the resolutions agreed to at Quebec. No colony had any opportunity to pass upon the new federation either in a general election (until it was too late) or by a popular vote, an omission which was inexcusable in Nova Scotia where the federation proposals were notoriously unpopular. Lord Monck's suggestion that they should ignore the wishes of the people of New Brunswick had been applied, in effect, a few miles further to the south and east. Nova Scotia ushered in the new Dominion on July 1, 1867, by draping her streets in black, and in the first Dominion election returned eighteen out of nineteen members pledged to repeal.

Even the general nature of the above account will indicate how many features of the British North America Act were shaped not only by the local constitutions and history but also by the immediate influences of Great Britain and the United States. The Confederation debates of the Canadian legislature in 1865 are filled with references to the institutions of both these countries, and their experiences are freely called upon to serve either as a warning or an inspiration from which Canada should draw the appropriate lesson. There can be no doubt that the great majority of the founders of the Dominion were anxious to maintain the British connection and the British stamp upon their political institutions, and the records, although scanty, breathe loyalty and admiration in almost every paragraph. Possible independence, which had many outspoken advocates in Britain, found no support at the constitutional conferences. The preamble to the British North America Act was therefore stating the simple truth when it proclaimed that the people of the provinces desired "a Constitution similar in Principle to that of the United Kingdom."

Thus the chief characteristics of the new Canadian government, with one important exception, bore the mark of their British ancestry: the King (and Governor-General) as the chief executive officer, the central and dominant position

of the Crown, the nominated Senate with a life tenure, the representative House of Commons, the treatment of financial measures, in both their initiation by the Crown and their introduction into the House of Commons, the privileges of the houses, the appointment of Lieutenant-Governors, the disallowance of provincial legislation, the appointed judiciary holding office during good behaviour—these were all derived immediately or by direct descent from Great Britain. Even the nomenclature was affected by the contagion: the House of Commons, His Majesty's Privy Council for Canada, and, if Macdonald had had his way, the Kingdom of Canada, were striking examples. Some of the omissions are characteristically British also, and the failure to insert any extensive bill of rights section, the refusal to attempt any legal definition of the principles of responsible government, and perhaps the absence of an amending clause, are no less indicative of its derivation than are the explicit statements of the Act.

The outstanding exception to the general rule of British influence was, of course, the part of the Act dealing with the federal distribution of power. Here, as has been already indicated, the experience of the United States worked both to encourage and to dissuade. "It is the fashion now," said Macdonald in 1865, "to enlarge on the defects of the Constitution of the United States, but I am not one of those who look upon it as a failure. I think and believe that it is one of the most skilful works which human intelligence ever created, [it] is one of the most perfect organizations that ever governed a free people. To say that it has some defects is but to say that it is not the work of Omniscience, but of human intellects."<sup>1</sup> The American example, as this passage indicates, undoubtedly served as a constant inspiration which encouraged the British North American colonies to seek their solution in some form of federalism, but in determining the balance of authority between the federation and the provinces, the allotment of subjects, and the location of

<sup>1</sup>*Confederation Debates*, 1865, p. 32. See Edgar McInnis, "Two North American Federations," *Essays in Canadian History* (ed. by R. Flenley), pp. 94-118.

the residual power, they endeavoured to interpret American experience and profit by American mistakes. The same attitude is evident in other matters as well, indeed, on most questions there is a fairly strong bias against American practices. Certain "republican" manifestations were regarded with alarm by most of the delegates, despite the past tendencies of the Clear Grit party in Canada to copy some of the features of the Jacksonian democracy. The election of judges was apparently not even mentioned at any of the conferences, a proposal of George Brown to abolish responsible government in the provinces and substitute an executive and legislature, popularly elected for fixed terms, could find no other supporters, and the terms of the judges, of the senators, and even of the members of the House of Commons show the same disregard for the Jacksonian principles of frequent elections and rotation in office. Nor was there much of the Jacksonian influence in the consistent reluctance to submit the question of federation to any popular verdict. "The course of the New Brunswick Government in dissolving their Parliament, and appealing to the people," wrote John A. Macdonald, "was unstatesmanlike and unsuccessful, as it deserved to be. Whatever might have been the result in the legislature, the subject would have been fairly discussed and its merits understood, and if he [the Premier] had been defeated, he then had an appeal to the people."<sup>1</sup> This desire to avoid an election or to hold any form of plebiscite was conveniently explained as being in accord with British ideas of the functions of a representative legislature, but it also sprang from a somewhat shaky belief in the solid virtues of popular government.

The extension of the boundaries of the Dominion and the realization of the ambition of the Fathers of Confederation to expand westwards to the Pacific were not long deferred. The British North America Act had provided for the admission of Rupert's Land and the North-West Territory into the union by Imperial order-in-council in response to an address by the Canadian Parliament. Proceedings were initiated in 1867 to bring this about, and on July 15, 1870,

<sup>1</sup>J. Pope, *Correspondence of Sir John Macdonald*, p. 23.

these territories were formally annexed to the Dominion. The Province of Manitoba was admitted at the same time by Dominion statute<sup>1</sup>. In the following year, in compliance with addresses from the Canadian Parliament and the legislature of British Columbia as provided under the terms of the British North America Act, an Imperial order-in-council admitted British Columbia to the Dominion, and two years later (1873) after similar addresses and under the same authority another order-in-council admitted Prince Edward Island. In 1905 two Dominion statutes transformed a large block of the western territory into the provinces of Alberta and Saskatchewan. Finally, Newfoundland entered the federation as the tenth province in 1949.

<sup>1</sup>Because some doubts arose as to the power of the Dominion Parliament to create new provinces and provide for their government, the Manitoba Act was validated and the general power ensured for the future by the passage of an amendment to the B. N. A. Act in 1871 (*Brit. Statutes* 34-35 Vict., c. 28).

-It has been impossible in the fourth printing (1949) of this book to insert all the alternatives which should be made as a result of the entrance of Newfoundland into the federation. The only change (other than the one above) is the addition of the 1919 amendment (No. 1) to the British North America Act, which appears in Appendix II.



### CHAPTER III

## DOMINION STATUS

THE unique international position and powers of the self governing Dominions in the British Commonwealth had their origin with the earliest colonial settlements. The problem of how much autonomy these colonies should exercise was faced at that time, and it has never ceased to be a question of paramount importance. It was a critical issue in the history of the American colonies, after the Revolution, it was scarcely less so, with the leadership in the movement for increasing powers of self-government still coming from the North American continent. A number of the important stages in this history have already been discussed under the origins of representative and responsible government. These two great advances were, indeed, far more vital to Canadian autonomy than any of the changes which have occurred during the past century, and without these preliminary steps, none of the later developments would have been possible. The creation of the Dominion of Canada marked another advance of a somewhat different kind, although this event made little immediate change in the aggregate powers of self-government of the federated colonies. It added enormously, however, to their prestige and importance, and when in later years the Dominion pressed for progressively greater powers, it was not only able to make its voice more clearly heard, but that voice also carried far greater authority and was much more difficult to ignore or to refuse.

Attention has already been called to the fact that the setting of definite boundaries to what were to be considered as local affairs and what was to be treated as the special province of the British Government was, in the nature of things, impermanent,<sup>1</sup> but that the arrangement had the great advantage of yielding gradually to pressure whenever the

<sup>1</sup>See *supra*, pp 23-4

occasion demanded. Material and far-reaching changes could thus be brought about not by sensational crises and bitter quarrels over great principles, but quietly, and as a rule temperately, through the settlement of minor problems arising in the day to day relationships of the British and overseas governments. The Durham line of division between matters of Imperial and local jurisdiction<sup>1</sup> was in fact partly abandoned almost as soon as it was stated. The "disposal of public lands" became at once a matter for the local authorities, and the disposal of the vexed question of the clergy reserves by the Canadian legislature as early as 1854 proved how intimately these matters were related to the local government and how necessary it was that that government should make the settlement. The "constitution of the form of government," while to some small degree involving the British Parliament, was in practice also left to the colonies to determine. Thus British North America drew up its own terms of Confederation, and the function of the Imperial authorities at this time was primarily one of rendering helpful advice and guidance after the essential articles of agreement had been debated and finally agreed upon.

There remained Durham's third and much more extensive area of Imperial control, namely, "the regulation of foreign relations, and of trade with the mother country, the other British Colonies, and foreign nations." Some of these limitations on colonial authority were to persist for many years, but even here the barrier began to crumble some time before Confederation. Colonial leaders had at first unreservedly accepted the position that the British Parliament would determine the general outline of the Empire's fiscal policies and would therefore be responsible for the enactment of tariffs both at home and throughout the Empire. Even when the British economic policy was radically altered in the late forties through the repeal of the corn laws and the navigation acts, and although the colonies were permitted under the Enabling Act of 1846 to repeal British duties in force in their territories, the colonial legislatures remained for a brief period under the old spell. In

<sup>1</sup>See *supra*, pp 17-18

1849, for example, Francis Hincks in introducing the Canadian budget expressed the view that a colonial tariff against Great Britain would be little short of a declaration of independence. But the following years witnessed a marked and speedy change. In 1859 the Canadian legislature passed a bill which raised the general tariff on manufactured articles, a step which caused a violent outburst from certain English manufacturing interests in Sheffield who insisted that British rights were being infringed. This protest was forwarded to Canada by the Imperial Government with an intimation that the Canadian act might conceivably be disallowed, a challenge which was quickly accepted in a memorandum drawn up by Alexander T. Galt, the Canadian Finance Minister. Its interest lies not alone in the unequivocal statement of the rights of the colony, but also in the allied argument that the freedom of action which the memorandum demanded was an inescapable consequence of responsible government.

The Government of Canada acting for its Legislature and people cannot, through those feelings of deference which they owe to the Imperial authorities, in any manner waive or diminish the right of the people of Canada to decide for themselves both as to the mode and extent to which taxation shall be imposed. The Provincial Ministry are at all times ready to afford explanations in regard to the acts of the Legislature to which they are party, but, subject to their duty and allegiance to her Majesty, their responsibility in all general questions of policy must be to the Provincial Parliament, by whose confidence they administer the affairs of the country. Self government would be utterly annihilated if the views of the Imperial Government were to be preferred to those of the people of Canada. It is therefore, the duty of the present Government distinctly to affirm the right of the Canadian Legislature to adjust the taxation of the people in the way they deem best, even if it should unfortunately happen to meet the disapproval of the Imperial Ministry. Her Majesty cannot be advised to disallow such acts, unless her advisers are prepared to assume the administration of the affairs of the Colony irrespective of the views of its inhabitants.<sup>1</sup>

Such restraints on Canadian autonomy as persisted from Confederation to the First World War operated within three broad fields: (1) Canadian internal affairs, (2) external affairs, (3) Imperial relations. The restraining power was naturally the Imperial Government, and the constant trend

<sup>1</sup>W. P. M. Kennedy, *Constitutional Documents of Canada* (1930 ed.), p. 539.

of events was towards the partial or complete removal of all these restrictions

1 *Internal affairs, 1867-1914*

The British Government, as indicated in the above pages, had relinquished, even before Confederation, all important controls over local affairs in British North America, although there were a number of opportunities still remaining for the exercise of influence in minor matters. These came, for the most part, through the Governor-General, who was the representative of the British Government and who had the right to intervene in certain contingencies, even against the wishes of his Cabinet. Many of these powers of intervention were, however, uncertain and vague, for they rested on law as interpreted by custom, and there was frequently *no certain rule to decide on what occasions* the Governor was to act on his own responsibility and when he was expected to follow the advice of his Council. Even the Governor's routine Instructions were far from constituting an infallible guide, for an accumulation of precedents might make some of these obsolete, and the Governor might also refer to England for special instructions to cover a particular problem. But whether the British Cabinet influenced affairs indirectly through the Governor or whether the Governor without any reference to London tried to exercise an independent power of his own, the result, so far as it touched on Dominion autonomy, was much the same: a non-Canadian authority was attempting to decide what was as a rule a purely Canadian question. Canadian Cabinets, therefore, could be counted upon to resist any exercise of the Governor's power on domestic issues whenever that action was not taken under the advice of his constitutional advisers, and if the precedents conflicted or if the British North America Act or the Instructions were ambiguous, the Cabinet would almost invariably assert its own right to advise the Governor as to what action should be taken.

The most notable of the advances in Canadian powers at the Governor-General's expense was made in 1878 through Edward Blake, the Canadian Minister of Justice,

whose efforts secured material changes in the Commission and Instructions which were issued to the Governor. These instruments for the delegation of the prerogative powers<sup>1</sup> had remained unchanged for many years and as a result a number of their provisions had become either obsolete or quite unsuited to the existing conditions. Thus the Governor-General had been instructed to reserve for the consideration of the British Government any bill for divorce, any bill making paper money or other currency legal tender, any bill for imposing differential duties, and bills for other specified purposes, and, in fact, twenty-one bills had been so reserved by the Governor between 1867 and 1878. The British Government had suggested that the new instruments which it was proposing to issue should contain not only instructions such as the above, but also a clause authorizing the Governor-General to preside at Council meetings, another clause freeing him from the necessity of consulting with his Cabinet in certain contingencies, and another allowing him on occasion to overrule his Cabinet. Blake pointed out that these instructions regarding reservation of bills were quite inapplicable to Canada, that a Canadian Governor had not for years sat with the Cabinet except on ceremonial occasions, and that while under unusual circumstances he might not follow advice, such action was to be considered most exceptional and would be confined in almost every instance to those matters in which Imperial interests were involved. These criticisms were effective, and very substantial modifications were made along the lines suggested. The broad principle of Blake's argument throughout was that Canada was no longer to be treated as a small dependency, and that practices which might be applicable to some parts of the colonial Empire were quite unsuited to a large nation, supposedly exercising comprehensive powers of self-government.

Canada is not merely a colony or a province she is a Dominion composed of an aggregate of seven large provinces federally united under an Imperial Charter, which expressly recites that her constitution is to be

<sup>1</sup>See *infra*, pp 169-73

similar in principle to that of the United Kingdom. These circumstances, together with the vastness of her area, the number of her free population, the character of the representative institutions and of the responsible Government which as citizens of the various provinces and of Canada her people have so long enjoyed, all point to the propriety of dealing with the question in hand in a manner very different from that which might be fitly adopted with reference to a single and comparatively small and young Colony.<sup>1</sup>

On a number of occasions specific issues raised what was broadly the same general problem as that discussed by Blake, namely, the power of the Governor-General to make his own personal decisions on Canadian questions. The British North America Act gave a number of powers to the Governor-General-in-Council but it also frequently omitted to mention participation by the Council at all, and thus might be interpreted as giving certain powers to the Governor acting alone. In many of the latter instances no difficulties occurred, for the Governor tended to follow advice but there were some powers which were questionable. The power of the Governor to disallow provincial legislation, to dismiss a Lieutenant-Governor, to make statements on public questions, to exercise the prerogative of mercy, to dismiss Ministers, to refuse prorogation or dissolution, to reject appointments suggested by his Cabinet—all these were at some time under discussion or were raised by concrete issues, and in the majority of cases the decision was in favour of the Governor accepting the advice of his Cabinet. The emphasis of the argument was not placed so much on the exact working of the British North America Act or of the prerogative instruments involved, but on the broad intent and on precedents in Canada, Great Britain, and the other Dominions. The general effect by 1914 was to make the Canadian practice coincide with that in Great Britain and thus to emphasize the reality of Canadian autonomy in all aspects of its internal affairs.

## 2 *External affairs, 1867-1914*

Canadian control over external affairs in 1914 was, however, a different story, for although this had formed part of

<sup>1</sup>Memorandum of Edward Blake, August, 1876, in Kennedy, *Constitutional Documents*, p. 669.

the same general trend, it had made much slower progress than the control over purely domestic matters. In some of the foreign or international fields Canada could do nothing whatever, but in others she had been able to make a moderate advance towards autonomy. She had gained ground steadily in her participation in the making of those commercial and political treaties in which she had an immediate concern, and she had also won limited recognition at a few international conferences as a nation with her own individual interests and opinions.

Canada had successfully asserted the right to control her own tariff (as indicated above) as early as 1859, and the practice was also well established before Confederation that the provinces would be allowed to send representatives abroad to discuss informally commercial relations with foreign countries. With this as a beginning, the Dominion was able to extend its power over commercial agreements and treaties through a series of gradual adaptations which proceeded *pari passu* with its growth in size and importance and its progress towards self-government in other fields. The first of these steps was taken when the Canadian agents were expressly recognized as associates of the British negotiators in an advisory capacity, even although the former did not sign the resulting agreement. The Dominion Government was next permitted to have on such occasions its own representative, who was formally appointed a British plenipotentiary and formed a part of the British delegation. This was at first a subordinate position, but the Canadian High Commissioner in London, Sir Charles Tupper, who served on several missions of this kind, soon became the dominant member, an advance which was made more rapidly because of his aggressiveness, his undoubted ability, and his special knowledge of the items under discussion. In 1884, for example, Tupper took the major role in negotiations with Spain, and had an agreement been concluded he would have signed the treaty as one of the British representatives. In 1892-3 Tupper again played the leading part in conducting negotiations with France, and the treaty was

signed by him in company with the British Ambassador. But the pace was apparently becoming too hot for the British Government, and in 1895 Lord Ripon issued a reactionary despatch which aimed at relegating the colonies to a definitely subordinate position in such matters. The principles of this despatch were, however, never put into practice, and the earlier position of Tupper was formally and openly recognized by the British Government in its instructions to its Ambassador at Paris in 1907, which, indeed, went a step further by advising him that the Canadians would conduct the negotiations and "will doubtless keep you informed of their progress." The British Ambassador merely signed the treaty in association with the Canadian plenipotentiaries. The British Government's control over the negotiation of Canadian commercial treaties was from this time on no more than formal, although it continued to intervene at three stages in the proceedings: the British Government (on the recommendation of the Canadian Cabinet) appointed the plenipotentiaries, one of whom was always a British official, the latter, as well as the others, signed the treaty, and the ratification was given by the King upon the advice of the British Cabinet. Even this nominal control was sometimes avoided by the Canadian Government negotiating informal agreements which were implemented by the two parties enacting reciprocal legislation, a device which eliminated the British Government completely.<sup>1</sup>

Canada had also acquired by 1914 other privileges in regard to commercial treaties. She was no longer bound by new trade agreements made by the British Government unless she so desired, and if she later wished to withdraw separately from such agreements, she could do so. She was also consulted by the British Government when the latter was considering new trade arrangements with foreign countries, and she was gradually withdrawing from the scope of those most-favoured-nation treaties which had been concluded by Great Britain before Canada had been able to determine her own commercial obligations.

<sup>1</sup>A. G. Dewey, *The Dominions and Diplomacy*, I, pp. 150-82.



Control over political, as distinguished from commercial, treaties was not relinquished by Great Britain so readily, although definite progress towards greater Dominion participation had been made by 1914. The origin and status of the negotiations of any political treaty in which Canada was primarily interested were much the same as with those dealing with commerce, except that here the special British representative was expected to function, and did function, as an active member. In one instance Canada had gone a bit further, in setting up in association with the United States an International Joint Commission to settle disputes regarding boundary waters and other questions. All three Canadian representatives were Canadians, nominated by Ottawa, but appointed on the responsibility of the British Government. Canada had also acquired the right in some political treaties to adhere at her own discretion. The rule, however, was that in all political negotiations of a general nature, which might affect Canada only incidentally as part of the Empire, the British Government went its own way without any reference to or consultation with the Dominion.<sup>1</sup> It should be observed that in all these formal commercial and political treaties<sup>2</sup> the diplomatic unity of the Empire was carefully preserved: the British Government was the channel through which all formal steps were taken, and thus always had an opportunity of ensuring that its views were at least given adequate consideration.

The Dominions were also represented in their own right at a number of international conferences in which they had a special interest. In two instances before 1914<sup>3</sup> they had even signed the resulting agreements on their own behalf and apart from the British delegates, who had signed for the remainder of the Empire.

<sup>1</sup>The British Government in 1911 had cautiously committed itself to possible consultation in the future.

<sup>2</sup>The informal commercial agreements, implemented by reciprocal legislation, are not included. Over these the British Government exercised no control.

<sup>3</sup>There was also a very early precedent in 1883 when Sir Charles Tupper not only signed the protocols on behalf of Canada, but even on one occasion voted against all his British colleagues, who eventually came over to his side. Sir Charles Tupper, *Recollections of Sixty Years*, p. 175.

### 3 *Imperial relations, 1867-1914*

Co-operation between Great Britain and the self-governing Dominions was achieved in the early part of this period largely through the Colonial Office and the local Governor, the contact being maintained through a constant exchange of despatches. A notable advance was made in 1879, when Canada appointed Sir Alexander T. Galt as High Commissioner in London, a position which, while consular rather than diplomatic, nevertheless gave the Dominion Government a conveniently placed advocate and spokesman.

The great agency for occasional consultation and formal discussion was, however, the Imperial Conference. This began in 1887 and continued (with occasional lapses) to meet once every four or five years. It was composed of the Prime Ministers and certain of their colleagues from the self-governing colonies and Dominions, and leading members of the British Cabinet. The Conference was in no sense an executive or legislative body, for it could not bind its principals: it was essentially a conference of Governments, meeting to consider matters in which all had a common concern. Thus while it would from time to time express an opinion in the form of advisory resolutions, these necessarily depended for their effectiveness upon the subsequent action of a number of autonomous parliaments.

The Imperial Conference usually avoided putting controversial measures to a vote, but it did not hesitate to discuss them, and Imperial federation, Empire defence, and reciprocal tariff preferences came up for frequent attention. The general attitude of the Dominions (although this, like the personnel, varied somewhat from one meeting to the next) was as a rule opposed to any decided centralizing movement and in favour of maintaining the separate powers of each self-governing unit. The Conference in its later sessions before the First World War gradually moved on from discussions of defence to foreign policy, and while the Imperial Government was quite explicit in asserting that it alone could be held responsible for that policy, the earlier ban on such discussions was being slowly removed. The 1911 Conference, for example, listened to the Foreign Secretary

submit a long and careful exposition of Empire foreign policy, an unprecedented concession to the growing importance of the Dominions. In the years immediately before the outbreak of war the Dominion Governments were given additional information from time to time concerning these and allied matters. It is very doubtful if the Dominions generally desired anything more than this very limited contact with foreign affairs and Empire defence, for they felt that if they allowed themselves to be placed in a position where they offered advice, they could scarcely avoid accepting responsibility and backing up the joint decision with such forces as they had at their disposal.

Thus by 1914 Canada and the other Dominions were completely self-governing in all their internal affairs, and they were also beginning to acquire substantial powers in external relations. So far as commercial treaties were concerned the realities of power had already passed over to the Dominions, and with political treaties there had been some progress in the same direction. The Dominions had even made a modest *début* at international gatherings of a minor nature. In Empire matters affecting one another, each self-governing part tended to follow its own course, subject, however, to fairly continuous informal consultation with the United Kingdom and a general consultation from time to time through the Imperial Conference. But in formulating foreign policy the Dominions had virtually no share, and in the more vital matters of declaring war, making peace, appointing diplomatic agents, and participating in major international gatherings the Dominions had no share whatever.

On August 4, 1914, Canada found herself at war through the action of the British Government. She had not been consulted, she had herself made no declaration of war, and she had in no way taken part in the diplomatic exchanges which had led to the final catastrophe. But although legally committed to the war, the extent of her participation was admittedly in her own hands, for this principle of autonomy

had been stated time and again, and had received a practical demonstration during the South African War. The Canadian Parliament therefore made its own independent decision on how active a role Canada should play in the struggle.

The First World War began a new period in the development of Dominion status, for while the events which followed were rooted in the past and might well be considered to be the natural outcome of earlier tendencies, the advance was extremely rapid and the results were both far-reaching and decisive. The drive behind this movement was the Dominions' conspicuous war effort, which gradually built up in each a strong national consciousness of its individuality, its power, and its importance. For a year or two of war this feeling grew slowly, but it then rapidly mounted and remained at a high level. It found expression in a general conviction throughout the Dominions that their efforts and sacrifices should be recognized as a fair measure of their maturity, and that they were therefore entitled to a far greater control of their own destinies than heretofore.

The first great concession to this demand was in 1917 when all Dominion Prime Ministers were summoned to meet with the British War Cabinet as an Empire Cabinet, which proceeded to discuss and decide questions of high policy and the general conduct of the war. Dominion representatives in the following months held other meetings of the same nature with the British Cabinet, they later took part in the deliberations of the Paris Peace Conference, and they signed the peace treaties. When the League of Nations was created, the Dominions became original members and were given seats in their own right on the governing bodies of the League.

The years following the Peace Conference were dotted with constitutional issues (raised for the most part by Canada) which served to test the powers of the Dominions to participate actively in foreign affairs. A common Empire foreign policy, enunciated by a meeting of the Prime Ministers of the Empire, was tentatively tried in the immediate post-war years, but it broke down badly when submitted to

sudden strain in the Chanak crisis in 1922<sup>1</sup>. From that time on, issue after issue persistently emphasized the determination of most of the Dominions to be their own masters, even although such a policy necessarily involved the abandonment of the diplomatic unity of the Empire. Finally, after four years of Fabian tactics, several Dominions brought the matter to an explicit decision at the Imperial Conference of 1926. This Conference issued a formal statement proclaiming the complete equality in status of the United Kingdom and the Dominions, an equality which was manifest not only in international affairs but also within the Empire. The British Commonwealth was to remain united under a common King, and subordination, either in law or in practice, was to give way to association and co-operation among autonomous partners.

Their position and mutual relation [i.e., of Great Britain and the Dominions] may be readily defined. *They are autonomous Communities within the British Empire, equal in status, in no way subordinate one to another in any aspect of their domestic or external affairs, though united by a common allegiance to the Crown, and freely associated as members of the British Commonwealth of Nations.*

It is an essential consequence of the equality of status that the Governor-General of a Dominion is the representative of the Crown, holding in all essential respects the same position in relation to the administration of public affairs in the Dominion as is held by His Majesty the King in Great Britain, and that he is not the representative or agent of His Majesty's Government in Great Britain or of any Department of that Government.

The Conference proceeded to discuss a number of the points implied in these statements in some detail. Disallowance of Dominion legislation by the British authorities and reservation by the Governor-General were declared to be obsolete, it was desirable to repeal a number of British statutes which still applied to the Dominions, the retention of judicial appeals to the Judicial Committee of the Privy Council should rest entirely with the Dominion concerned, each Dominion should possess complete treaty-making authority, acting through the King, but on the advice of its own Cabinet, neither Great Britain nor any Dominion

<sup>1</sup>For a full discussion of the events of this period see R. MacG. Dawson, *The Development of Dominion Status, 1900-1936*, pp. 36-103, 203-324.

could be committed to active obligations in foreign affairs without the definite assent of its own government, all parts would profit by an exchange of information, consultation, and, at times, co-operation in foreign affairs, and new arrangements for consultation and communication between one part of the Empire and another should therefore receive special consideration. The long-cherished diplomatic unity of the Empire in foreign affairs was thus tacitly abandoned, for the King might follow several contradictory policies depending on the different capacities in which he was called upon to act.

These declarations of the 1926 Conference still left a number of legal inequalities untouched, and arrangements were made for a later meeting of experts to consider how these could best be removed. This body was accordingly set up as the Conference on the Operation of Dominion Legislation and Merchant Shipping Legislation, and it presented its report in 1929 along the lines suggested. The Imperial Conference of 1930 concurred in this report, and formally requested that the recommendations there made should be enacted by the British Parliament. In response to this request the British Parliament passed the Statute of Westminster in 1931.

The Statute of Westminster thus endeavoured to augment the autonomy of the Dominions as proclaimed in 1926 by removing certain legal handicaps which to some degree still hampered their powers. It provided that the Colonial Laws Validity Act (under which Dominion statutes were void if they conflicted with statutes of the Imperial Parliament) was no longer to apply to the Dominions, that in the future no Dominion statute was to be declared void because it was repugnant to the law of the United Kingdom, and that no act of the Imperial Parliament was to extend to a Dominion unless it declared that the Dominion had requested and consented to its enactment. The Statute also declared that a Dominion Parliament had the power to enact laws having extra-territorial operation. The Colonial Courts of Admiralty Act, 1890, and the Merchant Shipping Act, 1894, were no

longer to apply to the Dominions. A special clause stated that the provisions of the Statute of Westminster were not to affect the position of the British North America Act and its amendments, a reservation inserted at the request of Canada in order that the British North America Act should not be amendable by an ordinary act of the Canadian Parliament.)

The constitutional development since 1931 has simply been a confirmation of the plenary powers of the Dominions as proclaimed and stated by the above Conferences and by the Statute of Westminster. Eire has pushed their implications so far that it has now little more than a nominal foothold in the Empire. The other Dominions, with the possible exception of South Africa, have shown little desire to follow Eire's example, and have been satisfied and generally pleased with the new terms of association. They have been able to pursue their own ends in international affairs, while keeping one another informed of their intentions and working in concert whenever this appeared to be mutually advantageous. In 1932 they negotiated the Ottawa trade agreements which through various concessions promoted trade within the Commonwealth. The outbreak of the Second World War in 1939 acted as a double test: it helped to gauge both the independence and possible neutrality of the Dominions as well as their common patriotism and family loyalty. Australia and New Zealand considered that the British declaration of war included them as well, while South Africa, Canada, and Eire deliberately made their own choice. South Africa thus declared war three days after the United Kingdom made its decision, Canada did the same after a lapse of seven days, Eire remained permanently neutral. The exceptional strain and the sacrifices of the war demonstrated for the second time in a quarter-century the great strength of the tenuous ties that hold together this unique association of nations, and the tragic days of 1940 brought home, at least to this generation, the reality of the common inheritance which had hitherto been so thoughtlessly accepted and so inadequately appreciated.

Members of the Commonwealth realized as never before in their history that political, civil, and religious liberty, parliamentary democracy, tolerance, mutual respect, and affection constitute the underlying supports of their association, and that these are of paramount importance and have in a grave emergency first claim on the loyalty of each of the associated nations. "The British Empire is not founded upon negations," stated the report of the Imperial Conference of 1926. "It depends essentially, if not formally, on positive ideals. Free institutions are its life-blood. Free co-operation is its instrument. Peace, security, and progress are among its objects."





PART II

THE CONSTITUTION



## CHAPTER IV

### THE NATURE OF THE CONSTITUTION

IT is a convenient, but far from accurate, statement to say that Canada has a written constitution, for the written British North America Act and its amendments tend to overshadow those other constitutional principles and understandings the nature and significance of which are not so clearly and obviously indicated. The written document has been, of course, historically and legally indispensable in that it created the Dominion by uniting the four original provinces, and it has since then formed the common tie which has bound together the nine provinces which today compose the federation. The Act is thus undoubtedly of fundamental constitutional importance. It outlines in some detail certain parts of the central government, it gives the distribution of power between the Dominion and the provinces, and because of the peculiar situation existing in 1867, it also provided an initial government for both Ontario and Quebec.

The British North America Act, however, does not pretend to be a comprehensive document, such as, for example, the constitution of the United States, and there is thus little of the well-rounded, balanced description and enumeration of authorities and functions which so frequently characterize those written constitutions which aim to cover all the essentials of government within a limited number of carefully articulated sections. There are many—very many—vital things about the government of Canada (to say nothing of the provincial governments) which are not stated or even hinted in the British North America Act, and even those matters which are dealt with in some detail are frequently given in such fashion that they become ambiguous and sometimes misleading. Thus any earnest literal-minded student who would endeavour to learn about Canadian government by nothing more than a conscientious examination of the Act would be shocked to discover that the Dominion is ruled as follows

The executive government and authority of Canada is vested in the Sovereign, who is apparently<sup>1</sup> represented by a Governor-General (Sections 9, 10). The latter is assisted by a Council, which he chooses, and summons, and removes (11), and which advises him in his work (12, 13). The Sovereign is Commander-in-Chief of all naval and military forces in Canada (15). The Governor-General appoints the Speaker of the Senate (34), and virtually all the judges (96). He appoints all the members of one house of the legislature (24), and these members hold office for life (29). The other legislative body, the House of Commons, is called together by the Governor (38), and this house can be dissolved by him at any time and a new election ordered (50). All money bills must first be recommended by the Governor before they can be passed by Parliament (54). The Governor may assent to legislation, he may refuse his assent, or he may reserve a bill for the consideration of the King-in-Council in Great Britain (55-57), and he may also disallow any provincial act or refuse his assent to any provincial bill reserved for the signification of his pleasure (55-57, 90). The same general powers are exercisable by the provincial Lieutenant-Governors, who are appointed by the Governor-General and are accountable to him (58, 59, 90).

This is a careful and literal rendering of those parts of the British North America Act which deal with the executive power and its relations to the legislature. Canada would thus appear to suffer under a dictatorship, the autocratic rule of one central figure, acting in the place of the Sovereign, who governs the Dominion with little reference to or control by the people. The only popular element is apparently supplied by a House of Commons, which meets when the Governor desires, considers financial legislation which he recommends, and can be forced into an election whenever he deems it desirable. While it is true that the Governor is advised by his Council, the exercise of the above powers is vested in the Governor alone. This was, indeed, substantially the nature of the executive government before 1848, and this part

<sup>1</sup>There is a small gap here in the Act which is filled by the issue of prerogative instruments by the Crown. See *infra*, p. 171.

of the written constitution still wears the garments of one hundred years ago. It can be properly understood and interpreted today only in the light of the changes which have occurred since then, and these changes are to be found in large measure in the unwritten constitution.

The unwritten portion of the Canadian constitution thus includes such matters as the following, all (and more) of which are necessary to an understanding of the nature of the executive power: (1) that today on virtually all questions the Governor-General does not act according to his own judgment or on his own responsibility, but on the advice of his Council, (2) that this Council is not the Council mentioned in the British North America Act, but only a part of that Council acting in the name of the whole, (3) that this active part of the Council is the Cabinet, a body never mentioned anywhere in the Act, (4) that this part of the Council is chosen by the Prime Minister, (5) that there is such a person as a Prime Minister, the most important political figure in Canada does not appear in any part of the written constitution, indeed, he is mentioned only casually in one or two Canadian statutes, (6) that the Prime Minister and his Cabinet must always have the support of the House of Commons, and that all members of the Cabinet, including the Prime Minister, must have seats in that body or in the Senate, (7) that the Cabinet stays in office largely because of its steady support from a political party, (8) that most of the Cabinet members are heads of executive departments, (9) that almost all the above are reproduced in miniature in the provincial governments.

A large number of major omissions thus deal with the executive power. This peculiarity can be traced to the British Government *via* the founders of the federation, both of whom apparently believed that while the discretionary powers of the Governor-General were in many matters clearly comprehended and controlled, they should nevertheless be treated in a statute as though they still existed in all their pristine vigour. We cannot limit or define the

powers of the Crown in such respect," said John A. Macdonald "See our Union Act. There is nothing in it about responsible government. It is a system which we have adopted. There is not even any resolution on our own journals as to the number of the executive. The Sovereign may have such number as she pleases."<sup>1</sup> It was, no doubt, a harmless idiosyncrasy, and in the result it proved to be highly beneficial, for a more explicit statement might conceivably have lessened the flexibility of the constitution and have caused greater friction and embarrassment in later years. On the other hand, both Australia and South Africa at a later date found it possible to be less reticent about one at least of these principles in their governments, and boldly stated that Ministers within three months of their appointment must find seats in Parliament.

Even these few illustrations make it abundantly clear that the unwritten constitution is every whit as important as the British North America Act, and, indeed, that much of the latter is transformed and made almost unrecognizable by the operation of the former, which in all these instances consists of established customs and usages which have grown up over a long period of years. But the unwritten or non-documentary constitution includes very much more than these conventions. The term also embraces principles of the common law as defined by the courts, British and Canadian acts of Parliament and orders-in-council, judicial interpretations of the written constitution and other laws, the rules and privileges of Parliament, and many other habitual and informal methods of government in addition to those noted above. All these, many of them (despite the misleading term "unwritten") committed to writing, others in much more intangible and elusive form, exert a powerful influence on constitutional practice.

The English common law<sup>2</sup> and many parts of the statutes and the historic constitutional documents of England came to Canada (excepting Quebec) by direct inheritance. It has already been indicated how the common law determined the powers of self-government in both settled and

<sup>1</sup>J. Pope, *Confederation Documents*, p. 77

<sup>2</sup>*Infra*, p. 458

conquered colonies,<sup>1</sup> and a subsequent chapter will indicate the way in which many of the executive powers in Canada are still affected by the delegation from the Crown in Great Britain of those powers which it has for many centuries possessed under the common law.<sup>2</sup> The broad rule applied to the early colonies was that settled colonies (and to a small degree conquered colonies also<sup>3</sup>) were possessed of as much of the general English law, common and statutory, as was conveniently applicable to the colonial conditions.<sup>4</sup> The scope of the law so applied and the time when it was to be considered effective in the colony were decided either by the local courts or by formal enactment of the local legislative authorities.

The peculiar position of Quebec, a conquered colony with an established and quite different legal system, led to the passage by the Imperial Parliament of the Quebec Act, 1774, whereby the English criminal law was retained and the French civil law formally confirmed, both being subject to change by local ordinance.<sup>5</sup> Immediately following the separation of Upper and Lower Canada in 1791, Upper Canada (hitherto bound by the Quebec Act) seized the opportunity to adopt the English civil law, and the first act of the first session of its legislature declared that "in all matters of controversy relative to property and civil rights resort shall be had to the Laws of England," as of October 15, 1792. The second act of the same legislature introduced trial by jury.<sup>6</sup>

Nova Scotia, on the other hand, deeming herself a settled colony and not being controlled by any special British statute like the Quebec Act, could and did allow the relevant English law to be selected and applied by the

<sup>1</sup>*Supra*, pp. 5-6.

<sup>2</sup>*Infra*, pp. 171-2.

<sup>3</sup>The extent of the political rights of the Crown, for example, was determined in conquered colonies by the common law. See *supra*, pp. 5-6. See A. B. Keith, *The Governments of the British Empire*, pp. 10-17.

<sup>4</sup>See *The Lauderdale Peirage* (1885), 10 App. Cas. 692, at pp. 744-5.

<sup>5</sup>*Supra*, pp. 7-10.

<sup>6</sup>*Upper Canada Statutes* 32 Geo. III, cc. 1, 2. A number of the other provinces have similar enactments which set a definite date for the application of the English law. The date for British Columbia was November 19, 1858, for Manitoba, Saskatchewan, and Alberta, July 15, 1870.



courts as the need arose, and she thus became possessed of the fundamental English liberties without any legislative action whatever. A legal commentator in Nova Scotia expressed the situation thus in 1832:

While it seems doubtful whether any English laws (except those in which the Colonies are expressly named) have any validity here, until they have been adopted into our local jurisprudence by distinct legislation or general recognition and usage, yet, what are generally esteemed the most valuable portions of British law, have been transplanted into our land,—the Habeas Corpus—the freedom of the Press—the trial by Jury—the Representative Branch of legislature,—the viva voce examination of witnesses, in fine all those branches of public law which have drawn the eulogium of the wisest and the best of men upon the British constitution, we possess. While we are freed from many that have formed the subject of constant objection in the mother country.<sup>1</sup>

It should further be noted that subsequent legislation in England did not apply to any colony unless it was expressly indicated in the English statute, and also that the colony was free to amend any part of the general law which had been declared to be the law of the colony.<sup>2</sup> The power to define, enlarge, or restrict these fundamental constitutional rights by legislation was later distributed between the Dominion and the provinces by the British North America Act, and the situation has thereby become extremely complicated. No attempt has been made by either the Dominion or the provinces to state the exact content of these rights in statutory form. They are still rights resting primarily on common law even though modified and defined in some particulars by legislation. For example, the provincial legislatures have enacted statutes regulating the administration of the jury system but not affecting substantially the right of trial by jury. The older provinces have enacted

<sup>1</sup>Beamish Murdoch, *Epitome of the Laws of Nova Scotia* (1832), I, p. 35. Cf. T. C. Haliburton, *An Historical and Statistical Account of Nova Scotia* (1829), II, pp. 343-7.

<sup>2</sup>The essential provisions of the English Habeas Corpus Act, 1679, were adopted by local ordinance in Quebec in 1784. This was confirmed by the Constitutional Act, 1791, and all the English law, common and statutory, relating to habeas corpus in civil matters was applied to Upper Canada by the omnibus statute (already noted) of 1792. (Habeas corpus in *civil* matters was not introduced into Quebec until 1812.) The provisions of the English Habeas Corpus Act of 1816, on the other hand, did not apply to Upper Canada until specifically enacted by the local legislature.

statutory provisions relating to habeas corpus in civil matters. Through their authority over "property and civil rights" the provincial legislatures have some power to modify the inherited common law rights. It would appear, however, that some at least of these rights, such as freedom of discussion, cannot be essentially restricted by the provincial legislatures but are solely under the jurisdiction of the Dominion Parliament.<sup>1</sup> Also, of course, the Dominion Parliament through its power to make the criminal law is able to define and limit personal rights. Thus the definitions of sedition and unlawful assembly in the Criminal Code define the outer limits of the rights of freedom of speech and assembly respectively.

The Canadian constitution also includes a number of British statutes expressly referring to Canada or to the Empire. There are still in existence at least one hundred and thirty of these British statutes which apply to Canada,<sup>2</sup> but many of them are not constitutional in nature, and many are today of no importance whatever, such as, for example, those dealing with the slave trade. The Statute of Westminster, 1931, declared that certain British statutes<sup>3</sup> were to be no longer in force in the Dominions and that the latter have now the general power to enact legislation which may run contrary to the provisions of an Imperial act.<sup>4</sup> The surviving British statutes of major constitutional importance for Canada are thus very few indeed. They are, especially, the British North America Act and its amendments (which may be conveniently allowed to remain in the separate category of the written constitution allotted to them above) and the Statute of West-

<sup>1</sup>*Alberta Press Bill Case*, [1938] S. C. R. 100.

<sup>2</sup>One hundred and twenty-nine are named in a list, furnished by the Department of External Affairs, which is reproduced in Maurice Ollivier, *Problems of Canadian Sovereignty*, pp. 465-9. The Minister of Justice gave the number as "about 150." *Can. H. of C. Debates*, March 19, 1937, p. 1941.

<sup>3</sup>The Colonial Laws Validity Act, 1865, the Merchant Shipping Act, 1894, and the Colonial Courts of Admiralty Act, 1890. See *supra*, pp. 63-4.

<sup>4</sup>*Supra*, p. 63. The Foreign Enlistment Act, 1937, thus displaced for Canada the British Foreign Enlistment Act previously applicable.

minster,<sup>1</sup> together with any acts which may have been passed at the express request of the Canadian Government. The Declaration of Abdication Act, 1936, for example, is in the last group }

Closely associated with the acts of the British Parliament are those British orders-in-council (passed under statutory authority) which form a small but by no means negligible part of the Canadian constitution. The orders-in-council admitting to the Dominion Rupert's Land and the North-West Territory, British Columbia, and Prince Edward Island (authorized by Section 146 of the British North America Act) fall into this category.<sup>2</sup>

Acts of the Dominion and provincial parliaments form in many instances other parts of the unwritten constitution. These statutes are what the French call *organique laws*, namely, laws which are looked upon as constitutional, not because of some special or formal method of enactment (which is the same as that used for ordinary statutes) but by their content—the fact that the subject material of these statutes is constitutional in its nature. Thus the Dominion act of 1875 which created the Supreme Court of Canada, while passed in the same way as any ordinary public law, is by its purpose and content unmistakably a part of the constitution. The Dominion acts which have admitted new provinces, altered boundaries, established the franchise, adjusted the provincial subsidies, created new government departments, provided for the trial of controverted elections, and a host of others are all organic laws. Inasmuch as large sections of the provincial constitutions are in the form of provincial statutes,<sup>3</sup> these parts fall entirely in this category. The Dominion and provincial governments may also from time to time pass

<sup>1</sup>It might be noted in passing that the security of full Dominion legislative powers which is granted by the Statute of Westminster rests on the constitutional content on and assurance that no future law applicable to a Dominion will be passed by the British Parliament except at the instance of that Dominion, and this convention is set forth in the preamble of the Statute.

<sup>2</sup>*Supra*, pp. 48-9.

<sup>3</sup>The British North America Act provided, as already stated, for the constitutions of Ontario and Quebec, and Dominion statutes for those of Manitoba, Alberta, and Saskatchewan, but these provinces have virtually the same power as the others to alter their constitutions by ordinary statute.

orders-in-council concerning constitutional matters which will form further additions of a similar nature

Attention has already been directed to the function of the judiciary in creating part of the early unwritten constitution by its task of selecting those English laws and precedents which seemed applicable to the young colonies "Our courts of justice are of necessity obliged to exercise to a certain extent powers of a legislative description, in adopting or rejecting different parts of the English law, on the apparent applicability to our circumstances, or the reverse"<sup>1</sup> But the activity of the courts in constitution-making did not abate after the early period of settlement, for they have continued to interpret and amplify the formal constitution, the common law, statutes, orders-in-council, etc The necessity of applying the distribution of Dominion and provincial authority contained in the British North America Act has given the courts an especially prominent and effective place in moulding the Canadian unwritten constitution<sup>2</sup>

Parliament provides another part of the unwritten constitution through its special privileges and its rules of order and procedure The privileges of both the Dominion and provincial legislatures, while they rest on statutory authority, nevertheless trace their descent from and are referred to those long established in England by the law and custom of Parliament The way in which the Canadian Parliament transacts its business is controlled by its own rules (with the exception of a few clauses in the British North America Act dealing with a quorum, majority vote, election of a Speaker, and the origination of money votes) These rules are not in statutory form—indeed, many of them are merely precedents—and they can be modified at any time by each house as it sees fit The rules deal with such matters as parliamentary committees, conduct of debates, general procedure, and, to some degree, the relations between the two houses

There are also the conventions of the constitution, the customs and usage which have supplemented, modified, and

<sup>1</sup>Murdoch, *Epitome of the Laws of Nova Scotia*, I, p 34

<sup>2</sup>*Infra*, pp 99-116

in some instances preceded many of the other parts<sup>1</sup> Those which affect the executive power as outlined in the British North America Act have already been described and while these furnish the best illustrations of the vital role played by the conventions, there are many more, similar in nature and equally pervasive in their influence, which occur in other fields of government A number of illustrations follow

The functioning of the legislature and the relations between the legislature and the executive are to a marked degree determined by usage The dependence of the Cabinet on legislative support, the conditions under which the Cabinet will resign, its responsibility to the House of Commons and not to the Senate, the insistence that all members of the Cabinet who hold portfolios must sit in the House, many of the rules of parliamentary procedure and the interpretations of these rules (as mentioned above) are largely or entirely based on usage

The political parties furnish many other examples Parties in Canada are unknown to the law, but their activity is unceasing, and they reach into almost every part of the government and exert a decisive influence on very many activities of those who support and those who oppose the administration The Prime Minister is the choice, not of the Governor-General alone, but usually of a national party convention as well, all the members of the House with a very few exceptions have been nominated and elected as a result of party support, almost all the senators have been appointed because of party services, and party affiliations colour the greater part of the proceedings of both houses

The conduct of Imperial relations, the accretion in Dominion powers, and the eventual development of Dominion status have taken place through conventional rather than legal means The correspondence between the Governor-General and the home government, the decisions on special issues and disputes, the assertions of power by the colonial or Dominion authorities, the proceedings of Imperial Con-

<sup>1</sup>No attempt has been made to distinguish between custom, usage, and convention A common distinction is to treat custom and usage as synonymous terms, and convention as a usage which has acquired obligatory force

ferences, the refusal of Dominion governments to accede to the wishes of Great Britain or their compliance therein—from these there was built up through the years a set of principles which governed inter-Imperial relations and Dominion powers without the necessity of changing the letter of the law. Eventually, it was considered desirable to try to bring the law up to date and in greater accord with the recognized practices, and the Statute of Westminster was the result. But these matters never stand still, and the same process of gradual development by informal means immediately began again and has continued steadily since that time.

To include certain general principles, ideas, and popularly held beliefs on matters of government as other parts of the unwritten constitution may place an unusual strain upon what is frequently included in the term. Yet these also form an essential element of the conventional constitution and they will most assuredly influence and often determine the way in which the forces of government will be exerted. The mere fact that a constitutional doctrine is not explicitly enunciated and formally committed to writing may affect the external appearance but may not disturb the genuineness or force of that doctrine. Thus the broad tolerance which will permit differences of opinion and will disapprove of punitive or repressive measures against the dissenters is of as great constitutional significance and may conceivably under some circumstances afford an even more assured protection than an explicit guarantee of freedom of speech, written into a constitution, yet with no solid conviction behind it. "As in the creation of law," writes W. Ivor Jennings, "the creation of a convention must be due to the reason of the thing, because it accords with the prevailing political philosophy,"<sup>1</sup> and certain principles of this philosophy and certain observed conventions become virtually indistinguishable. Thus the chief restraint on a Canadian Cabinet which prevents it from endeavouring to eliminate all Opposition activity and criticism is the prevalent popular belief (which the Cabinet itself shares) in fair play, free speech

<sup>1</sup>*The Law and the Constitution*, p. 131

and open criticism, and the Cabinet is therefore not disposed to use measures of suppression. Fundamental attitudes respecting the liberty of the citizen, whether those principles enjoy special protection in law or not, come under this category and derive an enhanced prestige and sanction from the conviction with which they are generally held.

All these conventions, so varied in their manifestations and in their influence, obviously perform a most useful function in the government. The legal framework is bound by its very character to be stiff and unyielding, and changes in the law will come as a rule after much deliberation and many ponderous formalities. The conventions give life to the written words, *they introduce a saving element of flexibility and enable the constitution to develop and adapt itself to demands and conditions which are of necessity continually changing.* They vary widely, however, in authority. Some will represent merely acceptable procedures, while others are explicit and well-recognized practices, one may be trifled with on occasion or even, if necessary, ignored, while another may in its extreme form partake of the same rigidity as the written constitution itself. The number of members in the Canadian Cabinet, for example, though largely customary, can be readily altered, the representation of the different provinces in the Cabinet can be somewhat modified, but not abandoned, while the responsibility of the Cabinet to Parliament is a custom more firmly entrenched than most of the British North America Act. As a rule, however, the conventions can be more readily submitted to the acid test of suitability to the purpose in hand, and they will respond more readily when their inadequacy is demonstrated. Written law and the conventions will normally complement one another, and each becomes necessary to the proper functioning of the other. *To quote from the report of the 1929 Committee of the Imperial Conference*

The association of constitutional conventions with law has long been familiar in the history of the British Commonwealth, it has been characteristic of political development both in the domestic government of these communities and in their relations with each other, it has permeated both executive and legislative power. It has provided a means of harmonizing

relations where a purely legal solution of practical problems was impossible, would have impaired free development or would have failed to catch the spirit which gives life to institutions. Such conventions take their place among the constitutional principles and doctrines which are in practice regarded as binding and sacred whatever the powers of Parliament may in theory be.<sup>1</sup>

Unlike the other parts of both the written and the unwritten constitution, conventions are rarely, if ever, legally enforceable.<sup>2</sup> The courts recognize the written constitution, the statute, the order-in-council, the common law, and the general scope of the privileges of Parliament, but the conventions must look for support elsewhere. Thus the letter of the law is always carefully observed even although the actual conventional practice may be far different. Many things, for example, are done in the name and through the agency of the Governor-General, although the real power of decision rests with the Governor's advisers. In some matters, this real authority has by convention been transferred a second time. When Canada, for example, took over the power to make her own treaties, the transaction continued to be conducted through the King, although his part had been long ago taken over by the British Cabinet, and the new change simply involved a substitution of the Canadian for the British Ministers as the actual treaty-making authority. Conventions, being unable to rely on the law, must rest on their general acceptability and on the unfortunate consequences which are likely to ensue if they are disregarded. These consequences are political, or sometimes in the last resort, legal, or even both. A Cabinet, for example, will resign (or will fall back on a general election) when it has lost the support of the House of Commons, but this immediate relinquishment of power is not made necessary

<sup>1</sup>*Report of the Conference on the Operation of Dominion Legislation and Merchant Shipping Legislation, 1929, Section 5b*

<sup>2</sup>It is not necessary here to go into the question of the exact boundary line between law and convention, or, indeed, to consider whether any such line can always be drawn. In most instances the distinction is clear, but there are signs that occasionally the courts themselves are not above incorporating constitutional usages (as they did centuries ago) into the constitutional law of Canada. See Jennings, *The Law and the Constitution*, pp. 99-131, *Labour Conventions Case*, [1936] S. C. R. 461, at pp. 471-7, *Alberta Press Bill Case*, [1938] S. C. R. 100, at pp. 144-6.



by the operation of any section of the written constitution. A retention of office under these circumstances would, however, be politically suicidal, for it would violate a cherished convention and would almost certainly be punished at the next election. Moreover, as A. V. Dicey pointed out long ago,<sup>1</sup> a Cabinet which endeavoured to stay in office after unmistakable defeat would eventually run into legal difficulties as well, for, lacking a majority, it could not obtain parliamentary authorization for expenditures, and sooner or later the pressure on the Cabinet would become intolerable.

✓ The practice of referring to the Canadian constitution as a written one is thus far from exact, for the constitutional material is so varied that such a description unduly emphasizes the one formal document and slurs over the equally important informal elements. The above discussion, has, however, served two useful purposes: it has indicated the unsatisfactory nature of the distinction (although it will nevertheless be found to be extremely convenient), and it has drawn attention to the wide assortment of laws, decisions, and usages that enter into the structure and operation of the government. An attempt to fit the Canadian constitution into another classification, namely that of rigid and flexible, depending on the ease or difficulty of formal amendment, is not much better, for this again singles out the British North America Act as the sole criterion. This new classification, however, has the advantage that it stresses the hierarchical nature of the legal powers in Canada and the way in which these legal powers are ascertained, interpreted, and enforced.

The Canadian constitution may thus be labelled as rigid, in that it cannot be formally changed except by a process which differs from the passage of an ordinary statute of the Canadian Parliament,<sup>2</sup> namely, by an act of the Parliament of Great Britain, following a request from the Canadian Parliament. The British North America Act (and a few

<sup>1</sup>*The Law of the Constitution* (8th ed.), pp. 441-6.

<sup>2</sup>A flexible constitution is one which can be amended by an ordinary statute of the legislative body. Great Britain has a flexible constitution, and the Canadian provinces also in that they can amend the provincial constitution (save for the office of Lieutenant-Governor) by an ordinary act of the provincial legislature.

other significant British statutes and orders-in-council<sup>1</sup> which for the sake of convenience will be ignored in the following discussion) constitutes the supreme law of the Dominion, and therefore its provisions must control all government bodies in Canada—Dominion, provincial, and municipal. There exist, therefore, two kinds of law-making authorities, the constitution-amending authority (the British Parliament acting at the request of the Parliament of Canada) and the ordinary law-making authorities of various kinds, and, corresponding to these, two kinds of law, the law of the written constitution, and other laws of subordinate grade and of inferior validity. Inasmuch as the legislatures in the second group may in turn transmit authority to bodies subordinate to them, there appear additional lower orders in the legal hierarchy. Dominion and provincial legislatures must keep within the jurisdiction specified in the British North America Act (although, owing to the federal structure, they are broadly equal and not subordinate to one another), and the Dominion and provincial statutes must each comply with the terms of the supreme constitutional document. Dominion and provincial orders-in-council must likewise be passed in accordance with the terms of Dominion and provincial statutes respectively, as well as with the provisions of the British North America Act. Similarly, orders passed by inferior bodies within the Dominion or province, such as municipal by-laws, must keep within the scope specified by their statutes of origin and also by the more general provisions of the British North America Act.

The final arbiter which passes on the validity of these laws and which also sees to it that activities by various officials of government are kept within the powers allotted to them, is the judiciary. A Dominion or provincial statute or an enactment by a minor body may be challenged by any interested party (or a question may be referred to the court by the Dominion or provincial governments) and the court will hear arguments by the opposing parties and give judgment. If the suspected law is considered to be within

the power of the body which sponsored it, the court will declare it valid or *intra vires* (within the powers of the enacting body), if otherwise, the court will declare the law to be void or *ultra vires* (beyond the powers of the enacting body). Indeed, in the latter event, the court will hold that the so-called law has never been a law at all, for as the enacting body had no legal power to create such a law, the measure was by that very fact void from the moment of its passage.

Such action by the courts is a natural product of the *milieu* in which Canadian institutions have developed and it is, indeed, the same power which the British courts have long exercised in pronouncing on the validity of the acts of any inferior law-making body. The British North America Act was a British statute explicitly referring to Canada, and inasmuch as all legislation enacted by Dominion, province, or other governing body in Canada must conform to the terms of this and other applicable British statutes, any law which did not so conform was necessarily void. The federal character of the Canadian government made the maintenance of the boundary lines between the Dominion and provincial authorities an unusually vital matter, and the fathers of the federation fully understood the important role to be played by the courts. "Hereafter we shall be bound by an Imperial Act," said the Attorney-General of Nova Scotia at the Quebec Conference of 1864, "and our judges will have to say what is constitutional under it as regards general or local legislation."<sup>1</sup> The function of the judiciary in Canada thus follows closely that of the judiciary in the United States, for in both countries the courts not only interpret the terms of the written constitution, but also set aside enactments from all sources which are repugnant to its provisions. The question does not arise, of course, in Great Britain. There the constitution is flexible, and any act of the legally omnipotent British Parliament is, of necessity, constitutionally valid.

<sup>1</sup>W. A. Henry, in Pope, *Confederation Documents*, p. 87.

✓The power of the courts to declare laws *ultra vires* has, however, never been as important in Canada as in the United States, for the British North America Act contains few of those limitations on the powers of government which are a conspicuous feature of the American constitution. The latter document and its amendments not only forbid the federal government, the state governments, and sometimes both of them to exercise a number of common governmental powers, but they also abound in prohibitions designed to protect the rights of the citizen. Thus the American federal government cannot forbid freedom of religious worship, abridge freedom of speech or of the press or of assembly, abolish trial by jury or demand excessive bail, and it must respect a number of other privileges set forth in the so-called Bill of Rights clauses. Neither federal nor state governments can enact any *ex post facto* law nor can they "deprive any person of life, liberty or property without due process of law." The American courts must interpret and enforce all these constitutional guarantees, and some of them, notably the "due process" clause, have led them very far afield and have given rise to innumerable cases affecting a wide variety of human relationships concerning which the courts have no certain or stable standards they can apply.

The few constitutional guarantees which do occur in the British North America Act are designed to protect the rights of the French and Roman Catholic minority in Canada and those of the English Protestants in Quebec. The Act specifies that the English and French languages may be used in the Canadian Parliament and in the Quebec legislature, in their journals and records, and in any Quebec court and in any court of Canada established under the Act. The statutes of Canada and of Quebec are to be printed in both English and French. The schools of sectarian minorities existing at the time of union or authorized later are to be maintained without any interference with their privileges.

Other rights of the citizen, however, are left untouched by the Act, although, following the English practice, they

receive protection under various statutes and the common law. There is a growing conviction in Canada that this is not sufficient, and that certain fundamental liberties and privileges should be singled out for special mention in the written constitution, so that they will not be at the mercy of any intolerant group in a legislature or a Cabinet which may for a time happen to command a majority. That this is no imaginary problem is clearly illustrated by a number of infractions or threatened infractions of these rights which have occurred in very recent years. The "padlock law" of Quebec (1937) which, aimed at communism, struck also at freedom of speech and other rights of the citizen, the "Press Bill" of Alberta, introduced in 1937 and later declared *ultra vires*, which endeavoured to control the press, the threatened deportation of Canadian citizens of Japanese descent (1945-6), and the arbitrary arrest, detention, and interrogation of citizens in the spy hunt of 1946, are all disturbing signs of a weakening concern by Dominion and provincial governments for personal rights and liberties. None of these, moreover, occurred during the war, when certain of these rights might conceivably have had to be temporarily relinquished, although those conducting the spy cases pleaded as a justification an overriding concern for the public safety. The questionable nature of some of these measures may perhaps be more readily apprehended by a consideration of the following extract from a report by a committee of the Canadian Bar Association on the Quebec padlock law.

It gives the Attorney-General great powers, which he can exercise in the first instance without the slightest judicial restraint, and takes away all of the safeguards which even an ordinary criminal enjoys before conviction. Irrespective of whether one is a radical or not it is difficult to admit that legislation is good which gives any official the right to padlock anyone's home simply because he suspects that Communism is propagated from it. It is true that after the padlock is locked the owner may apply to the court for relief, but Communism is not defined by the Act, and there are probably very few people, including the judges, who can tell what Communism is. From the point of view of our jurisprudence, it might be as well to observe that possibly it is under laws such as this that in other lands the homes of

respectable and law-abiding citizens are ransacked simply because their owners do not wear a brown or a black shirt<sup>1</sup>

It is very doubtful indeed if matters of such cardinal and general consequence should be left, as many of them are left today, to the discretion of provincial legislatures. Freedom of religious worship, freedom from arbitrary arrest and detention, habeas corpus, trial by jury, freedom of speech and assembly—these are the great liberties of English-speaking peoples and they are a part of the rights of Canadian citizens and not merely of the rights of the inhabitants of a particular province. "No province," said Mr Justice Cannon in the Alberta Press Bill Case, "has the power to reduce in that province the political rights of its citizens as compared with those enjoyed by the citizens of other provinces of Canada," and this rule (although further confirmation by the courts is desirable before it can be accepted as definitely settled) would seem to apply to many rights other than those concerned with the freedom of the press. Yet even the Dominion Parliament has not at all times proved to be sufficiently zealous in upholding the rights and liberties of the individual. The people of Great Britain have acquired a veneration for statutory and common law rights which is derived from many centuries of constant endeavour and stubborn resistance to abuses and which has made them extremely jealous of any threatened encroachments, but the tradition in Canada is not nearly so strong or so deep-rooted and hence affords an inadequate safeguard against attack. While it is true that deficiencies in what has been called the "constitutional conscience" cannot be overcome by mere legal or constitutional enactment, the latter can supply a certain valuable definitive support. These rights and liberties might therefore be accorded special emphasis and protection through their incorporation in the British North America Act, a device which would not only make their curtailment or abrogation more difficult, but would also emphasize in an explicit and solemn manner the nation's concern for their most rigorous preservation.

<sup>1</sup>Canadian Bar Association, *Reports of Committees, 1937*, pp 36-7

Another Canadian inheritance which is closely identified with some of these fundamental rights of the citizen is the rule or supremacy of law, a long-established principle of the British constitution. The exact meaning and implications of this principle are not readily stated,<sup>1</sup> but its essence is the restriction on arbitrary authority in government and the necessity for all acts of government to be authorized by "reasonably precise" laws<sup>2</sup> as applied and interpreted by the courts. The provision in the old Massachusetts constitution that "this shall be a government of laws and not of men" was clearly a vain hope, but it was an endeavour to state in one phrase substantially the same idea—the certainty of legal procedures, the absence of untrammelled official power, the protection of the citizen against unknown and unpredictable authority. The increasing complexity of modern government, the growing emphasis on the social rather than the individual good, and the resulting need for government intervention in almost all fields of human endeavour have inevitably resulted in far wider discretionary powers being given to executive and administrative officials, accompanied by a consequent narrowing of the rule of law. Thus the sweeping accountability of officials to the courts of law when the legality of their acts was in question has had its scope somewhat diminished by the grant of wider and more general legal powers, and this has tended to a limited degree to remove the acts of the officials from judicial scrutiny. Despite this modification, the rule of law remains a cardinal principle of the Canadian constitution and a sturdy bulwark against abuse of power. The following comment of Professor Corry on the rule of law in Great Britain is equally applicable to Canada.

For a long time now, Parliament has been granting to officials special powers to take action not justified under the ordinary law and it has been limiting the right of the citizen to have the actions of officials scrutinized by the judicial power. Yet there has been no general removal of officials from judicial surveillance and it remains true in most cases that anyone who asserts that he has been wronged by the action of a government official,

<sup>1</sup>Dicey, *The Law of the Constitution*, pp. 179-409, Jennings, *The Law and the Constitution*, pp. 41-61.

<sup>2</sup>Jennings, *The Law and the Constitution*, p. 47.

can bring that official before the courts of law to answer for his conduct. The official may justify himself by pointing to an act of Parliament which gives him a special privilege to do what he has done. But he cannot turn aside the complaint merely by asserting an exalted official status and an inscrutable executive expediency in what he has done. The state can throw away the conscript's life but it cannot conscript him in the first instance on the plea of high policy or public expedience except as supported by a law sanctioned by Parliament. The Rule of Law, although qualified today by the grant of special powers to officials, remains an indispensable instrument for ensuring that government remains servant.<sup>1</sup>

It is evident from the historical account of the development of responsible government,<sup>2</sup> that Canada long ago accepted without reservation the British idea of the union of the executive and legislative branches of government. This was, of course, the antithesis of the system adopted in the United States which embodied the opposing principle of the division or separation of powers. The latter system was designed to prevent the exercise of despotic control by cutting the executive, legislative, and judicial authorities apart from one another and giving each its own powers to exercise to the almost complete exclusion of the others. Thus the President, the Congress, and the judiciary have their respective spheres set forth in the written constitution, and although this division (particularly as it affects the executive and legislative branches) makes a smooth and efficient government impossible, nevertheless, despite these obstacles, a resultant of forces develops, decisions are reached, and action is taken.

The contrasting theory of union of powers brings the chief branches of government under the control of one of their number—in a democracy, this will be the legislature—and final direction and authority emanate from this source. The government of Great Britain, the outstanding embodiment of this principle, possesses in its *Parliament* the body which can dominate completely the two other branches. In practice, however, the British Parliament's control over the judiciary is virtually never exercised, not because this control is not available, but rather because it is universally

<sup>1</sup>J. A. Corry, *Democratic Government and Politics*, p. 22

<sup>2</sup>*Supra*, pp. 11-24



recognized to be undesirable, for the nature of the judicial function is such that any suspicion of interference or domination would destroy the greater part of the usefulness of the courts. The executive or Cabinet, on the other hand, is constantly responsible to Parliament, and it is through this relationship that the principle of union of powers finds its chief expression.

Canada, as stated above, has retained the British idea of the close sympathy between the legislative and executive branches, accomplished by the pre-eminence of the former, and the members of the Cabinet thus sit in Parliament and are responsible at all times to the House of Commons. Both legislature and executive have, as in Britain, abandoned virtually all control over the judiciary, but the legal position in the two countries is not quite the same. The virtual independence of the judiciary in Great Britain exists legally<sup>1</sup> by virtue of voluntary parliamentary abstention and self-denial, but in Canada the ban on interference is in large measure imposed on Parliament by the provisions of the written constitution which Parliament in itself has no power to change. The British North America Act, while stating that the judges (with a few minor exceptions) are to be appointed by the Governor-General, ensures the independence of all superior court judges by providing that they shall hold office during good behaviour and are to be removed by the Governor-General following a joint address of both Houses of Parliament. This guarantee of the independence of the judiciary through formal constitutional provisions marks a limited acceptance of the principle of division of powers, although in no wise disturbing the idea of union of powers as applied to the vital relationship between the executive and the legislative bodies.

<sup>1</sup>It should be noted that in Great Britain the tradition of an independent judiciary is, no doubt, even stronger than the statute. The statutory guarantee is found in the Act of Settlement (1701), but the tradition and practice antedate this by several centuries.

## CHAPTER V

### THE DISTRIBUTION OF POWERS

THE aspect of the Canadian constitution which has up to this point been almost entirely neglected (save in Chapter II) is its federal character. Inasmuch as the purpose of the British North America Act was to create the federation, the provisions dealing with Dominion and provincial powers and relationships comprise a very large and important section of the written constitution. Many of these provisions have also given rise to disputes and uncertainties, and they have furnished the material for the constant and doubtless inevitable struggle between the rival national and local authorities. Moreover, as these same provisions appear in the formally rigid constitution, they have not been readily amendable, even when the courts have read into them meanings which were never intended and which, in some instances at least, failed to coincide with the country's needs.

A federal system implies by its very definition an aggregation of local governing units, each exercising its own separate powers apart from those which are in the hands of the central or federal government. Local autonomy is thus an essential characteristic, although the degree and kind of self-government which the units enjoy can vary materially from one federation to another. The deliberate plan which was adopted in creating the Dominion of Canada was to form a federation of a highly centralized kind, and to that end certain special powers and functions were placed in the hands of the central government. The manner in which some of these have worked themselves out in practice has already been mentioned,<sup>1</sup> and the following pages will discuss some aspects of this development in greater detail.

A federal system may also imply (as it does in the United States) equal constitutional standing and privileges for all

<sup>1</sup>*Supra*, pp 34-7

the component parts<sup>1</sup> The Canadian federation recognizes the idea of equality to only a limited degree The general powers of all the provinces are the same, but certain other elements in the provincial position and status depend not upon abstract and equal rights, but upon the terms under which a particular province entered the federation or even upon those which it has been able to conclude at a later date This is very obvious in the financial arrangements between the Dominion and the provinces, for although general principles have been enunciated and followed, these have often been circumvented by devious devices and very substantially affected in the aggregate by the use of supplemental grants to overcome certain needs or alleged injustices

The unequal position of the provinces is manifest, however, in other matters as well The usual practice has been for the province to have vested in it the ownership of all public lands, but these were retained by the Dominion when Manitoba, Alberta, and Saskatchewan were created and joined the federation The arrangement may have been wise at the time, but it was discriminatory, and even although the Dominion paid an additional annual grant as compensation,<sup>2</sup> it led inevitably to accusations of unfair treatment and the eventual transfer of these resources to the aggrieved provinces The French language has special constitutional protection in Quebec which it does not have in any other province,<sup>3</sup> and the effect of the Dominion guarantee of established separate schools solidifies an inequality among the provinces in this respect The provinces have never had the same representation in the Canadian Senate, and a strong case can be made against any doctrinaire equality of members, but there is not even an intelligible scheme or plan which provides equitable representation Manitoba was given on entrance a flexible number of

<sup>1</sup>In the United States, for example, Congress may endeavour to impose conditions on a new state as a condition of entrance, but these conditions cease to be binding the moment the state is admitted to the union

<sup>2</sup>Manitoba for years received neither land nor compensation See Chester Martin, *The Natural Resources Question*

<sup>3</sup>This protection was given in the original Manitoba Act, but was repealed by the province in 1890

senators, increasing with population from two to four, British Columbia (admitted a year later) was given three, Saskatchewan and Alberta were on their admission each given a minimum of four which with increases in population might be raised to five or six. Even when the Western membership in the Senate was altered in 1915, it bore little relation to the representation from the Eastern Provinces, which was broadly based on population tempered with ideas of equality

Leaving these occasional incongruities to one side, the provinces are of equal power and status, and they operate without any serious interference from the Dominion. The latter possesses, it is true, a certain potential control over the provinces through the Lieutenant-Governors and the power of disallowance,<sup>1</sup> but although this cannot be entirely ignored, it is (as indicated elsewhere) used infrequently. Provincial powers are as full and as complete as those of the Dominion within the areas allotted by the British North America Act, and both Dominion and provincial legislatures may delegate their authority to other bodies of their own creation.<sup>2</sup> "The powers of the legislature of the province," said Mr. Justice Riddell, "are the same in intension though not in extension as those of the Imperial Parliament. The legislature is limited in the territory in which it may legislate, and in the subjects, the Imperial Parliament is not—that is the whole difference."<sup>3</sup> The Dominion and provincial legislatures between them cover the entire field of legislation. There are thus, as pointed out in the preceding chapter, few constitutional limitations of any consequence (save those of jurisdiction) to hamper the exercise of legislative power in

<sup>1</sup>See *supra* pp 35-7 *infra* pp 253-8

<sup>2</sup>The provincial legislature has been given "authority as plenary and ample within the limits prescribed by section 92 as the Imperial Parliament in the plenitude of its power possessed and could bestow. Within these limits of subjects and area the local legislature is supreme, and has the same authority as the Imperial Parliament, or the Parliament of the Dominion, would have had under like circumstances to confide to a municipal institution or body of its own creation authority to make by-laws or resolutions as to subjects specified in the enactment." *Hodge v. the Queen* (1883), 9 App. Cas. 117, at p. 132

<sup>3</sup>*Smith v. City of London*, 20 Ont. L. R. 133 at p. 137

Canada, and wisdom, justice, rights of property, and similar considerations restrict only so far as the legislature chooses to heed them. A provincial legislature can, if it wishes, confiscate property without compensation, it can take what belongs to A and give it to B, it can alter the wills of deceased persons, it can enact *ex post facto* laws<sup>1</sup>. An attempt, however, to prevent the validity of its own legislation being challenged in the courts was declared *ultra vires* in 1937, on the ground that if such an attempt were upheld the legislature would have the power to destroy the written constitution under which it was created<sup>2</sup>. A provincial legislature also has constituent powers, and the entire framework of government in the province (except for the office of Lieutenant-Governor) can be altered by the passage of any ordinary statute. Four provincial legislatures in Canada have abolished one chamber in the legislature, all of them have changed their original four-year term to five, and on four occasions the existing term has been extended as a war measure, the Legislative Assembly of Alberta—to give an extreme example—enacted in 1917 that twelve of its members who had enlisted for overseas service were to be members of the next legislature without the necessity of running in an election.

There is, however, one important implied constitutional limitation on the delegation of legislative powers which arises from the nature of the constitution itself, namely, that in all likelihood neither Dominion nor province can delegate its powers to the other. Such a change, if permitted, would in effect be an amendment to the British North America Act. This is by no means a purely theoretical point. Both Dominion and provinces have time and again run into difficulties because the jurisdiction of the one alone was not complete enough to enable it to regulate adequately

<sup>1</sup>W. R. Riddell, *The Canadian Constitution*, pp. 15-16.

<sup>2</sup>*Ottawa Valley Power Co. v. Hydro-Electric Power Commission*, [1937] O. R. 265.

<sup>3</sup>The absence of decisions of the highest court on this and other matters discussed in this paragraph leaves a haze of uncertainty around a number of these questions.

an entire operation<sup>1</sup> The regulation of the marketing of certain agricultural products, for example, is under the province so far as it involves merely buying and selling within the provincial area, but when these transactions enter into the field of interprovincial or export trade they come under Dominion authority<sup>2</sup> Provincial statutes, which have endeavoured to hand over to the Dominion the jurisdiction of those provinces on such matters so that one standard of grading and inspection could be set up and enforced by the Dominion, have been declared ultra vires by the Canadian courts Other expedients are therefore being tried out in order to avoid the difficulty, although up to the present the constitutional and administrative success of these efforts is uncertain

This distribution of powers between the Dominion government on the one hand and the provincial governments on the other, dominates, of course, the entire federal scheme The British North America Act stated this distribution in terms of legislative powers, but this grant implies a corresponding allocation of powers between the Dominion and provincial executive authorities Other parts of the Act<sup>3</sup> carried over the existing statutory executive powers to the Governor-General and the Lieutenant-Governors of Ontario and Quebec within their respective fields and provided for the continuance of the executive authority in Nova Scotia and New Brunswick The executive received also a delegation of prerogative powers from the Crown in Britain to the Governor-General, and from the Governor-General to the Lieutenant-Governors by means of the prerogative instruments<sup>4</sup> In all cases where executive or administrative powers are in doubt the courts will act as arbiters in the same manner as in those cases which involve disputes over legislative jurisdiction In the judicial sphere, the federal

<sup>1</sup>See J. A. Corry, "Difficulties of Divided Jurisdiction," *Rowell Stross Report*, Appendix No. 7

<sup>2</sup>These transactions are supposed to come under provincial jurisdiction as "property and civil rights" when taking place within the province, and under Dominion jurisdiction as "trade and commerce" when reaching outside provincial boundaries

<sup>3</sup>Sections 12, 64, 65

<sup>4</sup>See *infra*, pp. 171-2

idea which is so characteristic of the legislature and the executive is almost completely absent. There are both Dominion and provincial courts, but these stand in vertical series, the one above the other, composing virtually one homogeneous system. This has, of course, little in common with the American system of a dual scheme of courts, where those of the state are separate from those of the federation, and each has its own field of jurisdiction to be determined by the nature of the dispute or the nature of the controversy. The Canadian plan is doubtless somewhat illogical as a federal arrangement, but it is simple, and it is little troubled by conflicts of judicial jurisdiction.

The distribution of legislative powers in Canada was based on the inescapable federal principle that matters of a general interest were to be given to the Dominion and those of a particular or local interest were to be given to the provinces, and although many disagreements might have arisen as to the exact location of this line of division, it seems to have caused little controversy in 1864-7.<sup>1</sup> The original allocation of power was not made, however, on any *a priori* basis, it represented the greatest common measure of agreement that could be formulated among conflicting interests at the time, and the primary test it had to meet was the approval it could command from the federating colonies. John A. Macdonald's comment that they had "avoided all conflict of jurisdiction and authority" was apparently a fair statement of the view entertained by those who had drafted the Quebec Resolutions, although it later proved to be a vain hope. Finance—a special aspect of distribution—had produced much greater difficulties, but an acceptable scheme had been arranged at the Quebec Conference, and these terms had been somewhat modified at London to make them more palatable to Nova Scotia and New Brunswick.<sup>2</sup>

In spite of this fairly auspicious start, the distribution of powers, and particularly the financial arrangements and the allocation of financial authority, has rarely, if ever,

<sup>1</sup>*Supra*, pp 39-40

<sup>2</sup>*Supra*, pp 40, 44

had the cordial and united approval of the provinces Nova Scotia was dissatisfied from the outset with the entire scheme of Confederation, the financial disputes, grievances and settlements, which have involved every province in Canada, have been unending,<sup>1</sup> a number of provincial and Dominion-provincial conferences have been held to consider constitutional changes and more favourable financial terms,<sup>2</sup> the careers of many provincial Premiers, such as Mowat, Mercier, Blair, and Fielding, have been built on the sure foundation of opposition to federal policies<sup>3</sup> and existing relationships. Recent years have created additional conflicts within the federation which are closely related to the distribution of powers. To the differences in language, race, religion, law, and culture—all of which existed at Confederation—has been added a galling difference in the economic position of the provinces. This economic inequality has had a profound effect not only upon the ability of the less favoured provinces to discharge their constitutional functions adequately, but also on the standards of living and on the general regard which the people of one province have for the people of another.<sup>4</sup> While it is doubtless true that the combined centripetal forces in Canada are stronger than in 1867, there is certainly no reason whatever to suppose that these have yet overcome the opposing forces of suspicion and disruption.

The early advocates of provincial rights, even when they threatened secession, were able to make little impression on

<sup>1</sup>See *infra*, pp 118-35.

<sup>2</sup>See J. A. Maxwell, *Federal Subsidies to the Provincial Governments in Canada*, pp 96-9, 108-12, 145-7, *Minutes of the Proceedings in Conference of the Representatives of the Provinces, 1887, 1902, 1900, 1910, 1913, 1918, 1920* (1926).

<sup>3</sup>"The true federal character of the constitution, despite its tendency to centralization, was mainly secured by the energy of Sir Oliver Mowat on behalf of Ontario. It was he who secured the recognition of the provincial rights as representing the Crown to escheats, of the power of the legislatures to define their privileges, of the power of the Lieutenant Governors to receive authority to pardon, of the declaration of the provincial title to the freehold of the lands occupied by the Indians, of their right to regulate the liquor trade, and of the impropriety of the employment of the power of disallowance of provincial Acts not clearly unconstitutional." A. B. Keith, *Responsible Government in the Dominions* (1928 ed.), I, p 589. See also C. R. W. Biggar, *Sir Oliver Mowat*.

<sup>4</sup>See *infra*, pp 126-8.



order, and good government of Canada" in relation to those matters not given to the provinces, secondly, a list of specific powers which are given in detail "for greater certainty, but not so as to restrict the generality" of the comprehensive grant. Despite the double statement there is thus one general sweeping grant of power with certain explicit powers being mentioned by way of example. It will nevertheless be more convenient to deal here with the specific illustrative powers and return later to the general statement, while noting in passing that the Act introduces this dichotomy not as a double grant, but as a means of emphasis and clarification.

The list of twenty-nine specific powers which were supposed to indicate the kind of exclusive authority vested in the Dominion includes the following: public debt, regulation of trade and commerce, raising of money "by any mode or system of taxation", borrowing money, postal services, penitentiaries, defence, navigation and shipping, fisheries, marriage and divorce, currency, banks and banking, bills of exchange, promissory notes, copyrights, naturalization and criminal law. Certain other sections of the Act add to this list, notably a sub-section of Section 92, which gives the Dominion jurisdiction over steamship lines, railways, canals, telegraphs, and other works extending beyond the limits of a province, and also over such works, even although wholly within a province, "declared by the Parliament of Canada to be for the general advantage of Canada or for the advantage of two or more of the provinces."

## *2 Powers of the provincial legislatures*

Section 92 of the Act gives the chief provincial powers—not by any comprehensive grant, as in Section 91, but only as an exclusive power to make laws in relation to matters coming within sixteen enumerated classes of subjects. These include the following: the amendment of the provincial constitution (except in regard to the office of Lieutenant-Governor), "direct taxation within the province in order to the raising of a revenue for provincial purposes", borrowing money on

the credit of the province establishment and maintenance of provincial offices, provincial prisons, hospitals, asylums, municipal institutions, local licenses, incorporation of companies with provincial objects, solemnization of marriage, local works (other than those given to the Dominion), property and civil rights, administration of justice in the province, including the constitution, maintenance, and organization of provincial courts, procedure in civil matters, and the imposition of punishments for enforcing provincial laws

While a few of these topics might conceivably have been given to the Dominion Parliament, they are, on the surface at least (one of these will be later seen to have unsuspected depths), essentially local matters which could be best dealt with by the provincial authority. A number of the subjects are explicitly confined by the additions of the necessary words "in the province," and others bear limitations which present a significant contrast to the wider scope of Dominion authority. Thus taxation is "direct taxation" only, and is to be spent for provincial purposes, "local" works are expressly limited by certain other and more general works given to the Dominion, the incorporation of companies is confined to those "with provincial objects." Almost every clause indicates the intention of the founders of the constitution to endow the provinces with extremely modest functions within a restricted sphere, and this is further emphasized by the nature of the last sub-section which contains a grant of local residual power in keeping with the others—"generally all matters of a merely local or private nature in the province."

### 3 *Concurrent powers*

Two powers, immigration and agriculture, are given to both Dominion and province by Section 95 of the Act.<sup>1</sup> Either or both legislatures may exercise jurisdiction in relation to these subjects, but if a conflict of legislation should result, the Dominion law is to prevail. These concurrent powers were written into the constitution with some misgiving,<sup>1</sup>

<sup>1</sup>J. Pope, *Confederation Documents* pp. 80-1

but while in agriculture there has been an overlapping of administrative activity, the duplication has been small when compared to the volume of work performed. A persistent effort has been made in recent years to delimit the Dominion and provincial fields, and genuine co-ordination and co-operation have usually been obtained.

#### 4 *Education*

Education is nominally and normally under the jurisdiction of the province, but under certain circumstances the Dominion is given a power of intervention. Section 93 of the British North America Act states that in each province the legislature shall have the exclusive power to make laws in relation to education, provided it respects any right or privilege which anyone has by law in the province at the time of union, and provided that the sectarian minority has an appeal to the Governor-General-in-Council from any act or decision of any provincial authority affecting such rights or privileges which may exist or be later established in the province.<sup>1</sup> The Governor-General-in-Council acting on its own initiative or in response to such an appeal, may recommend remedial measures, and the Dominion Parliament is empowered to enact legislation in accordance with these recommendations, or in any other way it may see fit, in order to execute the provisions of this section of the Act.

#### 5 *A mere statement of subject-matter is not in itself decisive in determining jurisdiction*

The distribution of power, sketched in above, is not nearly as simple as the enumeration might suggest, and even without touching upon other complications (some of which will be discussed presently) it is well at this point to indicate a few difficulties.

(a) There is in some instances an apparent incompatibility between grants of power to the Dominion and to the province, for a number of the sub-sections in Sections 91 and 92 clearly overlap. This overlap does not necessarily rule out the effective exercise of the stated powers by both.

<sup>1</sup>Special sections designed to protect minority rights in education appear in the Acts creating Manitoba, Alberta, and Saskatchewan.

authorities, for clearly no actual conflict was contemplated when the Act was drafted, and it must be interpreted, if at all possible, in such a way that any clash is avoided. The most obvious illustration is in regard to taxation, the Dominion having power to raise money "by any mode or system of taxation," and the provinces being given authority to impose "direct taxation within the province in order to the raising of a revenue for provincial purposes." Thus both Dominion and province can impose direct taxes, even those of the same kind and levied on the same source. Both Dominion and province can, and unhappily do, impose income taxes on the same income and succession duties on the same estate. Nevertheless the powers are deemed to be separate and involving no actual conflict. "They are two independent powers springing from the same source which do not interfere with each other. The power granted to the Dominion is broad and inclusive of any and every sort of taxation, while the power of taxation given to the provinces is definitely limited in kind and area. Each power is the necessary adjunct of mutually independent governments and the distinction is one of purpose, not of kind."<sup>1</sup>

It may be mentioned in passing that the courts have drawn the distinction between "direct" and other taxes on the apparent incidence of the tax, a direct tax being interpreted according to the words of John Stuart Mill as one which is "demanded from the very persons who it is intended or desired should pay it." Any modern economist will criticize the feasibility of making any useful distinction of this kind, and the courts have, indeed, stated that "it is the nature and general tendency of the tax and not its incidence in particular or special cases"<sup>2</sup> which must determine the category in which it is to be placed. Thus a provincial tax on a person who purchased fuel oil within the province at the time of its first sale after manufacture or importation into the province was held to be *ultra vires* because the tax would in all likelihood be passed on to someone else, and it

<sup>1</sup>W. P. M. Kennedy and D. C. Wells, *The Law of the Taxing Power in Canada*, p. 15.

<sup>2</sup>*City of Halifax v. Fairbanks Estate*, [1928] A. C. 117, at p. 126.

was therefore considered to be indirect<sup>1</sup> On the other hand, the courts have held that a provincial tax imposed on a consumer of fuel oil (in proportion to the amount of oil consumed) was a direct tax, because it would generally be borne by the person who actually paid it, and the tax was therefore declared to be *intra vires* the provincial legislature.

Other clauses dealing with specific grants of power furnish further illustrations of apparent conflicts. Thus property and civil rights are given to the province, while banking, bills of exchange, promissory notes, patents, and copyrights, are given to the Dominion, although these would all normally be included in property and civil rights. "These sections of enumeration," said Edward Blake, "must be construed so as to avoid a conflict, and this is to be done by cutting out of whatever may be the larger, the more general, the wider, the vaguer enumeration of one section, so much as is comprised in some narrower, more definite, more precise enumeration in the other section. As, for example, in one section you find 'property and civil rights,' in the other 'bills and notes', you excise from 'property and civil rights' so much as is comprised in 'bills and notes'."<sup>2</sup> The same general principle of interpretation has been applied to other clauses with varying results. Thus the narrowly restricted meaning which has been attached to the Dominion's power over "the regulation of trade and commerce" has been derived in no small measure from a similar attempt to reconcile and interpret different but related parts of Sections 91 and 92.<sup>3</sup>

(b) Legislation may be enacted by Dominion or province which may on the surface be within its legal powers, but which may have the actual effect of trespassing on the territory of the other. The courts will always look to 'the

<sup>1</sup>*Attorney-General for British Columbia v. Canadian Pacific Railway Co.* [1927] A.C. 931.

<sup>2</sup>*Attorney-General for British Columbia v. Kingcome Navigation Co.* [1934] A.C. 45. See Kennedy and Wells *Taxing Power in Canada*, pp. 49-65.

<sup>3</sup>In *Canadian Pacific Railway Co. v. Corporation of Bonsecours*, quoted in A. H. F. LeRoy, *Canada's Federal System*, pp. 113-14. See also *Citizen's Insurance Co. v. Parsons*, 7 App. Cas. 96, at pp. 108-9, 112-13.

<sup>4</sup>See *Toronto Electric Commissioners v. Snider*, [1925] A.C. 396, *Senate of Canada, Report on the British North America Act* (1939), Annex 1, pp. 78-109.

pith and substance" of the statute and not to its superficial character, and they will not hesitate to declare *ultra vires* a law which in their opinion is only ostensibly and not in fact within the jurisdiction of the enacting body. Thus the Dominion cannot by purporting to enact a criminal law under its admitted powers in Section 91 "appropriate to itself exclusively a field of jurisdiction in which, apart from such a procedure, it could exert no legal authority," and the courts have declared such attempts *ultra vires*.<sup>1</sup> Similarly, when Alberta levied a tax on the paid-up capital and on the reserve funds of the Canadian chartered banks doing business in the province, the Judicial Committee held that the true intent was not an effort to raise a revenue for provincial purposes, but to interfere with banking operations, which are placed by the British North America Act under the Dominion. The Act was accordingly declared *ultra vires* the Alberta legislature.<sup>2</sup>

(c) A particular subject may not be wholly under the jurisdiction of either Dominion or province, but certain aspects of the same subject may be under the one while other aspects are under the other. "Subjects which in one aspect and for one purpose fall within section 92," the Judicial Committee of the Privy Council has frequently stated, "may in another aspect and for another purpose fall within section 91." An outstanding illustration is liquor legislation, a subject not explicitly mentioned in the British North America Act. A decision in 1882 held that the Canada Temperance Act (which provided for local option) was *intra vires* the Dominion Parliament in that it affected public order and safety, whereas in the following year an Ontario act, which provided for the licensing and local control of the sale of liquor by the provincial authority, was also upheld on the ground that it involved essentially police and municipal regulation of a local character.<sup>4</sup> Other

<sup>1</sup>*Attorney-General for Ontario v. Reciprocal Insurers*, [1924] A.C. 328, at p. 342.

<sup>2</sup>*Attorney-General for Alberta v. Attorney-General for Canada*, [1938] A.C. 117.

<sup>3</sup>*Russell v. the Queen* (1882), 7 App. Cas. 829.

<sup>4</sup>*Hodge v. the Queen* (1883), 9 App. Cas. 117.

kinds of liquor legislation have exhibited the same double characteristics. Similarly, a provincial statute which prohibited the sale of skim milk to a cheese or butter factory without declaring its deficiency at the time of sale was upheld as being essentially a regulation of private business, while a Dominion statute which forbade the sale of all skim milk to a cheese or butter factory and made any such sale a crime was also upheld on the ground that it was aimed at the prevention of fraud.<sup>1</sup>

These aspects may not, indeed, remain constant and legislation which would be *ultra vires* at one time may, through a change of circumstances, become legitimate and the field of jurisdiction may change accordingly.

Their lordships do not doubt that some matters, in their origin local and provincial, might attain such dimensions as to affect the body politic of the Dominion, and to justify the Canadian Parliament in passing laws for their regulation or abolition in the interest of the Dominion. But great caution must be observed in distinguishing between that which is local and provincial, and therefore within the jurisdiction of the provincial legislatures and that which has ceased to be merely local and provincial and has become a matter of national concern, in such sense as to bring it within the jurisdiction of the Parliament of Canada. An Act restricting the right to carry weapons of offence, or their sale to young persons, within the province would be within the authority of the provincial legislature. But traffic in arms, or the possession of them under such circumstances as to raise a suspicion that they were to be used for seditious purposes, or against a foreign state, are matters which their lordships conceive might be competently dealt with by the Parliament of the Dominion.<sup>2</sup>

The splitting of jurisdiction over certain subject-matter, whether it flows from the application of the "aspect" principle or from a division resulting from specific enumerations in the Act, gives results which, while not the same as those occurring under concurrent jurisdiction, nevertheless bear a superficial resemblance. Under the former the field of jurisdiction is really divided and not jointly shared, but the subject-matter being the same, the two authorities

<sup>1</sup>*Regina v Wason* (1890), 17 Ont. A. R. 221.

<sup>2</sup>*Regina v Stone* (1893) 23 Ont. R. 46.

<sup>3</sup>*Local Prohibition Case*, [1896] A. C. 348, at pp. 361-2. It is this same idea which enters into the Dominion emergency powers, see *infra*, pp. 111-14. *Attorney-General of Ontario v. Canada Temperance Federation*, [1946] 2 D. L. R. 1.

are forced into intimate and possibly conflicting relations with one another, and they have not the legal means available (as with concurrent powers) to establish unified control. Jurisdiction over the marketing of natural products, the investigation of labour disputes, and the regulation of insurance companies has thus in each instance been cut in twain, and jurisdiction over the fisheries is also divided although on somewhat different grounds.<sup>1</sup> The results, however, are uniformly uncertain and confusing. The extraordinarily difficult problem of securing co-operation through the legislation of the Dominion and nine provinces and then through joint administrative action in the field has not yet been satisfactorily solved.<sup>2</sup>

#### 6 *Residual power of the Dominion Parliament*

A return may now be made to the Dominion powers under Section 91 of the British North America Act. The opening clause states that the Dominion Parliament shall "make laws for the peace, order, and good government of Canada, in relation to all matters not coming within the classes of subjects by this Act assigned exclusively to the legislatures of the provinces, and for greater certainty, but not so as to restrict the generality of the foregoing terms of this Section, it is hereby declared that (notwithstanding anything in this Act) the exclusive legislative authority of the Parliament of Canada extends to all matters coming within the classes of subjects next hereinafter enumerated" (Here follow the twenty-nine specific classes of subjects already noted). The section concludes "And any matter coming within any of the classes of subjects enumerated in this Section shall not be deemed to come within the class of matters of a local or private nature comprised in the enumeration of the classes of subjects by this Act assigned exclusively to the legislatures of the provinces."

<sup>1</sup>Fisheries are under Dominion jurisdiction, but this is complicated by provincial ownership of non tidal fisheries, and also by different arrangements having been made with different provinces regarding administration.

<sup>2</sup>See Corry, "Difficulties of Divided Jurisdiction."

<sup>3</sup>See *supra*, p. 100



This clause gave to the Dominion Parliament (as the Fathers of the Confederation certainly intended) the residual power, that is, explicit grants of power were made to the provincial legislatures and all the remainder was to go to the Dominion. The words "peace, order, and good government" were not intended to be taken in any literal sense, the phrase was simply one which was frequently used by the British Parliament when it wished to make a comprehensive grant of legislative power. Section 91 then proceeded to illustrate the general authority, thus bestowed, by specifying a number of these powers, and, to prevent possible misinterpretation, explicitly stated that these enumerated powers were inserted "for greater certainty, but not so as to restrict the generality of the foregoing terms of this Section." These illustrations were, in fact, unnecessary, for the entire distribution had been completed when the provinces had been allotted their powers and the Dominion had been given the remainder, but the London Resolutions had contained a list of specific Dominion powers, and in order to comply with the Resolutions and "for greater certainty" the enumeration was included.

The federal powers are wholly residuary for the simple reason that the provincial powers are exclusive, and the twenty nine "enumerations" in Section 91 cannot add to the residue they cannot take away from it. They have no meaning except as examples of the residuary power, which must be as exclusive as is the grant of legislative powers to the provinces. The enumerated examples of the residuary power cannot occupy any special place, they cannot be exalted at the expense of the residuary power, for that would "restrict the generality" of that power. It all looks reasonably simple, and Sir John A. Macdonald was perhaps justified as he looked at the scheme in hoping that "all conflict of jurisdiction" had been avoided.<sup>1</sup>

So much for the intention of those who planned the constitution and for the clear and—one would suppose—unambiguous terms in which those intentions were embodied in the text of the Act. But the Judicial Committee of the Privy Council through a long series of decisions has succeeded in substantially frustrating this intention and supplying an interpretation of its own which seems far removed from the

<sup>1</sup>W. P. M. Kennedy, "The Interpretation of the British North America Act," *Cambridge Law Journal*, 1943, pp. 150-1.

text "Seldom," writes Professor Kennedy, "have statesmen more deliberately striven to write their purposes into law, and seldom have these more signally failed before the judicial technique of statutory interpretation."<sup>1</sup>

This extraordinary result has been made possible by the presence, among the subjects of provincial jurisdiction in Section 92, of one subject as vague and general in its connotation as "peace, order, and good government" in Section 91, namely, "property and civil rights." For if the assumption could be made that "property and civil rights" were not rigidly and necessarily confined to matters of purely provincial and local interest and if it could also be assumed that when a topic fell under this general head it was clearly provincial unless the Act positively asserted the contrary then the constitutional stage was set for a new scene in which the lead could be taken from the Dominion and handed over to the province.

For over twenty years after Confederation these parts of the British North America Act were interpreted in accord with the original intentions as indicated above. The leading case was *Russell v the Queen* (1882) where a Dominion local option law was upheld on the ground that it was of general or national importance relating to public order and safety.<sup>2</sup> In considering the question whether such a law could properly be brought under provincial jurisdiction as one relating to property and civil rights, the Judicial Committee stated "Few, if any, laws could be made by Parliament for the peace, order, and good government of Canada which did not *in some incidental way affect property and civil rights*, and it could not have been intended, when assuring to the provinces exclusive legislative authority on the subjects of property and civil rights, to exclude the Parliament from the exercise of this general power whenever *any such incidental interference* would result from it."<sup>3</sup> In short, the court admitted the potential inclusiveness of

<sup>1</sup>W. P. M. Kennedy, "The Workings of the British North America Acts, 1867-1931," *Juridical Review* v, 1936, p. 60.

<sup>2</sup>See *supra*, p. 105.

<sup>3</sup>*Russell v the Queen* (1882), 7 App. Cas. 829, at p. 839 (italics added).

property and civil rights in that almost all legislation would be bound incidentally to touch on these matters, but it insisted that the decisive factor was the primary purpose of the law, and if the question was of nation-wide importance the Dominion could take jurisdiction under the peace, order, and good government clause "The true nature and character of the legislation in the particular instance under discussion," said the Judicial Committee, "must always be determined in order to ascertain the class of subject to which it really belongs"

It is not possible here to trace the movement of the courts away from this position. The Judicial Committee later divided the Dominion grant of powers into two separate and distinct parts, general and enumerated, it followed this bisection with the astounding discovery that the general Dominion grant was not the governing one but was "*in supplement of its enumerated powers*",<sup>1</sup> and it then proceeded to relegate this general and now degraded power to a position of inferior strength and authority. The enumerated Dominion powers, which had begun as illustrations of Dominion authority, thus became of greater consequence than the general power which they were supposed to illustrate. The Judicial Committee held that while the legislative power of the Dominion under the enumerated heads of Section 91 would override the provincial powers as enumerated in Section 92, the enumerated powers of Section 92 would normally override the general power of the Dominion to legislate for the peace, order, and good government of Canada. Once the primacy of the latter general power vanished, "interpretation of the legislative powers of the Dominion and the provinces settled down to a competition between the specific enumerated heads of Sections 91 and 92. In this competition, the provinces enjoyed an advantage because Section 92 contained two heads capable of a general and inclusive signification, *viz*, 'property and civil rights in the province' and 'generally all matters of a merely local or private nature in the province,' while Section 91 contained

<sup>1</sup>*Local Prohibition Case*, [1896] A. C. 348, at p. 361

only one such head, 'the regulation of trade and commerce' and it received a restricted interpretation"<sup>1</sup>

The peace, order, and good government clause thus found itself not only treated as an isolated and supplementary grant of Dominion authority, but deprived of most of its applicability by the indiscriminate inclusiveness of property and civil rights in Section 92. Virtually no field of jurisdiction could be found which was not covered on the one hand by the enumerated clauses of 91, or, on the other, by the enumerations of 92, which included especially property and civil rights.<sup>2</sup> Residual power had thus slipped away from the Dominion and had reappeared in a somewhat altered guise in Section 92, property and civil rights had become, indeed, the true residual clause.<sup>3</sup>

The Judicial Committee was nevertheless faced with the necessity, or at least the obligation, of placing some kind of meaning on "peace, order, and good government," and it decided that in these words lurked a comprehensive emergency power which the Dominion could invoke in time of dire necessity or grave national peril. On such rare occasions, therefore, this general clause has come into its own, and at such times it has been held to override any or all of the provincial powers which stand in the way of the national interest. It was under these circumstances that the Dominion was able to assume at its own discretion virtually unlimited powers during (and for a time after) the two world wars. But having enunciated the emergency doctrine, the Judicial Committee was still confronted with the awkward decision of *Russell v the Queen*, and its response to this challenge was as original as it was startling. The early decision, said the court, can now be supported only on the assumption that drunkenness in Canada had reached such alarming dimensions in the eighteen-seventies that nothing short of federal action would have been sufficient to cope with the

<sup>1</sup>*Rowell-Sirois Report*, Book I, p. 58.

<sup>2</sup>A very small number of Dominion laws have been upheld as coming under "peace, order, and good government" alone. See C. P. Plaxton, *Canadian Constitutional Decisions (1930-1939)*, pp. xxxi-xxxviii.

<sup>3</sup>The best analysis of this whole problem is found in Senate of Canada, *Report on the British North America Act (1939)*, Report, and Annex 1.

evil and avert an impending national calamity<sup>1</sup> But even so, the benign co-operation of the Judicial Committee cannot always be relied on to rescue an unhappy nation from disaster, for an economic cataclysm, such as the world depression of the thirties, was apparently not considered to be grave enough to warrant the invocation of this emergency power. Thus a Dominion legislative programme of social and economic reform (the so-called "New Deal legislation" of the Bennett Government) was declared *ultra vires*

A very recent case before the Judicial Committee,<sup>2</sup> which was concerned with reviewing the validity of the legislation formerly upheld in *Russell v the Queen*, has served to disturb once again the interpretation which is to be placed upon "peace, order, and good government." The result of this decision has been to cast a very grave doubt on the "emergency" doctrine as an adequate explanation of that clause (despite the Committee's frequent past statements and applications of that doctrine) and also to suggest that the national importance of the subject-matter of legislation may be in itself sufficient to determine its aspect and its validity, notwithstanding the court's rejection of this principle in many earlier cases<sup>3</sup> The layman who is apt to look to the courts to give logical continuity and consistency to the constitution is somewhat embarrassed at witnessing judicial activity of this aimless kind, which

<sup>1</sup>These words of Lord Haldane deserve, and doubtless will enjoy, immortality. "Their lordships think that the decision in *Russell v the Queen* can only be supported today on the assumption of the Board [the Privy Council] apparently made at the time of deciding the case of *Russell v the Queen* that the evil of intemperance at that time amounted in Canada to one so great and so general that at least for the period it was a menace to the national life of Canada so serious and pressing that the National Parliament was called on to intervene to protect the nation from disaster. An epidemic of pestilence might conceivably have been regarded as analogous." *Toronto Electric Commissioners v Snider*, [1925] A.C. 396, at p. 412.

<sup>2</sup>*Attorney General of Ontario v Canada Temperance Federation*, [1916] 2 D.L.R. 1.

<sup>3</sup>"The true test must be found in the real subject-matter of the legislation if it is such that it goes beyond local or provincial concern or interests and must from its inherent nature be the concern of the Dominion as a whole then it will fall within the competence of the Dominion Parliament as a matter affecting the peace, order, and good government of Canada, though it may in another aspect touch upon matters specially reserved to the provincial legislatures." *Ibid.*, p. 5.

displays so little regard for the past and so slight an appreciation of the need for certainty and clarity in constitutional questions

✓ The situation may be summarized as follows

(a) The courts now consider that there are two separate grants of Dominion power—the general powers under “peace, order, and good government,” and the twenty-nine enumerated powers. The latter are no longer deemed to be illustrations of the former, and they have in normal times become virtually the sole source of Dominion authority

(b) The Dominion through the years gradually lost its power in normal times to legislate for the general welfare. Had no enumeration whatever been made in Section 91, the Dominion powers would no doubt have been greater than they are today, for the test of the general welfare or “peace, order, and good government” would have been applied to each issue as it arose and the decision for or against the Dominion given on that basis

(c) The enumerated powers in Section 91 are paramount and override the powers granted to the provinces in Section 92

(d) The enumerated provincial powers in Section 92 as a rule override the general Dominion grant in Section 91. Thus a Dominion law dealing with the compulsory investigation of certain industrial disputes was held *ultra vires*, despite its general import, on the ground that it interfered with property and civil rights in the province

(e) The residual power of the Dominion slumbers, and can be aroused only on occasion, notably in time of national peril. In such extremities, however, it will override any of the provincial powers which stand in its way. In ordinary times it has become well-nigh impossible to conceive of any general legislation which does not affect to some degree property and civil rights, and the comprehensiveness of the latter has thus filled in almost the whole gap left between the Dominion’s enumerated powers and the other enumerated powers of the province

(f) A more generous interpretation of the residual power *may*, however, be in the making as suggested by the

recent decision in 1946. The tendency to narrow the use of the clause to times of national emergency has been definitely halted, and its applicability is more likely to be determined by the "inherent nature" of the subject-matter of the legislation, that is, whether the subject has a Dominion aspect.

The broad consequence of these past trends in interpretation has been, of course, a very great increase in provincial powers at the expense of the Dominion. Not that the powers of the Dominion have actually diminished, they have on the contrary increased, but the province has acquired most of the new territory which recent years have made available for government action and control. Professor Frank R. Scott has listed<sup>1</sup> eleven Dominion additions and twenty-one provincial additions as a result of judicial interpretation since Confederation although not all are due to the particular conflict discussed above. The chief Dominion gains (to be taken up presently) have been aeronautics and radio broadcasting, while the provincial gains have included the regulation of intra-provincial production, trade, and marketing, wages, hours of labour, unemployment insurance (later given to the Dominion by constitutional amendment), workmen's compensation, industrial disputes, trade union legislation, health regulations, and insurance legislation. The British North America Act has thus lost elasticity at the very point where elasticity in recent years has been most needed. The powers of the Dominion (except in time of emergency) have been largely confined to those which happened to be considered the most urgent in 1867, and this pattern of the distribution of governmental powers is clearly not suited to the present. Social and economic conditions have greatly altered in the intervening eighty years, as have people's attitudes towards them, and matters which were at Confederation unmistakably local in their nature and other matters which were not considered to be of any concern to governments at all, have now become of first-rate importance, not alone to a particular locality, but

<sup>1</sup>"The Royal Commission on Dominion-Provincial Relations," *University of Toronto Quarterly*, Jan., 1938, p. 144.

to the nation as a whole. Such modern problems as those concerned with the regulation of competitive industry and the provision of various social services often cannot be adequately treated at the provincial level, nor is it generally desirable to have nine separate and perhaps conflicting policies striving to cope with questions which go far beyond the provincial boundaries, but any national action has become virtually impossible by the barbed-wire defences of property and civil rights. The British North America Act has thus frequently been found wanting in its ability to endow the proper government with adequate authority, and while it would be most unfair to place the entire blame for this deficiency upon the courts, it is they, rather than the draughtsmen of 1867, who must assume the chief burden of responsibility.

#### 7 *The treaty power*

There has been no uncertainty regarding the powers of the Dominion and the provinces so far as the negotiation of treaties with foreign countries is concerned. This was originally the function of the Crown acting through the British Government, and with the growth of Dominion self-government it has gradually come under the control of the Government of Canada.<sup>1</sup> But the location of the power to implement the terms of a treaty after it has been ratified has been uncertain, although a special section of the British North America Act dealt expressly with the question. Section 132 reads "The Parliament and Government of Canada shall have all powers necessary or proper for performing the obligations of Canada or of any province thereof, as part of the British Empire, towards foreign countries arising under treaties between the Empire and such foreign countries."

It will be noted that the section deals expressly with the treaty obligations of Canada as part of the Empire and not as a separate nation, and also that the section could conceivably cause a serious disturbance in the distribution of

<sup>1</sup>*Supra*, pp 57 9, 62 3



Dominion and provincial powers There has always been the possibility that it might allow the Dominion to negotiate treaties dealing with certain subjects normally under provincial control (such, for example, as an international convention affecting conditions of labour) and thereby acquire the right to legislate on such topics by virtue of its obligation to carry out the terms of these treaties Appeals to the Judicial Committee in the thirties resulted in the Dominion acquiring by the treaty route complete jurisdiction over aeronautics<sup>1</sup> and radio broadcasting<sup>2</sup>—the latter by a curious, unexpected, and short-lived revival of the "peace, order, and good government" clause as applied to treaties The courts in these and subsequent decisions enunciated several general principles affecting the implementation of treaties, and from these the following principles emerge

(a) Section 132 does not apply to all treaties to which Canada is a party, but only to those which she enters 'as part of the British Empire,' namely, those obligations arising as a result of action by the Imperial Government With the growing emphasis on Canadian autonomy in all such matters, this group of treaties has now become obsolete

(b) The implementation of treaties which fall in the other group—those to which Canada is a separate party by virtue of her new status as an international person—is not covered by any special section of the British North America Act, and must therefore depend upon the normal distribution of legislative power between the Dominion and the provinces "There is no such thing as treaty legislation as such," said the Judicial Committee "The distribution is based on classes of subjects and as a treaty deals with a particular class of subjects so will the legislative power of performing it be ascertained"<sup>3</sup>

(c) The enlargement of the Dominion powers in the international field and the steady growth of provincial

<sup>1</sup>*Aeronautics Case*, [1932] A. C. 54

<sup>2</sup>*Radio Case*, [1932] A. C. 304

<sup>3</sup>*Labour Conventions Case*, [1937] A. C. 326, at p. 351

powers in the domestic field thus pull in opposite directions in the area of treaty performance. It is now quite possible for the Dominion to negotiate and ratify a treaty and yet be left in default by provincial refusal to carry out the terms of the agreement, although, had this same commitment been made by the British Government, the Dominion would possess all the authority necessary for legislative enforcement.

(d) The implementation of treaties is thus determined in the first place by the type of treaty, and then, in most instances, by the devious process of unravelling Sections 91 and 92 as discussed in the preceeding pages.

The source of treaty-performing power in Canada now turns first upon the type of treaty, i.e., upon how and by whom it was brought about, etc. If it is of one type (Empire) the power resides in section 132, if of another (Canadian) the power resides (a) in section 92 or (b) in section 91, and in the latter case it may reside either in (i) the enumerated heads or (ii) (in case of national emergency) in the 'peace, order and good government' clause. This is the judicial labyrinth through which Canadian diplomats and Canadian legislators must be able to see their ways if effective treaties are to be made.<sup>1</sup>

<sup>1</sup>Vincent C. MacDonald, "The Canadian Constitution Seventy Years After," *Canadian Bar Review*, June 1937, p. 418.

## CHAPTER VI

### DOMINION-PROVINCIAL FINANCIAL RELATIONS

IT IS no exaggeration to say that the distribution of financial powers between the Dominion and the provinces has been by far the most troublesome of the many problems raised by Confederation. Every province has been embroiled—some of them many times—in financial disputes with the Dominion, and no Cabinet at Ottawa has been able to escape the constantly recurring nightmare of provincial dissatisfaction and the apparently insatiable demands on the Dominion treasury. No appreciation of the problem is possible without some knowledge of this troubled history.

At Confederation the Dominion secured the bulk of the provincial revenues, for customs and excise (being indirect taxes) were relinquished by the provinces. Approximately 83 per cent of the provincial revenues of each of the three provinces came from these two sources, and even if municipal revenues were included, these two taxes accounted for 56 per cent of the total provincial and municipal revenues of the Province of Canada, 75 per cent of those of Nova Scotia, and 72 per cent of those of New Brunswick<sup>1</sup>. The provinces were thus left with only 17 per cent of their former provincial revenues with which to meet the demands of the future. These demands were, however, to be greatly reduced by the burdens assumed by the Dominion, for the latter was to take over the provincial debts and the bulk of what were considered to be both actually and potentially the most costly functions of government.

National security, national development, and the fostering of trade and commerce by appropriate regulation were regarded by the Fathers as the great functions of government. They were also the functions which they thought likely to expand in the future. When these had been transferred to the Federal Government the provinces were left with functions, the burden of which was not expected to grow. They would be required to

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<sup>1</sup>*Rowell Siros Report*, Book I, pp 41, 45n

support a civil government establishment, to maintain a number of local public works and to undertake the administration of justice. But the heaviest duties of civil government and the onerous burden of the great public works would be lifted from their shoulders. The support of education was to come within their sphere and their control over "generally all matters of a merely local or private nature in the province" and over "the establishment, maintenance and management of hospitals, asylums, charities and eleemosynary institutions in and for the province" implied responsibility for social welfare problems which got beyond the resources of charitable and municipal organization.<sup>1</sup>

But even this great diminution in the number and costliness of the provincial functions did not bring the expenditure of the provinces down to a level where it would not exceed the revenue. The two sources of income remaining were direct taxes and the odd fees, royalties, permits, and sales of small services which the province still retained. No one, indeed, expected direct taxation to be used to any degree, so the main reliance was placed on the second miscellaneous group which was clearly insufficient. The gap was therefore filled by the Dominion agreeing to make a number of payments or subsidies to the provinces, and these contributions were embodied in Sections 102-26 of the British North America Act. Those payments which might conceivably lead to further claims being made on the Dominion were optimistically declared to be "in full settlement of all future demands on Canada"—a statement which has long since joined the group of non-operative clauses in the Act. The payments to the provincial governments fall into four classes

#### 1 *Payments on debt, allowances*

Although the Dominion assumed the debt of each province at Confederation, this was by no means an act of pure generosity, for most of these debts had been incurred for railways or other property which passed under Dominion ownership or jurisdiction. In order to equalize the treatment among the provinces, so that the extravagant would not reap the same reward as the frugal, the device of the debt

<sup>1</sup>*Ibid.*, p. 43

allowance was used. Each province was given a debt allowance in round numbers which was based on approximately \$25 a head (according to the 1861 census) and this amount was applied as a credit in making a book-keeping adjustment with the Dominion. Any province, whose actual debt was less than its debt allowance, received in perpetuity from the Dominion an annual payment of 5 per cent on this difference, any province, which had a debt in excess of this allowance, paid interest on the excess at the same rate. Under this arrangement New Brunswick broke even, Nova Scotia received a small annual payment from the Dominion, and Ontario and Quebec, having a common debt of \$10,400,000 in excess of the allowance, were to pay to the Dominion 5 per cent a year on that sum.

Almost from the beginning these debt allowances were used as a method of obtaining an increase in the payments from the federal government. Nova Scotia in 1869 had her debt allowance raised, partly as an adjustment against assets taken over by the Dominion, but partly also to induce her to acquiesce in Confederation. Ontario and Quebec, being unable to agree on how their payments to the Dominion under the debt allowance arrangement were to be divided, were able in 1873 to have their allowance increased until it covered the original debt, and later they received back interest payments they had made in the meantime, together with a credit for interest on this interest. The other provinces thereupon had their allowances raised in the same proportion. New provinces, such as British Columbia and Prince Edward Island, were also given debt allowances on their entrance to the federation, and the amount of this allowance varied with the circumstances. Prince Edward Island, for example, received a debt allowance of \$50 a head. Other new provinces, such as Manitoba, Alberta, and Saskatchewan, which had no debt whatever and which had no assets to transfer to the Dominion, nevertheless were given on entrance a generous debt allowance, and they thus received an annual payment of the difference between this amount and the amount of the non-existent debt. Finally the debt allowances were

at times manipulated to increase payments to a province which had become involved in difficulties. Manitoba's allowance was increased, for example, in 1885 by estimating her population at the fictitious figure of 125,000.<sup>1</sup>

## 2 *Annual grants to support provincial governments and legislatures*

The four original provinces received grants ranging from \$50,000 to \$80,000 a year (in order of size) for the above purpose, and as new provinces entered the federation, they received similar grants. In 1907, when a general revision of the provincial subsidies took place, this grant was raised and made to vary with population from \$100,000 (Prince Edward Island) to \$240,000 (Ontario).

## 3 *Per capita grants*

This grant was meant to be the major contribution of the Dominion government to provincial revenues. It was fixed at eighty cents a head on the population of each province as shown in the 1861 census, but the grants to Nova Scotia and New Brunswick could both increase with population until their population had reached 400,000, when the payment would remain fixed at the amount so ascertained. It was this eighty-cent grant that gave rise to the bitter anti-Confederation slogan in Nova Scotia, that the province had been "sold for the price of a sheep-skin."

The per capita grant has been manipulated on a number of occasions to render aid to needy provinces, but as it was difficult to raise the grant for one province without precipitating awkward demands from other directions, the Dominion fell back upon the ingenious and much safer method of inventing a population for some of the provinces where heavy prospective immigration furnished a convenient excuse. Thus Manitoba was assumed to have a population in 1870 of 17,000 (it was 12,200, of whom only 1,600 were white), British Columbia in 1871 was credited with 60,000 (instead of 34,000, of whom 25,000 were Indians and Chinese), and in 1882 the Manitoba subsidy was changed and calculated

<sup>1</sup>J. A. Maxwell, *Federal Subsidies to the Provincial Governments in Canada*, pp. 26-8, 51-63, 33-50, 120-1, 85.

on a population of 150,000, although the census a year before had returned only 64,800<sup>1</sup>. In 1907 the subsidies were revised for all the provinces. Each province was from this time forward to receive a subsidy which would vary with population eighty cents a head up to a population of 2,500,000, sixty cents a head on any excess over that number.

#### 4 *Special grants*

These have been allotted ever since Confederation for a wide variety of causes—some were given to satisfy legitimate claims, some for convenient grievances, some simply in response to political pressure. New Brunswick received as part of the Confederation agreement \$63,000 a year for ten years, for the excellent reason that she could not balance her budget without it. The “better terms” agitation in Nova Scotia resulted not only in the increase in her debt allowance, but also in an extra \$82,698 a year for a ten-year period. British Columbia received on entrance to the union \$100,000 a year in perpetuity, nominally as compensation for land taken for the transcontinental railway, actually in order to enable her to meet her expenditures. Saskatchewan and Alberta received special grants on entering the Dominion to compensate them for the Dominion retention of their natural resources. Although these resources were returned in 1930 and although the provinces were compensated for any loss they might have sustained, they nevertheless demanded, and the Dominion conceded, a continuance of the original special payments in lieu of the resources which had now been returned. The Maritime Provinces, as a result of a long agitation for redress of grievances, received in 1932 large additional subsidies totalling \$2,225,000 a year.

The subsidies have thus undergone almost continuous alteration. There have been over twenty special revisions and three general revisions since Confederation, and the period since the Second World War has led to the proposal of a fourth, the most thorough and far-reaching change of

<sup>1</sup>*Ibid*, pp 33-5, 39, 79-80

all Yet the intention at Confederation was that the original financial arrangements would be permanent, and a number of the subsequent adjustments have been declared to be "final and unalterable." This world of Dominion-provincial finance has, indeed, an air of grotesque unreality, untrammelled by logic and the ordinary restrictions and meanings of words, and it furnishes a fitting accompaniment to the constitutional wonderland of Sections 91 and 92 where the examples of peace, order, and good government have succeeded in gobbling up the general rule which they were originally intended to illustrate. The history of the subsidies demonstrates not only that final and unalterable agreements can be and are subject to frequent revision, but that population figures can be invented when the actual ones prove unsuitable, that debt allowances can be made for debts which have never existed, that natural resources can be returned and enjoyed and at the same time compensated for on a basis of their original alienation, and that when a subsidy is increased in order to equalize the treatment among the provinces, further adjustments become immediately necessary in order to overcome the injustices which have been occasioned by the very act of equalization.

The clue to this extraordinary condition is, however, not very hard to find, and the subsidy problem and the virtual disappearance of "peace, order, and good government" as an effective Dominion power will be found to be closely connected with one another. It is clearly impossible to justify some of the arguments advanced and some of the expedients used to raise many of the provincial grants, but there has been under all this manoeuvring and juggling for greater and greater financial assistance, a genuine need for money to replenish the provincial treasuries. Undoubtedly some of this deficiency was caused by provincial extravagance, but at bottom the revenues of most provinces have simply not been sufficient to meet the inescapable demands which have steadily mounted every year.

The original financial provisions for the provinces were based on the provincial functions of 1867, and these functions were expected to be comparatively modest and inexpensive.



But circumstances over which the provinces had virtually no control operated to increase, and greatly to increase, the quantity and intensity of provincial activity. Certain existing functions, notably education and highways, developed enormously and made demands on provincial revenues that were never contemplated in earlier days. Equally important was the tremendous expansion in the number of provincial duties which a changing economy and a new conception of the part which the state must play in that economy made necessary, and the province found itself undertaking measures of public health, mothers' allowances, old age pensions, unemployment relief, etc., which were almost or quite unknown at the time of Confederation or for many years thereafter. Thus the expenditure of all the provinces for education and public welfare in 1871 was a trifling \$4,000,000, by 1937, it had multiplied over sixty times and reached \$250,000,000<sup>1</sup>. It is probable that many of these newer duties might have become the concern of the Dominion under the "peace, order, and good government" provision, but the interpretation of the courts kept these matters in provincial hands and progressively increased the disparity between provincial revenue and expenditure.

The original financial provisions of the British North America Act have thus broken down, or, more accurately, the general relationship of power and finance is no longer in equilibrium. Provincial powers have outstripped the provincial facilities to pay for their proper exercise, and this has naturally been felt most acutely in those provinces which are economically the weakest. It has been this effort to maintain solvency that in later years particularly has made the provincial pressure on the Dominion exchequer so severe, and has led to the adoption of a number of the above expedients to increase the provincial subsidies.

There has been, of course, another source of relief available in provincial taxation, and it has not been neglected. The provinces have long since abandoned their happy position of not having to rely on direct taxes, and provincial

<sup>1</sup>*Rowell Siros Report*, Book I, p. 224

ingenuity has been strained to the utmost—particularly in the poorer provinces—to find new sources of taxation. This shift in emphasis in provincial budgets is best appreciated by noting that in 1874 subsidies accounted for almost two-thirds of the total provincial revenues, but by 1937 these had dropped to less than one-tenth of the total<sup>1</sup>. In a number of the provinces a bad financial situation became a desperate one when the Dominion (with unlimited power to tax under the constitution) invaded a number of the most profitable taxing fields of the provincial governments. Thus during the First World War the Dominion introduced a personal income tax, which proved to be permanent, and during the Second World War it levied a succession duty, although this field was in many instances already doubly covered by rival provinces. These, together with certain corporation taxes, sales taxes, and others imposed by the Dominion, are directly competitive with provincial taxes, and seriously limit the productivity of the latter. An extreme illustration was furnished by any resident of Alberta who might be so unfortunate as to have an income of \$1,000,000, he would have been liable in 1938 for 105 per cent of this amount in Dominion and provincial income taxes. One apparent solution of the problem would be for the Dominion to withdraw completely from the field of direct taxation and leave it entirely to the provinces, but even if this were possible (and few can be found who would voice so vain a hope) it would not meet the difficulty. Certain provinces, probably Ontario, Quebec, and British Columbia, might in such an event have an adequate revenue, but the other provinces would find that this would do little more than effect a moderate improvement in their finances.

A third method of meeting the Dominion-provincial problem of finance has been the device of the grant-in-aid or conditional subsidy. This was a grant from the Dominion to the provinces for some specific purpose, and it was condi-

<sup>1</sup>*Ibid.*, p. 245. This proportion varies greatly in different provinces and it forms a fair index to their relative prosperity. In Prince Edward Island in 1937 the subsidy was still 41 per cent of total revenue, in New Brunswick, 20 per cent, in Quebec, 4.5 per cent, in Ontario, 3 per cent, in Saskatchewan, 33 per cent, in British Columbia, 5 per cent.

tional on each province which accepted it complying with certain standards of performance and, as a rule, contributing an equal or proportionate amount of money. *Grants-in-aid* have been made ever since 1912, and the scheme has been used for various purposes, namely, technical and agricultural education, public health services, employment agencies, highways, old age pensions, and unemployment relief. Some of them were for limited periods only, others have been permanent. One purpose of the grant-in-aid was excellent: it was designed to help the needy provinces pay for certain desirable services, and it undoubtedly succeeded in this endeavour. But it gave no help towards securing a balanced provincial budget, for it left a province financially worse off than before. The grant-in-aid inevitably increased provincial expenditures: it frequently induced the poorer provinces to undertake admittedly praiseworthy and even necessary activities, but activities for which they could ill afford to pay, while those grants which were of limited duration frequently left a province saddled with a venture which was beyond its means to retain. The provinces, in short, did not benefit financially from the grants-in-aid; they received more and better services, but they paid out more of their income in the process, and the majority of them could not afford assistance on these terms.

It will be perceived that the financial difficulties involved in the problem have arisen not alone from the inadequacy of provincial revenues to meet provincial functions as compared to those of the Dominion, but also from the alarming economic inequalities existing among the provinces themselves. The federation is composed of members with widely varying financial capacities for carrying on their equal constitutional functions, and hence while a redistribution of certain powers or a reassignment of taxing fields might solve one of the fundamental problems, that of Dominion and province, neither could solve the second—that caused by the unequal economic strength of the different provinces. Some provinces are wealthy and prosperous, others are relatively poor, while others stand midway between these two extremes. Net production per capita in 1939 varied from \$134.20 in

Prince Edward Island to \$360.98 in Ontario, all except the three Maritime Provinces being over \$205.00<sup>1</sup> Such a diversity of income has a corresponding effect upon provincial revenues, upon the ability of the provinces to perform their functions, upon the standards which they are able to maintain, and even upon the general health and well-being of the people.

The general situation in Nova Scotia is unhappily all too clear. It can, for example, be easily demonstrated that the per capita income in Nova Scotia averages only about 80% of the national average, and that, except at the depth of the depression (when the prairie provinces suffered most severely) per capita income in the Maritime Provinces has been consistently lower than the rest of Canada. Similarly, a comparison of gross production per capita will show that of Nova Scotia to be well under 65% to 70% of the Dominion average, and to have been for years past always less than one-half of that for the province of Ontario. This has inevitably lowered the standards of living and may, indeed, have affected the general health of the people. Statistics on the latter point are, however, inadequate and far from conclusive, but a higher rate of infant mortality than most provinces, a lower average height (although apparently a better physical condition) among those called up for service, and the occurrence of certain dietary deficiencies, suggest that low income and malnutrition have left their mark upon the population.<sup>2</sup>

Provincial expenditure on education—to take a specific illustration—varies enormously from province to province. In 1936, for example, one province spent \$35.37 per child while another spent \$80.24. Educational expenditure on 38 per cent of the children in the Dominion in the same year was between \$35 and \$40, for 16 per cent of the children it was \$50 to \$55, and for the remaining 46 per cent the annual expenditure ran from \$70 to \$80.<sup>3</sup> No one suggests for a moment that this great discrepancy is explained by a difference in provincial regard for the value of education, for the coincidence of small provincial revenues and meagre educational appropriations presents unmistakable evidence of where the true cause may be found.

The situation is rendered more acute by the absolute conviction held in the less favoured provinces that their

<sup>1</sup>*Canada Year Book*, 1942 p. 176

<sup>2</sup>*Report of the Royal Commission on Provincial Development*, 1944 (Nova Scotia), No. 1, "Report of Transmission" pp. 54-5, 87-9

<sup>3</sup>*Report of Survey Committee of the Canada and Newfoundland Education Association*, 1943, p. 68

retarded or warped development is due to the operation of certain national policies, notably the protective tariff, that these have built up Central Canada at the expense of West and East, and that the Dominion—and particularly Ontario and Quebec—has not been sufficiently aware of the duty which it owes to the provinces which have been impoverished in this process. The general argument was well stated by the late Norman McL. Rogers in placing the Nova Scotia case before a provincial Royal Commission in 1934:

It is urged that Nova Scotia is entitled to relief and compensation, not merely in pursuance of the assurances given on the occasion of its entrance into the Canadian federation, but also on the broad equitable ground that a federation defeats its primary purpose if through its constitutional arrangements or through policies instituted by the national government it accomplishes the gradual debilitation of one or more of the provincial communities of which it is composed.<sup>1</sup>

The Royal Commission on Dominion-Provincial Relations (the Rowell-Sirois Commission) was appointed by the Dominion Government in 1937 to investigate and report on the economic and financial basis of Confederation, the distribution of federal and provincial powers, and the financial relations of these governments. Its report was focussed primarily on the two fundamental problems discussed above—the position of the provinces vis-à-vis the Dominion, and the inequality of resources in the different provinces. For the first, the Commission recommended a transfer of certain functions and a shifting of taxing powers, for the second, it urged the payment from the Dominion treasury of special grants which would be based on the needs of each province and would be designed to equalize to some degree the economic and financial position of all the provinces. The Commission thus sought not only to re-establish the general balance between provincial revenue and expenditure, but also to break away entirely from the old subsidy system. The latter, which had been formulated essentially on provincial equality (tinged with special treatments for an underlying but seldom avowed necessity), was to be replaced by a plan of Dominion contributions.

<sup>1</sup>*Royal Commission, Provincial Economic Inquiry, 1934* (Nova Scotia), "A Submission on Dominion-Provincial Relations," p. 198.

given solely on the principle of provincial need. These were "designed to make it possible for every province to provide for its people services of average Canadian standards and they will thus alleviate distress and shameful conditions which now weaken national unity and handicap many Canadians"<sup>1</sup> Each province would thus be able "without resort to heavier taxation than the Canadian average, to provide adequate social, educational, and developmental services"<sup>2</sup>

The detailed proposals cannot be given here, but the essentials were as follows. The provinces would relinquish the personal income tax, taxes on corporations and on corporate income, and succession duties, and they would also surrender all the old provincial subsidies. In return the Dominion would assume (with a few special adjustments) all the provincial debts, and accept responsibility for all relief of the employable unemployed. The Dominion would also "respect the remaining revenue sources of the provinces," would pay to a province 10 per cent of the net revenue derived from mining and oil-producing companies within that province, and would pay to the more needy provinces a "National Adjustment Grant." The latter was determined in the first instance for each province (Ontario, Alberta, and British Columbia were to receive nothing) by its existing financial position, and this amount was to be permanent and irreducible. It could, however, be increased, and it was to be subject to review every five years by an independent Commission which would advise whether any change should be made. Emergency grants, made for one year at a time, could also be given to any province which had suffered under exceptionally bad economic conditions.

The Rowell-Sirois Report was presented in May, 1940, and was considered at a Dominion-provincial conference in the following January, but opposition from several provinces caused it to be shelved. Other forces were, how-

<sup>1</sup>*Rowell-Sirois Report*, Book II, p. 125. This report, in over twenty volumes, gives by far the most comprehensive review of Canadian economics, politics, and finance that has ever been undertaken.

<sup>2</sup>*Ibid.*, p. 86.

ever, at work. In 1940 jurisdiction over unemployment insurance was passed over to the Dominion by constitutional amendment. The drastic federal war taxes imposed in 1941 as part of the comprehensive financial policy of the Government compelled the provinces to enter into an agreement with the Dominion in the following year whereby for the war period they relinquished certain taxes (notably the personal income and corporation taxes) in return for guarantees and payments by the Dominion. A number of the Rowell-Sirois recommendations had thus been carried out piecemeal. The Dominion had in this period begun to impose succession duties, it had by agreement acquired complete though temporary control of the income and corporation taxes, and it had permanently annexed jurisdiction over unemployment insurance. In addition, it had in 1944 passed legislation to establish a nation-wide system of family allowances. The stage was thus set for the post-war scene, and the Dominion, carefully trained during the preceding years in exercising masterful leadership, was fully prepared to step into the leading role. The constitutional decision was referred to a series of Dominion-provincial conferences which met in 1945 and 1946.

The new factor in the situation which the depression and the war experience had brought into prominence was the enormous significance of national financial and allied policies as the instruments of economic and social welfare. It was generally admitted that if unemployment and depressions were to be held in control, aggressive and comprehensive measures in wide variety must be undertaken, and no power in Canada, save the Dominion, was in a position to cope adequately with the problems involved. A large national income, a high standard of living, and stability of employment were the paramount objectives, and the means to achieve these were many. They involved a large export trade (to be secured by such expedients as low costs of production, freer and reciprocal trade, export credits, sales promotion abroad, research, and anti-inflation controls), a sustained consumers' purchasing power (to be aided by unemployment insurance, health insurance, family allowances, old age pensions, floor prices, and war pensions), and widespread

private investment, supplemented on occasion by public works (which would be affected in no small measure by taxation policies, industrial and other loans, and public works assistance) Over a very large part of the field the Dominion had exclusive jurisdiction, in other matters provincial action would be bound to be disjointed and in many respects ineffective The problem thus resolved itself into an effort to find a solution which would enable the Dominion to assume responsibility or at least leadership in most of the above matters while strengthening the provinces (especially the weaker ones) financially, so that they could discharge their normal constitutional functions and be able to deal with those measures of social welfare in which the Dominion had perforce become so greatly concerned

The Dominion-provincial conference held a number of meetings extending from August, 1945, to May, 1946 The Dominion laid before the provincial Premiers a substantial number of proposals which were designed to widen the federal responsibilities, increase the Dominion's control over taxation, and merge to a large degree the provincial financial system with that of the Dominion The proposals embodied a number of the Rowell-Sirois recommendations, but looked to more positive and aggressive federal action than did the earlier suggestions The conference, after prolonged negotiations, broke up without reaching an agreement

The most drastic change proposed by the Dominion was that old subsidies were to be discontinued and a new subsidy substituted at a minimum of \$12 a head of population as determined by the 1941 census A formula was advanced whereby these payments would increase with any increase in the national income The offer of \$12 was later increased to \$15, and an option inserted to help a province (like British Columbia) which had had previously a high income tax The payments under the existing Dominion-provincial agreements, the statutory subsidies, and the succession duty collections by the provinces were yielding in 1945 a total of \$124.9 million, the \$12 proposal would have given minimum grants to provinces of \$138.0 million, the \$15 proposal would have yielded about \$181 million, while, allowing for increases in the



national income in 1945, the total annual subsidies would have been (under the \$15 offer) about \$197 million. The Dominion in return demanded complete control of the personal income tax, the corporation taxes, and succession duties.

Old age pensions paid to all over seventy were to be assumed entirely by the Dominion, and the latter would share equally with the provinces in pensions to needy persons between sixty-five and seventy. The Dominion was also to increase the scope of unemployment assistance to employable unemployed. A comprehensive public health insurance scheme was outlined for which the Dominion would pay 60 per cent of the total cost.

Federal aid was promised as part of a wide programme for developing and investigating natural resources, and the Dominion would also assist public works projects which complied with certain essential conditions designed to promote full employment.

These proposals,<sup>1</sup> which were presented as measures of reconstruction, were to be tried out for a three-year period, and they were to be achieved by common agreement and not by any amendment to the British North America Act. Yet the most casual scrutiny will show that they involved a very substantial change in the federation terms, and the general intent was certainly to enhance the federal power enormously. The Dominion, having dominated the financial field during the war, proposed to continue that domination, the Dominion-provincial agreement of 1942, which was obtained by *force majeure* during the war, was to remain in substance intact with succession duties given to the Dominion as well. Moreover, despite the most strenuous efforts of all the provinces, the federal government would give no pledge whatever that it would allow the provinces complete control of the minor fields of direct taxation that remained.

The proposals rejected the idea of provincial need as a basis for making grants to the provinces (one of the fundamental principles of the Rowell-Sirois Report) and put in

<sup>1</sup>Ontario produced counter-proposals of its own, but these met with little support. *Submissions by the Government of Ontario* (1945).

its place the straight per capita subsidy. The price of a sheep-skin had advanced in eighty years from eighty cents to fifteen dollars. To some degree, however, provincial need was taken care of by the grant. If a province sacrificed less in revenue than \$15 per capita, then it would profit by the exchange, if a province relinquished in taxes more than \$15 per capita (which would be true for the wealthier provinces) then it would lose to that extent, so that a certain levelling off would inevitably occur. But the poorer provinces would probably be returning, under any such arrangement, to the old intolerable situation of being unable to keep abreast of their opulent neighbours in some of the essential services. The per capita grant is inherently a most inequitable way of giving assistance to the needy, and it is astonishing that the poorer provinces were willing to settle—even reluctantly—on that basis.

In its last days before its adjournment the conference was divided into three groups, and the deadlock arose from the intransigence of the two extremes. The wealthier provinces of Ontario and Quebec (and to a lesser degree, British Columbia) were generally opposed to the Dominion proposals, and the two large provinces were unwilling to relinquish the succession duties. The Quebec "terms" on this and many other matters, were, indeed, never placed clearly before the conference. At the other extreme was the Dominion which, having made certain concessions, stubbornly refused to allow to the provinces any substantial monopoly of the minor fields of direct taxation.<sup>1</sup> It demanded, in short, enormous tax sacrifices, but would make few sacrifices of a similar nature in return. The middle group was composed of the remaining six provinces with British Columbia as a wavering supporter. These were prepared to accept the Dominion's final proposals, although they protested against the latter's inordinate rapacity in keeping its own wide powers of taxation unimpaired. These provinces were quite willing to give up the succession duties, for the

<sup>1</sup>These fields, which before the war were occupied solely by the provinces (gasoline, electricity, amusements, etc.) and which were later invaded by the Dominion, produced for the latter only about \$50 million a year. The provinces would presumably have been willing to share the remunerative field of the sales tax with the Dominion.

administration of these duties has always worked to the disadvantage of the poor provinces, and, partly by virtue of this unfairness, succession duties have been very much more remunerative to Ontario and Quebec <sup>1</sup>

A month after the collapse of the Dominion-provincial conference the Minister of Finance offered to conclude the same agreements with individual provinces for a trial five-year period <sup>2</sup> Within a few months the Prairie Provinces, Prince Edward Island, and New Brunswick had made separate agreements, and after prolonged hesitation and bargaining which resulted in the addition of two alternative formulas (applicable to all provinces) British Columbia and Nova Scotia did the same. Thus by the summer of 1947 only Ontario and Quebec remained aloof.

These two alternative schemes offered to the provinces were as follows. Under the first, the Dominion would pay a province \$12.75 per capita on its 1942 population, plus 50 per cent of that province's receipts from income and corporation taxes in 1940, plus its statutory subsidies at that date. Under the second, the Dominion would pay a province \$15.00 per capita on its 1942 population plus its old statutory subsidies. Both of the above proposals represented minimum payments which would increase with increases in national population and income <sup>3</sup>. Both were conditional on the province relinquishing its corporation and income taxes and suc-

<sup>1</sup>In the period 1926-37, Quebec collected 27.4 per cent and Ontario 56.1 per cent of all succession duties collected by all the provinces. The other seven provinces, in other words, received a mere 16.5 per cent of all succession duties. *Rowell-Sirois Report*, Book II, p. 119.

<sup>2</sup>*Can. H. of C. Debates* (unrevised), June 27, 1946, pp. 2991-9.

	Budget offer (in millions of dollars)		New Formula (in millions of dollars)		Per Capita* (in dollars)
	Minimum	1947	Minimum	1947	
P. E. I.	\$ 2	2	\$ 2.1	\$ 2.2	\$21.00
Nova Scotia	8.9	10	10.8	12.1	18.80
New Brunswick	7	7.5	8.7	9.1	20.00
Quebec	50.9	57.3	56.3	63	17.00
Ontario	58.3	64.5	67.1	73.9	17.80
Manitoba	10.9	11.8	13.5	14.2	20.00
Saskatchewan	13.4	13.7	15.2	15.6	19.00
Alberta	11.9	13.3	13.9	14.8	18.50
British Columbia	18.1	18.1	18.1	21.3	21.35
Total (or average)	181.4	198.2	206.1	226.9	18.30

The foregoing figures are based on the choice by each province of the alternative which would yield it the higher return.

\*On assumed 1947 population.

cession duties. If each province should choose the terms more favourable to it, the guaranteed minimum payments would total \$206.1 million, and the 1947 payments would amount to \$226.9 million. In view of this latest offer, however, the Dominion announced that its contributions to public welfare and other schemes for the future would probably not be as generous as had been formerly intimated.

These agreements—even if it be assumed that Ontario and Quebec become parties to them—certainly do not settle the financial difficulties between the Dominion and the provinces, although they may be adequate to bridge the next five years. For it is impossible to perceive how any permanent solution can be found except by the acceptance of the following three fundamental propositions, all of which are implicit in the problem and are clearly indicated by past experience. Unhappily, the application of the first is already showing some signs of weakness, and the second and third have been largely disregarded.

(a) The Dominion must be expected to take the lead in devising and implementing policies designed to prevent future economic depression and establish measures of general social welfare. Both of these matters necessarily involve action on a Dominion scale and, particularly, extensive control of the financial policies of the nation, which implies a corresponding loss of provincial financial autonomy. Such a result is not so much desirable, as it is inescapable. The doubtful factor is not the willingness of the Dominion, but its ability and courage to play the leading role as it should be played.

(b) The provinces must not be allowed to lose in the process their individuality, power, and prestige as autonomous authorities and essential parts of the federation. To this end the provinces, while relinquishing certain kinds of taxes and being compensated therefor, must be able to retain unrestricted control over others, in order to give greater elasticity to their tax structure and to escape the very real danger of being nothing more than financial dependents of the Dominion. There would thus seem to be every reason for acquiescing in the provincial demand for exclusive minor fields of direct taxation. All that had been accomplished in this direction by 1947 was that the Dominion had given up the gasoline

tax and had announced that it would leave several other fields "as soon as circumstances permit." A further statement that it recognized "the desire of the provinces to have taxation fields of their own" may be interpreted to mean exactly what it says and no more. In short, the provinces have no exclusive fields of taxation whatever.

(c) No system of per capita grants to the provinces will be able to displace entirely payments made on a basis of fiscal need, and any permanent settlement will be compelled to adopt some variant of the Rowell-Sirois recommendations as a supplement to the present proposals. To ignore this fact is to ignore the whole history of Dominion-provincial financial relations. At present the idea of fiscal need is not only not applied on any comprehensive scale (the chief sign of it is in the moderate levelling influences mentioned above), but it is being edged out by another quite inconsistent principle known as "taxable capacity." Thus provinces which have a high taxable capacity and were quite properly expected to make some financial sacrifice under the early schemes, now find their lot greatly improved, for one of the recent proposals embodies fiscal need in reverse by being especially generous to the more wealthy provinces. "Unto every one that hath shall be given" is no doubt an admirable principle, but as a maxim of Dominion-provincial finance it arouses little enthusiasm among the poorer provinces which see merely a perpetuation of the old inequalities, although using bigger numbers and operating on a somewhat higher scale. The most useful assistance might perhaps take the form of a Dominion development policy (such as that already used in the Prairie Farm Rehabilitation Administration) especially directed at the weaker provinces and devoted to the task of making these units economically more independent and self-supporting. Such a policy would be much less worried about swelling the grand total of national income and much more concerned with the distribution of the sources of that income and the strengthening of those provincial economies which have in a very real sense been the victims of a wider national prosperity.

## CHAPTER VII

### THE DEVELOPMENT OF THE CONSTITUTION

CONSTITUTIONS, whether they are in written or unwritten form, rigid or flexible, are continually changing and becoming adapted to new ideas, new problems, new national and international forces. "Constitutions," said Lord Brougham, "must grow if they are to be of any value, they have roots, they ripen, they endure. Those that are fashioned resemble painted sticks planted in the ground: they strike no root, bear no fruit, swiftly decay, and ere long perish." But while change and growth are inevitable phenomena in constitutional life, these follow an uncertain and largely unpredictable course. Certain fundamental principles, however, are apt to remain stationary or to yield to pressures very reluctantly, and constitutions can therefore afford as a rule to be rigid in essentials provided they are so framed that in other respects they are free to conform to the changing needs of the contemporary world. Inasmuch as a constitution is not confined to one document or one group of principles but assumes many forms, these forms will all bear to a varying degree the marks of the development and will also to some extent influence the course which that development will follow.

The diversified character of the Canadian constitution has already been described in some detail, and these components will furnish the key to the manner in which the constitution has been growing since its establishment. There are chiefly five ways in which this has taken place, although these are not at all exclusive and they frequently overlap and may to some degree support one another. The five chief methods of development are (1) formal amendment of the British North America Act, (2) legal amendment authorized by the British North America Act, (3) acts of Parliament and orders-in-council, (4) convention, (5) judicial decision.

### 1 *Formal amendment to the British North America Act*

The formal constitution, the British North America Act, has several claims to distinction, but the most unique are surely these ~~first~~, that no one knows with certainty how many times it has been amended, secondly, that there is still some doubt as to what is the actual (as distinguished from the nominal) process of amendment. The chief reason for these peculiarities is that the Act contains no amending clause whatever, and the result of this neglect is that the Act is amended by the passage of an ordinary act of the British Parliament. No one has any certain knowledge why an amending clause was omitted, although it may be supposed that a British statute appeared to be the normal instrument through which another British statute might be changed. Everyone knows, however, why the omission continues: the people of Canada have simply been unable to decide what method of amendment they would like to have inserted in the Act, and no action can be taken until they somehow contrive to make up their minds. In the meantime, Canada occupies a somewhat humiliating position, for after many years of insistence on her independent status, she is compelled to admit that she is dependent upon an outside legislative body for the exercise of one of the most basic powers of self-government.

The fact that the British North America Act is amended by an ordinary act of the British Parliament gives a clue to some of the existing haziness surrounding the past amendments, for it will be recalled that ordinary British statutes may also be part of the Canadian constitution<sup>1</sup> and yet be formally quite unrelated to the British North America Act. A fair number of these, indeed, were passed before 1867. Acts of the legally omnipotent British Parliament have by virtue of that omnipotence a far-reaching and overriding effect, and while for many years they have not had any force so far as Canada has been concerned unless they have been made applicable by "express words or necessary intendment,"<sup>2</sup> there have been some of these passed, and

<sup>1</sup>*Supra*, pp. 75-6

<sup>2</sup>Colonial Laws Validity Act, 1865

the line between acts amending the constitutional Act and others has frequently become blurred. There have been acts, for example, which have dealt with matters which were at the time of passage beyond Canadian legal competence. These have not actually changed any part of the British North America Act, and while they may have affected what one might call the potential constitutional powers of the Dominion, it is difficult to consider them as authentic amendments to the Act itself.<sup>1</sup> Other British statutes dealing with questions of loan priorities, boundaries, etc., while having reference to Canadian matters, are of such trivial importance that they can qualify as amendments in name only. On the other hand, a number of acts are clearly to be ranked as amendments by any standard of measurement. The erection of any arbitrary criterion of what should constitute a genuine amendment is indeed almost impossible, and it is made more difficult by the fact that before the passage of the Statute of Westminster amendments did not necessarily differ in form or wording from any other British statute. Since 1931, however, no act of the British Parliament extends to a Dominion 'as part of the law of that Dominion unless it is expressly declared in that Act that the Dominion has requested, and consented to, the enactment thereof'.<sup>2</sup>

The acts and orders-in-council of the British Parliament, which have been passed since 1867 and which apply *specifically* to Canada, number thirty-two.<sup>3</sup> Twelve of these embody financial and economic agreements between the Canadian and British or other overseas governments, such as, for example, an act of 1870 giving priority on the Consolidated Revenue Fund of Canada to a loan by the Imperial Govern-

<sup>1</sup>The Colonial Laws Validity Act, 1865, quoted above, and the Merchant Shipping Act, 1894 (*supra*, pp. 63-4, 75) are examples.

<sup>2</sup>Statute of Westminster, Section 4.

<sup>3</sup>H. McD. Clokie, "Basic Problems of the Canadian Constitution," *Canadian Journal of Economics and Political Science*, Feb. 1912, pp. 1-32. The following pages have drawn heavily on this admirable study of Professor Clokie's on amendments and the amending process in relation to the British North America Act. At least seventy-five British statutes have been passed since 1867 which apply to Canada in a greater or less degree. Maurice Ollivier, *Problems of Canadian Sovereignty*, pp. 467-9.



ment (which was, in fact, never made) for the construction of fortifications in Canada. Eight other British statutes or orders-in-council were passed which affected Canadian boundaries, territorial extensions, or extra-territoriality. Such, for example, were the orders-in-council (provided for by the British North America Act) which admitted British Columbia and Prince Edward Island to the Dominion, and that confirming the settlement of the Manitoba-Ontario boundary question in 1889. None of the twenty included in these two categories above can properly be considered to be actual amendments to the British North America Act, although a number in the second group were of undoubted constitutional importance.

Thus only twelve of the original entries can qualify as genuine amendments. These are as follows:

(1) 1871. An Act to remove doubts as to the power of the Dominion to establish new provinces, to provide for the representation of those provinces, and to validate certain Dominion statutes regarding the government of Rupert's Land and of Manitoba. The Act further stated that once the Dominion Parliament had set up a new province, it could not alter the act of creation except in regard to provincial boundary changes which could be made with the province's consent.

(2) 1875. An Act to authorize royal assent to a Canadian bill regarding copyright which had been reserved because of a possible conflict with British law on the subject.

(3) 1875. An Act to remove doubts as to the privileges, immunities, and powers of the Dominion Parliament and its members.

(4) 1886. An Act to empower the Canadian Parliament to provide for the representation of territories in the House of Commons and the Senate, and to allow the Parliament to alter the representation of new provinces in the House of Commons and the Senate.

(5) 1895. An Act to remove doubts as to the power of the Canadian Parliament to provide for a Deputy Speaker for the Senate and to validate a Canadian statute already enacted on the subject.

(6) 1907. An Act to substitute a new section for Section 118 of the British North America Act. It thereby raised the per capita monetary grants to the provinces and also the grants for the support of the provincial governments and legislatures.

(7) 1915. An Act to alter the scheme of representation in the Senate and House of Commons. It provided as follows: (a) the number of Senators was increased to ninety-six, (b) a new Western senatorial division of twenty-four Senators was created, each of the four Western Provinces being given six Senators, (c) the members in the Senate might be increased under Section 26 of the British North America Act by four or eight instead of three.

or six, representing equally the four senatorial divisions, (d) the Senators to which Newfoundland would be entitled in the event of its admission to the federation was raised to six, (e) a province would always be entitled to as many members in the House of Commons as it had Senators

(8) 1916 An Act to extend the life of the existing House of Commons by one year

(9) 1930 An Act to confirm the agreements which transferred to the Prairie Provinces the natural resources which had been held by the Dominion

(10) 1940 An Act to give to the Dominion jurisdiction over unemployment insurance Section 91 was amended by adding as Sub section 2 (a) "unemployment insurance"

(11) 1943 An Act to postpone the constitutional redistribution of seats in the House of Commons until the first session of Parliament after the cessation of hostilities

(12) 1946 An Act to redistribute the seats in the House of Commons This involved an entirely new draft of Section 51 of the British North America Act

It is immediately apparent that even these survivors include several amendments of questionable standing if the criterion of genuine change is applied or the somewhat irrelevant but important one of duration. Certainly it is true that these are not at all of the same constitutional significance. They can be broken down into three groups:

(i) *Amendments passed to clarify the powers granted by the British North America Act*

Three of the above amendments (Nos. 1, 3, and 5) are in declaratory form—"an Act to remove doubts"—but there can be little hesitation in declining to place in this class the 1871 amendment and the 1875 amendment regarding parliamentary privileges (Nos. 1 and 3) on the ground that, whatever their form, they did make substantial changes in the existing Act. On the other hand, the 1875 amendment regarding copyright (No. 2) is more properly placed here. There are thus two amendments in this class: No. 2 (1875, copyright) and No. 5 (1895).

(ii) *Amendments of only temporary duration*

Two amendments, both caused by war conditions, fall in this class: No. 8 (1916) and No. 11 (1943). The first was operative for about one year, the second for three.

(iii) *Amendments which have made substantive changes in the Act*

These, determined after a process of elimination, are the unimpeachable amendments to the British North America Act. There may be, as indicated above, more amendments than these, there cannot very well be less. They

are eight in number Nos 1, 3, 4, 6, 7, 9, 10, and 12, dated respectively 1871, 1875, 1886, 1907, 1915, 1930, 1940, 1946<sup>1</sup>

It has already been intimated that a search for the body which is legally competent to amend the British North America Act will be short and decisive that authority is unquestionably the Parliament of Great Britain But here once more there emerges the familiar contradiction between legal procedures and actual practice, for the British Parliament has become simply the agent which is used by the Canadian people for accomplishing this particular political end—the formal amendment of their constitution Where, then, is the real decision made? On whose request does the British Parliament proceed to the legal formalities of amending the Act?

The precedents have furnished one answer in two different forms A precedent was established even before Confederation, that constitutional changes in the colony would be made by Great Britain only on colonial initiative This rapidly developed into a hard and fast rule, and no such change has ever been made since 1867 which was not made at the request of the Dominion<sup>2</sup> There have been two bodies, however, which have in the past presented the request on behalf of Canada On two occasions in the last century<sup>3</sup> the Canadian Cabinet asked for an amendment, although the Canadian Parliament placed itself on record as early as 1871 to the effect that "no changes in the provisions of the British North America Act should be sought for by the executive Government without the previous assent of the

<sup>1</sup>Professor Clotie has raised the very interesting question as to how many of these are protected by the Statute of Westminster against amendment by the Dominion Parliament alone, as the Statute expressly reserves "the British North America Acts, 1867 to 1930" against such amendment Certain of the above have been specifically labelled "British North America Acts," one (No 3) has not, and another (No 6) has been added as an afterthought as part of the 1940 amendment H McD Clotie, *op cit*, pp 10-12

<sup>2</sup>General Imperial legislation in the interests of the Empire as a whole was considered to be in a somewhat different category, though the practice gradually became established to consult first with the self-governing colonies and Dominions and, if any objected, to exempt them from the operation of the legislation until they decided to adopt it See H McD Clotie, *op cit*, pp 15-16

<sup>3</sup>In 1875 and 1895 (Nos 3 and 5) The 1875 request was defended in the House on the ground that it simply asked to have a disallowed bill of the Canadian Parliament enacted, the 1895 request sought to have enacted a Canadian act of doubtful validity

Parliament of this Dominion"<sup>1</sup> On all other occasions, and without a break over a fifty-year period, the Canadian Parliament has made the request, which has been set forth in a joint address passed by the Canadian House of Commons and the Senate This has therefore become by custom the accepted method of approaching the British Parliament

Even this does not furnish a complete answer, for the inquiry may be pushed a little further to ascertain whether the Canadian Parliament will itself take sole responsibility for the presentation of the request, or will act only after consultation with the Canadian provinces The answer to this question is not entirely clear the part which the provinces may take is somewhat bedclouded by precedents, and it has tended in recent years to become a subject of political dispute A principle has been discovered known as the "compact theory" of Confederation, according to which the original provinces (and other provinces also by subsequent inclusion) entered into a compact or treaty in 1867, with the alleged result that any alteration in the terms of that compact must involve consultation with all parties (namely, the provinces) and eventually the consent of all parties, before it can be made The Dominion is therefore expected (according to the theory) to secure this unanimous approval before presenting its request to the British Parliament<sup>2</sup>

The theory, while plausible, is constructed on sheer invention which has been subsequently propped up by an occasional precedent It has no legal foundation, it has no historical foundation<sup>3</sup> It would, if applied, have the merit of giving complete protection to any provincial minority, but the price would be high, for it would compel future amendments to secure the consent of all nine provinces as well as the Dominion—a task which might well appal the stoutest heart

It would give a single province a power of veto over constitutional changes desired by the remaining eight provinces and by a vast majority of the Canadian electorate It would mean that Canada would be taking

<sup>1</sup>*Can. H. of C. Debates*, March 27, 1871 pp. 649-50

<sup>2</sup>See N. McL. Rogers, "The Compact Theory of Confederation," *Proceedings, Canadian Political Science Association*, 1931, pp. 205-30

a deliberate step towards greater rigidity in its constitutional arrangements when the whole trend of modern economic life is emphasizing the vital importance of flexibility in a rapidly changing world. It would arrest the growth and hamper the expression of the national idea in Canada. It would leave the effective control of the development of the Canadian constitution in the keeping of the Judicial Committee of the Privy Council. It would provide the most remarkable illustration in history of a national community refusing to trust its own judgment in the determination of its domestic arrangements and its way of life.<sup>1</sup>

The precedents to support the compact theory are, moreover, very few. While five provinces, meeting in 1887 in an interprovincial conference, claimed the right to initiate amendments independently of the Dominion Parliament, there is not a single instance of the provinces being consulted about a constitutional amendment before that of 1907, even though two of these amendments definitely affected provincial rights of representation. The 1907 amendment (relating to provincial subsidies) was preceded by consultation with all the provinces, and an objection raised by British Columbia was the probable cause of a slight change of wording when the British Parliament passed the statute.<sup>2</sup> But this example of consultation, which occurred as a matter of political convenience, has not become a governing precedent, for of the six subsequent amendments, only one (1940) received prior provincial consent,<sup>3</sup> although the compact theory was frequently raised and discussed during this period. On one other very important occasion, however, the compact theory had enough vitality to prevent the Canadian Parliament acquiring for itself the explicit power of direct amendment, for one section of the Statute of Westminster (inserted as a result of provincial pressure on the Dominion)<sup>4</sup> provided that the British North America Act and its amendments were excepted from those British

<sup>1</sup>N. McL. Rogers, "The Constitutional Impasse," *Queen's Quarterly*, Winter, 1934, p. 486.

<sup>2</sup>See J. A. Maxwell, *Federal Subsidies to the Provincial Governments in Canada*, pp. 108-17.

<sup>3</sup>A suggested amendment in 1936 (regarding provincial and Dominion provincial finance), which was killed in the Senate, received the assent of all the provincial governments.

<sup>4</sup>See Memorandum and Letter of G. Howard Ferguson, in R. MacG. Dawson, *Constitutional Issues in Canada 1900-31*, pp. 28-34.

statutes which could be amended or repealed by Dominion legislation

Two of the last three amendments have presented several interesting features regarding the procedure. The "unemployment insurance" amendment of 1940 was held up for some years awaiting the approval of Quebec, which was finally given and the desired unanimity thereby obtained. By this postponement, announced the Canadian Prime Minister, "we have avoided anything in the nature of coercion of any of the provinces. Moreover, we have avoided the raising of a very critical constitutional question, namely, whether or not in amending the British North America Act it is absolutely necessary to secure the consent of all the provinces, or whether the consent of a certain number of provinces would of itself be sufficient. For the present at any rate we have escaped any pitfall in that direction."<sup>1</sup> This is a novel and seductively simple way of escaping a constitutional difficulty, for the raising of the compact theory was neatly avoided by conceding all that it demanded, as well as creating another precedent to be stored up for future use. A few more avoidances and escaped pitfalls of this kind, and there is no doubt that the critical constitutional question would be most definitely settled.

On the 1943 amendment, which postponed the redistribution of seats in the Commons, Mr. Mackenzie King took an entirely different stand. On this occasion the provinces were not consulted, even though the legislature of Quebec passed a resolution of protest and the Quebec Leader of the Opposition (Mr. Duplessis) asked Mr. King to forward the protest to the British Prime Minister together with Mr. Duplessis' request that the British Parliament should refuse to pass the desired amendment. Mr. King declined to do so for the following reasons:

(i) The matter did not concern the provincial legislature, but was a matter for the federal Parliament.

(ii) "The theory that the British North America Act is a pact between the provinces which cannot be amended in any particular without the prior consent of every province does not appear to be supported either in history or in law."

<sup>1</sup>*Can. H. of C. Debates*, June 23, 1940, pp. 1117-18.

(m) "Any such intervention by the Government or Parliament of Great Britain in the internal affairs of our country would be the negation of Canada's equality of status with the United Kingdom. It is true that it is still legally necessary to ask the Parliament of Great Britain to amend the British North America Act. That situation, however, is acceptable only so long as such amendments are made automatically and without question on the request of the appropriate representatives of the Canadian people."<sup>1</sup>

The above indicates the answer to be given to another allied question, namely, the obligation laid on the British Parliament to carry out any request from the Canadian authorities. There have been three instances when the British Parliament did not take immediate action to implement such a request, and in each instance there were excellent reasons to justify a postponement, in which Canada apparently concurred. It may therefore be stated with confidence that formal action will be, as Mr King intimated above, automatic and without question. "The seventy-year old practice of the Canadian constitution, the solemnly expressed conventions of Dominion status, and the usage of fellow-members of the British Commonwealth of Nations all combine to show that the sovereign British Parliament has now accepted a formal and technical role in relation to Canada similar to that long held by the Crown both in Britain and in Canada."<sup>2</sup>

All this does not necessarily mean that the provinces can be completely ignored in the amending process. It does mean that they have no rigid formal part in it, that no compact or similar theory can restrict the very real conventional power of the Dominion Parliament to request the passage of amendments from the British Parliament and the obligation laid on the latter to follow this request. But no one would for a moment deny that the provinces have certain rights as well as powers under the British North America Act, and that at least matters which involve the exercise of provincial powers should not be arbitrarily changed by unilateral action of the Dominion Parliament.

<sup>1</sup>*Montreal Gazette*, July 16, 1943

<sup>2</sup>H. McD. Cloutier, *op cit* p. 24

It is also imperative, for example, that Quebec should be given special protection in her enjoyment of her language and her schools. But the recognition of such constitutional rights—whether they be explicit or implied—does not give the provinces any comprehensive cast-iron protection such as that stated in the compact theory. The legal and conventional position as it exists today was accurately stated by Mr St Laurent, the Minister of Justice, when the proposed 1946 amendment was before the House. In reply to a query whether Section 133 (regarding the use of the English or French languages in Canada and Quebec) could be altered without provincial consent, he said

Legally I say it can. The situation appears to me to be this. There are persons and nations who reach a high estate in the affairs of men, and the high estate they reach imposes upon them high obligations. I feel—and I believe my fellow Canadians of my race and my religion can feel—that a better guarantee than anything that might be found in Section 133 is to be found in that respect, for those who have been formed under the principles of British freedom and British fair play, to protect what are our essential rights.

It is not the manner of those who have themselves had, and whose ancestors have had, the formation that comes from that long history which has brought us to this point in the civilization of mankind, to do things which the conscience of humanity at large would regard as dishonourable, and the conscience of humanity at large would frown upon an assemblage in this house that attempted to take from me and from those of my race the right to speak the language I learned in my infancy as one of the official languages in which the deliberations of this house may be carried on. So it is of everything else that is not within Section 92. If it is fair, if it is just, if it is proper according to the standards of human decency, it will be done. If it is unfair, if it is unjust, if it is improper, all members of this house will say, "It is not our manner to do such things."<sup>1</sup>

The essential difficulty in the situation arises from the endeavour to accomplish two desirable yet contradictory things. (a) The written constitution should be kept rigid in order to give adequate protection to the minority, and this is best ensured by insisting that every province must agree to any change, (b) The written constitution should be kept elastic so that new conditions and demands can be readily met by constitutional changes adapted to the altered

<sup>1</sup>*Can. H. of C. Debates* (unrevised), June 18, 1946, pp. 2696-7



circumstances. The contradiction is, however, superficial rather than real, for the parts of the constitution which are to be cherished and guarded are not the same parts which must be adjusted to varying conditions. The solution, therefore, lies in providing different methods of amendment for different kinds of constitutional provisions.

This was the remedy proposed at a Dominion-provincial conference in 1935 which gave consideration to this problem.<sup>1</sup> The proposal distinguished four different kinds of clauses in the British North America Act, and each of these would have its appropriate process of amendment.<sup>2</sup>

(i) Clauses concerning the constitution of the House of Commons and the Senate would be amended by the Dominion Parliament.

(ii) Clauses relating to the Dominion and one or more, but not all, provinces would be amended by the Dominion House of Commons and Senate and the legislatures of the provinces concerned.

(iii) Clauses relating to matters of mutual concern to the Dominion and provinces would be amended by the Dominion House of Commons and Senate and the legislatures of two-thirds of the provinces, provided that the population of these provinces was at least 55 per cent of the population of Canada. (This would ensure that either Ontario or Quebec was included in the two-thirds majority.)

(iv) Clauses relating to provincial and minority rights would be amended by the Dominion House of Commons and Senate and the legislatures of all the provinces.

If the Senate should refuse to pass an amending bill sent from the House of Commons, and if the same bill should again be passed at the next session of the House, it would then go to a joint session of the two houses and final decision would rest with the latter body.

This proposal was finally dropped in 1936 because of the opposition of New Brunswick, but there is good reason to expect that the vexed question of finding a suitable amending clause for the British North America Act will be answered in somewhat similar terms. It need scarcely be added that if a solution is found, the most relieved body will be the British Parliament. The present system imposes on it a thankless task, one in which it has no responsibility, but which may at any time expose it to criticism and attack.

<sup>1</sup>This was virtually the same as that proposed by Dr. Maurice Ollivier to a Special Committee of the House of Commons on the British North America Act in 1935. See its *Report*, pp. 58-60.

<sup>2</sup>*Canadian Annual Review*, 1935-6, p. 323.

from a dissatisfied province. "As a matter of mere legal machinery," said the British Solicitor-General with weary resignation, "it is still necessary, until some better method is evolved for amendment of the British North America Act, for the extension of the Canadian powers to be passed by this Parliament. But our Parliament, in passing such legislation, is merely carrying out the wishes of the Dominion Parliament, and in that way the legal position is made to square with the constitutional position. We must operate the old machinery which has been left over at their request in accordance with their wishes."<sup>1</sup>

## *2 Legal amendments authorized by the British North America Act*

The sections of the British North America Act suggest to a limited degree the kind of solution to the amendment problem which has been advanced above, for these sections are not all equally rigid. While the bulk of the Act can be amended only by the British Parliament, some parts can be altered by the Dominion Parliament, some by provincial legislatures, some by other authorities.

The British North America Act provided not only a form of government for the future, but also an immediate government for the new Dominion. A constitution had to be drafted in sufficient detail that the government could begin to function without delay, yet it was obviously undesirable that this legislation, inserted to meet an immediate need, should be permanently frozen in the terms of a rigid constitution. Two separate enactments would have given the desired result, one permanent, the other to be soon repealed, but the British Parliament preferred to combine them in one statute. These temporary clauses, designed to give the Dominion government its initial impetus, were therefore prefaced by the clause "until the Dominion Parliament otherwise provides," so that at any time they could be amended by an ordinary act. Thus the electoral districts for the House of Commons, the election law, the qualifications and disqualifications of members of the House of Commons, the franchise, the trial of controverted elections, and other

<sup>1</sup>*British H. of C. Debates*, July 10, 1940, pp. 1177-81.

matters, are provided for in the Act (directly or by reference) subject to any later changes the Parliament may desire

There were also other provisions which the Dominion has altered but which, it is safe to assert, were not intended to be changed, namely, the sections dealing with financial grants to the provinces. Indeed, special precautions were taken to ensure the permanence of at least two of these grants by stating that they were to be "in full settlement of all future demands"<sup>1</sup> The first break in the financial provisions came in 1869 when the "better terms" for Nova Scotia were conceded, and although the validity of such legislation was questioned in the House, the Colonial Office stated that such grants were within the legal competence of the Canadian Parliament. Since then, the Dominion has availed itself many times of its inherent right to spend its revenue as it sees fit, and the financial provisions have proved in practice to have been the most flexible of all the clauses of the British North America Act.<sup>2</sup>

It will be recalled that the immediate purpose of the British North America Act was not confined to the launching of a new government for the Dominion alone, two other governments, those for Ontario and Quebec, had to be formed from the old Province of Canada. So another group of clauses began with the words "until the legislature of Quebec [or Ontario] otherwise provides," and these may be amended by an ordinary act of the provincial legislature.

Finally, there are a few minor changes in the provisions of the Act which may be made by other authorities. The Lieutenant-Governors of Quebec and of Ontario can change the original composition of their respective Executive Councils and the designs on their Great Seals, the seats of the provincial governments are named in the Act, subject to change by the provincial executives, and Ottawa is declared to be the capital of Canada "until the Queen otherwise directs."

<sup>1</sup>Section 118. See *supra*, p. 119.

<sup>2</sup>J. A. Maxwell, "A Flexible Portion of the British North America Act," *Canadian Bar Review*, March, 1933, pp. 149-57. The recent family allowances paid by the Dominion, have been justified by virtue of the same right of unrestricted largesse.

### 3 *Acts of Parliament and orders-in-council*

The importance to the constitution of the acts of Parliament and orders-in-council, which deal with constitutional matters, has already been discussed<sup>1</sup>. These, being readily passed, form one of the most common methods of adapting the offices and functions of government to the current needs of the state, and many changes of this kind take place every year. The enormous output of orders-in-council dealing with "organic" material and the rapid proliferation of committees, controllers, directors, administrators, censors, custodians, registrars, commissioners, and other officials which occurred during the recent war are two examples of what a Government can do by this method when it really sets its mind to it.

### 4 *Convention*

The place of usage and conventional devices in Canadian government has also been described in some detail<sup>2</sup>. The fact that so large a part of the constitution is composed of these conventions makes it a virtual certainty that when changes occur many of them will also be conventional. There is, of course, nothing to prevent changes in existing conventions being brought about by some other means, such as legislation, and it is not uncommon to have them confirmed in this way. The passage of the Statute of Westminster, which was designed to place in legal form the conventional practices of the preceding years, is an excellent illustration. But the most natural way to alter one convention is by the gradual infiltration of another. A convention may involve action in a certain way or according to certain principles, or it may simply involve an abstention, but there must normally be a succession of incidents or, more rarely, one act which meets with such general acceptance that it thereby acquires a special authority. "Every act is a precedent," writes Professor Jennings, "but not every precedent creates a rule. It can hardly be contended that if

<sup>1</sup>*Supra*, pp 76-7

<sup>2</sup>*Supra*, pp 69-72, 79-82

once the House of Lords agrees with the House of Commons it is henceforth bound to agree with the lower House. It is more important that there is a course of precedents. Precedents create a rule because they have been recognized as creating a rule."<sup>1</sup>

While it is true that conventions will tend to change by the new supplanting the old, the discussion in earlier chapters will indicate that they will often occur as a mellowing influence on other more rigid parts of the constitution. The exceptional rigidity of the British North America Act, as attested by the very small number of formal amendments, exerts a constant pressure to have the Act altered in some other way, and convention is frequently at hand to work the miracle. Convention has, in fact, operated in three major constitutional fields—in those of pure custom, in those of legislation, and in those of the written constitution itself.

(a) *In fields which are not covered by legislation or by the written constitution*

This is the realm of pure custom where Parliament and the written constitution have been content to allow precedents to build up and solidify. One tremendously important constitutional change, for example, has been the establishment of the convention that the Cabinet must resign when defeated in the House of Commons, and from this another custom has grown, namely, that a Government, which has been defeated in a general election, will immediately resign without awaiting the verdict of the House. Another well-established usage in Canada is that after the popular defeat of a Government, the Governor-General will normally choose the Leader of the Opposition as his new Prime Minister. The choice was for many years indirectly controlled by the Opposition members in Parliament, who selected their leader, but this practice has been altered in the last quarter-century during which time the custom has become established of selecting the leader by a national convention composed of party representatives from all parts of the Dominion. The relations of Canada with Great Britain are

<sup>1</sup>W. Ivor Jennings, *Cabinet Government*, p. 6.

filled with examples of one custom being built on and adding to a custom which has been previously established, and which, in turn, may be an outgrowth of an earlier practice

(b) *In fields covered by legislation*

Here the changes brought about by usage are rather infrequent, for the natural and speedy way to effect a change is by an amendment to the statute. In some instances, usage may work with the statute towards some constitutional end. The composition of the Cabinet, for example, is not controlled directly by any law, but is determined by custom, for custom ordains that virtually all the legally constituted departments shall have their Ministers sitting in the Cabinet. The office of Postmaster-General is thus created by statute, but it is only a convention that places the incumbent in the Cabinet. A rare example of a convention that has almost completely nullified a statute is provided by the tenure of the civil servant. The Act of Parliament states that the civil servant holds office at pleasure, yet for well over a century the tenure of the civil servant has in practice been one during good behaviour.<sup>1</sup>

(c) *In fields covered by the written constitution*

Here convention has virtually amended the British North America Act, sometimes changing the nominal operation of the Act, on rarer occasions actually rendering the Act completely obsolete. A number of illustrations have already been given,<sup>2</sup> but one which has been touched on above, may be somewhat elaborated.

In 1867 the Act gave the Governor-General the power (which, unlike some of the other powers, he was supposed to exercise himself) to reserve certain classes of bills (indicated in his Instructions) for the "signification of the Queen's Pleasure," that is, the assent of the British Government. From 1867 to 1878 some twenty-one bills were so reserved. The result of the Blake correspondence<sup>3</sup> was a change in the Governor's Instructions and the occasions for reservation

<sup>1</sup>R MacG Dawson, *The Civil Service of Canada*, pp 9-13, 178-9

<sup>2</sup>*Supra*, pp 69-72

<sup>3</sup>*Supra*, pp 53-5

became very rare, for any difficulties of this kind became a matter of discussion and adjustment between the British and Canadian Governments. Thus those Canadian acts which involved matters of Imperial concern and were now the only ones to be considered would frequently not come into effect until proclaimed, and such proclamation would not be made if no solution of the difficulty could be found.<sup>1</sup> The Imperial Conference of 1926 and the Conference of 1929 went a step further and declared that any interference of the Imperial Government with the legislation of a Dominion was no longer in accordance with constitutional practice, and the latter meeting proposed facilities for deleting the offending clause from the British North America Act. The clause, however, has now by convention become so completely inoperative that no one even bothers about its continued presence in the Act.<sup>2</sup> Thus one practice built upon another has gradually rendered obsolete the legal power and procedure which is laid down in the British North America Act. The clause still remains in the Act, but its shining letters have become dull and tarnished like some forgotten name-plate on a door long closed.

### 5 *Judicial decision* /

It becomes largely an act of supererogation to indicate after the preceding chapters the way in which the courts develop the constitution and particularly the way in which one decision and interpretation prepares the way for further interpretations in the future. The effect on development may be negative or positive, restrictive or broadening, depending on whether the court declares a particular statute *ultra vires* or upholds its validity and gives greater precision to its terms.

<sup>1</sup>A. B. Keith, *Responsible Government in the Dominions*, II, p. 751.

<sup>2</sup>The power of the British Government to disallow a Canadian act (which was contained in Section 56 of the British North America Act) came under the same declaration of the Conferences of 1926 and 1929. It had, however, been effectively dropped at a much earlier date. The one Canadian act disallowed was in 1873 and it was clearly *ultra vires*. The power of the Governor-General to refuse his assent to a bill passed by the two Houses of Parliament has never been exercised since Confederation.

It is not proposed to discuss at any great length the arguments for and against the power of the courts to set aside laws which in their opinion run counter to the supreme written constitution, but a few points may be raised. There are, of course, many countries where the courts do not exercise this power and where the legislature is able successfully to determine the scope of its own authority. But the situation under a federal form of government is unique in that the system inevitably results in a constant clash of governmental powers, and there is thus a never failing demand for the services of an austere and impartial arbiter to decide questions of jurisdiction. This function the courts can perform more acceptably than any other agency yet devised, not alone because of the legal nature of the matters involved or the excellence of the work done, but also because of the confidence they are able to command. The security of the Canadian provinces, to make the argument more explicit, would vanish overnight if the Dominion Parliament were to be given the last word on the extent of its own powers, and, what is equally important, no province would be convinced that in arriving at any such decision its rights had been given fair and impartial consideration.

Judicial review has been frequently criticized on the ground that under it vital questions of public policy are determined in the courtroom and not on the floors of the legislature where such decisions should be taken. Undoubtedly this is to a degree true, but the force of the argument is in most instances derived from the experience in the United States, where 'due process' and several other wide and ambiguous phrases in the constitution have lured the courts into a position where they have become in a very real and disturbing sense the rivals of the legislature. Such an opportunity is often lurking in the background where the courts have the power of review, but it can be kept under fair control by careful constitutional phraseology and by an acute sense on the part of the judiciary of its proper function as an interpreter of the constitution. It has been suggested,<sup>1</sup> for example, that the Judicial Committee may

<sup>1</sup>*Rowell-Sirois Report*, Book I, pp 56 8



have been influenced by this latter consideration when it proceeded to emasculate the "peace, order, and good government" clause. If, it is argued, the clause was to be given the pre-eminence to which it was apparently entitled, one of two alternatives had to be faced. First, the national scope and character of all Dominion legislation would be decided by a simple declaration to that effect by the Dominion Parliament, in which event the provinces would obviously have little or no protection against any attempted inroads by the Dominion. In the alternative, the determination of this crucial question would be left to the courts, with the result that the latter would find themselves deciding questions which were not judicial but were essentially matters of expediency and public policy. It is therefore suggested that rather than face either of these alternatives, the Judicial Committee may have fallen back on the Dominion's enumerated powers as presenting a fairly definite statement of jurisdiction which the Committee felt could be judicially determined without deciding what were essentially legislative questions. Such a surmise, whether accurate or not, has at least the virtue of making intelligible the position adopted by the Judicial Committee, and illustrates, if true, the type of judicial restraint contemplated above.

The judicial review of legislative powers is frequently attacked because it allows the courts to read into the constitution virtually anything they wish, and it is not unnaturally urged that such authority is highly undesirable in any judicial body. The flaw lies in the first part of the argument. While it is undoubtedly true that the courts by throwing their weight on one side or the other can shift the constitutional centre of gravity, the extent of the movement will depend in large measure upon the number of vague clauses and provisions which are available. If these are absent, the disputes which arise can generally be decided either way without any very serious impairment of the constitution, while at all times the great bulk of the provisions cannot be seriously attacked through court action.

Courts may modify, they cannot replace. They can revise earlier interpretations, as new arguments, new points of view are presented, they

can shift the dividing line in marginal cases, but there are barriers they cannot pass, definite assignments of power they cannot reallocate. They can give a broadening construction of existing powers, but they cannot assign to one authority powers explicitly granted to another, or modify the provisions of the B. N. A. Acts regarding the organization of the executive and legislative branches of the Dominion.<sup>1</sup>

Finally, it is well to remember that the supreme power in the state is not the courts, but the constitution-amending authority. Any decision which is clearly contrary to the wishes of the people can be overcome by constitutional amendment, and while this may involve some delay, even that is not always a disadvantage. The fundamental difficulty—if it is a difficulty—in this procedure will be that the outraged public may prove to be not very outraged after all, and perhaps, as a body, not even annoyed, and therefore constitutional amendment may not be readily obtainable. Criticize the Privy Council as one may, the fact remains that its enlargement of provincial rights in the decades before and after the turn of the century was not as shocking as many would like to believe, and it was, in fact, in substantial accord with the general trend of opinion in Canada. Even today, despite all the loud complaints about judicial distortion of the constitution, there are very grave doubts as to the degree of unanimity among the Canadian people on many of the measures which are being advanced to promote social and economic welfare and particularly on the matter of the jurisdiction under which these measures should be placed. One thing is beyond dispute: that the recent placing of unemployment insurance in Section 91 stands at the present time as the only tangible sign that the Canadian people genuinely desire to enlarge Dominion jurisdiction at provincial expense.

The courts, when performing their normal function of interpreting a statute, have always relied on the text itself in their endeavour to ascertain the exact intentions of the legislature, even although at times the application of this principle might lead to results which the legislature had never contemplated. The task of the court is to place a reasonable interpretation on the document which they have

<sup>1</sup>O. D. Skelton, Evidence before the Special Committee on the British North America Act: see its *Report*, 1935, p. 28.

before them and not force into it any strained meaning which is not in accord with the wording of the individual clause taken in relation to the statute as a whole. Their duty 'is to interpret, not to enact. The question is, not what may be supposed to have been intended, but what has been said' <sup>1</sup>

This rule is by general consent an admirable guide for ordinary statutory interpretation, but it is much more doubtful if it should be carried over intact to a written constitution. The Supreme Court of the United States has not hesitated to relax its rules of construction when endeavouring to interpret the American constitution, and it has always been conscious of the fact that the constitution was not an ordinary law but one which should have unique character and flexibility adapted to the purpose it was to serve. "We must never forget," said Chief Justice Marshall in a famous case, "that it is a *constitution* we are expounding," and it was in a large measure this enlightened attitude which made John Marshall's contribution to the development of the American constitution so outstanding <sup>2</sup>. To quote an American authority

The true method of interpretation is a resultant of these somewhat divergent forces—a combination of the precise, strict, verbal, narrow mode of the lawyer, and the broader, tricer habit of the statesman. The one looks mainly at the letter, disregarding consequences, motives, reasons,—*ita lex scripta est*, the other passes by the letter, and concerns itself with great principles, with considerations of a high expediency, with far reaching national results. The one[school] would cramp and dwarf the energies of a growing nation, the other would remove all the barriers which have been set up lest those energies should finally become self-destructive. Combine the two, and the essential ideas of a positive law, and of a political society as the subject of that law, are preserved, the safety and stability of the government are ensured, the national development may go on uninterrupted by arbitrary restraints, and unbroken by sudden shocks <sup>3</sup>.

The Judicial Committee of the Privy Council, on the other hand, has resolutely adhered to the narrow and less

<sup>1</sup> *Brophy v. Attorney-General for Manitoba* (1895), App. Cas. 202, at pp. 215-16.

<sup>2</sup> See also the opinion of Chief Justice Marshall in *Dartmouth College v. Woodward* (1819), 4 Wheaton 518, at pp. 644-5, that of Justice Story in *Martin v. Hunter's Lessee* (1816), 1 Wheaton 304, at pp. 326-7, that of Justice Holmes in *Missouri v. Holland* (1920) 252 U.S. 416, at p. 433.

<sup>3</sup> J. N. Pomeroy, *Introduction to the Constitutional Law of the United States* (1886 ed.), p. 16.

adaptable principle by insisting that the courts "must treat the provisions of the Act in question the [British North America Act] by the same methods of construction and exposition which they apply to other statutes"<sup>1</sup> It has refused to admit, for example, that the Quebec and London Resolutions and material of a similar nature<sup>2</sup> could be accepted as giving any assistance in interpreting the terms or intent of the British North America Act It has also developed, as already indicated, its own peculiar ideas of federalism, but it has made little or no endeavour to reconcile these with the well-known intentions of the founders or, in recent years, with the pressing demands of modern life "The Act is to be expounded and given effect to according to the terms set out in it, finding the intention from its words, upholding it precisely as framed, ascertaining its true meaning within itself and clear of any qualifications which the Imperial Parliament has not expressed in it, and apart from any questions of expediency or of political exigency"<sup>3</sup>

On one occasion,<sup>4</sup> however, the Privy Council momentarily discarded the rules and traditions of many years, and ventured on the following unusual pronouncement

Their Lordships do not think it right to apply rigidly to Canada of to-day the decisions and the reasons therefor which commended themselves, probably rightly, to those who had to apply the law in different circumstances, in different centuries, to countries in different stages of development Their Lordships think that the appeal to Roman law and to early English decisions is not of itself a secure foundation on which to build the interpretation of the British North America Act of 1867 The British North America Act planted in Canada a living tree capable of growth and expansion within its natural limits The object of the Act was to grant a constitution to Canada "Like all written constitutions it has been subject to development through usage and convention" *Canadian Constitutional Studies*, Sir Robert Borden (1922), p. 55

Their Lordships do not conceive it to be the duty of this Board—it is certainly not their desire—to cut down the provisions of the Act by a

<sup>1</sup>*Bank of Toronto v. Lambe* (1887) 12 App. Cas. 575, at p. 579. See also p. 587.

<sup>2</sup>See Vincent C. MacDonald, "Constitutional Interpretation and Extrinsic Evidence" *Canadian Bar Review*, Feb., 1939, pp. 77-93.

<sup>3</sup>W. P. M. Kennedy, "The British North America Act: Past and Future," *Canadian Bar Review*, June 1937, p. 393.

<sup>4</sup>*Eduards v. Attorney-General for Canada* [1930] A.C. 124. In one other decision five years later the Committee edged cautiously in the same direction. *British Coal Corporation v. The King*, [1935] A.C. 500, at pp. 518-19.

narrow and technical construction, but rather to give it a large and liberal interpretation so that the Dominion to a great extent, but within certain fixed limits, may be mistress in her own house, as the provinces to a great extent, but within certain fixed limits, are mistresses in theirs. "The Privy Council, indeed, has laid down that courts of law must treat the provisions of the British North America Act by the same methods of construction and exposition which they apply to other statutes. But there are statutes and statutes, and the strict construction deemed proper in the case, for example, of a penal or taxing statute or one passed to regulate the affairs of an English parish, would be often subversive of Parliament's real intent if applied to an Act passed to ensure the peace, order, and good government of a British colony." See Clement's *Canadian Constitution*, 3rd ed., p. 347.<sup>1</sup>

Too much could not be expected, however, from one lonely precedent, and even contemporary writers held their enthusiasm well in hand. "For a nation," wrote Professor Kennedy in 1934, "whose constitution has been divorced by judicial decisions from its origins and historical intentions, it is, perhaps, pardonable to clutch, respectfully, but not without hope, at any straws thrown to us in the whirlpool of judicial chaos."<sup>2</sup> Alas, even this moderate optimism proved to be unjustified. The brief moment of judicial enlightenment did not come again, although at least one inviting occasion presented itself when the Privy Council had the opportunity of placing a more discerning and practicable interpretation on the obsolescent treaty clause in the British North America Act.<sup>3</sup>

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✓ The extent to which any one method of constitutional development is used will depend upon a wide variety of circumstances, such as the rigidity or flexibility of the constitution, the popular regard or veneration for it, the affection for old institutions and tried procedures, the respect for law, the habits of the people, their love for political experiments, etc. Each method has its own peculiar qualities which help to determine its suitability for a given set of circumstances.

Formal amendment is, of course, the most obvious method of change, but it is usually difficult to bring about,

<sup>1</sup>*Eduards v Attorney-General for Canada*, [1930] A.C. 124 at pp. 134-7. Even here the court is careful (at p. 137) to point out that it is not considering the distribution of legislative powers under Sections 91 and 92.

<sup>2</sup>*Round Table*, Sept., 1934, p. 812.

<sup>3</sup>*Supra*, pp. 115-17.

the degree of difficulty being measured with some exactness by the formalities which must be followed in order to secure the amendment's adoption. Legal enactment by the legislature or some other body is admirable for many purposes: it is easily effected, and it is thus readily available when further adjustments are necessary. For the same reason, it lacks the stability and permanence of the text of the written constitution. Convention is the most quiet and unobtrusive of all methods, and is, perhaps, for that reason the most dangerous, for precedents are insidious things and creep in by the back door when the attention may be concentrated on the front. But usage is rarely stubborn, and it is essentially the child of compromise, concession, and expediency. Judicial interpretation is, like convention, a slow and gradual process of change. It, too, can make concessions, and if for a time a particular doctrine seems to have gone too far, distinctions can be drawn and exceptions found which will veer away from what promised to be embarrassing consequences. Court decision cannot repeal a law, nor, in fact, can convention, although the latter can often render an undesirable law completely innocuous. Judicial decision is likely to be responsive to public opinion, although only over a long period of time. Usage is extremely sensitive to such opinion and will make frequent adjustments to meet the needs and demands of the moment, trying and discarding expedients until a suitable one is found.

Although the above pages have indicated how all these methods are in constant use in Canada, yet the greater part of the development has occurred through convention and judicial interpretation. There are a number of reasons why these two have been especially favoured.

First, there has been a strong tendency to leave formal amendment alone if it could be avoided. The possible raising of the issue of provincial consent, the difficulty of getting a general consensus of opinion, the overcoming of provincial prejudice and distrust, the disinclination in some quarters to tamper with the Act at all, the ability to get around the Act in other ways, such as, for example, the use of various administrative expedients between Dominion and

provincial officials—all have discouraged the use of the amending process

Secondly, the constitution being a federal one, disputes on *jurisdiction* are constantly occurring and recourse is had to the courts for settlement. Special facilities have, indeed, been created to enable puzzled governments to take their constitutional troubles to the courts in "reference" or hypothetical cases, so that *uncertainties of jurisdiction* can be cleared up without waiting for cases to arise in the ordinary course of events

Thirdly, an exceptionally large part of the constitution, notably the relations of the Cabinet to the Governor-General and to the Parliament, is conventional, and this naturally tends to breed changes through usage

Fourthly, the most important constitutional change in Canada in this generation has been the enormous advance in self-government in external affairs and the growing independence of Great Britain which has been its necessary accompaniment. The powers involved have been almost entirely prerogative powers and the Canadian participation has rested primarily on usage. Thus the changes which have taken place have not unnaturally occurred almost entirely through the gradual adoption and modification of practices and precedents of one kind or another—through a despatch to the Dominions Office, through the adoption of a new form, through a refusal to follow a certain suggested policy, through an objection to a procedure, through a Cabinet minute, through a resolution of the Imperial Conference, through informal discussions at Cabinet and at lower levels, in short, through any of the multitude of decisions and statements and actions which comprise conventional procedure

PART III

THE EXECUTIVE





## CHAPTER VIII

### THE GOVERNOR-GENERAL

EXECUTIVE power in Canada has always borne a strong resemblance to executive power in Great Britain from which it is, of course, in very large measure derived. The King, as head of the state, is represented in Canada by the Governor-General, and the general position of the latter corresponds today, more than ever before, to that of the Sovereign. The Governor has tended to follow the same path which had been marked out a few generations earlier by his august principal and he now shares substantially the same disabilities. He is a legal survivor who has contrived to remain a political necessity—the once supreme chief whose powers have largely passed into other hands, yet who has nevertheless retained a substantial residue of his former ascendancy and importance. Authority has gradually been succeeded by influence, obvious and aggressive leadership has been replaced by the more subtle and intangible pressure of suggestion and persuasion. For the Governor's influence on government is not negligible, although it rarely occurs through the exercise of his visible and more public functions. His talents find expression quietly and unobtrusively behind the scenes, and this is made possible and effective because the office itself carries an established tradition of integrity, unselfishness, and public service. This tradition as well as the atmosphere which surrounds the institution derive much of their potency from the influence which flows from the throne itself, for while Canadians never look upon the monarchy with the same regard which is usually bestowed on it in Britain, there is undoubtedly an emanation of a milder sort which makes itself felt across the Atlantic. The historical kingship, in short, strengthens not only the modern King but his representative as well, the prestige, the dignity, the antiquity, the past record of the monarchy are all transferred in some measure and help substantially to maintain the repute and vitality of the office of the Governor-General.

The general decline in the Governor's position and especially the effects of the introduction of representative and responsible government have been traced in some detail in the preceding pages. His powers, originally autocratic but progressively diminishing with advances in self-government, were by the turn of the century beginning more and more to resemble those of the King, and the time was clearly not far distant when the identification would be virtually complete. Here and there, however, the Governor had been able to withstand the encroachments of the Cabinet, notably in 1896, when Lord Aberdeen had refused to agree to appointments made by a defeated Government. Thirty years later another refusal occurred when Lord Byng declined to grant a dissolution which had been requested by the Prime Minister.

In this last dispute the Governor-General won but an empty victory, for the consequences were far-reaching and definitely to the detriment of the long-run powers of his office. Not only was the position of the Prime Minister, Mr Mackenzie King, vindicated at the general election which almost immediately ensued, but a few months later Mr King went to the Imperial Conference in London, determined to prevent in the future any re-occurrence of what he considered to be an undue interference with the constitutional powers of the Prime Minister. The result was the formal statement by the Conference,<sup>1</sup> that the Governor-General of a Dominion was the representative of the Crown and not of any department of the British Government, and that his position in relation to the administration of public affairs in the Dominion was essentially the same as that of His Majesty the King in Great Britain.

The Imperial Conference of 1926 thus not only endeavoured to clarify the position of the Governor-General in relation to the government of a Dominion, but it declared his complete divorce from the British Government as well. This was a radical change, and directs attention to another and very important side of the Governor's former activity. From earliest times he had been called upon to discharge a double task: he had been not only the head of the Dominion

<sup>1</sup>*Supra*, p. 62

(or colonial) government, but also the representative and mouthpiece of the British authorities, in that he was charged with the duty of guarding the wider interests of the Empire from colonial interference and encroachment. The former task had affected his relations with his Cabinet and the Canadian people, and necessitated (at least in later years) the utmost neutrality in Canadian politics, the latter might compel him to take a stand which, while reflecting the desires of his principals in Britain, would nevertheless exacerbate his relations in Canada. In very early days the British interests were carefully cherished, but as responsible government became more firmly rooted, local welfare tended to become the dominant consideration. This was partly due to a mounting Canadian control over matters which had formerly been earmarked as of Imperial concern and also (another aspect of the same basic growth of Canadian nationhood) to a change of attitude and approach by the British Government. Imperial considerations, in short, not only arose less frequently, but they became subjects for discussion and compromise rather than dictation and summary action. This general tendency was rapidly accelerated by the active Canadian participation in the First World War and the stimulating effects of the struggle on the growth of national sentiment.

The Governor-General's powers had thus by 1926 been assailed for almost sixty years by two forces moving in from opposite directions, yet both dominated by one common purpose—the desire of the Canadian people for more self-government. Canadians disliked an irresponsible official interfering in the political affairs of the Dominion and they were equally unwilling to permit their external affairs to remain outside their own control. The Governor-General, as an active head of the local government and as the guardian of Imperial interests, stood in the way of both these legitimate ambitions, although precedents had been steadily accumulating which made his old position more and more difficult to maintain. Thus by 1926 the besiegers had captured many of the outer works of the Governor's citadel, and it needed only one or two Imperial Conferences and the Statute of Westminster to complete the conquest, or, to be strictly

accurate, to capture so much of the main stronghold that one or two small bastions could be generously and safely left in the hands of the defender

The British North America Act, as has been already indicated, is curiously silent on the subject of the executive power in Canada. While this reticence may be explained in part by the influence of custom and precedent in the colonial governments of the Confederation period and the anticipated continuance of that influence in the government of the new Dominion, it was also a logical consequence of the reliance placed on the common law as a vital interpreter of the unwritten portion of the constitution. The courts of England had been accustomed for centuries past to define the scope of executive authority,<sup>1</sup> and it was assumed in 1867 that the colonial and English courts would continue to perform the same useful function in the Dominion of Canada.

The central institution exercising general executive authority was the Crown. Writers on the British constitution have vied with one another in coming striking phrases to illustrate the unique character and position of the British Crown: it has been labelled by one scholar as "a convenient working hypothesis," and by another as "a convenient cover for ignorance." While the concept is undoubtedly elusive and difficult to confine within the terms of a simple definition, it may be described as that institution which is possessed of the sum total of executive rights and powers, exercised by the Sovereign, by the individual or collective action of the King's Ministers, or by subordinate officials. It is the supreme executive authority which may become manifest through a number of outlets. Its nature and its profound importance in English government are best indicated by the fact that the greater part of English constitutional development has been concerned with the changing conception of the Crown and the shifting in the exercise of its powers. The long struggle in which Parliament tried to block and mitigate and direct the powers of the King finally resulted in the former taking almost complete control, not, however, by

<sup>1</sup>E.g., the powers of the executive regarding government in settled and conquered colonies, *supra*, pp. 5-6

checking the Sovereign or openly seizing power but indirectly and almost surreptitiously by gaining possession of and exercising his functions through the supplying of advice and the maintenance of the authority of the Crown. The King himself is now able to do virtually nothing without the authorization of his constitutional advisers, the Cabinet, who are, of course, always accountable to Parliament. The constitutional King is like the man possessed of devils: the spirits have gained the upper hand, he no longer controls his own movements, and he cannot, therefore, in all fairness be held to account for his official acts and decisions. The King can do no wrong, simply because his advisers lift the responsibility from his shoulders and transfer it to their own.

The personal King of history has thus been in large measure displaced by or transformed into the modern Crown, the formal institution, and while the powers of the old English King have in one sense remained to a material degree unchanged, they have now become the powers of the Crown, not exercisable by the Sovereign in person but through responsible officials speaking and acting in his name. The Crown is thus the institution apart from the incumbent of the moment: kings may come and kings may go, but constitutionally and legally the Crown goes on forever, relatively undisturbed by the impermanence of sovereigns. "Once upon a time," runs the fairy tale, "there was a King who was very important and who did very big and very important things. He owned a nice shiny crown, which he would wear on especially grand occasions, but most of the time he kept it on a red velvet cushion. Then somebody made a Magic. The crown was carefully stored in the Tower, the King moved over to the cushion and was transformed into a special kind of Crown with a capital letter, and this new Crown became in the process something else, no one knows exactly what, for it is one thing today, another thing tomorrow, and two or three things the day after that. The name given to the Magic is Constitutional Development."

The powers of the British Crown are very wide indeed and are derived from two sources—statute and common law. The powers springing from the former source are, of

course, found in acts of Parliament, those derived from the common law are the survivors of the original powers possessed by the early English sovereigns before Parliament in the modern sense existed, and are generally described by the term "prerogative"<sup>1</sup> "Prerogative" says Dicey, "is the residue of discretionary or arbitrary authority which at any given time is legally left in the hands of the Crown"<sup>2</sup> The authority was originally very extensive indeed, and included the general powers vested in the monarch as supreme executive, law-giver, judge, and warrior, but succeeding centuries have seen these reduced and limited by various contractual agreements (such as Magna Carta), by statutes (such as the Bill of Rights), and by simple disuse The remainder is clearly not nearly so extensive as the original powers, but there is still a very substantial "residue" which Parliament has permitted to remain under the control of the Crown, although any of this can, of course, be abolished by Parliament whenever it desires to do so

Prerogative powers have the same legal validity as those conferred on the Crown by statute, and while they are almost entirely exercisable on the responsibility of Ministers, there is within this area a very small segment of independent authority Statutory powers are fairly simple, obvious, explicit, and readily ascertained, but the prerogative, finding its origin in the misty past and interpreted by the courts only as the occasion has arisen, is comparatively uncertain and indefinite Statutory powers are constantly being increased and have in fact, been enormously extended in recent years Prerogative powers, on the other hand, can shrink but clearly cannot be enlarged, for if a new executive power rests on valid precedent, it is no extension but merely a revival, and if it is given a new lease of life by act of Parliament it becomes a statutory power and not prerogative Yet the prerogative is extremely important, and its significance may be readily appreciated by considering the part played in English government by the following, which are broadly

<sup>1</sup>These are the prerogative powers "Prerogative" may also include certain rights and attributes of the Crown, such as escheat, perpetuity, etc

<sup>2</sup>V Dicey, *Law of the Constitution* (8th ed), p 420

prerogative powers, although they may to some degree have been affected by the enactment of statutes the appointment and dismissal of public servants, the summoning, prorogation, and dissolution of Parliament, the creation of peers and conferring of titles of honour, the pardoning power, the power to do all acts of an international character, such as the declaration of war and neutrality, the conclusion of peace, the making or denouncing of treaties, and the establishment or termination of diplomatic relations

Executive power in Canada is similarly vested in the Crown, which manifests itself in both Dominion and provincial governments, but in each instance the Crown naturally acts on the advice of a different Cabinet. The powers of the Crown in Canada spring from the same double origin of statute and prerogative, although both are one step further removed from their respective sources.<sup>1</sup> The statutory powers come for the most part directly or indirectly from the British North America Act, the great majority being found in acts of the Canadian Parliament. The prerogative powers are delegated by the King on the advice of the Canadian Cabinet to his representative, the Governor-General. The appointment of the latter and this delegation of power occur through what are known as the prerogative instruments—the Letters Patent, the Instructions, and the Commission,<sup>2</sup> although in addition to this specific passing-on of the prerogative powers there is also assumed to be a general implied devolution by both statute and prerogative which is co-extensive with the Dominion's legislative power and is as great as may be necessary to enable the executive government to be effectively conducted. Prerogatives which affect the Dominion may now be swept away by enactments of the Canadian Parliament, and prerogatives which have lapsed may on occasion be revived by executive authority if such revival is sustained by the courts.

<sup>1</sup>Provincial prerogative powers (but not statutory powers) are removed yet another step for they are delegated by the prerogative instruments issued by the Dominion Governor-General-in-Council.

<sup>2</sup>"The letters patent are not varied for each Governor, but made applicable to him by the commission which appoints him to the office defined in the letters patent and regulated by the instructions." A. B. Keith, *Responsible Government in the Dominions* (1928 ed.), I, p. 81.



A significant difference, however, between the use of the prerogative power in Great Britain and in Canada is that very substantial parts have not been transferred and hence are not exercisable by the Governor-General, but remain with the King acting on the advice of the Canadian Cabinet. Canadian prerogative powers (leaving those of the provincial governments out of consideration) are thus divided into those performed by the Crown in Canada and those performed by the Crown in England on Canadian advice—a dichotomy which is, however, not nearly so confusing as might appear at first glance. For the line had been drawn with fair clarity in the earlier days when the British Government advised the King on certain matters which have now passed under complete Canadian control, and that earlier distinction has been perpetuated in somewhat altered form by substituting the Canadian for the British Cabinet. That part of the prerogative which deals with the granting of honours and the conduct of foreign relations is in this special category, and hence if the Canadian Government wants to have honours bestowed,<sup>1</sup> or war declared, or plenipotentiaries appointed, or treaties ratified, or action of a similar kind taken, it advises the King and not the Governor-General to give the necessary authority. The passage of a Seals Act in 1939, however, has made it possible for these matters to be dealt with by the Governor-General if the consent of the King cannot conveniently be obtained. But the general practice hitherto has been to exercise this group of prerogative powers directly through the King.

The Governor-General is therefore not in the same position as the Sovereign in regard to the exercise of certain of his powers, although it would not be at all impossible to bring about an approximate equality in this respect. But such an effort would not begin to meet the demands of the Imperial Conference of 1926 and give the Governor "in all essential respects the same position in relation to the administration of public affairs in the Dominion as is held by his Majesty the King in Great Britain." Such a task is beyond

<sup>1</sup>For obvious reasons these could not include peerages if they were to involve seats in the House of Lords.

the competence of any Imperial Conference or any Parliament, for there are inherent fundamental differences of position and status that cannot be overcome, and these will frequently influence the functioning of the office and introduce factors which make any real parallel in some respects quite impossible.

The most obvious difference lies in the way in which the two positions are filled, for there can be nothing in Canada to match the hereditary element which is so vital a part of the British kingship. The history of the choice of Governors-General in Canada presents one of the best examples of a constitutional development which has been brought about through changes in custom and procedure and entirely apart from statute and formal amendment. The old method of appointment was by the Sovereign on the advice of the Colonial Secretary with the approval, of course, of the British Prime Minister. After 1890 (following a protest from Queensland) the method was altered by consulting with the Dominion Government before the appointment was made. Curiously enough, this procedure, while common, was not invariably followed. In 1916 the Canadian Prime Minister was simply informed, without any preliminary consultation, that the Duke of Devonshire was to be the new Governor, while on the other hand it is understood that on one occasion at least another Dominion was sent a list of three or four names from which the Cabinet was allowed to make its choice—a decided step in the direction of greater Dominion participation.

The Imperial Conference of 1926 ushered in the modern period of appointment, for if the Governor-General "is not the representative or agent of His Majesty's Government in Great Britain or of any Department of that Government," the British Cabinet obviously could not continue to make the choice. In short, the Prime Minister of Canada recommends the appointment to the King, and the latter acts on the advice so given, although it seems to be customary to ascertain His Majesty's wishes in the matter by previous consultation, inasmuch as the Governor is in a very real

sense the Sovereign's representative. Yet another consultation occurred on at least one occasion in Canada, and it may prove to be an accepted practice. Mr. Bennett stated in 1936 that when Lord Tweedsmuir's name was being considered, he, as Prime Minister, first discussed the matter with Mr. Mackenzie King, the Leader of the Opposition, so that the Governor's appointment was in effect quite non-partisan in character inasmuch as it carried the approval of the leaders of both major parties.

This new relationship between the Governor-General and the Canadian Cabinet has left a number of constitutional provisions in the air without any logical support. It has, for example, caused one part of the prerogative instruments, the Instructions, to become not only an anachronism but an absurdity. The Instructions tell the Governor how certain of his powers are to be used, and (despite several amendments in 1931) one clause still authorizes him to use his own judgment in granting pardons and reprieves when Imperial interests are involved. Yet were he in the latter contingency to set himself against the wishes of his Cabinet, it could and doubtless would (through its advice to the King) alter the Governor's Instructions in such a way as to secure his immediate acquiescence. The Instructions, in short, have become waste paper, for whatever can appear there *via* the King can be given to the Governor more expeditiously and directly *via* the Prime Minister.

Certain parts of the British North America Act relating to the Governor's position have also been affected, yet no amendments to the Act have been made to bring it into conformity with modern conditions. The Governor has power (Sections 55-7) to withhold his assent from a bill passed by the Canadian Parliament or to reserve a bill for the signification of the pleasure of the King in Great Britain. Both these powers, although still legally extant, have been rendered quite obsolete by disuse and the declarations of the Imperial and other conferences from 1926 to 1930.<sup>1</sup> The explicit obligation imposed by the British North America Act to keep the British Government informed of the acts

<sup>1</sup>Imperial Conferences of 1926 and 1930, Conference on the Operation of Dominion Legislation and Merchant Shipping Legislation, 1929. *Supra*, pp. 62-3.

passed by the Canadian Parliament (to permit of possible disallowance) was faithfully observed until 1942 when it was quietly discontinued<sup>1</sup>. This was followed in 1947 by the passage of an act amending the Canadian statute which had provided for transmission of copies of current acts to the Governor-General and to the British government<sup>2</sup>.

Past Governors-General have varied widely in quality. All have possessed the essential qualification of being what Queen Victoria called "proper persons," that is, of excellent social standing, although this has sometimes led to other more essential attributes being slurred over or ignored. It is difficult, for example, to avoid the feeling that the emphasis was somewhat misplaced when Queen Victoria approved the appointment of her son-in-law, the Marquess of Lorne, with the comment that the office would provide a "distinction for Lorne" and a "fine independent position for dear Louise"<sup>3</sup>. When Lorne's term had expired the Queen suggested the appointment of her son, Prince Leopold, but the British Government preferred Lord Lansdowne<sup>4</sup>. Other members of the Royal Family, however, have occupied the position and have served with moderate distinction. Sir Robert Borden, who was by no means an unfriendly critic, has recorded that the Duke of Connaught "laboured under the handicap of his position as a member of the Royal Family and he never fully realized the limitations of his position as Governor-General,"<sup>5</sup> an estimate which can scarcely be regarded as strengthening the argument for such appointments. Perhaps an adequate comment on another "proper person" who became Governor was that supplied by a contemporary Canadian periodical which described the Duke of Devonshire as one who "does not overpower with his brilliance, nor is his intellect an amazingly bright one, but he has a pleasing manner"<sup>6</sup>.

<sup>1</sup>*Can. H. of C. Debates*, April 5, 1943, p. 1829.

<sup>2</sup>*Can. Statutes*, 11 Geo VI, c. 44.

<sup>3</sup>*Letters of Queen Victoria* (Second Series), II, p. 631.

<sup>4</sup>*Ibid.*, III, p. 422.

<sup>5</sup>*Robert Laird Borden. His Memoirs*, II, p. 604.

<sup>6</sup>Hugh S. Ewins "The New Governor-General," *Canadian Magazine*, Feb., 1917, p. 312.

A small but important group of dubious merit has been composed of professional soldiers whose inelastic minds, and an experience and outlook far removed from the complexities and compromises of politics, have generally proved an inadequate equipment for the trying role of constitutional monarch. A hundred years ago appointments of this nature were much more common than today, although the complaint of Joseph Howe regarding Governors whose minds had become disciplined through years of military service "into a contempt for civil business and fractious impatience of the opinions" of all of lower rank retains at least some of its force<sup>1</sup> Lady Byng, for example, has recorded with an air of complacent virtue that both she and her husband "always shunned and detested politics,"<sup>2</sup> an attitude which, whatever its justification, provided a strange equipment for the tasks awaiting them at Rideau Hall. But the above types include the dull Governors and the failures, there have happily been others whose capacity was undoubted, whose interests were comprehensive, and whose talents won recognition not in Canada alone but other countries as well. Dufferin, Lansdowne, Grey, and Tweedsmuir, for example, were able men in any company. It is but fair to add that appointments made on the advice of the Canadian Government have yet to demonstrate an unmistakable superiority to those made before 1920, although that of Lord Tweedsmuir may be regarded as an encouraging portent.

The term and tenure of the Governor-General furnish further illustrations of the impossibility of making his position simply a replica of that of the King in Great Britain. His term may be simply, if somewhat ambiguously, stated as

<sup>1</sup>Letters to Lord John Russell, 1839, in *Speeches and Public Letters of Joseph Howe* (ed. by J. A. Chisholm), I, p. 235. "If then Governors are to be selected from the united services, it is evident that mere soldiers or sailors are not to be preferred. I do not say that men should be rejected because they have fought for their country: the highest qualities of the warrior and the statesman have often been combined. But if we are to have rulers snatched from the tented field or the quarter-deck, they should be men to whom the British Constitution does not appear a prurient excrescence, defacing the articles of war, men of enlarged minds, accustomed to affairs, studious of the history of their country, and possessing great command of temper." Letters to Lord John Russell, 1846, in *Speeches and Public Letters of Joseph Howe*, I, p. 619.

<sup>2</sup>Viscountess Byng, *Up the Stream of Time*, p. 171.

being officially recognized as six years, customarily treated as five years, while on occasion it has been seven years. His office was formerly held at the pleasure of the British Government, a tenure which made him virtually independent of any control by the Dominion although a petition for his recall would probably have been heeded by the Colonial Office. This situation has perforce changed since the Imperial Conferences of 1926 and 1930 declared his complete freedom from the British Government. He may now be removed by the King acting on advice tendered by the Canadian Cabinet.

The result is that the Governor is placed, potentially at least, at the mercy of his own Cabinet, a subordination which makes assertions of independent opinions unlikely and any strong line of conduct impossible and is apt as well to undermine his influence and reputation for impartiality. For it must be assumed that this power of advising the King to remove a Governor is not merely nominal but real, and that the King will act on advice so given. The period of full Dominion status has been short, but there has been time for one decisive precedent on the fate of a Governor who happened to incur the enmity of his Cabinet. Mr James McNeill, the Governor-General of the Irish Free State, was removed in this way in 1932 for the most trivial reasons and a successor was chosen who was more closely identified with the De Valera Government. Such drastic action, it need scarcely be added, was quite at variance with the tradition of the independence and party neutrality of the King's representative; the removal under the particular circumstances violated one aspect of this tenure, the new and partisan appointment violated another. This unsavoury precedent stands, however, as a menace to the tenure of all Dominion Governors.

The British North America Act states that the Governor-General is to receive a salary of £10,000 a year "unless altered by the Parliament of Canada," although it may probably be assumed that the amount would not be reduced during a Governor's term of office. One year after Confederation the Dominion Parliament passed legislation to cut the salary to

£6,500, but the retiring Governor, with a strong appreciation of trade union principles, reserved the bill for the signification of the pleasure of Her Majesty's Government in Great Britain. The British Government thereupon refused its assent, giving as a reason that the proposed reduction would place the office in the third salaried class among colonial governments and that the small return would make it very difficult to induce an outstanding person to accept the position. The proposed reduction was, in fact, too much for Lord Mayo and he refused to accept the post. He chose instead the Governor-Generalship of India—where he was assassinated. The Canadian Parliament at a later date, indeed, found that it had to augment the salary with fairly generous amounts for living expenses. Today the salary is still £10,000, but clerical and travelling allowances, together with fuel, light, telephones and general upkeep of Rideau Hall, a part of the Quebec citadel, and two railway cars add between \$125,000 and \$150,000 to the annual charge.

The functions of the Governor-General are many and varied, but they may be divided into two broad categories depending upon the manner in which the Governor participates in their discharge. The first comprises those functions which are, so far as he is concerned, purely nominal and are performed automatically and inescapably on the advice of his Cabinet. These (which may spring from either prerogative or statute) need not be dealt with here, for they are more properly considered as functions of the Cabinet, the Governor's participation being that of giving his consent as a matter of routine to the advice tendered. If his duties were confined to procedures of this nature, the post could most certainly be regarded as superfluous and its continuance would be extravagant folly. There is, however, a second group of functions which forms a part of the prerogative powers but which, unlike some other powers of the same origin, has continued to be closely identified with the Governor as a person and has not been brought under Cabinet control. In these functions the Governor as an individual takes an active part and their exercise will vary greatly with his special capacity and character. It is these which furnish

the major justification for the simulacrum of kingship at Ottawa. The constitutional monarchy as it is known throughout the British Commonwealth is far from being a useless survival which through inertia or kindness of heart has been allowed to linger on. It is no atrophied organ of the body politic, but an important part with useful and even vital duties to perform. Cabinet government, in short, presupposes some central, impartial figure at its head which at certain times and for certain purposes supplements and aids the other more active and partisan agencies of government.

In the first place, the Governor-General is charged with the duty of seeing that there is always a Prime Minister and a responsible Cabinet in office. One of the great merits of cabinet government is its ready adjustment to change and particularly the speed and ease with which a new administration can step into the shoes of its predecessor. On most occasions this change of rulers is a purely mechanical operation, for it may happen that only one person will be in a position where he can accept the Prime Ministership with the assurance of receiving the indispensable parliamentary support. At such times the task of the Governor is extremely simple and calls for nothing but a formal request to the obvious person to take the responsibility of constituting a new Cabinet. Thus if a Prime Minister resigns after his party's defeat in a general election, the Leader of the Opposition or of the major Opposition party must be the automatic choice, or, if the vacancy can be anticipated or if time permits, the majority party will select its leader and thus again make the Governor's choice merely a formal one. In 1920, for example, when the retirement of Sir Robert Borden was imminent, the Cabinet and the Government party members held prolonged conferences and even took a ballot to ascertain the views of all the party supporters. Although a difference of opinion developed between the rank and file and the Cabinet, agreement on Mr. Meighen was eventually reached, and three days before Sir Robert Borden resigned the Governor requested Mr. Meighen to form a new Government.

There are other times, however, when the choice is neither obvious nor simple. A sudden death or resignation or party



dissension may cause the office of Prime Minister to fall unexpectedly vacant and someone must be charged with the duty of seeing that it is filled immediately and to the satisfaction of the Commons. It is the Governor's task to take the initiative and pursue the matter unceasingly until a new Prime Minister is in office. This may involve consultation with those who the Governor feels can give sage advice or it may necessitate preliminary negotiations with potential Prime Ministers to discover if they want the position, if they can form a Cabinet, and if they are able to command the support of a majority in the House. In any event the responsibility for making the final choice rests with the Governor, subject, of course, to the selection being sustained in the House of Commons. Thus Lord Aberdeen in 1894 called in Sir Frank Smith to advise informally on a successor to Sir John Thompson, and in 1896, after first sounding out Sir Donald Smith, he asked Sir Charles Tupper to succeed Sir Mackenzie Bowell. The Governor-General has not been called upon since then to use his own judgment in selecting a Prime Minister, but there is no reason whatever to assume that the power has vanished in the interval. There have been two occasions in recent years for the exercise of this power in Great Britain,<sup>1</sup> and the conscription crisis in Canada in 1944 might easily have resulted in the Governor being compelled to choose a successor to Mr. Mackenzie King.

\* A second duty of the Governor-General is to offer his services as a mediator and conciliator between the political party leaders when the occasion warrants.\* Intervention of this kind is usually of an emergency nature and will occur only in time of crisis. Thus the Duke of Devonshire in 1917 summoned Sir Robert Borden, Sir Wilfrid Laurier, and four

<sup>1</sup>King George V in 1916 called a conference of Mr. Asquith, Mr. Lloyd George, Mr. Bonar Law, Mr. Balfour, and Mr. Arthur Henderson to aid him in choosing a successor to Mr. Asquith who would be reasonably sure of support in the Commons. In 1923 he exercised a genuine choice when he selected Mr. Baldwin in preference to Lord Curzon as the successor to Mr. Bonar Law.

<sup>2</sup>An unusual example of this succession occurred in Australia in July, 1915. On the death of the Prime Minister the Governor-General chose Mr. Francis Forde as his successor. Within a few days, however, the Labour party caucus met and somewhat unexpectedly chose Mr. J. B. Chifley as their new leader, an act which resulted in Mr. Forde's immediate resignation and the Governor-General requesting Mr. Chifley to assume office.

others to a meeting at Government House to discuss the general political situation, involving coalition government, conscription, and the possible avoidance of a war election.<sup>1</sup> Governors have sometimes intervened in a different kind of quarrel, that between the Dominion and a province. Shortly after British Columbia's entrance into Confederation Lord Dufferin endeavoured to charm away the bitterness between that province and the Dominion, and twenty years later Lord Aberdeen held a series of interviews with the Premier and Attorney-General of Manitoba on the separate school issue. Efforts of this kind, however, rarely meet with more than a modicum of success, and there is always bound to be some doubt at what moment, if at all, intervention by the Governor-General should occur or whether matters should be allowed to take their normal though admittedly more troubled course. In short, the Governor's mediation in the past has been of very doubtful utility, and there is nothing to suggest that it will be given any encouragement in the future.

Governors-General have also been expected at times to act as quasi-diplomatic agents formerly under instruction from the British Government, latterly from that of Canada. This activity has in practice been confined to the paying of official visits to the United States. In early days these trips frequently had a definite and avowed diplomatic purpose, but today they are, on the surface at least, nothing more than gestures of goodwill and friendliness to a great neighbour. But, as President Roosevelt pointed out, there was nothing to prevent him and Lord Tweedsmuir from sitting on the same sofa and soliloquizing aloud, and if one overheard what the other said, that was unavoidable. It is, indeed, probable that these social calls are still occasionally used to review unofficially and tentatively matters which are of common interest to the two nations, although their usefulness for purposes of diplomatic intercourse is very obviously restricted.

<sup>1</sup>A British parallel is found in the conference of party leaders called by the King in 1914 when the Home Rule controversy reached the most acute stage of its many acute stages.

The Governor is also the social head of the country and has always been supposed to exercise a moral leadership as well. This is, of course, a direct inheritance from England and one which received far greater prominence in Victorian times when the Queen was a model of the social if not of all the constitutional proprieties<sup>1</sup>. Greater emphasis is laid today upon leadership in various fields of worthy endeavour and music, art, social service, public health, youth movements, history, literature, education, the universities, the theatre, and all commendable phases of the national life come under the notice and patronage of the Governor and his wife<sup>2</sup>. But in voicing his views on any of these matters he must go warily lest he should unwittingly trespass upon what some touchy person, political party, or organization considers to be a controversial issue on which officially and publicly he must have no opinion. A Governor has been severely called to task for praising in the most general terms a distinguished Canadian statesman, others have been accused of trying to win converts for aiding Imperial defence or for promoting Imperial trade, Earl Grey was attacked for giving his views on such innocuous topics as co-operative societies and retail merchants' associations. "For nearly five years," said Earl Grey, "I have, quite conscious of my constitutional limitations, walked the tight-rope of platitudinous generalities and I am not aware of having made any serious slip." Distrust of the expressed opinions of the Governors in office before 1926 was certainly due in some measure to their intimate relationship to the British Govern-

<sup>1</sup>Even Victoria's leadership in society was occasionally questioned. Sir Charles Dilke once told of a peer "who had enumerated certain houses in which you must be at home if pretending to the exclusive social set. It was objected that the inmates of some among these houses were persons whom the Queen would not receive. 'The Queen' said ——— in a tone of primed surprise 'the Queen was *never* in society!'" S. Gwynn and Gertrude M. Tuckwell, *Life of Sir Charles Dilke*, II, p. 554.

These well-meaning efforts are not always appreciated. "The Earl [of Aberdeen] himself was a sensible and inoffensive man, but his wife was the most aggressive busybody who ever presided over Rideau Hall." *Toronto Saturday Night*, Oct. 4, 1898. "There is a feeling of relief that school is out and that the schoolmaster and schoolmistress have gone away. On examination, I think it is improbable that Canadians could be found to have profited much by the good-natured and well-intentioned efforts of Lord and Lady Aberdeen." *Ibid.*, Nov. 19, 1898.

ment, and hence the recent separation of the office from British influence may lead to a greater tolerance and leniency in the general public. Exceptional discretion in all public utterances is nevertheless an inescapable although somewhat galling consequence of a system under which the Cabinet must assume responsibility for any words of the Governor on a public question and where Opposition parties lie in wait for unguarded words with which to belabour the responsible Minister. The Governor is perforce compelled to express himself as best he may in what Lord Tweedsmuir described as "Governor-Generalities." "Once," said Lord Tweedsmuir in addressing the United States Senate, "I was like you. I was a free and independent politician. I could liberate my mind on any subject, anywhere, at any time, at any length I pleased. I had an official character, and, like you, I had also a private character. Now, I am in the unfortunate position of having no private capacity, but only an official one."<sup>1</sup>

One side of the Governor-General's social activity is entertainment, and this is expected to include the members of the Parliament, the civil servants, the diplomatic corps, and any person of distinction who may be within reach. Here, again, time has brought some changes, for even the most sociable of modern Governors could not hope to rival the determination and thoroughness with which their Victorian predecessors flung themselves into the absorbing task of providing entertainment for their guests. Mr Harold Nicolson has recounted with mingled awe and delight the hospitable efforts of his relatives, the Dufferins.

The record of their entertainments leaves one aghast. There were drawing-rooms, picnics, receptions, "diums," dinners, balls, torchlight processions, garden parties, bazaars, regattas, and amateur theatricals. There were concerts also and carefully prepared *tableaux*. "The first part of the programme," Lady Dufferin records, "consisted of vocal music by amateurs. Then Rosa d'Erina sang four songs capitally. Three very pretty *tableaux* closed the entertainment. The Death of Cleopatra, The Expulsion of Hagar, and a group of flower girls. Nelly being one of them."

It was not so much by the reckless expenditure of his personal fortune that he won the heart of the Dominion as by the zest with which he flung

<sup>1</sup>Lord Tweedsmuir, *Canadian Occasions*, pp. 59-60

himself into Canadian interests and pastimes. Day after day he could be seen skating, sleighing, tobogganing, snow-shoeing, or in summer fishing at Tadoussac or sailing his cutter in and out of the shipping of the St. Lawrence. He became particularly adept at curling, spending hours in the little curling rink at Montreal, which to this day is plastered with photographs of his matches or of the competitions which he encouraged.<sup>1</sup>

Closely associated with the Governor's social activities are his duties as the ceremonial head of the government. He must, of course, open Parliament, receive foreign diplomatic agents, and perform similar routine functions, but he must also go on tour throughout the Dominion once or twice a year and, in the course of his travels, lay cornerstones, listen to innumerable addresses from municipal authorities, attend exhibitions, open museums and hospitals, and generally carry out all the wearisome tasks which the King is expected to do in Britain.<sup>2</sup>

The cynic may well question the purpose that is served by many of these barnstorming performances throughout the Dominion, but there can be little doubt that even the most democratic countries desire this sort of thing, and the only real question to decide is what person is to spend his time at it. History records, for example, that President Coolidge ("Silent Cal") thought it necessary to deliver twenty-eight speeches to various bodies in the year 1927. He "addressed the Germans on March 12, the Norwegians on June 8, the Negroes on June 25, the Swedes on July 1, the Irish on July 21, the Latin-Americans on October 28, and the Italians on November 24. Among the groups to which he talked were automobile men, contractors, trust-company officers, investment bankers, newspaper editors, motion-picture magnates, marine engineers, mining engineers, mechanical engineers, and farmers." On one occasion three hundred school children were brought to Washington

<sup>1</sup>Harold Nicolson, *Helen's Tower*, pp. 155-6.

<sup>2</sup>Certain of the ceremonial functions may occasionally lead to the Governor-General forgetting that in these he is primarily an ornament and not a responsible official. The Duke of Connaught, for example, was for a time impressed with the importance of his post as Commander-in-Chief of all the Canadian armed forces and Sir Robert Borden was forced to remind him that his command was supposed to remain as nominal as that of the King in England. *Robert Lund Borden His Memoirs*, I, p. 461, II, p. 604.

<sup>3</sup>C. M. Fuess, *Calvin Coolidge*, p. 370.

as winners in some nation-wide competition and they were allowed, as the culminating incident in a day crammed with wonders, to walk through one end of the President's office and see the chief executive at work. No doubt the impression left on the children's minds was a vivid one and the enjoyment of their day was greatly enhanced by what they saw in the White House, but it is difficult to believe that Mr. Coolidge accomplished very much while three hundred giggling school children shuffled across his office floor. These things, however, would appear to be a necessary concomitant of democratic governments, and the nations of the British Commonwealth are extremely fortunate in that they have accidentally at hand the means of satisfying these demands for display and for official performances. In short, the Prime Minister's time is usually much too valuable to be frittered away in trivialities of this kind, and so long as there is a Governor available, he can do the job acceptably. Indeed, most people would prefer to see and listen to the Governor rather than the Prime Minister, a preference so bizarre that its elucidation must be left to the ingenuity of the social psychologist.

Another function of the Governor-General is that of adviser and consultant to the Cabinet and more especially to the Prime Minister. Walter Bagehot, in discussing the English monarchy, gave the classic definition of this function when he wrote "The sovereign has three rights—the right to be consulted, the right to encourage, the right to warn. And a King of great sense and sagacity would want no others."<sup>1</sup> Queen Victoria, the sovereign at the time Bagehot's book was written, had her own peculiar ideas as to what this involved, and did not hesitate to favour one party or one Prime Minister when it suited her purposes to do so,<sup>2</sup> but it is generally believed that her successors have had a greater regard for the niceties of the institution and

<sup>1</sup>Walter Bagehot, *The English Constitution* (1908), p. 143.

<sup>2</sup>"The Queen interpreted the right to be consulted, the right to encourage and the right to warn as the right to obstruct, the right to bully and the right to go behind her Ministers' backs when their policies were displeasing to her." Kingsley Martin, "The Evolution of Popular Monarchy," *The Political Quarterly*, VII, 1936, p. 171.

have used these rights carefully and conscientiously. The Canadian Governor-General is expected to follow the same practice. Thus while on all but the most exceptional occasions the Governor must follow the advice of his Cabinet, he is not supposed to be blindly subservient to them; he must co-operate with them fully and in the last resort follow their counsel, but he is free, indeed it is his duty, to give his own opinions whenever he feels these opinions are worthy of consideration.

If [the Governor] has tact and ability, there is open a wide field of influence. He is in theory entitled to similar treatment by his Ministers to that received by the King, he should be taken into their confidence in all weighty matters, and be informed as early as possible of the outcome of deliberations in Cabinet on important issues. He can point out objections, he can criticize, suggest, and obtain alterations even in purely local policy. But he can do so only by remaining behind the scenes and avoiding any rumour that the Governor controls the progress of affairs. If he remains in one Government for a full term of office, he may easily come to acquire much weight especially if Ministers are unaccustomed to office. But it must be admitted that no Governor has anything resembling the prestige of the Crown, and Ministers in the Dominions have never adopted towards their Governors the attitude that full trust and consideration are his due and must be rendered as a matter of course.<sup>1</sup>

It is very evident that the usefulness of this function of the Governor-General and the extent to which it can be employed with profit will depend primarily upon the character, capacity, and temperament of both Governor and Prime Minister and the confidence and good faith existing between them. Exceptional knowledge or the highest of motives is not in itself a sufficient guarantee of success. The Governor must know when to interfere and when to abstain, and when he endeavours to give help and advice he must possess sufficient restraint, balance, and tact that he will persuade and not antagonize his Cabinet. Lord Byng, for example, insisted on one occasion on receiving a delegation of strikers in an industrial dispute, despite the fact that several of his Ministers told him that such action was both irregular and improper.<sup>2</sup> He failed to promote in any way the settlement of the dispute, and his stubbornness

<sup>1</sup>Keith *Responsible Government in the Dominions*, I, p. 106.

<sup>2</sup>E. M. Macdonald, *Recollections*, pp. 487-90.

could scarcely have increased his influence with his constitutional advisers. The Duke of Connaught became very much concerned in 1916 because the Canadian Cabinet did not take certain steps which he considered desirable, and after lodging a number of unusually strong protests (which appear to have been singularly inappropriate) he added that he was remonstrating also because of his personal objections "as Governor-General and a Field-Marshal in His Majesty's Forces, against the undoubted danger both to Canada and the Empire, which apparently the Canadian Government did not appreciate or entertain." It would be gilding the lily indeed to attempt to do more than quote verbatim a part of the reply of Sir Robert Borden:

I hope that my colleagues and I shall not be found wanting in respect or indeed in admiration for the wide military experience of Your Royal Highness and the high position which you hold as a Field-Marshal in His Majesty's Forces. It would appear to us that the matters under consideration do not call so much for the exercise of military skill or the application of military experience as the consideration of international law and the exercise of the common place quality of common sense.<sup>1</sup>

Confidence between the Governor and his Prime Minister is, however, rarely present to the same degree as in the similar situation in Great Britain, a lack which may be attributed to many things, such as the mediocre talents of certain Governors, the old functions of the Governor as Imperial officer and the consequent distrust of his attitude when national and Empire issues clashed, and the fear of a revival or perpetuation of certain of the Governor's former independent powers. Canadian Cabinets, it seems, have not always kept the Governor as well informed as they should of matters under consideration or even decisions made,<sup>2</sup> although a change in certain of the factors noted above may in the future bring the Governor and his advisers more closely in touch with one another. Even the wisest Governor can do little or nothing if he is not apprised of Cabinet business, for as he has long since ceased to be

<sup>1</sup>*Robert Laird Borden: His Memoirs* II, p. 603.

<sup>2</sup>See, for example, the protest of the Duke of Connaught in 1915 when Sir Robert Borden, while right on a technicality, was wrong on the general principle involved. *Ibid.* I pp. 528-30.



present at Cabinet meetings he has no way of acquiring knowledge except with the active assistance of the Prime Minister. Lord Dufferin's plea that while he had no desire "to fidget with the administration of the country or to interfere in any way with the free action and official responsibility of my Ministers"<sup>1</sup> he was nevertheless worried about his growing separation from the business of the Cabinet, was an early indication of a difficulty which appears to have grown more acute of recent years. Thus the Imperial Conference of 1926, while providing for the new status of the Governor, felt it necessary to add that "a Governor-General should be supplied with copies of all documents of importance and in general should be kept as fully informed as is His Majesty the King in Great Britain of Cabinet business and public affairs."

The extent to which the Governor-General is now used as a consultant by the Canadian Cabinet and the influence which he exerts are both matters on which few can pronounce with certainty. The relations of the Governor and the Prime Minister must in the nature of things remain generally unknown and the matters dealt with are even more deeply veiled by official secrecy. Only here and there are a few disclosures made, and these become public so long after the event that they often have little applicability to existing conditions. From time to time, however, evidence has been forthcoming that the influence of the Governor on his Prime Minister has been far from negligible,<sup>2</sup> and it has been none the less important because it was necessarily informal and difficult to assess. The two following opinions, the first by Sir Wilfrid Laurier and the second by Sir Robert Borden,

<sup>1</sup> Pope, *Correspondence of Sir John Macdonald*, p. 203. Dufferin elsewhere urged his absolute right to express his opinions or feelings to the Cabinet just as freely as his Ministers. Duke of Argyll, *Passages from the Past*, II, pp. 416-17.

<sup>2</sup> See, for example, Earl Dufferin's letter to Sir John A. Macdonald, in Pope, *Correspondence of Sir John Macdonald*, pp. 228-9, Lord Aberdeen's refusal to accept Sir Mackenzie Bowell's resignation, in *Can. Senate Debates*, Jan. 9, 1896, p. 16. Earl Grey was unable to dissuade Sir Robert Borden from appointing Colonel Sam Hughes as Minister of Militia, but the Duke of Connaught induced him to withdraw the name of Sir Hugh Graham from the list of desired recommendations for honours in 1916. *Robert Laird Borden His Memoirs*, I, p. 330 II, p. 611-13.

indicate the value attached to this function of the Governor-General by those who came in direct contact with him.

The Canadian Governor-General long ago ceased to determine policy, but he is by no means or need not be the mere figure-head the public imagine. He has the privilege of advising his advisers and if he is a man of sense and experience, his advice is often taken.<sup>1</sup>

It would be an absolute mistake to regard the Governor-General (as the office was altered by the 1926 Conference) as a mere figure-head, a mere rubber stamp. During nine years of Premiership I had the opportunity of realizing how helpful may be the advice and counsel of a Governor-General in matters of delicacy and difficulty, in no case was consultation with regard to such matters ever withheld, and in many instances I obtained no little advantage and assistance therefrom.

Finally, the Governor-General has a reserve power in certain grave contingencies to act on his own initiative. The extent of this power is vague and the occasions on which it may be used are debatable and to some degree uncertain, but such a power most certainly exists, although its exercise must be regarded as justifiable only under the most exceptional circumstances. The broad rule, of course, still holds: the Governor-General will follow the advice given by his Ministers for they accept the responsibility and with it accept the praise or blame for the decision and its results. The advice given may be bad, it may be short-sighted, it may be foolish, it may be dangerous—these considerations may induce the Governor to remonstrate with his Ministers and try to win them over to his point of view, but if they persist, his only course is to shrug his shoulders and acquiesce. The decision is not his, but that of his Government, and eventually the people and their representatives will deal with those who have proffered the advice. Should the Governor set his will against that of his Cabinet his action at once tends to become a political issue and he, whether he likes it or not, finds himself a party leader in the Opposition's interests.

At very rare intervals, however, the Cabinet may pursue a policy which threatens to disrupt the proper and normal working of the constitution. This may sometimes be over-

<sup>1</sup>O. D. Skelton, *Life and Letters of Sir Wilfrid Laurier*, II, p. 86n.

<sup>2</sup>"The Imperial Conference," *Journal of the Royal Institute of International Affairs*, July, 1927, p. 204.

come by allowing matters to take their course or by the Governor persuading his advisers to alter their policy and adopt a more seemly procedure than the one proposed. Such courses of action, however, may not prove feasible, and it may then become the thankless duty of the Governor to intervene and insist on certain steps being taken more in accordance with the constitutional proprieties, even although this may necessitate—as it usually will—the virtual dismissal of his advisers and a search for another Prime Minister and Cabinet to take their place. If, for example, a Prime Minister were shown beyond any reasonable doubt to have accepted a bribe and he then refused to resign or to advise that Parliament be immediately summoned to deal with the matter, the Governor would have an undoubted right to dismiss him from office. Or if a Prime Minister, having obtained a dissolution, was returned with a minority of members and promptly demanded another dissolution, the Governor would have no real alternative but to refuse the advice and force the resignation of the Cabinet.<sup>1</sup>

No exact rules can be laid down to indicate concisely and positively the occasions on which the exercise of this personal prerogative is justifiable, for each case must be decided on its own merits and there is even a great divergence of opinion on the wisdom of some of the recorded interventions of the past. Two cases have arisen in Canada during the last fifty years which have involved the exercise of this power. The first, the refusal of Lord Aberdeen in 1896 to make appointments on the advice of a defeated Government, would seem to have had little or no constitutional backing, and while Lord Byng in 1926 had a strong case which would have justified his delivering an ultimatum to the Prime Minister, he chose in the event a different question for disagreement and thus made his position very difficult if not impossible to defend.<sup>2</sup> In neither instance did the

<sup>1</sup>See Jennings, *Cabinet Government*, pp. 295-340.

<sup>2</sup>This is, of course, debatable ground. See Keith, *Responsible Government in the Dominions*, I, pp. 146-52, 173-4; E. A. Forsey, *The Royal Power of Dissolution of Parliament in the British Commonwealth*; H. V. Evatt, *The King and his Dominion Governors*; R. MacG. Dawson, *Constitutional Issues in Canada*, 1900-31, pp. 72-91.

Governor join issue with his Cabinet on what could be considered a watertight case, a fact which is strongly suggested by the controversy engendered by both events.

While admittedly the occasions which will warrant the use of the reserve power of the Governor are impossible to define, two conditions, it is submitted, ought to be present. The operation of the usual constitutional procedures (as directed by the Cabinet) in the matter in question must be such that it would not simply involve some moderate delay or temporary inconvenience—it would have to perpetuate for some time a state of affairs which is plainly intolerable and a violation of the spirit and intent of the constitution. Further, there should also be no reasonable doubt whatever of the essential wisdom and justice of the Governor's intervention, and if any such doubt is present, it constitutes *prima facie* evidence that he should hold his hand. For if the Governor moves on his own responsibility, he must at once obtain other advisers and will thus sooner or later be compelled indirectly through his new Cabinet to seek justification at the polls for the use of his emergency power. In short, he must be so sure of the inherent rightness of his intervention and his popular vindication that he is willing to stake both his reputation and his office upon its general acceptance. If it be objected that the above two conditions are very seldom found and hence any assertion of the reserve power will be very extraordinary indeed, the argument is not weakened but confirmed, for the great justification of the retention of this prerogative is that it is an emergency device invoked to re-establish genuine democratic control at a time when the normal constitutional procedures have faltered and are in danger of being improperly and unscrupulously employed. The mere existence of this power will, in fact, tend to prevent the need for its exercise ever arising.

✓ Neither the King nor the Governor has a blanket authority to use his reserve power to correct the mistakes of his advisers, and each will serve his country best by jealously keeping that power for only those rare emergencies when nothing else is adequate. Like the gold piece under the mattress, it serves not by continued use but through its potential power to cope with emergencies, the successful household is the one which never has occasion

to resort to it, yet which derives a feeling of additional security and well being by its mere presence and the knowledge that it can be used when disaster threatens<sup>1</sup>

A review of these functions of the Governor-General will immediately reveal that a successful Governor must possess certain qualities above all others he must have ability of a somewhat unusual kind and he must also be able to maintain the most conscientious disinterestedness and impartiality towards Canadian political affairs ( If he is to be allowed to choose a new Prime Minister, if he is to be of any service as a mediator between conflicting parties, if his advice is to carry weight in Cabinet councils, if in emergencies he is to act as the guardian of the constitution, and, to a less degree, if he is to provide social and cultural leadership, his political opinions and prejudices must be above criticism and he must enjoy sufficient security to speak and act both honestly and fearlessly) Lord Dufferin's half humorous description of the Governor and his necessary qualities has lost none of its force with the years

If there is one obligation whose importance I appreciate more than another, as attaching to the functions of my office, it is the absolute and paramount duty of maintaining not merely an outward attitude of perfect impartiality towards the various parties into which the political world of Canada, as of the mother country, is divided, but still more of preserving that subtle and inward balance of sympathy, judgment and opinion . . . I suppose I am the only person in the Dominion whose faith in the wisdom and in the infallibility of Parliament is never shaken Each of you, gentlemen, only believes in Parliament so long as Parliament votes according to your wishes I, gentlemen, believe in Parliament, no matter which way it votes As a reasonable being [the Governor-General] cannot help having convictions upon the merits of different policies But these considerations are abstract, speculative, devoid of practical effect in his official relations As the head of a constitutional state, as engaged in the administration of parliamentary government, he has no political friends—still less need he have political enemies, the possession of either, nay, even to be suspected of possessing either, destroys his usefulness<sup>2</sup>

Recent constitutional changes, moreover, have made it far more difficult than before for the Governor-General to remain impartial and aloof, to discuss public matters frankly

<sup>1</sup>R. MacG. Dawson, in *Canadian Journal of Economics and Political Science* Feb., 1944 p. 93

G. Stewart, *Canada under the Administration of the Earl of Dufferin*, pp. 193-6

with the Prime Minister, and to give advice and to take action without fear of immediate consequences. The Governor's dependence on the Dominion Government has, since 1930, become complete, for he owes to it his appointment, his salary and allowances, and his tenure of office. The Imperial Conference of 1926, which ingenuously asserted the similarity of the positions of King and Governor, took in fact the very steps which began to destroy much of that cherished similarity. The Conference cut the Governor loose from British control, but in so doing, it brought him under the control of the Dominion Government, and the latter status was as unlike that of the British King as the former. For while it is true that the King holds office in Great Britain at the will of the British Parliament, it is no less true that the traditions and prestige of the office and the unique position of the Sovereign in the history of Britain and in the affections of the people give him a bulwark of defence which is built deep into the national life. The Governor will always be at a disadvantage in any comparison with his principal. Aside from such important considerations as tradition and prestige, his term of office is fleeting and not permanent, he is a comparative stranger with no permanent identification with the life of the Dominion, he frequently lacks the background of political experience which would lead his Prime Minister to seek and to rely on his advice and friendly counsel.

But although it is quite impossible to create any exact copy of the original institution in Canada, certain safeguards can be erected which will materially increase the prestige and security of the Governor's position and enable him to be a more useful member of the government. Appointment on the advice of the Canadian Government must be retained, but (as suggested above) this can be purged of any partisan implications by prior consultation with the other major party leaders. 'The precarious term and tenure of the Governor as it exists today could be changed by giving him a fixed term of five years, tenable during good behaviour, with removal only by joint address of the two Houses of Parliament.' The salary could be explicitly guaranteed for the Governor's entire term. Such (or similar) measures would

give him an independent position approximately equal to that enjoyed by the King and they would enable him to act more effectively and usefully than under existing conditions.

These changes, however, would have little merit and might even prove dangerous if they were not reinforced by the selection of suitable men who would be both able and politically impartial at the time of their appointment. Such a demand raises a question which has recurred in Canadian politics at irregular intervals for over sixty years, namely, the desirability of appointing a native Canadian to the Governor-Generalship. The idea is a natural consequence of a growing national sentiment, and it appears to have been recently gaining in favour. Eire has had an Irishman as Governor and President for many years, and both Australia and South Africa have experimented with native sons as Governors-General, although the success of the experiment in the two latter Dominions has been somewhat doubtful. Despite the national sentiment in Canada and these precedents in sister Dominions there is still much truth in Sir Wilfrid Laurier's designation of the suggestion as "a laudable but misguided expression of national pride." For the position of Governor-General, as seen in the preceding pages, demands, above all other qualities, freedom from bias and an independence of Canadian political parties, and few if any Canadians whose experience and eminence would fit them for the post would at the same time be able to forget their past and acquire this essential detachment. Political prejudice would be well-nigh inescapable and, even although it were absent or under control, it would be suspected and alleged, and much of the usefulness of the office would thereby disappear. The history of Lieutenant-Governors in the Canadian provinces substantiates this argument only too well. There is, of course, no special reason why a Governor-General must come from the British Isles, and there is much to be said for the suggestion that Governors should be chosen from other Dominions as the occasion warrants.

An underlying problem, and a serious one, is that the duties of the office are of such a nature that it is not easy to persuade men of genuine ability to accept it. "If ever we

have a man of genius as King," wrote A. G. Gardiner, "we shall probably end by cutting off his head." Exceptional ability or talent of a certain kind might in short, prove a snare to either King or Governor-General. The constant need for discretion and silence, the suppressed activity and restrained energies, the time which must be devoted to tiresome routine and dreary ceremonial, and the paramount necessity for keeping in the background in almost every really important matter<sup>1</sup>—all unite to warn off the ambitious and the highly talented. Nevertheless the gap between brilliance on the one hand and well-meaning dullness on the other is both wide and well populated, and the Governor-Generalship should prove desirable to many of the best in this area. It must never be forgotten, however, that much of the successful working of the institution as well as its attractiveness to men of ability will depend in the long run upon its prestige which in turn will be determined by the attitude of the Canadian Cabinet. The latter must play up to the position and consult with and make genuine use of its incumbent to a far greater degree than appears to have been the practice in recent years. "Influence," said George Washington, "is not government", but it nevertheless remains true that sane, intelligent, dispassionate advice by a disinterested person cannot fail to be of genuine value in the highest councils of the state, and the opportunity for obtaining such advice should not be lightly thrown aside.

<sup>1</sup>"The powers of a constitutional monarch must always be indeterminate and delicate, brittle if too heavily pressed, a shadow if tactlessly advertised, substantial only when exercised discreetly in the background." Lord Tweedsmuir, *The King's Grace*, p. 46.



## CHAPTER IX

### THE CABINET POSITION AND PERSONNEL

THE most striking feature of the Canadian form of government is undoubtedly the superficial absurdity of the dual nature of the executive power. The Governor-General, as the King's representative, is the official head of the state, but the active and puissant head is the Prime Minister. Appointments are made, acts of Parliament are proclaimed, mails are carried, criminal prosecutions are instituted, war is declared, treaties are negotiated and ratified, in the name of the King or of the Governor-General, although the Prime Minister and the Cabinet are in fact the ones who make the selections and decide the policies which lie behind all these activities.) Pomp, ceremony, and the external symbols of power and high regard are lavished on the one executive, while the other must rest content with an occasional expression of popular confidence moderated at all times by systematic opposition and carping criticism.

This curious characteristic of Canadian government is, of course, a direct inheritance from England where it appeared in the struggle between King and Parliament as a tentative method of curbing the royal power. Sir John Seeley said that England conquered half the world in successive fits of absence of mind, he might have added that this absent-mindedness also occurred in other matters, resulting in the development of the most successful form of democratic government the world has yet seen. Cabinet government was the product of a series of historical accidents, experiments, and temporary expedients, so haphazard in its origin and development that no one could have planned it in advance, or, even if this had been possible, would have been so rash as to suggest that it could ever have been made to work. There can be little cause for wonder that foreigners are frequently bewildered and exasperated by the curious mentality of a people who can remain satisfied

with so preposterous and illogical an institution "These miserable islanders do not know," exclaimed the father of Mirabeau, "and will probably not know till their wretched system has exploded, whether they are living under a Monarchy or a Republic, a Democracy or an Oligarchy"

If a political system can be said to have a centre of gravity, that centre of gravity in Canada is most certainly the Cabinet, for the whole weight of the government is in a very real sense concentrated at that point. Abolish the Cabinet or remove any part of its primary functions and the entire balance of the existing political structure would be destroyed. "A Cabinet," said Walter Bagehot, employing a different metaphor, "is a combining committee—a hyphen which joins, a buckle which fastens, the legislative part of the state to the executive part of the state." The Cabinet links together the Governor-General and the Parliament. It is, for virtually all purposes, the real executive. It formulates and carries out all executive policies, it is responsible for the administration of all government departments, and it also prepares by far the greater part of the legislative programme and exercises almost exclusive control over all matters of finance. The curious paradox which marks the Cabinet's relations with the Governor-General appears also in a modified form in its relations with Parliament. The Cabinet is the servant of the Governor, yet in practice it tells him what to do, it is also the servant of the House of Commons, yet it leads and directs the House and is in a very real sense the master of that chamber.

The activities of the Cabinet (as indicated elsewhere) receive scant attention in the written constitution or, in fact, in any statute. The British North America Act has a few provisions which mention the Privy Council, the chief of these stating that "there shall be a Council to aid and advise in the Government of Canada, to be styled the Queen's Privy Council for Canada, and the Persons who are to be Members of that Council shall be from Time to Time chosen and summoned by the Governor-General and sworn in as Privy Counsellors, and Members thereof may be from Time to Time removed by the Governor-General" (Section 11). Yet this

Council is not the Cabinet at all, although by custom the Cabinet constitutes the active part of the Council. Acts of Parliament have created the government departments and individual ministries, but again it is through custom that the Ministers who occupy these positions are in the Cabinet. Moreover the Cabinet as a body is almost completely ignored by all the statutes, although an occasional casual reference may be found.<sup>1</sup> The Prime Minister and the Cabinet undoubtedly exercise very extensive power, but this power is not explicitly given them by the law, and they exercise it formally through some other body in accordance with the custom of the constitution. The really vital things about the Cabinet rest on the constitutional conventions. When should a Cabinet resign? How does it obtain office? What are its powers? What is the relation between a Prime Minister and his Cabinet, between one Cabinet Minister and the others? How many should there be in the Cabinet? How is a Prime Minister chosen? One may search the British North America Act and the Canadian statute books in vain for answers to these questions.

The Cabinet and the Ministry have usually been treated in Canada as though they were the same body, and during a large part of Canadian history they have in fact been identical. But from time to time one or more members would appear in the Ministry or the Government who were not in the Cabinet, and since the Second World War this penumbral group (although not explicitly recognized at present as part of the Ministry) has become fairly large. In 1943, for example, the total number of Ministers and quasi-Ministers was twenty-seven, of whom twenty were in the Cabinet, in 1946 the total had fallen to twenty-five, although the Cabinet membership remained at twenty. All these by custom must have seats in one or other House of Parliament,<sup>2</sup> and custom also decides that the retirement of the Cabinet

<sup>1</sup>E.g., *Can. Statutes*, 21-22 Geo V, c 13 states that the Minister of Justice or "such other member of the Government" as may be designated may be empowered to do certain things arising out of the act.

<sup>2</sup>The parliamentary assistants (the quasi-Ministers) could not, however, be paid under the terms of the parliamentary appropriation unless they were members of the House of Commons.

will always involve the retirement of the other members as well. The distinction between the two is therefore not the political nature or tenure of the position, but whether a member of the select group has been invited by the Prime Minister to join the even more select circle of colleagues who meet together from time to time to decide matters of high policy. To this extent the line between a member who is within and one who is without the Cabinet is potentially an arbitrary and variable one, but in practice the great bulk of the members of the Cabinet are there *ex officio*. The headship of a government department, for example, is held to entitle its occupant to a seat in the Cabinet, while, on the other hand, the occupants of certain offices are invariably left outside. One or two positions have followed no consistent rule.

Members of a Government are thus by no means alike in status, there are, indeed, four different types of position to be distinguished. The first of these is the Prime Minister. He differs from his colleagues in many ways, such as, for example, the manner in which he obtains and relinquishes his office. He is requested by the Governor-General to form a Government, while he himself issues the invitation to all other members. Whenever the Prime Minister vacates his office, that act normally carries with it the resignation of all those who compose the Government, but whenever any other member leaves, the tenure of the remainder is undisturbed.

The second type, comprising the great bulk of the personnel of the Cabinet, is composed of the Cabinet Ministers, those who are the political heads of the various departments and are also the immediate associates of the Prime Minister. Some fourteen or fifteen members will usually be in this category.

A third small group and one which has been steadily growing smaller is made up of Ministers without portfolio, those who are in the Cabinet but who have no department to administer. The modern Cabinet always contains at least one member in this class, who is the leader and spokesman of the Government in the Senate, and one or two others may for a wide variety of reasons be similarly

honoured. A Minister, for example, may not have sufficient ability to warrant giving him a portfolio, yet his presence in the Cabinet may provide desired provincial or sectional representation, he may be unusually able and experienced, but no longer capable of meeting the heavy demands of departmental work, he may be very competent, yet wish nothing more than to sit in the seats of the mighty and be available for special ministerial duties whenever needed.

The fourth type of position is that of the penumbral group, the members of which may or may not be recognized as being in the Ministry. These have not yet attained what they hope to be their ultimate goal, a place in the Cabinet. This group has recently become fairly large (seven being the maximum to date), but it has for many years past made a frequent although modest and somewhat capricious appearance. In the eighteen-nineties two political officers, known respectively as the Controller of Customs and the Controller of Inland Revenue, were in the Ministry, but not in the Cabinet, they then became members of the Cabinet for a time, and later reverted to their early status for a few months until the offices were abolished, new ministries created, and the heads given places in the Cabinet.<sup>1</sup> For over twenty years the Solicitor-General remained consistently outside the Cabinet, but he then embarked upon a series of entrances and exits that terminated only with the absorption of his office and functions by the Attorney-General. In 1945, however, the position was again made separate, and although its occupant was then taken into the Cabinet, there is no assurance that this arrangement is a permanent one. The most numerous of this quasi-ministerial group are the recently created parliamentary assistants, who are members of the Commons appointed to relieve the Cabinet Ministers of some of their less important duties.

Another essential distinction which must be borne in mind has already been lightly touched upon: the distinction between the Privy Council and the Cabinet. The Privy Council, as the British North America Act states, is com-

<sup>1</sup>*Parliamentary Guide*, 1901, N. O. Cote, *Political Appointments*, 1896-1917

posed of those chosen by the Governor-General, and they are, by custom, always recommended by the Prime Minister. All Cabinet Ministers must first be made members of the Privy Council, although it may contain other persons of distinction, such as the Prince of Wales, the British Prime Minister, and the Canadian High Commissioner in London, all of whom have been members. Those appointed to the Privy Council remain members for life, and hence will include not only Ministers from the present Cabinet, but also all surviving Ministers of past Cabinets as well. The Privy Council would therefore, if active, be an extremely large<sup>1</sup> and politically cumbersome body with members continually at cross-purposes with one another, but it has saved itself from this embarrassment by the very simple and effective device of holding no meetings whatever, a policy which has been followed ever since its creation in 1807. It thus performs no functions as a Council, despite the fact that it is mentioned a number of times in the British North America Act as an advisory body to the Governor. These functions have been assumed by that portion of the Council (and by no means the major portion) which constitutes the Cabinet of the moment.

The Cabinet, lacking any legal status of its own, masquerades as the Privy Council when it desires to assume formal powers, it speaks and acts in the name of the entire Council. The Governor-in-Council is therefore the Governor acting on the formal advice of responsible Ministers of the Cabinet, and the instrument of such formal decisions becomes an Order or a Minute of Council. The Cabinet, even when it acts informally, may consider itself to be functioning as the Council (and enforce, for example, the Council's oath of secrecy upon its members) but, for the most part, in the conduct of its discussions and in its settlement of policies it is simply a group of the leading members of the majority party. The Prime Minister and Cabinet thus exercise no formal powers as such, they decide rather how some regularly constituted authority—the Governor-General,

<sup>1</sup>In recent years the Privy Council has had from sixty to ninety members.

the Governor-in-Council, a particular Minister—is to discharge functions with which that authority is legally entrusted and concerning which it will, as a matter of custom and convenience, accept direction from the Prime Minister and the Cabinet. Incidentally, the Governor is not President of the Council nor does he attend any meetings even for the routine or formal business. Orders and minutes of Council are passed by the Cabinet and then forwarded to the Governor for his approval and signature.

The principle that the members of the Cabinet must not only have seats in one of the Houses of Parliament<sup>1</sup> but that they are at all times responsible to the House of Commons lies at the very root of Canadian politics, and it was the acceptance of this convention, as indicated above, that a century ago transformed representative into responsible government. This implies that a fundamental agreement and sympathy exist between the Cabinet and the House, and that this condition will always be maintained. Thus if a Cabinet is defeated on any of its measures or on a vote of censure by the House, one of two consequences must ensue: either the Cabinet must be changed so that the Commons can obtain an executive which will give it the leadership it desires, or the Commons must itself be changed to provide the Cabinet with the support to which it is entitled if it is to remain in office. One of two courses of action are thus available following a Cabinet's defeat: the resignation of the Cabinet, or the dissolution of the House, the one gives the House a new Cabinet, the other gives the Cabinet a new House. The second alternative, of course, may not be decisive in the way expected. If the electorate choose a House which will support the Cabinet, harmony has once more been restored. If, on the other hand, the electorate elect members opposed to the Cabinet which has advised the dissolution, the result will be a continuance or even accentuation of the discord between the Cabinet and the House, and the former must then resign to make room for a new administration in which

<sup>1</sup>A Cabinet Minister, and even the Prime Minister, may for a brief period not have a seat in either house, but one must be obtained within a reasonable time.

the House will have confidence. The final appeal in resolving any dispute of this kind is thus a general election, for the House as a representative body derives its power from the people, and the Cabinet justifies its right to office by the support it can command in the House of Commons.

The House of Commons might conceivably change its mind from month to month or even from day to day as to the leadership it desired, and this vacillation would bring about continual changes in the Cabinet or a never-ending succession of elections. The chief reason why this does not happen is found, of course, in the existence and operation of well-organized and stable groups which have proved to be a highly necessary adjunct to democratic government. Virtually all members of the House of Commons belong to political parties, each is elected as a member of a particular party, and each acknowledges the leadership of and gives consistent support to the head of his own party. Thus the Prime Minister, as the leader of one of these parties is assured of the steady backing of a large part of the House, and as his party usually holds a majority of the seats, he and his Cabinet can rely on their measures being passed and the measures offered by their opponents being defeated. If there should be more than two major parties in the House, then the stability of the Government may be very seriously threatened and may at times depend on such uncertain factors as the goodwill and co-operation of a rival group. It is no accident that cabinet government is the child of a two-party system and that its greatest successes have occurred where that system has flourished. But even the existence of only two parties will give no positive assurance that the Cabinet is immune from defeat, for the emergence of an unforeseen or challenging issue may shatter the solidity of the support on which it normally relies. If at any time a substantial number of the majority party members cease to remain in accord with their fellows or if their allegiance to their leader is seriously weakened, the resulting adverse vote or even, perhaps, the mere abstention from voting, may bring the Prime Minister and the Cabinet to humiliating defeat. The far-reaching consequences of such action or inaction, however,



will in themselves operate as a powerful check on party members setting up their individual opinions against their leaders, for in order to attain what admittedly may be a minor good, they are forced to face the possibility of the disastrous defeat of a Government with which they are generally in whole-hearted sympathy. "If the effect of voting against a measure of which he disapproves," wrote Lord Morley, "would be to overthrow a whole Ministry of which he strongly approves, then, unless some very vital principle indeed were involved, to give such a vote would be to prefer a small object to a great one, and would indicate a very queasy monkish sort of conscience"<sup>1</sup>

The normal Canadian Cabinet is therefore composed entirely of members owing allegiance to the same political party. This homogeneity creates a much more efficient executive body, gives more consistent leadership, discourages internal dissension, and develops a stronger fighting organization to ward off the constant attacks of the Opposition. "There is something more required to make a Strong Administration," wrote Joseph Howe over a hundred years ago, "than nine men treating each other courteously at a round table. There is the assurance of good faith—towards each other—of common sentiments, and kindly feelings, propagated through the friends of each, in Society, in the Legislature and in the Press, until a great Party is formed which secures a steady working majority to sustain their policy and carry their measures"<sup>2</sup>

Union or coalition with another party will take place only as a last resort to create or to maintain a majority in the House or because some issue of transcendent importance obliterates, for the time being at least, the normal party lines. Canada has had since Confederation<sup>3</sup> only one experience of this kind, when a Union Government was formed during the First World War to enforce the terms of the Conscription Act of 1917. The coalition worked very smoothly, and Sir Robert Borden repeatedly paid tribute to the sincere and

<sup>1</sup>*Studies in Literature*, p. 338

Quoted by Chester Martin, *Empire and Commonwealth*, p. 209

<sup>3</sup>This statement omits the partial coalition with which Confederation was begun. Coalition Governments have not been uncommon in the provinces

cordial co-operation which he received from those Liberal Ministers who had been his former opponents, although some of Sir Robert's party found the novel association most distasteful. But the war had scarcely been concluded when disintegration set in, and the Liberal supporters of the Government began gradually to slip back to their former allegiance. The Unionist caucus met and endorsed a resolution to consolidate and perpetuate "the alliance that had saved Canada during the war", but the war was over, and dead issues soon lost their mellowing influence on life long political opponents. In a few months' time the strain was increased when the Liberals held a national convention to choose a new leader, and a little later the illness of Sir Robert Borden forced his resignation as Prime Minister. Once again the Unionists tried to avert the collapse of the coalition. They drew up a platform, they chose a new leader, they decided to reorganize the party, and they rechristened it (so desperate was their desire to retain all the waifs and strays) the "National Liberal and Conservative Party". But these measures were of little avail. The necessity for compromise and the spirit of conciliation had vanished, the coalition continued to lose support, and even those who had endeavoured to save it, appeared relieved when the election of 1921 gave it the *coup de grâce*.

The members of the Canadian Cabinet acknowledge three separate and distinct responsibilities: a responsibility to the Governor-General, which is now rarely invoked in any aggressive sense, a responsibility to the Prime Minister and to one another, which produces what is called the "solidarity" of the Cabinet, and a responsibility, both individual and collective, to the House of Commons.

The responsibility to the Governor-General is, of course, the survival of the original responsibility which the King's advisers owed to their principal and which, in Canada, was owing in the early days to the colonial Governors. Its essence is stated in the British North America Act (Section 9): "The Executive Government and Authority of and over Canada is hereby declared to continue and be vested in the Queen." It is by virtue of this authority, the section author-

izing the Privy Council,<sup>1</sup> and the prerogative instruments that the Governor-General requests the Prime Minister to form a Government, that the members of the Cabinet become the advisers of the Crown, that the Governor is entitled to be taken into the full confidence of the Cabinet (which, in fact, rarely occurs) and that the Ministers act in the name of and for the Crown. It was this relationship which the Minister of Finance had in mind in 1945 when he stated that "the authority of the Government is not delegated by the House of Commons, the authority of the Government is received from the Crown"<sup>2</sup>—a statement which horrified certain ultra-democrats in the House who had apparently been led to believe that they had been living in a republic. The responsibility to the Governor-General is, it is true, largely inactive and is rarely invoked against the Cabinet, because democratic controls have for the most part rendered it unnecessary. For almost a hundred years the Canadian House of Commons (or its provincial predecessors) has taken upon itself the duty of ensuring that the Cabinet follows virtuous paths, and this surveillance is normally quite sufficient and far preferable to scrutiny or punishment from any other source. But, as earlier pages have indicated,<sup>3</sup> there may be certain contingencies—rare in their occurrence and threatening the normal operation of the constitution—which would make it desirable for the Governor to intervene and invoke the latent responsibility to the head of the state. To that degree and only to that degree can the responsibility to the Governor be invoked as an active or punitive measure.

The members of the Cabinet are responsible to one another, and particularly to the Prime Minister. It is an essential Cabinet convention, dictated by convenience, the need for a united policy, and fear of the Opposition, that all members must openly agree on all important public questions. Israel Tarte once said that the Laurier Cabinet (of which he was a member) "fought like blazes" at their meetings, and there is no good reason to doubt it, but on the platform and

<sup>1</sup>Section 11, *supra*, p. 197

<sup>2</sup>*Can. H. of C. Debates*, Nov. 12, 1945, p. 2020

<sup>3</sup>*Supra*, pp. 189-92

in Parliament that Cabinet stood as one on any major issue. It follows that all members of a Cabinet must consider the views of one another in making any important announcement or in taking a decision which might be considered as involving Government policy, and this leads in practice to prior consultation and discussion in Cabinet, or, at least, with the Prime Minister. This done, if the Minister secures agreement on his proposal, the Cabinet will support it to a man, failing such agreement, the dissenting member must acquiesce in the rejection of his ideas or tender his resignation. Mr Tarte furnished an admirable occasion for the application of this principle of Cabinet solidarity in 1902 when he persisted in advocating a higher tariff despite the declared Government policy of not considering any tariff changes or revisions at that time. Sir Wilfrid Laurier, in commenting on his demand for Mr Tarte's immediate resignation, stated

The gentlemen who are assembled at the Council board are not expected to be any more unanimous in their views because they sit at Council, than would be expected from any other body of men. It is in human nature to differ. It is in human nature, even for the best of friends, even for men professing the same views politically to differ and to differ materially on some points. But the Council sits for the purpose of reconciling these differences—the Council sits for the purpose of examining the situation and, having examined it, then to come to a solution, which solution then becomes a law to all those who choose to remain in the Cabinet. It would be a mere redundancy for me to affirm that the necessity for solidarity between the members of the same administration is absolute, that the moment a policy has been determined upon, then it becomes the duty of every member of that administration to support it and to support it in its entirety. It can be possible that a member of the Cabinet who assented to that policy may not be convinced that it is for the best, it may be possible that he thinks a wiser course could have been taken. But if he remains in the Cabinet, it is because he thinks that on the whole it is better that his views on that subject should give way to the views of others and that whilst his own judgment is not in accord with the judgment of his colleagues, still it is for the best interest of the country that he should resign his judgment to theirs, and continue to occupy a position in the Cabinet.<sup>1</sup>

The Cabinet are above everything else responsible to the House of Commons, not as individuals alone, but collectively as well. This responsibility has been the key to the control of the executive power in Canada as in Britain, the powers

<sup>1</sup>*Can. H. of C. Debates*, March 18, 1903, pp. 132-3

of the Crown have remained for the most part intact or have even been increased, but the exercise of those powers has come under the Cabinet and this body in turn under the general scrutiny of Parliament. This is the central fact of parliamentary democracy, for it is this practice which keeps the system both efficient and constantly amenable to popular control. The Minister at the head of every department is held responsible for everything that is done within that department, and inasmuch as he will expect praise or assume blame for all the acts of his subordinates, he must have the final word in any important decision that is taken. Only if the Minister can clearly demonstrate his initial ignorance of the offending act and convince the House of the prompt and thorough manner in which he has attempted to remedy the abuse, can he hope to be absolved from censure. R. L. Borden, when Leader of the Opposition, aptly described the situation as follows:

A Minister of the Crown is responsible, under the system in Great Britain, for the minutest details of the administration in his department; he is politically responsible, but he does not know anything at all about them. When anything goes wrong in his department, he is responsible therefore to Parliament; and if he comes to Parliament and points out that he entrusted the duty to an official in the ordinary course and in good faith that he had been selected for his capacity, and ability, and integrity, and the moment that man has gone wrong the Minister had investigated the matter to the full and punished that man either by degradation or dismissal he has done his duty to the public. That is the way matters are dealt with in Great Britain, and it is in that way, it seems to me, that our affairs ought to be carried on in this country.<sup>1</sup>

Closely allied to this, and also as both cause and effect of the Cabinet's solidarity, is the custom that the entire Cabinet will normally accept responsibility for the acts of any of its members, so that the censure of one will become the censure of all. The members of the Cabinet therefore resign office simultaneously. It is not impossible, however, for the House to censure one member or to allow a Cabinet to throw an offending Minister to the wolves, and to accept such drastic action as offering sufficient amends for wrongdoing, provided, of course, the Cabinet clearly does not

<sup>1</sup>*Ibid.*, May 15, 1909, p. 6723

countenance the objectionable act and that the purge is made with promptitude and without equivocation. Such charity, however, can scarcely be expected, and it must depend on both the mitigating circumstances and on the way in which the House chooses to regard the whole incident.

Two negative comments on this relationship may be added. First, a Government defeated at the polls does not have to resign until it meets defeat at the hands of the House, although there is a growing body of precedents favouring immediate resignation under such circumstances. If the result of the election is such that there is any doubt of what the outcome will be when the House assembles, then the Cabinet is unquestionably justified in awaiting the verdict of the people's representatives. Thus when the 1925 election deprived the Liberal Government of a majority of seats and gave a larger number (though not a majority) to the Conservatives, the former was entitled to stay in office until the House had cleared up the ambiguous situation. This it did by sustaining the Liberal Government, but even if the outcome had been otherwise, the Cabinet was quite correct in awaiting the pronouncement of the Commons. Secondly, the Cabinet is in no sense whatever responsible to the Senate. One master is sufficient, and no Senate would dare to assert any control over any Canadian Cabinet's tenure of office.<sup>1</sup>

This emphasis on the responsibility of the Cabinet to the lower and not to the upper house, and the constant need for the administration to remain in the closest touch with the Commons—to answer questions, to introduce and guide bills, to explain estimates, etc.—have led to a marked diminution in the number of senators in the Cabinet. For about forty years the custom was followed of having two or three members of the Cabinet in the upper house, and every portfolio, save Finance, Railways and Canals, and Customs, was held at some time or other by a senator.<sup>2</sup> Indeed, two Prime Ministers, Sir John Abbott and Sir Mackenzie Bowell,

<sup>1</sup>But see Mr. R. B. Bennett's statement *infra*, p. 481n.

<sup>2</sup>N. McL. Rogers, "Evolution and Reform of the Canadian Cabinet," *Canadian Bar Review*, April, 1933, pp. 232-4.

directed their Governments from the rarefied though somewhat enervating atmosphere of the Red Chamber. Sir Robert Borden began the practice of reducing the number of senators in the Cabinet on the formation of his first Government in 1911, and Mr. Mackenzie King completed the process in 1921 by announcing that "except for very special reasons, Ministers of the Crown holding portfolios will hereafter be selected from Members of Parliament occupying seats in the House of Commons." This new rule that the only senator in the Cabinet should be a Minister without portfolio, who acts as the Government leader in the Senate, has suffered only one major infraction in twenty-five years,<sup>1</sup> and on that occasion Mr. Bennett pleaded that the circumstances were unusual and that the Minister's appointment was not expected to be permanent. This practice has naturally diminished greatly the prestige and influence of the upper chamber with the result that that emaciated body, already weakened by years of undernourishment, has been brought perilously close to complete collapse. Several remedies have been suggested, but no Government of recent years has shown much concern over the Senate's gradual debilitation, for, weak or strong, it still furnishes a constant supply of sinecures for staunch Government supporters.

The most notable characteristic of the Canadian Cabinet is the representative nature of its membership. The Cabinet has become to a unique degree the grand co-ordinating body for the divergent provincial, sectional, religious, racial, and other interests throughout the Dominion. Cabinets in other countries, as, for example, in Great Britain and the United States, frequently exhibit similar tendencies, but not over as wide a field or in compliance with the same rigid requirements. The inevitable consequence is that the choice of the Prime Minister is seriously restricted and he is compelled to push merit to one side in making many of his selections. Cabinet positions will undoubtedly be

<sup>1</sup>Two other cases occurred in 1925-6 when existing Ministers were appointed to the Senate and continued to retain their portfolios for some months thereafter.

available for the best four or five of the Government's supporters, but the balance may be filled from the ranks of the party for reasons as varied as they are unconnected with parliamentary and administrative efficiency. One observer of ready sympathies, who witnessed at close quarters the formation of the Borden Cabinet in 1911, has supplied the following bitter comment:

Those who have not witnessed the making of a Government have reason to be happier than those who have. It is a thoroughly unpleasant and discreditable business in which merit is disregarded, loyal service is without value, influence is the most important factor and geography and religion are important supplementary considerations.

The Borden Ministry was composed under standard conditions and was not, therefore, nearly as able, as honest, or as industrious an administrative aggregation as could have been had from the material available. Industrial and other magnates were present during the process of gestation, not, of course, in the public interest but in their own, which was quite a different thing. There were some broken hearts—in one instance, literally. In others, philosophy came to the rescue, but the pills were large and the swallowing was bitter.

I think that Sir Robert Borden did the best he could in a very difficult situation, but his hands were not free, nor can the hands of any man similarly placed ever be free so long as public opinion continues to demand ministerial representation on bases which have nothing whatever to do with past or prospective usefulness.<sup>1</sup>

The first requisite to be met is that every province must have, if at all possible, at least one representative in the Cabinet. The Cabinet, in short, has become federalized, a turn of events which was forecast with singular shrewdness by one of the critics of the Confederation proposals in 1865.<sup>2</sup> The practice was begun in constituting the first Dominion

<sup>1</sup>Paul Bilkey, *Persons, Papers and Things*, pp. 110-2. The above account is confirmed by one member of the Cabinet appointed at this time. W. S. Wallace, *Memoirs of the Rt. Hon. Sir George Foster*, pp. 154-61.

<sup>2</sup>"Mr. Christopher Dunkin. I think I may defy [the Government] to show that the Cabinet can be formed on any other principle than that of a representation of the several provinces in that Cabinet. It is admitted that the provinces are not really represented to any Federal intent in the Legislative Council [the proposed Senate]. The Cabinet here must discharge all that kind of function, which in the United States is performed, in the Federal sense, by the Senate. And precisely as in the United States, wherever a Federal check is needed the Senate has to do Federal duty as an integral part of the Executive Government. So here, when that check cannot be so got, we must seek such substitute for it as we may, in a Federal composition of the Executive Council—that is to say, by making it distinctly representative of the provinces." *Confederation Debates*, 1865, p. 497.



Cabinet,<sup>1</sup> and despite the emergence of additional political and mathematical difficulties as the number of the provinces has increased, it has continued to flourish. The Cabinet has, in fact, taken over the allotted role of the Senate as the protector of the rights of the provinces and it has done an incomparably better job. Any province today would relinquish all its senators without the slightest compunction or regret if by so doing it would be allowed to double its representation in the Cabinet.

The strength of this practice, which has during the past thirty years hardened into a rigid convention of the constitution, was well illustrated in 1921 when Mr. Mackenzie King formed his first Cabinet. The Province of Alberta had by a singular oversight neglected to return even one Liberal to Parliament, and none of the twelve United Farmers of Alberta would betray his party for a portfolio or help Mr. King and advance the provincial interest by resigning in favour of a Liberal. The new Prime Minister, however, was not easily discouraged, and after some inquiry and negotiation found a solution: he appointed to the Cabinet Charles Stewart, a Liberal ex-Premier of Alberta, and then opened up a seat for him in the Province of Quebec. In 1935, Alberta again caused some worry, for the province returned one Liberal, one Conservative, and fifteen Social Creditors, but after some hesitation, extending over three years, the sole Liberal was made a member of the Cabinet without portfolio. Prince Edward Island, with only four members, has had at times difficulty in retaining its right to a representative in the Cabinet, but the obligation has been repeatedly acknowledged by different Prime Ministers. A Canadian Horace Greeley might well advise the ambitious young Canadian who seeks rapid political advancement to move in the opposite direction from his American cousin: "Go East, young man, go East! Go to Prince Edward Island and enter politics, and your chances of a Cabinet

<sup>1</sup>In order to give provincial and sectional representation two of the leading figures in Confederation, D'Arcy McGee and Charles Tupper, were left out of the first Cabinet. N. McL. Rogers, "Federal Influences on the Canadian Cabinet," *Canadian Bar Review*, Feb., 1933, pp. 106-7.

<sup>2</sup>*Ibid.*, pp. 114-15.

position will be at the worst one in four, and may very easily turn out to be one in one "

The convention that each province, if at all possible, must have at least one representative in the Cabinet makes another convention almost mandatory, namely, that the two large provinces must each be given more than one representative. The peculiar position of Quebec has given this modest demand another push upwards, for Quebec has insisted that there should be at least three French-speaking representatives, and as Protestant English-speaking Quebec feels it should have one also, four members have tended to become the Quebec minimum. Ontario obviously cannot be outdone, so that province may receive four, and possibly five, members in the Cabinet, although here, as with Quebec, the total number of Government supporters from that province in the House is an important factor. Within these two provinces divergent loyalties and interests have given this representation a local territorial basis as well. Thus the French-speaking constituencies in Quebec have tended to fall into two groups, one centring on Quebec, the other on Montreal, while the English-speaking constituencies (including the Eastern Townships) have formed another unit, each of the three must have its own Cabinet Minister.<sup>1</sup> Ontario has not been so clearly divided, but both Northern and Western Ontario have claims which are usually recognized, and Toronto has been known to complain because its special views have had no advocate in the Cabinet councils.

Provincial representation has frequently been further elaborated in that a few portfolios have been commonly recognized as the special preserve of certain areas. In the early days when the Intercolonial was the only government-owned railroad, the Minister of Railways usually came from New Brunswick, for a large part of the line ran through that province and the railway patronage was an important part of the Minister's responsibilities. But as the government railway extended to the west, the holder of the portfolio moved from the extreme east nearer to the centre of the

<sup>1</sup>*Ibid.*, pp 116-18

railway's activities. Similarly the Department of the Interior was formerly headed by an Ontario member, but as Western Canada developed, the portfolio drifted in the direction of the major interest. Immigration and Colonization was also for obvious reasons a Western portfolio, and its successor (plus the Interior and several miscellaneous activities), now known as Mines and Resources, is also filled by a Westerner. Agriculture and Fisheries go almost invariably to the Prairie Provinces and to the Maritimes respectively because of their close association in those activities. The Minister of Finance has always (with one doubtful exception) come from Eastern Canada with a leaning in favour of the Maritime Provinces. For many years there has been a strong tendency to give the French Canadians (usually from Quebec) either Public Works or the Post Office and, more recently, to choose the Minister of Justice from Quebec.

The federal character of the Cabinet is emphasized still further in the practice of Ministers in discharging their conventional functions as provincial representatives. Each Minister is constantly concerned with the widely scattered interests of his special province and he acts, and is supposed to act, as its spokesman and advocate. In Cabinet councils he will be expected to advise, not only on matters within his particular department, but also on any topic whenever it concerns his province, and his opinion, by virtue of superior knowledge of that locality, will merit exceptional consideration. When the provincial government is controlled by the same political party as that in power in the Dominion, the province will expect its special Cabinet Minister at Ottawa to use his good offices to promote the requests which the provincial government may make. Consultations and negotiations will proceed through the normal channels of the appropriate federal and provincial departments, but these will often be supplemented by the more informal proceedings through the province's representative in the Cabinet. The latter may, indeed, show some resentment if the province ignores him and approaches another Minister for intervention on behalf of the area which he

feels to be peculiarly his own Dominion appointments in a particular province (when not made by the Civil Service Commission) are thus made on the formal recommendation of the Minister in whose department the office lies, but he will as a rule first consult the Minister representing the province concerned before making his recommendation. "While all members of the Cabinet," runs an order-in-council in 1904, "have an equal degree of responsibility in a constitutional sense, yet in the practical working out of responsible government in a country of such vast extent as Canada, it is found necessary to attach a special responsibility to each Minister for the public affairs of the province or district with which he has close political connexion, and with which his colleagues may not be so well acquainted"<sup>1</sup>

The possibilities of sectionalism in the Cabinet have not been exhausted by giving special representation to provincial interests alone. Race and religion are also carefully considered, although they are to some degree taken into account in determining the provincial quota. The minimum of three French Canadians from Quebec are always Roman Catholic, the one English Canadian, usually a Protestant. But almost invariably the Cabinet will contain an Irish Roman Catholic, probably from Ontario, and the Acadian French or the French from Ontario may also be given a special representative. Some balance of Protestant denominations is at least considered when making Cabinet appointments, although it is doubtful if people today are as conscious of these differences as when Alexander Mackenzie (Prime Minister, 1873-8) congratulated himself on the finely balanced Cabinet which he had called into existence. "I may, with feelings of pride," said he, "refer to the standing of the members of the Cabinet. No one will deny it has a large amount of ability. In the matter of religious faith, there are five Catholics, three members of the Church of England, three Presbyterians, two Methodists, one Congregationalist, and one Baptist"<sup>2</sup>

<sup>1</sup>See R. MacG. Dawson, *Constitutional Issues in Canada, 1900-31*, pp. 112-13. See also pp. 111-16.

<sup>2</sup>W. Buckingham and G. W. Ross, *The Hon. Alexander Mackenzie, his Life and Times*, p. 354.

A host of other conflicting interests and claims will need to be considered, weighed, and, if possible, reconciled, by assigning portfolios where they will afford the greatest satisfaction to a wide variety of people. The Minister of Labour has usually been associated in a theoretical or practical way with organized labour. The Orange Order, the Manufacturers' Association, the wheat co-operatives, the financial interests, and others will all demand consideration. The actual occupation of the members of the Cabinet has never been a major issue, although it has been used for campaign material at elections. In 1940, for example, the Conservatives drew attention to the fact that of the sixteen members in a Cabinet which was engaged in directing the war, eleven were lawyers, three school-teachers, one was a college professor, and one a financier and engineer. On an earlier occasion, in 1921, however, the bitter had been the bitten, for the Conservative Government had been criticized by the farmers for the same legal bias in its personnel.<sup>1</sup> Provincial Premiers, especially if they have been active in winning the election for the Government at Ottawa, will prefer claims to Cabinet positions which must be met, and the recent neglect of this obligation led to one of the most bitter and costly internal party quarrels in this generation. Ex-Cabinet Ministers, if they are still in Parliament, constitute another group which can rarely be passed over, even when they have outlived their usefulness.

The roster of demands is thus a long one, and the pressure to increase the size of the Cabinet in order to meet all these demands is tremendous. Few Prime Ministers can enjoy the undignified scramble which occurs after each successful election: the winners are many, but the prizes are pitifully few. The prizes have, however, been appreciably increased in recent years by the institution of parliamentary assistantships, for these have opened up new possibilities in meeting demands for sectional representation. Mr. Mackenzie King had stated in 1935 that Prince Edward Island would receive a parliamentary secretary as its representative in the Govern-

<sup>1</sup>*Grain Growers' Guide*, Oct. 5, 1921.

ment, and when these new officers were created some years later the pledge was honoured. Although the remainder of the first assistantships bore few signs of having been made for sectional reasons, the second crop, in 1945, strongly suggested that the old fundamental forces of geography and race were once more at work. If the early precedents of promoting parliamentary assistants to Cabinet positions are followed in the future, then the demand for regional representation among the assistants will be inevitable, for the surest way to gain a seat at the Council table will be by preferment from the junior ranks. But leaving this aside, the temptation to turn the assistantships to a good advantage and produce the finer shades of sectional representation will almost certainly prove irresistible. One assistantship can meet the problem of little Prince Edward Island, another should satisfy the demands of a larger province which has returned very few Government supporters and these of poor or indifferent quality, another one or two will be invaluable in adjusting the ever delicate balance between Ontario and Quebec, while a small province which could scarcely hope to receive two full-fledged Ministers might quite legitimately aspire to a Minister and an assistant. The mathematical possibilities are fascinating. One can begin on a basis of two assistants being equal to one Minister, but this is the simplest and most superficial kind of calculation. A Prime Minister who really knows his Ministry—and his country—will strike balances of a far more delicate kind, involving such problems as the value of an assistant who comes from an unrepresented minority as compared to a Minister who holds strong religious beliefs, or whether an able and aggressive assistant is worth more as a provincial representative than a lethargic and ineffective Minister. No Prime Minister can refuse so stimulating a challenge, the answer to which promises to be at once so fair, so beneficial, and so profitable.

It is easy, of course, to decry the practice of forming a Cabinet which is in large measure based on many varieties of sectional representation, and there is no doubt that it is never conducive to efficiency in its narrow ministerial sense.

Excellent men are passed over and mediocrities take their places, and every Cabinet within living memory has contained examples which prove only too well the validity of the argument. But some consideration must be given to the divergent views and interests which are an inescapable condition of such a large and varied country, and the racial, religious, and cultural differences add to the difficulty. A practice which selects Cabinet Ministers on grounds of religious beliefs is in one sense short-sighted, inefficient, and generally reprehensible, but from another and wider aspect, it is simply an acknowledgment of the fact that men's confidence in one another is based, in part at least, upon qualities of this kind, and that efficient government in its broadest sense is unobtainable if these human limitations are ruthlessly overridden and ignored. In short, a regrettable practice may have to be accepted because the alternative will be even worse. The real problem here is one of degree: the Cabinet must be constructed with an eye to the representative character of its members, but these factors should rarely, if ever, be permitted to displace the highest qualities of mind and character which a Cabinet must contain if it is to function effectively in the complex environment of modern times.

I trust there will come a time when considerations of race and religion will have less weight than they have to-day. But we must frankly recognize these conditions to-day, and we know that in the best interest of all Canada it is well that in making up a Cabinet you should have regard to these things, as we know them in the past. On reflection it will be seen that for the good government of Canada we must have a larger Cabinet than perhaps would be required by any other country of the same population.<sup>1</sup>

Conflicting interests which exist on such a comprehensive scale and are so clearly defined might well appear to be a shaky foundation on which to build cabinet unity and a common policy. But while these undoubtedly tend to make general agreement more difficult, the conditions of the Cabinet's existence provide the opportunity and the compulsion which make compromise possible. Some of these centripetal forces have been already indicated. The most powerful of these is the membership in one political party which, while it does not necessarily imply unanimity

<sup>1</sup>W. S. Fielding, in *Can. H. of C. Debates*, May 15, 1909, p. 6725.

on all things, nevertheless creates an atmosphere of friendliness, confidence, and forbearance in which agreement thrives. A long history of past struggles, shared antipathies, similar habits of thought, loyalty to the party leader, the desire to defeat the enemy at all costs and the allied determination to stay in office, all have the same general tendency and are reinforced by the conventional insistence on the solidarity of the Cabinet in public and the joint responsibility of its members in Parliament. Two other characteristics of the Cabinet also assist this unifying process—the secrecy of its deliberations and the pre-eminence of the Prime Minister.

The miracle of cabinet solidarity, as suggested above, is frequently no miracle at all, for the simple reason that it may have no existence save as a common bulwark against an aggressive enemy. The fiction can be successfully maintained primarily because no information on what is proposed or discussed or decided in the meetings of the Cabinet can be released, even in confidence, until the moment arrives for the announcement or implementation of a decision. The deliberations of the Cabinet, in short, are held in the strictest secrecy. All members are Privy Councillors and as such are bound under oath to 'keep close and secret all such matters as shall be treated, debated and resolved on in Privy Council, without publishing or disclosing the same or any part thereof, by Word, Writing or any otherwise to any Person out of the same Council, but to such only as be of the Council.' The consequences of this secrecy are far-reaching. Relying on this protection, Cabinet members are free to voice their opinions without reserve on all subjects which come up for discussion: the motives which have influenced the Cabinet in coming to its decision will not be disclosed, the dissentients can support the corporate policy without being themselves singled out for special attack or having their motives impugned, and the Cabinet derives no inconsiderable strategic advantage in being able to reveal hitherto undisclosed proposals at the most opportune moment.

Unless secrecy exists and is maintained in its most rigid form, the Cabinet system will never work satisfactorily, will tend, rather, to prove a source of weakness and destruction. It will breed hate and temper, dissolve agreements, and give rise to a sense of treachery where there should be



confidence, and of restlessness where there should be security. The reason why secrecy should be preserved, not from fear of penal regulations, but in accordance with the strictest code of personal honour, is not far to seek. *Men in a Cabinet must be loyal to one another, to their chief, and to the Committee as a whole, or they will be undone.* By loyalty we do not mean that they are merely to refrain from backbiting or from undermining each other's position, or, again, from trying to better their own positions by pushing a colleague down. We mean something a good deal more elemental. When a matter has been decided upon in the Cabinet, then the men who opposed the course ultimately adopted must make their choice either of resigning or else of whole-heartedly adopting the will of the Cabinet as their own. If their choice is in favour of remaining in the Cabinet, then both in public and in private they must defend the action of the Government exactly as if their own private wishes had been accepted. The will of the whole must become the will of each.<sup>1</sup>

The informality or unbusinesslike conduct of Cabinet affairs which has been until very recent years another characteristic, has always been justified as necessary in order to preserve this secrecy. Whether these habits have been conducive to efficiency and to economy of time and effort may be left to the imagination, but secrecy has usually been well preserved, although unscrupulous or careless or garrulous Ministers have been the source of occasional leakages.<sup>2</sup> On the whole, serious lapses have been rare, although they have served to indicate how embarrassing such revelations could be when used as levers against a supposedly united Government. The oath thus obtains substantial reinforcement from the collective moral pressure of the Cabinet and the constant need of the members for self-protection. In 1904, for example, R. L. Borden read to the House a confidential memorandum on the transcontinental railway prepared by the former Minister of Railways, and used it with devastating effect against the Government. Sir Wilfrid Laurier was most indignant, for the document had clearly been improperly obtained from the Privy Council office. "This is a confidential memorandum," he protested, "presented by a member of the Privy Council to the Privy Council. No member of the Council gave out that report, for that would

<sup>1</sup>*The Spectator* (London), April 29, 1916.

<sup>2</sup>Civil servants, it may be noted, are more to be trusted with confidential material than Ministers. It is not without significance that the Minister of Finance does not disclose his budget proposals to the Cabinet before their announcement to Parliament.

have been a violation of the oath of secrecy which every member takes on joining the Council. My honourable friend said that Mr Blair [the ex-Minister of Railways] did not publish it. But it is published. Who then published it, who then gave it away? How has it come into the hands of my honourable friend?"<sup>1</sup>

The rule of secrecy is customarily somewhat relaxed on those occasions when a Minister's resignation makes it desirable that the reasons for his disagreement with his colleagues should be made known, and at such times the permission of the Governor-General (through the Prime Minister) is first obtained. In any event, if a debate develops on the Minister's explanation, the general tenor of the discussions in Council is almost inevitably revealed. In 1944, for example, the disclosures in regard to the essential facts preceding the resignation of Mr J. L. Ralston were so complete that he was led to remark in the House that "in this debate and especially in the Prime Minister's speech the other night the doors of the Privy Council have been pretty well opened, and there is not very much that has taken place there, which one recollects, that has not been revealed to the House and to the public."<sup>2</sup>

The Cabinet is also given unity and purpose by the pre-eminence of the Prime Minister and his leadership in its councils. The usual description of the position of the Prime Minister and his associates is to say that he is *primus inter pares*, a phrase which is far from doing him justice. He cannot be first among his equals for the very excellent reason that he has no equals. The quotation contains, however, some truth: it calls attention to one very important aspect of this relationship, namely, that the other Ministers are the colleagues of their chief and not his obedient and unquestioning subordinates. Their position bears little resemblance to that of the members of the federal cabinet in the United States, whom the President may appoint or

<sup>1</sup>*Can. H. of C. Debates*, April 15, 1904, p. 1306. Mr Borden's reply was that he did not receive it "wrongfully," that it "was placed in my hands without any restrictions whatever as to the use which should be made of it" by one who had no connection with the Government.

<sup>2</sup>*Ibid.*, Nov. 29, 1944, p. 6659. See *ibid.*, Nov. 22, 1944, pp. 6505-10.

dismiss, instruct or forbid, consult or ignore, as he may see fit and without any great fear of the consequences. A Prime Minister who would try to issue orders to his Ministers or would interfere persistently in their departmental work might find that before very long he was out of office, for if at any time the Ministers chose to rebel, their combined influence in the party and in the House could, and in all likelihood would, bring about his speedy downfall.<sup>1</sup> All members of the Cabinet are responsible to the House, and while they gladly acknowledge the leadership of the Prime Minister and will, in fact, usually bow to his decisions, they can never completely surrender their individual judgment or responsibility.

The powers of the Prime Minister are nevertheless potentially enormous, in Lord Oxford and Asquith's phrase, "the office is what its holder chooses to make it," and few holders show any marked desire to limit their commitments. "The powers of the Prime Minister," said Mr Arthur Meighen, "are very great. The functions and duties of a Prime Minister in Parliament are not only important, they are supreme in their importance."<sup>2</sup> They spring from his position of primacy in the Government reinforced by his leadership of the majority party. The Prime Minister as such may possess virtually no legal authority, but operating through the Governor-General, the Privy Council, a Minister, or sometimes as a Minister in his own right, his powers are very great indeed. To these must be added the very extensive legal authority of Parliament which in large measure he indirectly controls. He is the directing force in both Cabinet and Parliament, and he thus presides over the one and guides the deliberations of the other. He determines the Cabinet's agenda and is the major influence in helping it arrive at decisions, he leads the Commons, answers many of its questions, apportions (with its consent) its time, and submits the measures of his Government for its approval.

<sup>1</sup>The outstanding Cabinet rebellion in Canadian history was that of seven members of the Bowell Ministry in 1896, which had the result that the Prime Minister was compelled to agree to the terms dictated by the rebels.

<sup>2</sup>See *Can. H. of C. Debates*, Jan 8, 1926, pp 15-16, H. R. G. Graves *The British Constitution*, pp 108-17.

He must be consulted by all Cabinet Ministers on important decisions, and he serves as the one great co-ordinator of executive policies. In addition to his normal duties, he has been for many years Minister of External Affairs, a portfolio which until 1946 was considered too important to be entrusted to anyone else.<sup>1</sup> He is the link between Governor-General and Cabinet, and is in a special sense an adviser to the former. He recommends all important appointments to the Council. He also has the primary responsibility for the Council advising the Governor when Parliament should be convened and when it should be dissolved—a power which adds greatly to his strength, not only in the House of Commons, but in the Cabinet as well.

In many of these matters the Prime Minister is able to obtain substantial aid from the members of his Cabinet, and this is particularly true when a decision touches upon their respective departments or the special interest or area which they individually represent and on which they speak with exceptional authority. The successful Prime Minister is he who can merge the many diverse talents and interests of his Cabinet so that they form a united team, working in good spirit for the benefit of all. Party ties and association will not only create trust and confidence, they will also make it easier for the members of the Cabinet to criticize freely and yet maintain their friendly relations intact. When the goodwill breaks down and the tension mounts, or when a dispute between Ministers or departments develops, the Prime Minister is usually the diplomat who is able by resorting to persuasion, threats, appeals to party and personal loyalty, to bring about reconciliations and keep the Cabinet together.

The degree to which the Prime Minister will use his colleagues to advise and assist him will depend on many factors, the chief of which are purely personal. The most obvious ones to be considered are their ability and loyalty.

<sup>1</sup>The link between the Foreign Minister and Prime Minister in Great Britain, however, has always been very close, and the British Prime Minister is supposed to see all the more important despatches of the Foreign Office.

<sup>2</sup>See, for example, P. C. 1939, June 19, 1920, in R. MacG. Dawson, *Constitutional Issues in Canada 1793-1921*, pp. 125-6.

A fair proportion of the Cabinet are barely capable of performing their own departmental duties efficiently, and these are probably useless also as consultants in the wider field of general Government policy. Others may not have the full confidence of the Prime Minister or the respect and unreserved approval of their colleagues. Thus there usually arises within the Cabinet a small group of four or five Ministers who, because of ability of various kinds, exceptional local or sectional confidence, personal qualities or character, will be highly regarded by the Prime Minister and will be consulted by him on all matters of importance. Occasionally the Prime Minister may have a special colleague whose intimacy makes him a friend and almost a partner in the office. The late Ernest Lapointe, for example, was for many years a great friend and counsellor to Mr Mackenzie King,<sup>1</sup> and there can be no doubt that Mr King derived no small assistance, particularly in all matters involving French Canada, from his colleague. But these close relations are not very common, and most Prime Ministers unbend only to the extent of recognizing an inner group of advisers. For the position of Prime Minister does not encourage intimacies and friendships. These are apt to create jealousies and antagonisms and may also expose him to exploitation by selfish interests, so that he finds his greatest protection lies in partial seclusion and a withdrawal from many normal human relationships. In the words of a former member of the British Cabinet Secretariat:

The change from being a Cabinet Minister to being a Prime Minister is far from being merely an exchange of chairs around a table, it is not only a change of position but of climate, the Prime Minister enters the stratosphere and becomes telescopically distant to his colleagues. There is no generosity at the top, Lloyd George declared, speaking from the cold isolation of the summit. On the other hand, to the public the Prime Minister now becomes microscopically near, no celestial being but a terrestrial insect whose every movement is watched, recorded, magnified, broadcast -

<sup>1</sup>Mr Mackenzie King described Mr Lapointe as "the closest, the truest and the most devoted friend I have had in my political life." *Can. H. of C. Debates*, Nov 27, 1944, p 6616.

<sup>2</sup>Thomas Jones, "Prime Ministers and Cabinets," *The Listener*, Oct 13, 1938, pp 788-9.

The Prime Minister may also be compelled to preserve his aloofness for a more selfish reason, as Mr Lloyd George indirectly suggested, namely, to defend his own position against an attack from within the Cabinet. It is a rare Prime Minister who will be so sure of his invulnerability that he will be prepared to run the risk of developing the capacity and building up the reputation of other Ministers to a point where they might become powerful enough to challenge his primacy. If such a threat should arise, the Prime Minister's powers and prestige in both party and Government are usually so enormous that the would-be Crown Prince disappears from the political scene. There seems to be little doubt, for example, that Israel Tarte considered himself as a possible successor to Laurier, but his somewhat premature moves to build up a following to that end met with quick and decisive defeat.<sup>1</sup> Other incidents in Canadian history point the same moral. The leader of the pack, so long as he maintains his vigour, will tolerate no rival, and he possesses both the will and the means to enforce his supremacy.

The dominance of the Prime Minister in Cabinet and Parliament inevitably accentuates his importance in the country as well, although some of the Ministers will have a local or restricted prestige which may within a smaller sphere be the equal of his own. His position and person are eagerly dramatized by press, platform, and radio; his merits will be extolled and exaggerated by his friends, his faults will be denied and exaggerated by his enemies. The spotlight of the campaign tends to be fixed on him and on the leaders of the Opposition parties, and their personalities and qualities become even more decisive factors in the election than the issues themselves. Indeed, the issues will be in large measure formulated and selected by the leaders, and it is therefore the Prime Minister who will determine the emphasis which is to be given to the different policies of the Government, although in this he must clearly respect the opinion of the party. Thus while Mr Bennett did not

<sup>1</sup>O. D. Skelton, *Life and Letters of Sir Wilfrid Laurier*, II, pp. 176-83.

hesitate on the eve of an election to announce a new and radical reform programme, a large section of his party did not approve of it, and were thus forced to choose between an acceptance of the platform or the repudiation of the Prime Minister—an unhappy dilemma which certainly did not improve the party's position in the election which followed. Election manifestoes are largely the work of respective party leaders and essentially personal pledges given by them to the electorate. Thus Mr Mackenzie King has on a number of occasions announced that while he has accepted the party platform, he nevertheless regarded it as no more than a statement of policy of a very general kind which he would implement at his own discretion.

The qualities of leadership which any Prime Minister worthy of the name is bound to possess and the opportunities for leadership which are an inescapable accompaniment of the office thus combine to exert a steady pressure towards autocratic methods and decisions. "Much of the authority of the Cabinet," says Sir Sidney Low regarding the government of Great Britain, "has insensibly passed over to that of the Premier, as the powers of a Board of any kind tend to be concentrated in the Chairman, especially if his colleagues are much below him in ability and reputation."<sup>1</sup> The successful Prime Minister is he who can be both the unchallenged master of his administration and yet at the same time avoid the faults and dangers of absolutism. For he must always strive to have it both ways. Even a casual student of the lives of Macdonald and Laurier (and of the later years of Sir Robert Borden's Premiership) cannot fail to be impressed with the rigorous authority that frequently marked their political relations, and when some Cabinet discord or internal dispute gave an occasion for action, firm and even ruthless decision was the unfailing response. The development of autocratic characteristics in the Prime Minister is, however, always restrained by at least one major check—he must retain the confidence of his party, and the latter's chief and most reliable spokesmen are usually the Cabinet. Thus the Ministers, while generally acquies-

<sup>1</sup>Sir Sidney Low, *The Governance of England*, p. 158

cent, may feel it necessary to oppose him if they believe that he has gone too far. They are bound to keep him in touch with fluctuations in public opinion, they are in close contact with the party supporters in Parliament and throughout the nation, their political lives, like his, are forfeit if wrong decisions are taken, and they dare not permit unwelcome proposals to pass unchallenged. There is, moreover, the potent sanction which always lies behind all their representations, protests, and expressions of opinion, that in the last resort they can not only criticize but dethrone him. It is here that any parallel between the democratic ruler and his totalitarian counterpart breaks down. The Prime Minister may have enormous powers, but the basic conditions under which he governs compel him to wield his authority strictly on sufferance. He moves in an atmosphere of friendliness, tolerance, and suspended judgment in his own party, in one of constant criticism, suspicion, and outspoken condemnation elsewhere. His retention of office is thus continually under attack, he can never ignore incipient dissatisfaction and revolt among his own supporters, and he must soothe the ruffled feelings and anticipate the indignant outbreaks before they reach the acute stage. He can never lose sight of the paramount necessity of retaining the confidence of the House and, behind the House, of the electorate. No matter how lofty his position, he can always be defeated and displaced. The war for political supremacy is unending, and a victorious engagement today may be speedily followed by disastrous defeat tomorrow. The most any Prime Minister can hope for is a temporary success, which will give him time and opportunity to consolidate his position and prepare his defences for the next encounter.



## CHAPTER X

### THE CABINET FUNCTIONS

WHILE the success of a particular Cabinet, as the preceding pages have suggested, may depend in no small measure upon the shrewdness with which the Prime Minister has given recognition in its personnel to certain elements in the national life, it is very obvious that the adequacy of the Cabinet's performance will be primarily determined by the individual and collective competence of the members. The position and experience of the Prime Minister—in the House, in the party, and in the country—are usually such as to enable him to choose his colleagues wisely and to give the proper weight to each of the many forces to be considered. He will normally have had an extensive experience as Leader of the Opposition and perhaps also as Prime Minister, he will presumably enjoy the confidence of his party,<sup>1</sup> he has the prestige and authority which spring from a recent electoral victory, and he is almost certain to possess qualities of leadership, not the least of which will be the ability to judge the capacities of his fellow-men and to gauge with some accuracy the strength of the rival political influences with which he is surrounded.

The Prime Minister is likely to find close at hand a reservoir of good and fair Cabinet material composed of those party members who have been elected to the House of Commons, and he can, on occasion, go outside the House (particularly to a provincial Cabinet) for a Minister of exceptional talent or prestige. This latter procedure however, while not uncommon, is not, of course, the usual practice,<sup>2</sup> it casts some doubt on the quality of the party representation in the House, and it necessarily involves the resignation of a sitting member and a subsequent election to secure the vacant seat for the new Minister.

<sup>1</sup>This is not a certainty. Sir Mackenzie Bowell did not have the confidence of his party in Parliament, nor did Sir Robert Borden for many years after he was chosen as its leader.

<sup>2</sup>Dr. E. A. Forsyth has pointed out that this is far more frequent than is generally supposed, and that there are since Confederation more than fifty instances of Ministers being brought into the Cabinet from outside the Dominion Parliament.

The Prime Minister is fortunately not compelled to seek Cabinet members who are in any real sense of the term experts in the particular fields which they are appointed to supervise. For while the House may be able to furnish here and there an outstanding authority on a special subject or activity (and he would be worthless as an expert unless he were outstanding), the fates which preside over elections can scarcely be expected to produce on the Government side of the House top-flight experts in agriculture, public health, naval affairs, mineralogy, fisheries, foreign politics, transportation, and in a dozen other fields as well. The President of the United States, if he wishes, may ransack the nation for specialized talent of any kind, but the choice of the Canadian Prime Minister is almost entirely restricted to the few score members of the majority party who have secured seats in the House of Commons. Some Canadians profess to be much alarmed by the situation and have on occasion produced solutions of terrifying ingenuousness. There was, for example, the editorial which appeared in a Toronto newspaper in 1940: "An even greater necessity [than a National Government] if our war efforts are to be guided primarily by military experts instead of being bungled because of political expediency, is a War Council or Military Advisory Board which would be composed of the Chiefs of the Army, Navy, and Air Force, and a limited number of Senators and Commonsers who have had war or war government experience, and who could explain the nation's war policy to Parliament." "The Chairman of the War Council," the office-boy generously added, "could be a member of the Cabinet."<sup>1</sup> Another proposal which displayed equal discernment appeared in the pages of *Hansard*:

In the present Cabinet—and I do not say this in any unkindly spirit—I do not see the representation I should like to see. In the Dominion we find industry, agriculture and labour. These three groups compose the major part of our population. In my view the labour portfolio should be administered by one who knows labour, and agriculture by one who knows agriculture. The portfolio of national revenue is not, in my opinion, a legal portfolio at all. It has been my view that that is a portfolio suited to a

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<sup>1</sup>*Toronto Globe and Mail*, Feb. 20, 1940 (editorial)

businessman, because the Minister would deal with industry, with tariffs with regulations connected with customs duties, and matters which are connected solely with the industrial life of the country.

The Minister of Public Works—and again I say this in the most kindly spirit—should be someone who is a builder, or who knows something about building.

It is my belief that the men who should administer departments in the post-war [sic] should be men who have not been particularly trained in law or medicine, but men who have been trained in the hard way in the departments over which they would have to preside. I believe the people would have more confidence in the new departments if men with practical knowledge of the various subjects involved were chosen to head those departments.<sup>1</sup>

To place a member of Parliament who is a third- or fourth-rate specialist at the head of a department because he is supposedly an expert, and to give this pretender the control of those who are really masters in their fields, would not merely be useless, it would be utterly disastrous. An amateur Minister of first-rate capacity is in large measure dependable and safe because he knows he is technically ignorant, and he is therefore willing to seek and to take the best advice obtainable, the third- or fourth-rate specialist does not adequately comprehend the extent of his own ignorance and is continually setting up his judgment on technical matters against the opinion of his advisers. The latter interferes on the grounds of special knowledge—which he really lacks—whereas the former's interference is based on common sense and judgment—which he in all likelihood possesses in uncommon measure. But even a specialist who is first-class is likely to make a poor Minister.<sup>2</sup> Practice has given this an unequivocal double confirmation. Not only has the use of the amateur Minister been vindicated by long experience, the expert Minister—the one who has presumably a profound technical knowledge of his department's work—has proved time and again to be a monumental failure.

A Minister will, however, need to possess a somewhat specialized talent, though it will be of a quite different sort and will rarely be associated with the professional work of any particular department. It will be in part an ability to perform parliamentary duties acceptably and in part also—and

<sup>1</sup>Karl Homuth M.P., *Can. H. of C. Debates*, July 17, 1944 p. 1941.

<sup>2</sup>This is developed later at greater length, *infra*, pp. 238-41.

the two are almost always found in conjunction a skill in administration which will enable its possessor to oversee departmental activity on a large scale. Talent of this kind is infinitely more useful to a Minister than any specialized technical efficiency, and years spent on the floor of Parliament seem to be exceptionally well designed to produce not only the parliamentary capacity, which is a natural consequence, but also the allied though more unexpected quality of administrative ability of a high order.

The Prime Minister in his search for Cabinet material will thus look for those general qualities of mind and character which enter into genuine administrative capacity and parliamentary leadership. Intelligence, integrity, and common sense head the list of requirements, and these may be supplemented by such useful attributes as energy, imagination, enthusiasm, courage, tolerance, a gift of expression, a desire for public service, a sense of proportion, and a willingness to pool individual ideas and desires to promote the common ends of the Government. "In choosing Ministers of the Crown," said Mr. Mackenzie King, "I believe the best administration is to be achieved where regard is had first and foremost for the intelligence and integrity of the persons appointed. A man of ability, sound judgment and high attainments would to my mind fill much better any portfolio of government than might be allotted to him than could possibly be the case with others who might seem to have qualifications on the grounds of calling or occupation, but who did not have the required attainments in other ways."<sup>1</sup>

The Prime Minister will rarely exercise this power of selection without consulting with leading members of the party both inside and outside Parliament. Indeed, these preliminaries, accompanied as they may be by indirect and surreptitious influences, may be so involved and prolonged (as at the time of the formation of the Borden Government in 1911) that they become almost a national scandal.<sup>2</sup> Consultation with members of Parliament is usually confined to the

<sup>1</sup>*Can. H. of C. Debates*, July 17, 1944, p. 4941. See also *Ottawa Journal*, Aug. 5, 1944.

<sup>2</sup>Paul Bilkcy, *Persons, Papers and Things*, pp. 140-2, W. S. Wallace, *Memoirs of the Rt. Hon. Sir George Foster*, pp. 154-61.

select few who are especially in the confidence of the Prime Minister, although it has been alleged in one recent instance where the party leaders of the Dominion and a province were at loggerheads that the party members of Parliament from that province were asked to indicate their own choice as to who should receive a particular portfolio before the appointment was actually made<sup>1</sup>. The allotment of Ministries may also be materially affected by the special preferences of those who are invited to join the Cabinet, and the demands of an exceptionally able or influential member may cause a reshuffling of several offices in order to make compliance with them possible. Despite the above considerations, the selection of his colleagues always remains the unquestioned right of the Prime Minister, and this is no inconsiderable factor in securing the solidarity of the Cabinet and cementing the loyalty of its members to their chief.

The Cabinet has been called "the mainspring of all the mechanism of government" and as such, it makes itself effectively felt over far more than the narrow executive field. Its influence and power are in fact so great and so pervasive that any clear-cut division of authority between the executive and legislative branches of government cannot be maintained. Even in a country like the United States where the constitution attempts to preserve a sharp distinction between the two, the line of cleavage is being continually crossed, and the President is compelled by the exigencies of practical politics to exercise directly and indirectly very important legislative powers. In Canada, where the Cabinet sits in Parliament and must be responsible to it, the co-operation between the two naturally goes much further and begins to approach the point where the one becomes merged in the other. From this develops a constant and no doubt an inevitable tendency for the Cabinet to push the House of Commons into the background and make the latter an approving and checking body which on only the rarest occasions will assert a genuine independence of its leader. Despite this intimate association, however, it will be clearer for purposes of exposition to divide the functions of the Canadian Cabinet into two broad cate-

<sup>1</sup>*Toronto Globe and Mail*, Jan. 24, 1939

gories, executive and legislative. Both spring from what are legitimate executive functions, but while one is executive in the narrow sense, the other is particularly concerned with the contacts which the Cabinet makes with the legislature and the part it takes in the work of legislation.

#### EXECUTIVE FUNCTIONS OF THE CABINET

(a) The outstanding duty of the Cabinet is to furnish initiative and leadership to provide the country and Parliament with a national policy and to devise means for coping with present emergencies and future needs. On minor matters this is the concern of only the department affected, but as the importance or scope of the issue increases, it becomes more and more a matter for the Prime Minister and the whole Cabinet who, after long consideration and discussion, will decide the policy of the Government.

All Government measures with very few exceptions are the joint product of the Cabinet and the civil service. The initial inspiration or impulse will often originate with an individual Minister or the Cabinet, and a rash Minister will sometimes make public commitments without consultation with his staff or even with his colleagues, but this is only a beginning. The proposal must be examined, criticized, appraised in the light of past experience, adapted, and recast many times before it is ready for trial or for incorporation in a Government bill, and at all stages in this proving process the practical knowledge and information of the civil servants are of inestimable value. On the other hand, the process may be reversed for an enormous number of new and modified policies grow out of the actual administration of existing statutes and regulations. These policies will almost invariably originate with the civil servants themselves who alone are in a position to draw upon the experience and wealth of data which have been built up through many years of administrative practice. Any significant change of this kind must receive, of course, the endorsement of the Minister, who will usually approach the question from a different angle than his civil servants and will be especially conscious of the political implications of any new departure. Matters of

greater scope or consequence will need to secure Cabinet approval as well, and if they involve a statutory change or are of major importance, they will be submitted to Parliament.

The Cabinet may sometimes feel the need for more information than it and its civil servants possess, and it may take the initiative to secure assistance from other sources before making its decision on a new policy. It may seek advice from various party organizations, or it may consult with those economic or social groups which are likely to be most affected by any change. It may go further and obtain more formal assistance either by introducing a resolution into Parliament to appoint a select committee of members (a body discussed in a later chapter)<sup>1</sup> or by itself appointing a Royal Commission under the Inquiries Act.

The Royal Commission is usually composed of one or more members (three is a common number) whose special qualifications will not only equip them for the investigation but will also tend to create public confidence in their work. Their special qualifications may consist of exceptional proficiency in a narrow field or, on the other hand, a judicial detachment and freedom from bias, a wide experience, or a familiarity with matters allied to that under investigation. Not infrequently both the expert and the enlightened amateur will be represented, the chief requirement being that the talents of the commissioners should be those which in each case are most likely to throw some light on the problems involved.

But while it is true that a Cabinet will pick a Royal Commission for the ability of its members, few if any Commissions are chosen on that ground alone. A Cabinet is always careful to see that the dice are not loaded against it, and the surest way to take care of that eventuality is to load them slightly in its own favour. In other words, a Cabinet will never choose a Commission which is likely to prove antagonistic, and it will try, if possible, to secure commissioners who, although they will not be partisan, are nevertheless in general sympathy with the Government's policies.

<sup>1</sup>Chapter XVIII

Thus although the Commission will be given perfect freedom to conduct its investigation as it pleases, the Cabinet has given the minimum number of hostages to fortune and it can usually count on a mild prejudice in its own favour.

If the Commission should submit a report with which the Cabinet agrees, no problem is likely to arise, but difficulties may occur if the Commission's report proves to be distasteful. In theory, the Cabinet is quite free to accept or reject the findings of a Commission as it wishes, for the latter has made an independent non-political investigation which the Cabinet could obviously not control and for which it can take little, if any, responsibility. But this freedom of the Cabinet is to a large extent imaginary, for it cannot ignore the Commission's moral authority, derived from its qualifications, its freedom from control, and its lack of ulterior purposes, which greatly enhances the difficulty of the Government's disregarding recommendations which have so disinterested an origin. Moreover, as the Government itself decided on the inquiry and appointed the Commission, it is unable to suggest either that the whole matter had better be dropped or that the report of the Commission is in any way unfair.

It is, of course, a common political manoeuvre for a Cabinet to appoint a Royal Commission for no other purpose than to shelve a matter which is controversial or which threatens to become a cause of embarrassment. A valuable respite is thereby ensured,<sup>1</sup> the Commission may actually produce an acceptable solution, and the postponement may conceivably lead to a cooling-off in popular interest and enthusiasm. The responsibility is, for the moment, shifted to other shoulders, although as soon as the report is presented, the Cabinet is forced to pick up the responsibility once again and determine its course. Whether this course involves action or inaction will turn upon the report itself,

<sup>1</sup>Sir Alan Herbert, whose wit never obscures his shrewd appreciation of political realities, has described the creation of a Royal Commission thus:

"The necessity for action was clear to everyone,  
But the view was very general that nothing could be done,  
And the Government courageously decided that the Crown  
Should appoint a score of gentlemen to track the trouble down—  
Which always takes a long, long time."

—Sir Alan P. Herbert, *Mild and Butler*, p. 254



the desires of the Cabinet, the political situation, and—by no means least—upon whether the Opposition will allow the Government to follow its own choice

The initiation of Cabinet policies is undoubtedly related in a democracy to trends in public opinion, but the connection is never constant and cannot be readily defined by any clear principles. Certainly the Cabinet does not produce a succession of new ideas which it then tries to persuade the nation to accept nor, on the other hand, does the Cabinet wait until popular clamour is unmistakable before advocating a particular measure. The process tends to be something between the two, an interplay of forces among the many pressure groups and interests throughout the country with the political parties and their leaders and representatives in Parliament playing the most important parts. "A Government," says Professor Jennings, "must perpetually look over its shoulder to see whether it is being followed. If it is not, it must alter direction."

A Government, even with an enormous majority, cannot neglect the feeling of the House. The temperature of the party is, in large measure, the temperature of the electorate.<sup>1</sup> The federal composition of the Canadian Cabinet is at least partially vindicated in the performance of this function, for the members can speak for many of the conflicting views and can endeavour with some chance of success to work out a policy which is likely to command fairly general acceptance. A Cabinet will inevitably be forced to compromise on many issues, but its position is usually so strong and dominant in both party and Parliament that it will be able to secure the adoption of the measures on which it has finally secured agreement.

But occasions will arise when the Cabinet may outstep public opinion to its own grave danger, and it may be compelled to make substantial concessions or even withdraw proposals entirely in order to save its face. Thus in 1945 the King Government dropped certain projected changes in the tariff because they had proved to be exceptionally unpopular. A most unusual case occurred in 1906 when the pressure of public opinion induced the Laurier Government

<sup>1</sup>W. Ivor Jennings, *Cabinet Government*, pp. 364-5

to introduce a bill to repeal an act which granted pensions to Cabinet Ministers, although the same Government had secured the passage of that act only a year before. On the other hand, there are also those very rare times when the Cabinet may deliberately take an unpopular stand because of its conviction that such a policy is the only one possible under the circumstances. The King Government's conscription policy during the latter part of the Second World War would quite probably come in this category. An important consideration in making such a decision is often the fact that the information and expert advice at the Cabinet's disposal are not equally available to anyone else and cannot for one reason or another be readily made available. An unyielding attitude by a Government on a complex question raises a strong presumption that it is very likely right,<sup>1</sup> for underneath its decision is almost certainly the conviction that time and a wider knowledge will vindicate the wisdom of its policy.

(b) Each member of the Cabinet is individually charged with the responsibility of exercising a general supervision over the work of his particular department. These broad departmental divisions will change somewhat in number and character in accordance with the fluctuating demands and needs of the nation, and any unusual event like a war will see very marked alterations made in response to the exceptional conditions. A number of minor activities may be grouped for reasons of convenience under one political head,

<sup>1</sup>An exceptionally good illustration of such a position occurred in Great Britain in 1912 when Mr. Winston Churchill announced the stand which his Government was prepared to take in response to the popular agitation for the opening of a second Europe in front of that time.

"No amount of pressure by public opinion or from any other quarter would make me, as the person chiefly responsible, consent to an operation which our military advisers had convinced me would lead to a great disaster. I should think it extremely dishonourable and indeed an act of treason to the nation to allow any uninstructed pressure however well meant or sentimental feelings however honourable, to drive me into such reckless or wanton courses. Again and again, with the full assent of my colleagues in the War Cabinet, I have instructed the Chiefs of the Staff that in endeavouring to solve their problems they should disregard public clamour, and they know that His Majesty's Government, resting securely upon this steady House of Commons is quite strong enough to stand like a bulwark between the military authorities and the well-meant impulses which stir so many breasts. (*Brit. H. of C. Debates*, Nov. 11, 1942, p. 26.)

and it is thus not uncommon to find a Minister in charge of two or more departments or divisions. The following are the departments in Canada today, the nature of their activities being broadly indicated by the titles: external affairs, finance, justice, state, agriculture, trade and commerce, post office, national health and welfare, reconstruction and supply, veterans affairs, national defence, labour, fisheries, transport, mines and resources, national revenue, and public works.

Each Minister serves as the spokesman for his department in the Commons: he answers questions concerning all phases of its many activities, he pilots the estimates for proposed departmental expenditures through the House, he defends it and its civil servants against attack and criticism. He also introduces into Parliament any bills which the Government is putting forward which affect the work and plans of the department, and such measures include in the mass by far the greater part of the Government's legislative programme. The two critical tests to which most acts of Parliament are daily subjected are their administrative practicability and the extent to which they meet the need for which they were designed, and acts of Parliament are being continually amended and recast as their shortcomings under these two tests become apparent. The task of the Minister, however, is not only to secure the desired amendments and thus obtain a better legal framework within which departmental administration can function, but also to familiarize the members of the House with the problems involved and convince them that the proposed changes are both desirable and necessary to achieve the ends which the House has in mind.

(c) While the Minister, as stated above, is almost always technically ignorant of the special activity of his department, he need not and should not for that reason be nothing more than a useless ornament at the top of the administrative pyramid. It is true that the civil servants under him know infinitely more about the inner workings of the department and the minutiae of the varied tasks on which they are engaged than he can ever hope to know himself, but his own contribution, although made along quite different lines, is no less valuable. While the civil servant must supply the

technical knowledge, the Minister on his part will add much to the drive and vigour in the department and will endeavour to keep the aims and efforts of his assistants in proper focus. The civil servants are apt to be too much concerned about their immediate task and their own official convenience, their expert knowledge acts as a screen obscuring their view of other departments, interfering with other contacts and relationships, and cutting them off from public opinion, the bias of their profession may distort their outlook and make them distrust new and unfamiliar ideas, precedents and well-worn methods may become inviolable, and the road to the goal may be regarded as of equal or even greater consequence than the goal itself.

The amateur Minister enters this oppressive atmosphere like a fresh breeze from the sea. He possesses few predilections, and those he has are of an entirely different kind from those of his subordinates, a fact which makes him far more useful to the department than if he were simply one more expert among many. He can ask an infinite number of questions and can demand exact and exhaustive answers, he can, when he desires, bluntly refuse to follow a suggested policy, he can shake up the lethargic and transfer the bunglers to a place where they can do little harm. "I am certainly not one of those," declared Mr Churchill, "who need to be prodded. In fact, if anything, I am a prod",<sup>1</sup> and it was this faculty which helped to keep Mr Churchill's department, whatever it might happen to be, both alert and efficient. The Minister introduces a different point of view into the department, he poses problems for solution, when future departmental plans are being formulated, he is the one who can gauge the views of the public and can insist that all sides of a question be carefully considered before final action is taken.

But the Minister is in the nature of things bound to act throughout in constant consultation with his expert civil servants, and his own ideas, whether valuable or useless, are necessarily tempered by the advice he will receive. His reforming zeal is certain to suffer many defeats, and properly

<sup>1</sup>*Brit H of C Debates*, Nov. 11, 1942, p. 23

so, for the departmental methods and policies which he may criticize will frequently prove to be right. But the mere fact that the civil servant knows that his proposals must be able to satisfy a curious and perhaps sceptical Minister, bound by no professional prejudices, has in itself a wholesome effect and tends to produce wiser proposals. The Minister can never afford to forget that he will be expected to defend and justify his department's policies before Parliament and the country, and yet at the same time he realizes that those policies must be technically and administratively sound if they are to meet the need which has called them into being. Success in administration, said Walter Bagehot, "depends on a due mixture of special and non-special minds—of minds which attend to the means, and of minds which attend to the end."

Inasmuch as the Minister is politically responsible for everything done in his department, he is given supreme authority, and he therefore has the power to overrule any of his civil servants at any time. They, for their part, give the best advice they can, and if the Minister persists in disregarding it—as he has a perfect right to do—they must then acquiesce, and bend all their energies to the problem of making the best of what they are convinced is a mistaken policy. The Minister has the privilege of overruling his civil servants even although it involves the making of blunders, and the Minister also has the privilege of defending those blunders in Parliament and suffering, if need be, for them.<sup>1</sup>

<sup>1</sup>The following is, therefore, quite incomprehensible on any sound theory of the relationship between Minister and civil servant (or military adviser). (Italics have been added.)

"*Mr Harkness* Why was the original plan [of discharging the armed forces] abandoned in favour of the present?"

"*Mr Abbott* (Minister of National Defence) I can only answer that the present system was worked out and decided upon by competent officers whose names I have mentioned men in the field in whose judgment I have confidence and in whose judgment I am sure my predecessor had confidence.

"*Mr Ross* (Souris) The whole system is most unsatisfactory, and the fact that the Minister says that the Chief of Staff organized all this, and that the Government is satisfied with it, is not good enough for the people of this country at the present time.

"*Mr Abbott* I could fire the Chief of Staff, I suppose.

"*Mr Ross* Some better explanation is required, because the Government are responsible for the acts of the Chief of Staff.

The necessity of maintaining democratic control and the necessity of securing technical efficiency are thus two principles which are quite capable of being reconciled—the one is a complement and corrective of the other, the two combine to produce the administrative paradox that the best person to wield final authority is one whose major interest has been largely outside the specialized field with which his particular department is primarily concerned.

This is not to suggest that the average run of Canadian Ministers will measure up to these opportunities which await them in their departments. They will not. Too many find it much easier to swing with the tide, to accept methods and procedures with little or no question, to refuse to take the trouble of trying to grasp the real functions and aims of their departments, to be led off by some things they can readily understand and neglect those which are difficult or bothersome and hence demand genuine concentrated effort, to become absorbed, like their civil servants, in some of the trifling questions which are immediately in front of them while they ignore the more remote and intangible problems which can be postponed for a month, six months, or five years. Even some of the best Ministers may have trouble in extricating themselves from the morass of detailed administration, for Canadian tradition and practice—maintained in no small measure by the Ministers themselves—have done little to set the Cabinet free from such impediments and restraints.<sup>1</sup>

(d) The Cabinet is not only a planning and executing body, it is also a co-ordinator. When two or more departments are involved in a particular piece of work they may create *ad hoc* or permanent committees at suitable levels

"Mr. Abbott: But the Government must either accept the advice of its senior military officers or replace them. All these men are, I think, doing a difficult task extremely well, and as long as I have confidence in them I must accept their advice as to what is the best method. I may query them as to particular points, but so far as my own limited knowledge of these matters is concerned I have not been able to see that the plan which they have worked out and which they recommend to me is an unsound plan, and until I am convinced it is I intend to support it."

—*Can. H. of C. Debates*, Oct. 29, 1945, pp. 1591-2

<sup>1</sup>See *infra*, pp. 269-77

to eliminate overlapping, friction, and wasted effort, but the only body which can do this on a grand scale is the Cabinet. It represents all the departments and it is in touch with all government business, and it has the further enormous advantage of possessing the power of final decision. Moreover, if legislation should prove necessary to supplement the Cabinet's wishes, it is in a position to turn to Parliament and secure the legislation desired. Unfortunately the Cabinet is likely to be ineffective as a co-ordinating body, for it is usually so loaded with work that it is unable to spare the time and attention which such a function entails, and co-ordination on a wide scale is as a result frequently halting and uncertain.

(e) Finally, the Cabinet performs collectively a wide variety of explicit executive acts, usually in the name of the Governor-in-Council and on the immediate initiative of the Prime Minister. A number of the more important of these acts are listed below.

(1) The making of appointments, which may range from comparatively minor positions to ambassadors, High Commissioners, Privy Councillors, judges, senators, etc. A very large number of appointments to the civil service are, however, made by the Civil Service Commission.<sup>1</sup>

(2) The removal or dismissal of public officials. Some hold office at pleasure and may thus be removed with few formalities. Others, like the civil servants, may hold office legally at pleasure, but in practice during good behaviour, and the removal is then "for cause," usually after an inquiry. Others, like the County Court judges, enjoy a legal tenure during good behaviour and can be removed only after a formal investigation. A limited number of officials, such as the Supreme Court judges and the Auditor-General, can be removed by the Governor-in-Council only after a joint address asking for removal has been passed by both Houses of Parliament. In these last cases, the function of the Governor-in-Council is almost if not entirely automatic.<sup>2</sup>

(3) The summoning, prorogation, and dissolution of Parliament. These may be briefly defined as follows. The

<sup>1</sup>*Infra*, pp 298-301

<sup>2</sup>*Infra*, pp 480-2

summoning of Parliament is simply its convocation whenever a meeting is deemed to be necessary. Prorogation is the act of terminating a parliamentary session. Dissolution terminates a Parliament, that is, the life of a Parliament is brought to an end and a general election must then be held to select a new House of Commons.

(4) The participation in international affairs by the appointment of plenipotentiaries, the issuing of instructions to those plenipotentiaries, the ratification of international agreements and treaties, etc. Parliament may be consulted and even asked to approve international agreements and treaties, but this is largely a matter of convenience and political strategy, the actual ratification is purely an executive act. Some agreements and treaties (such as a commercial treaty to alter the tariff) will, of course, need later legislative action to carry their terms into effect.

(5) The power of clemency, that is, the issuing of a reprieve or pardon to offenders against the laws of the Dominion, notably, of course, for criminal offences. This may be applied to individuals or, a more unusual example, to a group, such as the general amnesty given to offenders under the Military Service Act after the First World War.

(6) The decision of certain matters relating to the provinces. Two of the most important, the disallowance of provincial legislation and the decision on reserved provincial bills, are discussed later in this chapter as negative legislative powers. The Cabinet appoints the Lieutenant-Governor in each province, gives him general instructions and from time to time supplementary instructions, and may remove him if he proves unsatisfactory.<sup>1</sup> It also may hear appeals from sectarian minorities in the provinces on educational matters, and may make recommendations thereon.<sup>2</sup>

#### LEGISLATIVE FUNCTIONS OF THE CABINET

The legislative functions of the Cabinet necessarily involve the close relations which exist between the Cabinet and Parliament and particularly between the Cabinet and the

<sup>1</sup>Two Lieutenant-Governors have been removed: one in Quebec in 1879, one in British Columbia in 1900.

<sup>2</sup>*Supra*, p. 102.



House of Commons Only one side of this relationship will be considered here, namely, the influence of the Cabinet on the House, the other side, the ways in which the House questions, attacks, and criticizes the Cabinet, will be discussed in a later chapter <sup>1</sup>

It has already been pointed out that the influence which the Cabinet wields over the House of Commons and which enables it to get its own way in almost every instance is firmly embedded in the party system and the conditions under which the Cabinet is placed in power Its supporters in the House are elected as party members and as followers of the leader who has become the Prime Minister, their associations with the party have probably been both long and intimate, and they naturally have a genuine sympathy with the Government's measures, they must respect the pledges they have given, and they will be very reluctant to disappoint the expectations of their constituents by erratic or vacillating behaviour They are thus willing and anxious to expedite the proposals which the Cabinet places before them This co-operative attitude can usually be relied upon, although it may be sensibly weakened at times by the emergence of some disturbing factor which asserts a temporary ascendancy over the party influences and may spring from the dissatisfaction of individual members, the special interests of certain constituencies, the activities of pressure groups of different kinds, provincial agitation or jealousy, and so forth The Cabinet, however, is usually quite able to deal with any incipient revolt among its supporters in Parliament, for it has constantly at its disposal a number of instruments for this purpose These are not weapons which are ruthlessly used to bludgeon the unfortunate nonconformist into submission, but rather softening or moderating influences which soothe the uneasy and make rebellion not only difficult but also profitless and short-sighted

The first of these aids to unfaltering and unruffled party support is the parliamentary caucus The members in Parliament from each party meet together in strict privacy at intervals during the session (and occasionally at other

<sup>1</sup>Chapter XIX

times) to discuss and decide policies and parliamentary strategy. The general scope of an Opposition party caucus is somewhat restricted, for it can do little more than criticize the majority party, and its chief concern will almost certainly be the determination of its attitude to Government proposals and the way in which its members may best combat them. The Government caucus, on the other hand, has a wider responsibility flowing from that which the Cabinet must bear in all matters of legislation, and the members will discuss the Government's proposed programme with the assurance that their decisions will become operative and that they will be held accountable for them. The stand endorsed by the Government caucus is thus of paramount importance to its members, and their attention will be directed to the task of improving the terms of the Cabinet's proposals, ensuring that they will be such as to secure approval in the country, and expediting their passage in the House. The Government therefore finds the caucus an extremely useful laboratory in which it can test its measures by inviting friendly criticism and suggestions.

A parliamentary caucus [said Mr Mackenzie King] is nothing more than a gathering of a certain number of members of Parliament. In the case of a Government caucus it is the bringing together of the majority of members in the House of Commons supporting the Government. It is the means whereby a Government can ascertain through its following what the views and opinions of the public as represented by their various constituencies may be. It is not a means of over-riding Parliament. It is a means of discovering the will of the people through their representatives in a manner which cannot be done under the formal procedure which is required in this chamber. A Government has to be careful in the matter of the legislation it brings into Parliament, to be sure that it is in accord with the public will. How can that best be ascertained? Wait until the legislation is brought down in Parliament and put on the table, or by a conference with the Government's own following if there is any doubt one way or the other in regard to any phase of the legislation? After all, what a Government has to keep before it, if it is to be worthy of the name of a Government, is, first of all, the support it will receive in the country for the measures it introduces, secondly, the support it will receive in Parliament. [The caucus] is simply coming into closer consultation with the people's representatives in a manner that permits of the greatest freedom of expression on their part.<sup>1</sup>

The Cabinet, however, derives an additional benefit from the caucus, for it will use it to ensure the support of all the

<sup>1</sup>*Can. H. of C. Debates*, Feb. 12, 1923, p. 219.

Government party members in the House. It is true that the member who attends these meetings is allowed and expected to express his views without restraint, that he is given an opportunity to present his case to a sympathetic audience, and that he may thereby be able to secure substantial modifications in the Government's programme. But he pays well for these facilities. In return for them (and they are from his point of view extremely valuable) he tacitly agrees to accept the decision of the caucus as final and to relinquish his right, except under very unusual circumstances, to object to or vote against these Government measures when they come before the House. The Cabinet thus obtains a triple advantage: it is enabled to try out its measures on a representative body before it finally submits them to the House, it is insured against overt rebellion, and it obtains additional security from the fact that the opinions of its supporters have not been dangerously suppressed but have been allowed to find frank and vigorous expression in the caucus to the general profit and satisfaction of all concerned.

Members of one party from Quebec, the Prairie Provinces, or some other province or provinces will frequently hold a separate caucus of their own. It is usually very informal, and is called to discuss some matter which is of common and special concern to the members from that area. The purpose is, of course, to get agreement and then exert a greater pressure on the other members of the party and on the Cabinet. In 1916 an unusual instance occurred when all the Liberals in Parliament met in provincial caucuses to determine their attitude on the question of bilingualism in Ontario schools.<sup>1</sup>

Members of Parliament are also induced to follow a moderate rather than an extreme course on matters of Cabinet policy by the knowledge that the Government (through the power of the Prime Minister to advise the Governor-General to dissolve the House) can bring the parliamentary term to an abrupt close. Few members, if they can avoid it, wish to face the labour, cost, and worry of a general election, involving, as it must, the possibility of personal defeat, a mere hint of such a step will have a marked

<sup>1</sup>O. D. Skelton *Life and Letters of Sir Wilfrid Laurier*, II, p. 484

effect on any Government supporter who shows signs of restiveness or excessive independence, and even the members of the Opposition, which usually has more to gain by an election than the Government, can be made to observe the parliamentary courtesies with a better grace if they are reminded of the ominous possibilities which may lie ahead.

Patronage has lost some of its usefulness in recent years as an oiler of the wheels of government, for the reform of the civil service has removed from ministerial control a large number of appointments which were at one time passed out through Government supporters in the House. The threat that party irregularity will mean a removal of patronage has therefore been deprived of much of its former influence although it is still a factor to be considered. It can be reinforced by the withdrawal of the kindly influence of the Cabinet in other ways. The knowledge that a member is in doubtful standing with the Government and the refusal of the central party organization to make any contributions to his campaign from the central party fund will rarely enhance a member's prestige in his constituency, although the Government's influence may not be strong enough to deprive him of his nomination for the next election. It should be remembered, moreover, that the really choice positions in the gift of the Cabinet are still filled by patronage and these may be of direct and very personal concern to the members of Parliament. Every young member who has even a fragment of ambition can with little effort see himself as a Cabinet Minister, and he knows that two of the indispensable prerequisites are party loyalty and dependability. An older member, whose chances for a portfolio have almost certainly vanished, can still look forward to a possible position on a permanent board or commission, a judgeship, or, most coveted of all by those in whom ambition has languished, a senatorship.<sup>1</sup> A series of votes against the party and the consequent

<sup>1</sup>The Bennett Government, for example, appointed no less than seventeen Conservative members of Parliament to choice positions in the few weeks before the general election in 1935: ten to the Senate, three to the Bench, two to be deputy heads of departments, one to the Civil Service Commission and one to be Chairman of the Board of Railway Commissioners. For appointments to the Senate, see *infra*, pp. 331-2.

displeasure of the Prime Minister would bring hopes such as these to a sad and premature end, and while some members may admire the intrepidity of a Casabianca, few indeed will be found who have any desire to emulate him

In this environment and with these influences working steadily in its favour, the Cabinet succeeds in exercising legislative powers of the first magnitude

(a) The basic legislative power of the Cabinet is the general control which it is able to exercise over the House of Commons at all times, for it is through this control that the other powers become effective. The Prime Minister, assisted by the Cabinet, leads and directs the House in virtually everything it attempts to do. He writes the Speech from the Throne for the Governor-General to deliver to Parliament indicating the chief measures to be considered during the session. The House will choose his nominee for its Speaker. The Prime Minister and the Cabinet will determine the daily order of business and the time to be devoted to different matters,<sup>1</sup> and the rules of the House are designed to facilitate the legislative work of the Cabinet. This programme is, of course, always subject to interruptions by the Opposition, which may avail itself of those opportunities for inquiry and criticism which are also provided by the rules,<sup>2</sup> although there is a tacit understanding that Government affairs must have first claim on the time of Parliament and enjoy a general preference. The underlying control of the Cabinet is perhaps best exemplified by its power to take a stand on any question and enforce that stand upon its party followers. It may announce that it will treat the vote on even a trivial matter as a vote of confidence, and the members of the majority party will be compelled to fall in line and give their support. The Cabinet will also interpret the vote of the House and will determine the significance to be attached to it. It may thus decide to regard a vote as decisive and consider that it is no longer required to carry on the Government, or it may accept a defeat on certain points as of little consequence and challenge its opponents, if dissatisfied, to move a straight vote of want

<sup>1</sup>See *infra*, pp 415-20

<sup>2</sup>See *infra*, pp 434-44

of confidence. The nature of this relationship between Cabinet and House is more fully examined in subsequent chapters.<sup>1</sup>

(b) The Cabinet dominates all legislation. Public bills (which deal with matters of a public or general nature) may be introduced by a member of the Ministry or a parliamentary assistant, when they are known as "Government" bills, or they may be introduced by a private member and hence called "private member" bills. The great bulk of pending legislation falls in the category of Government bills, which emanate from the Cabinet, enjoy its explicit support, and take up on the average at least four-fifths of the time of the House.

The public bills which are fostered by private members (members of either house who are neither members of the Ministry nor parliamentary assistants) have little chance of ever reaching the statute books. The time for their consideration is limited, most of them will fail to be heard at all, or at best will be debated for a short time and then talked out without ever coming to a vote. The Cabinet may, however, give one of these bills or resolutions a mild support or even on very rare occasions formally adopt a private member measure as its own and expedite its passage. But the general practice is for the Cabinet to remain indifferent to the fate of public bills which it has not fathered, and it will take the same attitude to private bills, those quite different measures which deal with the much more restricted interests of individuals and corporations.

The public bills fostered by the Cabinet are, on the other hand, its chief concern. They represent the Cabinet's legislative plans for the future and may vary from proposals of first-rate importance to those for the simplification of departmental procedures, from the most comprehensive reforms to those which are intimately related to and which arise out of the day by day administration in the departments. Because these proposals spring from the one source, there is a presumption that they will form a part of a fairly consistent programme, and because they are drafted by those who are charged with the executive and administrative functions of

<sup>1</sup>Chapters XVIII, XIX

the government, there is also a virtual certainty that these measures will be realistic and administratively practicable.

The Cabinet is extremely sensitive about the general excellence of its own measures, and while it may at times consider and even accept suggested amendments, the overwhelming tendency is to refuse to make any important revisions in the bill as originally introduced. Any concessions to the Opposition or any acceptance of substantial help from that quarter may be interpreted as a sign of weakness or incompetence on which the Opposition will not fail to capitalize at the earliest opportunity. "Love me, love my dog" is the inflexible rule, for the Cabinet assumes that if the House desires its leadership, the House will be prepared to embrace its programme. Hence the great corollary of cabinet government—that the defeat of a Government measure will normally bring about the defeat of the Government itself. The only exception is the occasional snap vote which may defeat a Government measure but does not accurately represent the opinion of the House. Any uncertainty on this score can be speedily resolved by an explicit vote of confidence. If the House should decide to reject a Government bill or to amend it in a way unacceptable to the Cabinet then "His Majesty's Government will consider that it is relieved of the duty of carrying on any longer the government" of the country, or, as an alternative, the Prime Minister will ask the Governor-General for a dissolution. The effect of this firm stand on any hesitant Government supporters in the House is clear, and in all but the most unusual circumstances the Government measures will be passed intact.

(c) The Cabinet controls all financial legislation. Financial bills are simply a very special variety of public bills, distinguished by their subject-matter, their exceptional importance, and by their unhappy annual recurrence. They may deal with the spending of money (supply), or with the raising of money (taxation or ways and means), and the Cabinet has the sole responsibility for preparing and submitting to Parliament the estimates for departmental needs and the scheme for meeting these expenditures which is presented in the form of the budget.

The British North America Act (Section 54) requires that any measure for the spending of public funds can be considered by the House of Commons<sup>1</sup> only after it has been first recommended by a message from the Governor-General, and by constitutional usage such a message can be transmitted to the House only through a Cabinet Minister. Custom and the standing orders of the House have established a companion principle that any proposal for the imposition of a tax must also be made by a member of the Cabinet.<sup>2</sup> These two rules have been further reinforced by the practice, implied in the standing orders, that no amendment to increase taxes or appropriations can be made except upon the motion of a Minister, although any member may move to have any tax or appropriation reduced or completely struck out.<sup>3</sup> All the above principles have been derived from long English practice,<sup>4</sup> and collectively they place the Cabinet in a position where its responsibility in all financial matters is complete and ineluctable.

The Cabinet must therefore introduce and sponsor all measures to spend or to raise money, and as any proposed amendment which would endeavour to diminish a tax or an expenditure contrary to the Cabinet's wishes would be treated as a vote of lack of confidence, its control over finance is not likely to be seriously threatened. Admittedly this places enormous powers in the hands of a very small group, although these will be exercised under constant scrutiny and criticism. The system, however, has undoubted advantages. The Cabinet is in the best position to judge the purposes to which public money should be directed as well as the productivity and incidence of possible measures of taxation, and the fact that those who spend the funds are likewise charged with the task of devising ways and means to raise them places a very wholesome restraint on extravagance. The most casual survey of government finance in the United States, where the

<sup>1</sup>The British North America Act, Section 53 enacts that all bills for raising or spending money must originate in the House of Commons.

<sup>2</sup>Arthur Beauchesne, *Rules and Forms of the House of Commons of Canada* (3rd ed.), pp. 156-7, 163-4, 181-3.

<sup>3</sup>*Ibid.*, pp. 179, 182-3.

<sup>4</sup>They were not always practised, however, in Canada. See *supra*, pp. 14, 16, 17.



connection between the raising and spending of money is, to put it mildly, a very tenuous one, will indicate the great advantages of the English (and Canadian) system

(d) The Cabinet, acting as the Governor-in-Council, enacts subordinate legislation under the authority (and only under the authority) delegated to it by acts of the Canadian Parliament. Its legislative output may be known as minutes or orders-in-council, the distinction being largely one of form and apparently of little consequence,<sup>1</sup> and it must also give formal approval to the minutes of the Treasury Board.<sup>2</sup> The subject-matter of this legislation may range from questions of purely departmental routine to those of first-rate importance with far-reaching consequences, from the approval of a contract or the amendment of a minor regulation to the establishment of a nation-wide system of price control in time of war.

The number of these orders and minutes is very large even in ordinary times they reach five or six thousand a year, while in time of war they naturally increase greatly with the additional Cabinet responsibilities and the need for immediate executive decision and action.<sup>3</sup> Thus from August 25, 1939, to September 2, 1945, the Governor-in-Council disposed of 92,350 items of business,<sup>4</sup> a tremendous total, although one which is not so impressive as it appears at first glance. A very large part indeed of these orders and minutes were concerned with routine matters, and not more than 4 or 5

<sup>1</sup>"The difference between the order and minute is one of form rather than substance and is not clearly or consistently maintained. Normally, the order is employed for the exercise of explicit statutory authority for the making by the Governor-in-Council of orders or regulations, the minute covers a much wider field in which the Governor-in-Council gives approval to ministerial action." A. D. P. Heeney, "Cabinet Government in Canada," *Canadian Journal of Economics and Political Science*, Aug., 1946, p. 285.

<sup>2</sup>Treasury Board minutes deal almost entirely with appointments, dismissals, and other personnel matters in the civil service, with payments to firms and persons for services rendered, with remissions of taxes and the like." L. S. St. Laurent, *Can. H. of C. Debates*, Oct. 2, 1945 p. 681. See *infra* p. 427.

<sup>3</sup>A large part of this increase will be authorized by special emergency legislation, notably the War Measures Act.

<sup>4</sup>Heeney, "Cabinet Government in Canada" (*loc. cit.*, p. 286), gives the following break down:

Orders-in-council and minutes of Council	56,202
Proceedings of Treasury Board	36,148
	<hr/>
	92,350

per cent of the total represented action which was legislative in any real sense of the term <sup>1</sup> Even so, the numbers give a very good idea of the Cabinet's enormously important function in supplementing the legislative activity of Parliament. The extent to which the Canadian Cabinet has been endowed with legislative power and the justification for this delegation will be discussed further in another chapter <sup>2</sup>

(e) Finally, the Cabinet wields a negative legislative authority in the provincial field. Any act of any provincial legislature may be disallowed and rendered void by an order-in-council, passed on the recommendation of the Minister of Justice, provided such action takes place within one year after the receipt of such act by the Dominion Government. The Governor-in-Council may also be called upon to give or refuse its consent to any provincial bill which the Lieutenant-Governor of the province has not signed but has "reserved" for its consideration. Intervention through disallowance or reservation is, of course, exceptional, although legally there are no limitations whatever on the frequency with which they may be exercised. This will depend primarily upon the good sense and self-restraint of the Dominion Cabinet (or the Lieutenant-Governor), and to some degree upon the good sense and self-restraint of the provincial legislatures as well. Out of the thousands of acts passed by provincial legislatures since Confederation only 112 have been disallowed, although in a number of other instances provinces were induced to modify the law in order to escape disallowance. Sixty-five provincial bills have been reserved and of these fourteen were eventually approved by the Dominion and thus became law <sup>4</sup> Disallowance and reservation have not in practice,

<sup>1</sup>The same authority (*ibid*) classifies the orders and minutes as follows:

Approval of contracts for supplies requiring approval of the Governor in Council	45 per cent
Other administrative acts—changes in establishments, appointments, refunds, etc	50.51 per cent
Acts of a legislative character	4.5 per cent

<sup>2</sup>*Infra*, Chapter XIV

<sup>3</sup>B. N. A. Act 1867, Sections 56-90

<sup>4</sup>See E. A. Forsyth, "Disallowance of Provincial Acts: Reservation of Provincial Bills, and Refusal of Assent by Lieutenant Governors since 1867," *Canadian Journal of Economics and Political Science*, Feb., 1938, pp. 47-59. See also *Dominion-Provincial Legislation 1867-1895*, *Provincial Legislation, 1896-1920*.

therefore, constituted very serious limitations on provincial powers, and the validity of this statement is strengthened by the modern tendency to use these expedients even more sparingly than in former years

Disallowance and the treatment of reserved bills have followed an irregular and even inconsistent course, although some general tendencies can be traced. The few pages following will discuss in main outlines disallowance only, but the same trends are not unnaturally discerned in the Dominion Government's handling of reserved bills, for this is simply another manifestation of what is essentially the same characteristic, the attitude of the Dominion Cabinet to provincial legislation.<sup>1</sup>

The first period extends from Confederation to the defeat of the Conservative Government in 1896. Disallowances were for the first twenty years very common.<sup>2</sup> The free use of the power was justified on many grounds: the provincial acts were considered objectionable because they were *ultra vires*, or prejudicial to private rights, or discriminatory and unjust, or contrary to "sound principles of legislation." Disallowance was therefore used, as in all likelihood the founders of the federation had intended it to be used, as a means of keeping the provincial legislatures in order and (in the absence of any Canadian equivalent of explicit constitutional restraints such as those in the American constitution) as a check on unjust and oppressive legislation.

The provinces, as might be expected, objected vigorously to this assertion of Dominion power over what they considered to be solely their own business, and towards the end of this period their protests received indirect legal aid by judicial decisions, which took a decided turn in the direction of augmenting the power and general importance of the provinces.<sup>3</sup> The most emphatic statement of provincial claims came

<sup>1</sup>*Memorandum on Dominion Power of Disallowance of Provincial Legislation* (1935), Dept. of Justice, *Memorandum on Office of Lieutenant Governor of a Province* (1938), Dept. of Justice, E. A. Forsey, "Canada and Alberta: The Revival of Dominion Control over the Provinces," *Politics*, June, 1939, pp. 95-123.

<sup>2</sup>From 1867 to 1887 the Dominion disallowed fifty-nine provincial acts; from 1867 to 1896, sixty-six.

<sup>3</sup>*Supra*, pp. 97-8, 109-12.

from a representative conference of five provinces in 1887 which unanimously passed a resolution deploring the Dominion's "arbitrary control over legislation of the Provinces within their own sphere" and demanding that they should be placed in respect of disallowance in precisely the same position as the Dominion, that is, with disallowance vested in the British Government. While no formal change followed this protest, the remaining nine years of Conservative rule at Ottawa saw a very sharp drop in the number of provincial acts disallowed.

The next period (1896-1920) coincided with the increasing emphasis on provincial autonomy and the general enlargement of provincial powers which have already been mentioned as beginning in earlier years. This quarter-century was thus naturally characterized by a growing belief that disallowance was an intrusion on provincial powers. If a provincial law was deemed to lie within provincial jurisdiction, then any mere injustice or any violation of private rights which might ensue was no longer considered to be the concern of the Dominion Government. The remedy, if there was a remedy, rested with the people of the province, they could ignore the whole matter or take whatever measures they thought fit. The disappearance of the idea that the Dominion was to keep paternal watch over provincial legislation inevitably caused a very marked reduction in disallowance for reasons of this nature, and the total disallowances in this period would, indeed, have been very small had British Columbia not embarked upon a statutory campaign to place various restraints upon the activities of aliens living in that province. Inasmuch as this legislation raised questions which threatened to cause both Empire and international complications of a serious character, the Dominion felt bound to interfere and disallow the offending statutes on grounds of public policy. The total disallowances for the twenty-four years were thirty, but of these no less than nineteen were attributable to the above efforts of British Columbia.

The third period, which includes the years from 1921 to the present (1947), shows a partial recession at times from the

extreme *laissez-faire* attitude of the earlier period tempered with a continued reluctance to interfere with provincial business. Only sixteen provincial acts have been disallowed in this period, and these have been irregularly spaced. For twelve years (1925-37), the power was not used in a single instance, although there was no reasonable doubt<sup>1</sup> that it was merely in abeyance. Once again, however, the legislative activity of one province virtually forced the Dominion to take action. Alberta, in an endeavour to put some of her Government's peculiar monetary theories into operation and to institute a wholesale system of debt relief, embarked upon a series of legislative measures which trespassed on the powers of the federal government, and the Governor-in-Council promptly disallowed them. Of the sixteen disallowed provincial acts mentioned, twelve originated in Alberta and eleven dealt directly or indirectly with the above subjects.

In this modern period, although the disallowances have been comparatively few, the reasons advanced for disallowance or a refusal to disallow have been, perhaps, even more uncertain and inconsistent than in earlier years—no inconsiderable achievement. Thus while one disallowance at the beginning of the period rested in part on the gross unfairness of the provincial statute, a later Minister of Justice affirmed that he favoured leaving such acts to the judgment of the people in the province concerned. While there has been an increasing tendency to leave *ultra vires* legislation to the judgment of the courts, illegality is nevertheless still recognized as an important contributory factor in determining if disallowance should occur. The activity of the Governor-in-Council in disallowing Alberta legislation furnishes, moreover, a disturbing contrast to its refusal to disallow the ill-vised “padlock law” in Quebec, on which action could have been taken under any one of a host of past rules which have been invoked in recent years.<sup>2</sup> The truth is that no one in the

<sup>1</sup>The Premier of Alberta nevertheless alleged the power was obsolete—a question which was referred by the Dominion Government to the Supreme Court in 1938 and which was answered by a judgment declining the power to be unimpaired. *Disallowance and Reservation Case*, [1938] S. C. R. 71.

<sup>2</sup>E.g., a “clear and palpable attempt to invade the field of the Dominion,” “unusual,” “without parallel,” “arbitrary powers,” “leaves no adequate remedy in the courts,” “opposed to principles of right and justice,” etc. See Forsey, “Canada and Alberta,” *Politics*, June, 1939, pp. 95-123.

Dominion knows with any approach to certainty what action to expect on disallowance from the Governor-in-Council. Guesses may be ventured on the fate awaiting a particular statute, but if the guesses are to be at all accurate, they must be based not so much on a weighing of constitutional principles as on a shrewd appraisal of the ideas and opinions and prejudices of the Minister of Justice (and the Cabinet), which, in turn, will almost certainly be affected by the political situation at that particular time.

What is most necessary is not the complete abolition of the power of disallowance, but a clear consistent enunciation of the occasions which will justify its use. That these occasions should be rare and exceptional, there can be little doubt. The old idea of the Confederation period that the Dominion should be the mentor of the provinces and save them from error and excesses of all kinds has become quite obsolete. Generally speaking, the provinces should have the privilege of making their own mistakes as they see fit. Questions of *ultra vires* are as a rule better settled by the courts, although there would seem to be little if any objection to the Dominion in exceptional cases of this kind threatening disallowance unless the province would consent to an immediate court reference to determine jurisdiction.<sup>1</sup> Three classes of cases, however, would seem to justify disallowance, although it should be assumed that all these would first be discussed with the province before final action was taken. First, those provincial acts which interfere seriously with Dominion legislation or Dominion policy. Secondly, those provincial acts which destroy rights of citizens living in other provinces. \*The normal protection against unjust legislation is that the people affected have a political remedy which they may use against their government and thus, perhaps, secure redress, but in this instance the people concerned are residents of other provinces and have no way of protecting themselves against the abuses of the offending legislature. Thirdly, those provincial acts which affect

<sup>1</sup>This alternative was in effect offered by the Dominion to Alberta in 1937, when the former stated it was 'considering' the disallowance of three acts. Alberta refused to hold up the legislation pending a court decision, and the acts were accordingly disallowed.

fundamental rights of Canadian citizens. These rights should be the same in all provinces of Canada and should be unassailable by provincial statutes. If they should at any time be given special enunciation in the British North America Act, the courts would see to their enforcement, and in such an event the need for any additional protection by disallowance would become unnecessary.

## CHAPTER XI

### THE CABINET ORGANIZATION

THE great scope and variety of the Cabinet's functions have placed an enormous load, almost an unbearable load, on the Ministers. The way in which their business is transacted, the organization of the Cabinet, and the expedients used to reduce effort and to make it more enlightened and effective should therefore be matters of first-rate consequence. Yet for many years the Canadian Cabinet continued to wobble along and to conduct its affairs under conditions which made careful deliberation difficult and quick informed decision well-nigh impossible. Nor, indeed, did the Ministers appear to be much concerned about these difficulties, although in almost any other walk of life definite efforts would most certainly have been made to bring about comprehensive reforms. Whether Ministers were simply reluctant to abandon old practices, or broad political benefits (such as that gained from the representative character of the Cabinet) were deemed more important than efficiency, or successive Cabinets were so conscious of their vulnerability that they saw little purpose in introducing reforms which might awaken additional criticism, it is difficult to say, but the fact remains that little or nothing was done in the direction of improvement.

Cabinets are potentially, of course, among the most elastic bodies conceivable. Their organization is quite informal, they make their own rules and precedents and determine their procedures, they are not hedged about by restrictive laws, or, if any law should stand in the way, its speedy amendment lies in their own hands. In practice they are compelled to make frequent adjustments, for the march of events, the interplay of different personalities, the emergence of new issues and problems, all bring necessary concessions and modifications of various kinds. But in spite of these minor diversions the Canadian Cabinet has usually proved to be a most conservative body in matters concerning the transaction of its own business—the more things have



changed, the more they have seemed to remain the same. Thus the First World War came and went leaving no permanent imprint on Canadian Cabinet organization or methods of conducting business, although a couple of years before and immediately after the war two excellent reports on this topic had been submitted, both of them advocating changes of a very substantial kind.<sup>1</sup> Successful British experience, which is usually followed by most Canadian political institutions without hesitation or, indeed, adequate discrimination, was in matters of Cabinet organization consistently ignored, although certain lessons to be derived from this source were clear and unmistakable. The Second World War, however, proved to be by far the most powerful stimulant to Cabinet practices ever administered in Canadian history, and a number of fairly extensive reforms were introduced in order to cope with the intensified demands. But so belated was the conversion and so exceptional were the conditions which finally forced the changes upon the Cabinet that a return to earlier practices might be anticipated were it not that an inflexible necessity is likely to make the retention of many of the new practices virtually unavoidable.

The most serious handicap of the Canadian Cabinet as an executive body has long been its excessive size. 'You cannot run a war with a Sanhedrim' was Lloyd George's succinct way of stating the same difficulty during the First World War in Great Britain, although the problem at that time was obviously accentuated by emergency conditions. But whether the time be war or peace, a Cabinet is not a debating society, it exists not so much to air views as to make decisions, and while the latter will undoubtedly involve the former, the difficulty of obtaining executive action increases in almost geometric proportion with every increase in the numbers of those who participate. In a crowded and varied gathering, hesitation and indecision flourish, too many proposals must be carried over to await the time when general approval will be forthcoming, the task of the Prime Minister, who must drive and direct and persuade the team, becomes

<sup>1</sup>*Report of Sir George Murray on the Public Service of Canada* (1912). *Report of the Special Committee on Machinery of Government*, Senate of Canada (1919).

well-nigh insupportable. "With a larger number of people," to quote Lloyd George once more, "it meant so many men, so many minds, so many mounds, so many tongues, so many tongues, so much confusion, so much confusion, so much delay."<sup>1</sup> There is, in fact, general agreement that the ideal Cabinet would comprise about ten or twelve members, a number which would allow the body to retain some of its representative character, yet would not seriously weaken it for executive work.<sup>2</sup>

The discouraging feature of this problem in Canada is the strength of the forces which are constantly endeavouring to keep the Cabinet large or to make it even larger. If the representative federal principle which has been described in an earlier chapter is to be scrupulously observed, the *minimum* number in the Cabinet would be one English-speaking and three French-speaking members from Quebec, four from Ontario, at least one from each of the remaining seven provinces, and one without portfolio from the Senate—a total of sixteen, so that the problem gets out of hand almost before it can be considered at all. The largest normal or peace-time Cabinet was the reorganized Meighen Government of 1921 which included twenty-one Ministers, but a membership of eighteen or nineteen has not been at all uncommon.

Indirect attempts were made to reduce the size of the executive during the two world wars by the use of a powerful committee which would in fact, if not in name, take over the Cabinet's chief function of making major decisions on war problems. In the first war the Cabinet was divided into two large groups of ten members each with the Prime Minister as a common chairman. One was known as the War Committee and was composed of those Ministers whose work was primarily concerned with war activities, the other was called the Reconstruction and Development Committee and included for the most part the remaining Ministers. This represented a deliberate effort to clear the Cabinet meetings of many of the routine and detailed problems which made

<sup>1</sup>D. Lloyd George, *War Memoirs*, III, p. 1060.

<sup>2</sup>Report of the Machinery of Government Committee (Great Britain), *Parliamentary Papers* (1918), Cd. 9230, pp. 5, 10. *Report of the Special Committee on Machinery of Government*, Senate of Canada (1919), pp. 12-14.

such enormous inroads on the time and attention of its members, and the topics assigned to the committees covered the whole sweep of administrative activity<sup>1</sup> The results of this experiment apparently did not come up to expectations, but it undoubtedly served to lighten materially the load carried by the whole Cabinet and to increase to a moderate degree the effectiveness of those most concerned with the prosecution of the war

In the Second World War the paramount authority was wielded by a small committee of the Cabinet known as the War Committee, presided over by the Prime Minister and comprising about ten<sup>2</sup> senior and leading Cabinet members. It exercised a general comprehensive supervision over the war activity of the government. It initiated, approved, and co-ordinated all major projects, and although it reported to the full Cabinet of eighteen or twenty members and although the fiction of its being no more than a committee was carefully maintained, there seems little doubt that to all intents and purposes it displaced the parent body. "While the War Committee like other Cabinet Committees was never an executive body but was, in fact as in form, purely advisory in character, its prestige was such that its decisions were for practical purposes the decisions of the Government. The sanction for its acts was in the authority of its members not in any formal delegation of authority. The complete Ministry continued to meet as in peace-time and, upon important matters of government policy, reports were commonly made to the full Cabinet."<sup>3</sup>

The return to peace, however, brought the activities of the War Committee to a speedy end, and re-established the primacy of the whole Cabinet. "Major questions of policy reverted to the Cabinet as a whole, and a new series of standing Cabinet committees was developed",<sup>4</sup> but none of these bodies could pretend to occupy the dominant position

<sup>1</sup>R. MacG. Dawson, "Canadian and Imperial War Cabinets," in *Canada in Peace and War* (ed. by Chester Martin), pp. 196-9.

<sup>2</sup>Its membership varied from six to ten, but it was usually at or near the maximum.

<sup>3</sup>A. D. P. Heenev, "Cabinet Government in Canada," *Canadian Journal of Economics and Political Science*, Aug., 1946, p. 289. This article gives an authoritative account of recent Cabinet developments in Canada.

<sup>4</sup>*Ibid.*, p. 290.

formerly held by the War Committee. It appears evident that while the frequent employment of committees will bring moderate relief to an overworked Cabinet and enable its work to be done better and more expeditiously, that is a very different thing from having one of these bodies act as a virtual substitute for the whole. In time of war, the urgency of the situation may permit one group of the more prominent Ministers to assume leadership and with it almost unquestioned authority, even at the expense of a temporary relinquishment of sectional interests, but such a tacit surrender will be tolerated as an emergency measure only. Ministers who have been given the privilege of occupying seats in the Cabinet will normally be very jealous of their rights and they will insist on having a full share in the formulation of Government policy.

At various times piecemeal attempts have been made to reduce the size of the Cabinet, but they have been of little permanent avail, for no sooner do the numbers fall off than the needs of government force the creation of new departments and with them, as a rule, new Ministers. Mr Mackenzie King, whose hand in Cabinet adjustments has become more skilful with each year's experience, made a heroic effort in 1935-6 to lessen the number of Ministers and to rationalize the allotment of departmental work. He cut down the Ministers without portfolio to one, he assigned the functions of the Solicitor-General to the Minister of Justice and Attorney-General, and he placed the Department of Railways and Canals, the Department of Marine and the civil aviation branch (from National Defence) under a new Minister of Transport, with the result that the Cabinet was reduced to the minimum of sixteen members, where it remained for some years. But the outbreak of war brought about a rapid increase in portfolios, and sixteen seems today a remote goal indeed if it is to be achieved by this method. A Prime Minister is apparently fortunate if he is able over a period of years to hold his own against this political hydra which tends to grow portfolios faster than they can be eliminated. Needs are continually changing and the demands for new departments are plausible and difficult to resist,

reductions will almost invariably lead to criticism and dissatisfaction, and the abolition of one portfolio may even accentuate the pressure for a new one to replace the old. The only time which holds fair promise of successful effort is the honeymoon period immediately after an election. "From experience," advised Mr. King with a somewhat rueful humour, "I can tell my right honourable friend [Mr. Bennett] that he will find it much easier to make his consolidations in the first year than he will thereafter, provided he is in office any length of time. If he does not contemplate being in office any length of time, he will find avoiding consolidations a much simpler matter."<sup>1</sup>

A more hopeful solution—if the initial plunge can be taken—is to reduce the Cabinet through a greater use of the Ministry, that is, by clearly distinguishing between those who are primarily responsible for questions of major policy and the other members of the Government who do not need to have seats in the Cabinet.<sup>2</sup> The obvious obstacle to the plan is its doubtful political acceptability when subjected to the federal and representative tests, although it can offer inducements along the same lines which might make it palatable. For while the Cabinet itself would admittedly be composed of only the leading members and those who hold the key positions, the total number of members in the Government would almost certainly be larger than at present, and they would thus more than fill out any gaps left by the Cabinet in provincial and sectional representation. The members who would belong to the Government but who would not be in the small Cabinet group would doubtless be (as in Great Britain) of two kinds, Ministers of a lower grade than those in the Cabinet, and the parliamentary assistants.

Several of the present departments are either so small or their work is of such a nature that they could be readily attached to larger departments, while two or three others exercise functions which have so little connection with Government policy that they do not need special representation in the Cabinet. The Department of National Revenue,

<sup>1</sup>*Can. H. of C. Debates*, April 23, 1931, p. 939.

<sup>2</sup>This was proposed by the Special Committee of the Senate on Machinery of Government, *op. cit.*, pp. 8-9.

for example, could be placed under a Minister who was not in the Cabinet and who would work as a subordinate to the Minister of Finance, an arrangement which in some respects is tacitly in effect today. The Department of Fisheries is not large enough to justify a separate portfolio headed by a Cabinet member. The Post Office is essentially a business organization, and there is no reason whatever why the Postmaster-General should be anything more than a simple Minister with no voice in Government policy. Neither the Minister of Transport nor the Minister of Pensions is as a rule in the British Cabinet, and their presence in the Canadian Cabinet is at least open to some question. The Solicitor-General, who vanished from the Canadian scene for a time, then reappeared as a parliamentary assistant, and is now back in the Cabinet, could most certainly be dropped once more from the select circle. Other demotions and consolidations could doubtless be arranged, and there is no reason to believe that a Cabinet of ten or twelve (the Senate Committee in 1919 named ten) is not administratively feasible.

The greater use of members of the House as parliamentary assistants to certain Ministers would do much to relieve the work of their seniors, and it would incidentally also make it easier to reduce the number of those in the Cabinet proper. The British Parliament has been using parliamentary under-secretaries (as they are called in Great Britain) on a liberal scale<sup>1</sup> for many years, and it has been repeatedly recommended that Canada adopt them.<sup>2</sup> Only recently, however, have they been used in Canada in any appreciable number. The burdens which the First World War imposed upon the Cabinet led to the temporary creation of three parliamentary under-secretaryships, but they did not long survive the war. Mr. Mackenzie King, on becoming Prime Minister for the first time in 1921, announced that early attention would be given to the desirability of creating a number of honorary under-secretaryships, and he confirmed this by appointing,

<sup>1</sup>In 1945-6 the British Ministry included no less than thirty-seven parliamentary under-secretaries or those who performed similar functions.

<sup>2</sup>*Report of Sir George Murray on the Public Service of Canada* (1912), p. 10, *Report of the Special Committee on Machinery of Government*, Senate of Canada (1919), pp. 11-12.

as his own assistant, an under-secretary for external affairs. Although he suggested to his colleagues that they should follow his example, they failed to respond, because (so it is rumoured) they did not relish the presence in their departments of any other political officers who might conceivably weaken their position and dim a prestige which already gave off a flickering and uncertain light. In 1935 Mr King announced that paid parliamentary under-secretaries for the more important departments would be authorized at the first session of Parliament, but the matter was again dropped. The Second World War provided the necessary pressure, and in 1943 no less than ten parliamentary assistants (as they were now called) were mentioned in the estimates, seven of whom were actually appointed. This number later dropped to five, and then to four.

The case for the continuance and more extensive use of the parliamentary assistants is overwhelming. The assistantships make possible, through a greater consolidation of departments, a desirable reduction in the number of members in the Cabinet, they allow the Prime Minister to give more equitable and wider representation to various provinces, they furnish the finest training for young promising members who aspire to Cabinet positions. Mr Mackenzie King has outlined in some detail the many ways in which the assistant can discharge his primary function of lightening the load of his Minister.

Other duties of assistants to Ministers would be to assist the Minister in Parliament to answer questions—not all questions—and also to take part in departmental debates so that the House may be given fuller information in regard to some matters than it otherwise would. To assist in the explanation of the estimates. I can conceive of occasions when an assistant to the Minister might relieve the Minister entirely of a large part of the explanation of the estimates. To appear before House committees on behalf of the Minister, participate in interdepartmental committees on behalf of the Minister, to keep the House itself informed more promptly on matters which may arise in the course of debates, to assist in the planning of some of the post-war work of the Government which will have to be done under the direction of the Minister. Also to receive deputations—the Ministers are beset with deputations—they cannot possibly see many of them. An assistant to the Minister could see them and I should hope be able to be of real assistance in seeing that their representations were carefully con-

sidered. Then there is the matter of a link with members of Parliament. An assistant to the Minister will be mixing with members generally and will be able to bring to the Minister many matters that otherwise could not possibly be brought to his attention. Then there is deputizing for the Minister on different occasions, fulfilling specific duties. The duties will vary between one department and another, one Minister may wish his assistant to perform certain duties and another other duties. Then there is the signing on behalf of the Minister of many documents that otherwise would require his signature. There is the supervision of officials in some branches where an assistant minister can be of great help. The assistant to the Minister can be of great help in regard to outside engagements as well as engagements inside the House. Almost any service that will help relieve the Minister of a burden and give the House of Commons and the public more information with regard to public business is the kind of position which the parliamentary assistant to the Minister will be expected to fill.<sup>1</sup>

Not only is the catalogue of advantages thus a long one, but the need for some assistance of this general kind is demonstrably present. To this may be added the unquestioned success of British experience, and the testimony of the two Canadian Prime Ministers who have put the experiment to the test.<sup>2</sup> Yet there are apparently still misgivings in high places, and the varying extent to which assistants have been used and the fact that the positions have not yet been given a statutory basis would indicate that their future is still by no means assured. Some Ministers, for example, have used them freely, others have been unable or unwilling to delegate their work, others appear to be very wary of enhancing the importance of possible rivals for Cabinet preferment. All assistants have in practice acted for their principals in the House, some have had fairly extensive departmental duties to perform, others have had none. On occasion, some attend meetings of interdepartmental and other committees either as the representatives of their Ministers or on behalf of their departments.

Another unsatisfactory factor in the situation is that the status of the assistant is as yet far from clear. The Prime Minister announced unequivocally that "the functions of the parliamentary assistants will be similar in all particulars

<sup>1</sup>*Can. H. of C. Debates*, April 20, 1913, pp. 2366-7.

<sup>2</sup>Sir Robert Borden, *ibid.*, Aug. 7, 1917, p. 4205. Mr. Mackenzie King, *ibid.*, March 27, 1944, p. 1852.



to those of the parliamentary under-secretaries in Great Britain"<sup>1</sup> Yet in the same debate he made the astounding statement that an assistant would have to be *persona grata* not only to the Minister (who is responsible for his actions) but also to the deputy minister (a civil servant), a pronouncement which seemed to justify the unflattering description by one member of the House that the assistants would be nothing more than "official coat-tail bearers" for the Ministers. How close may be the identification between assistant and principal is also awaiting clarification through experience. The resignation of Mr. Ralston on the conscription issue, for example, was followed by that of his assistant on the ground that the relations between the two "were so close and personal that on the resignation of Colonel Ralston, regardless of the circumstances leading up to it" the assistant felt he should resign his office.<sup>2</sup>

The quality of the parliamentary assistants has been high, and two years after the original seven had been appointed, no less than five of them had been given portfolios. This promotion substantiated the argument that the assistantships give a valuable opportunity for training and testing potential Ministers, although it was scarcely designed to enhance the popularity of the assistants in the eyes of the weaker members of the Cabinet. There would appear to be a definite effort in some quarters to keep the parliamentary assistant in a humble position in the Government. Indeed, he is not, strictly speaking, included in the Government at all. He occupies a parliamentary no man's land where he is no longer an ordinary member of the House nor is he listed in the official Ministry. The invariable British practice is that the under-secretaries form part of the Ministry, and this circumstance naturally adds to the prestige and enhances the desirability of the position. The Canadian refusal to make a similar concession is but another sign of the reluctance to accept the new office and to make the most of its possibilities, an attitude which, if persisted in, may bring about the eventual abandonment of one of the most promising reforms of recent years.

<sup>1</sup>*Ibid.*, April 20, 1943, p. 2365.

<sup>2</sup>*Ibid.*, Dec. 7, 1944, p. 6890.

Another common difficulty which is closely related to the size of the Cabinet has been the congestion of Cabinet business. While this is not in one way as serious a problem in Canada as in some other countries because the provinces assume a substantial portion of the work of government, the volume tends nevertheless to be unduly large because of the amount of detail which the Cabinet has attempted to handle directly. This has sprung partly from a political and administrative immaturity and a consequent reluctance to delegate power and responsibility to others, and partly from the representative nature of the Cabinet and the expectation that each section or interest will have an opportunity to participate in every decision which will be likely to affect it. Sir George Murray commented on this in 1912 at some length.

Nothing has impressed me so much in the course of my inquiry as the almost intolerable burden which the present system of transacting business imposes on Ministers themselves. They both have too much to do and do too much.

Speaking broadly, it may be said that every act of the Executive Government, or of any member of it, requires the sanction of the Governor-in-Council which, under present practice, is identical with the Cabinet.

Almost every decision of a Minister, even of the most trivial importance, is thus—at least in theory—brought before his colleagues for the purpose of obtaining their collective approval, which is necessary for its validity.<sup>1</sup>

This summary remained substantially accurate for some thirty years after it was written, and even now it has not lost all its force. Such a prolonged delay in accomplishing some measure of reform scarcely seems to substantiate the existence of an "almost intolerable burden"; but Murray would doubtless have replied that the question was qualitative as well as quantitative, that there was probably a deterioration in the nature of the work done, that time and effort were not available for major questions simply because they had been expended on trivialities. The changes of the past few years, however, have gone far to meet many of Sir George Murray's criticisms.

The Cabinet, like any other organization of appreciable size, has for a long time been in the habit of using committees

<sup>1</sup>*Report of Sir George Murray on the Public Service of Canada* (1912), Sections 5, 6, 8.

and sub-committees as a device to accelerate business, although the whole body has retained general powers of control. The recent war, for example, brought about an unprecedented proliferation of Cabinet committees devoted to a wide range of activities. In December, 1939, ten committees of the Cabinet (including the War Committee, mentioned above) were created in order "to co-ordinate the work of the Government, to prevent duplication of effort, and to promote efficiency."<sup>1</sup> The Ministers could in this way make a much more effective disposal of their talents: a number of committees were able to sit simultaneously, special problems were assigned to those best qualified to deal with them, either directly or through their departments, and the committees could make decisions which would be accepted in the main by the entire Cabinet without prolonged discussion. Some of these committees proved to be of little merit and gradually became obsolete, others served usefully for a time and then handed over their work to a more suitable authority, others made themselves quite invaluable. On the whole, they succeeded in their main object of distributing a substantial part of the Cabinet's work so that the War Committee with its more select personnel was better able to devote its energies to the outstanding and urgent problems of the war.

While the return to peace was followed by some retrenchment in the delegation of power to committees, these seem likely to exercise a more important influence in Cabinet affairs than heretofore, although it is still too soon to prophesy whether a growing peace-time pressure of public business will succeed in restraining to any marked degree the old insistence of the Cabinet to participate actively in all matters. The odds at present seem to favour the greater use of committees, for the volume of Cabinet business remains far in excess of pre-war days. In May, 1946, in addition to *ad hoc* committees of lesser permanence, there were three

<sup>1</sup>W. L. Mackenzie King, *Can. H. of C. Debates*, July 8, 1940, p. 1396. The leading Cabinet committees appointed in 1939 (Dec. 5, 8, 1939 P.C. 1017<sup>1</sup> 4068<sup>1/2</sup>) were as follows: War Committee, War Finance and Supply, Food Production and Marketing, Shipping and Transportation, Price Control and Labour, Internal Security, Fuel and Power, Legislation, Public Information, and Demobilization.

standing Cabinet committees which could be considered as of major importance, Reconstruction, Demobilization and Re-establishment, and Defence, three committees of the Privy Council, Treasury Board, Wheat, and Scientific and Industrial Research, and one which partook of the nature of both, the Canadian Mutual Aid Board<sup>1</sup>. A Cabinet committee, it may be added in parenthesis, is one set up by the Cabinet informally or by order-in-council, it exercises advisory functions, and its decisions or recommendations must thus normally be approved by the Cabinet before becoming operative. A committee of the Privy Council, on the other hand, will usually be authorized by statute and will normally be given executive authority within a specific field. The qualifying words will suggest, however, that logical neatness and consistency are not characteristic of either group<sup>2</sup>. But while these eccentricities in practice may be unnecessary and certainly prove exasperating to the layman, they illustrate one aspect of these committees which is of first-rate importance, namely, their exceptional adaptability to circumstances. This is especially true of the Cabinet committees, which are much more informal, and which come and go, assume this form or that, and take over a variety of functions which are largely determined by the needs of the moment. Of these, again, the *ad hoc* committees are not only apt to be the most ephemeral, they will also tend by their very nature and purpose to be the most adaptable.

Their life [that of Cabinet committees] is governed, their survival determined by the operation of laws as invariable as those of the physical universe. Created to provide a means for concentrating ministerial attention upon problems which, at the time, require special treatment, they tend to diminish in activity and influence as the need diminishes and ultimately to disappear. In some instances their functions are taken over by the development of new organs. Their authority, effectiveness, and longevity are inevitably affected by the prestige and initiative of the Ministers who compose them but their active continuance is in the end determined by whether or not they serve necessary purposes.<sup>3</sup>

<sup>1</sup>Heenev, "Cabinet Government in Canada," *Canadian Journal of Economics and Political Science*, Aug., 1946, pp. 287-91. The composition and activity of Cabinet committees are, like the Cabinet itself, secret, although information concerning them may be made public at the Cabinet's discretion. *Can. H. of C. Debates* (unrevised), Feb. 10, 1947, pp. 251-3.

<sup>2</sup>Heenev, *op cit*, pp. 291-2.

<sup>3</sup>*Ibid.*, p. 288.

The necessities of war also brought the civil servants in much closer touch with the formation of Cabinet policy than formerly. The older practice had been for civil servants to be called occasionally to attend Cabinet meetings in order to give information or advice upon some special matter under consideration, but even this seems to have been exceptional. The meetings of the War Committee, however, were regularly attended by the Secretary and at least one other civil servant while other officials, particularly those connected with the war services, were in attendance when matters in their special fields were under discussion. This practice has been continued since the war by several *ad hoc* and standing committees of the Cabinet.<sup>1</sup>

Interdepartmental committees of civil servants now as always form a valuable means of securing co-operation and decision between departments, and some use is also made of committees of officials under the chairmanship of a Minister. Certain interdepartmental committees of civil servants are definitely attached to committees of the Cabinet, and these investigate and prepare material and even come to tentative decisions for subsequent action by their superiors. Special mention should be made of the leading committee of this type which functioned during the war, known as the Advisory Committee on Economic Policy. This was composed of a group of leading civil servants and was attached to the Cabinet more particularly to the War Committee. It acted as a general clearing-house for a wide variety of troublesome problems which were passed along by its principal. The Economic Policy Committee proved to be an extremely useful and effective body. It was remodelled at the end of the war and adapted to its new function of considering the problems of reconstruction, but the creation of a special department and a Cabinet committee to oversee the same general field made its work largely superfluous and its usefulness and activity declined accordingly.

Another recent instance of the devolution of authority is concerned with the method used to pass routine orders and minutes of Council. Formerly all these (as indicated in the

<sup>1</sup>See *ibid.*, pp. 285-91.

above extract from the Murray Report<sup>1</sup>) were approved by the full Council, a proceeding which did little good and involved an enormous waste of time. The present practice, which has developed from a procedure instituted during the war, is for a special committee of Council to review and dispose of all formal business which does not involve any new departure or matter of major policy, while reserving the exceptional material for the consideration of the Cabinet. The result is that almost all minutes and orders are passed today by this special committee and do not come before all the Ministers in Council. This was substantially one of the suggestions made by Sir George Murray to lessen the amount of business submitted to the full Cabinet, but another and accompanying recommendation, that there should be a sweeping devolution of authority on many minor matters to individual Ministers and to deputy ministers, has not yet been followed on any extensive scale. Too many questions must still await a committee's decision or obtain signatures and counter-signatures before becoming effective, indeed, Ministers in provincial Governments are frequently given more individual powers than are entrusted to the members of the Canadian Cabinet.

Finally, the transaction of Cabinet business has been until recently very loosely organized. The pre-war methods, if not chaotic, were incredibly haphazard, and any self-respecting board of directors would have discarded them in five minutes. No formal agenda were ever prepared for Cabinet meetings, no minutes of its deliberations were kept, although the Prime Minister might make a few scanty notes, no secretary attended its sessions, and even the time of meeting (and to a lesser degree the place) was uncertain. If the decisions were to be carried out in orders or minutes of Council, they appeared, of course, in that form, but otherwise they were unrecorded. Most of these peculiarities were defended on the ground that they were necessary to preserve the secrecy and desirable informality of the proceedings, but there can be little question but that these excessive precautions must have seriously hampered the effectiveness with which Cabinet business was conducted.

<sup>1</sup>*Supra*, p. 269

The British Cabinet had laboured under the same handicaps, but these went by the board during the First World War and never returned. But neither the British experience, nor the report of the Senate Committee on Machinery of Government, nor the extravagant clumsiness of its own business was sufficient to change the easy-going anachronistic methods of the Canadian Cabinet. Nothing short of a second world cataclysm could accomplish so formidable a task, and although the price would seem to have been somewhat excessive, this reform can be placed as an item on the credit side of the national ledger.

"The great increase in the work of the Cabinet and particularly since the outbreak of war," stated an order-in-council in March, 1940, "has rendered it necessary to make provision for the performance of additional duties of a secretarial nature relating principally to the collection and putting into shape of agenda of Cabinet meetings, the providing of information and material necessary for the deliberations of the Cabinet and the drawing up of records of the results."<sup>1</sup> This was begun by appointing the Clerk of the Privy Council to a new position as Secretary to the Cabinet, although his additional functions were first discharged primarily for the benefit of the War Committee. In 1945, however, following the disappearance of the War Committee, the Cabinet adopted the same methods which had been successfully tried out in the smaller body.

The present usage therefore presents an impressive contrast to the old. Agenda are now prepared under the direction of the Prime Minister for the regular weekly meeting of the Cabinet, and these, accompanied by relevant documents, are circulated in advance to all members. Ministers who have questions to bring up, are required to submit explanatory documents so that their colleagues can be in a position to inform themselves before the discussion takes place. The conclusions reached at the meeting, together with a terse summary of the essential points, are noted by the Secretary, and the Privy Council Office informs and reminds the ap-

<sup>1</sup>March 25, 1940, P.C. 1121. The wording of this order is clearly derived from the Report of the Machinery of Government Committee (Great Britain), p. 6. See also, *Can. H. of C. Debates* (unrevised), Feb. 10, 1947, pp. 257-8.

propriate Ministers of the Cabinet decisions. The same staff will also provide secretarial help for Cabinet committees, and it will act as a link between these committees, any of the special interdepartmental committees which may be attached to them, and the Cabinet itself. As a result of these comparatively simple expedients, discussion in the Cabinet can be materially shortened, uncertainties and ambiguities are lessened, decisions are brought more sharply into focus, and urgent questions can be more readily given priority when occasion demands.

The volume of work arising out of the above functions has necessitated the formation of a small staff about the Secretary, and the Privy Council Office (the Prime Minister since 1921 being always President of the Privy Council) has quite naturally become the department of government to which the Cabinet secretariat is attached.<sup>1</sup> A development along lines so strongly centrifugal cannot fail to intensify still more the control of the Prime Minister over his Cabinet and Cabinet business, although there have been no signs to date that this tendency has caused criticism or resentment in any quarter.

The chief purpose beneath most of these changes and suggested changes is not merely to relieve the Cabinet of an excessive amount of work, although that is far from negligible, it is primarily to allow the Ministers, as individuals and as a group, to substitute one kind of work for another, to pass over routine and minor affairs to subordinates and to be thereby released for other tasks which are far more vital. "The business of a Minister," to quote Sir George Murray again, "is not to administer but to direct policy", and while the members of the Canadian Cabinet would no doubt have accepted this maxim in theory, they were a long while before they made any serious attempt to adopt it in practice. In 1936 the Minister of Finance (Mr. Dunning) spoke in the House as follows:

The reference I made was to the functions of government as at present constituted, and the ever-increasing weight of responsibility which rests

<sup>1</sup>Heeney, "Cabinet Government in Canada," *Canadian Journal of Economics and Political Science*, Aug., 1946, pp. 292-7.



upon the Governor-General-in-Council—meaning the Government of the day—and a responsibility which I do not hesitate for a moment to say is fully up to the physical and nervous capacity of any man occupying a position in any government under these conditions. The constant multiplication of functions is due to a change which has come about in the conception of government functions. They have been constantly widening for the last twenty years and indeed I am one who thinks one of the problems we shall have to face in the not distant future is the problem of adjustment, and in adjustment which will in some manner be consistent with our system of responsible government. It will have to be an adjustment of the kind in a way which will ensure that members of Governments will at least have time to think about the things they are supposed to deal with.<sup>1</sup>

The Second World War forced this issue, and conditions which were up to then extremely onerous immediately threatened to become insupportable. The Ministers, whether they liked it or not (and there is every reason to suppose that a number of them were reluctant to change), had no real choice but to revise many of their customary procedures and abandon some of their cherished functions—to civil servants on the one hand, and to some of their colleagues on the other. Whether this delegation and revision of methods have as yet gone far enough, it is difficult to say, for the criterion is not, as stated above, the demands which are being made on a Minister's attention, but the nature of the work to which that attention is devoted. It is a notorious fact that most Ministers rarely have the time to study the documents and memoranda which are submitted to them and on which their decisions must be formulated, nor are they free to explore thoroughly even the essential circumstances surrounding the problems which are demanding consideration. The alternatives under such conditions are that either the policy slips too easily and completely into the hands of the civil servants or the Minister gets into the habit of making snap judgments based on an insufficient appreciation of the issues involved. Neither alternative is consonant with a government which tries to secure efficiency and at the same time endeavours to maintain democratic control. If the members of the Cabinet

<sup>1</sup>*Can. H. of C. Debates*, June 9, 1936, p. 3575. "A Prime Minister must inform himself on important public questions. If his vision is to be clear and his judgment sound, he should have some time to read, and to think. A nation, which is wise, will ensure this opportunity to its leaders." W. L. Mackenzie King, in a radio address, Jan. 23, 1939.

—and each individual Minister—are properly to perform their functions they must somehow contrive to discuss in their main outlines the problems which arise, to obtain the best advice their civil servants can offer, to find time for study and reflection, and, having done these things to the best of their ability, they can then make their decisions. Even so, the decisions will, no doubt, frequently be wrong, but they will at least be based on as much wisdom as a group of fallible men can reasonably hope to achieve in a complex and unpredictable world.



PART IV

THE ADMINISTRATION



## CHAPTER XII

### THE CIVIL SERVICE

THE executive and the civil service in Canadian government are in one respect engaged in discharging a common function, the enforcement, application, and development of the national policies, but the distinction between the two is never in any doubt. The Minister is in undisputed command of his department—he possesses not only the authority bestowed on him by law, but also the moral authority which is derived from his position as a Government representative in a popularly elected Parliament. The civil servant, on the other hand, is always *nominally* the subordinate, and even on those not infrequent occasions when he may take the initiative and to a limited degree determine policy, he does so within the bounds assigned by his superior and always subject to possible intervention. The Minister's omnipotence, however, is limited in duration—for his tenure of office is subject to all the uncertainties and caprices of politics, or, more explicitly, to the continued confidence reposed in him by the Prime Minister, by the Commons, and by his constituents. Most civil servants enjoy today a permanent position, little affected by the frequent changes of their ministerial superiors, and they are thereby able to achieve the continuity, the experience, and the specialized knowledge which must form the framework about which good administration is built. The characteristic marks of the Minister are therefore a seat in Parliament, an uncertain tenure of office, and the opportunity to exercise complete power within his own department.<sup>1</sup>

<sup>1</sup>The absence of this distinction caused considerable difficulty in Canada at the time of the introduction of responsible government, for it necessitated two vital steps as preliminaries to the introduction of the new system, namely, the creation of explicit heads of the government departments (which were formerly lacking) and the insistence that these and no other office holders should occupy seats in the legislature. Only when this was done could the major change be made effective—that the department heads in the legislature would relinquish office whenever this body withdrew its support.

"Those public servants, who hold their offices permanently, must upon that very ground be regarded as subordinate and ought not to be members of either house of the Legislature, by which they would necessarily be more or less mixed

It is, of course, obvious that the opportunity to exercise control becomes more and more unreal as functions become more varied and complex and as the number under the Minister's direction increases. A carefully planned organization can do much to overcome these handicaps, yet even with the most efficient methods, the subordinates at some level under the Minister will inevitably acquire more power. The constant assertion of the principle of ministerial responsibility will cover to some degree the actual delegation of authority which has taken place, but this cannot conceal the fact that no Minister (or his deputy) can hope to make even the major decisions for the five or seven or ten thousand employees who may be nominally under him. Yet there is much to be said for the retention of the idea of continuous responsibility, for, harsh though it may be, it undoubtedly helps to prevent the slovenliness and inefficiency which would be bound to occur if every Minister or deputy or other official could plead ignorance and impotence whenever anything went wrong. Political responsibility therefore remains, but it tends with many of the higher offices to be a responsibility for results and honest endeavour, which emerge from well-defined areas of almost independent judgment and decision.

The civil service is, of course, by far the most numerous part of the Canadian government, although the exact numbers cannot be stated with assurance. They vary somewhat from year to year, especially in times of emergency, and there is always the problem of knowing what groups should be counted. In March 1946 the number of civil servants was given in a return tabled in the House as 147,000 with annual salaries of approximately \$240,000,000, but this did not include 105,000 employees of the Canadian National Railways, 1,000 in the Canadian Broadcasting Corporation, 1,000 in Trans-Canada Air Lines, and 1,200 in the Bank of Canada. The total in the more restricted civil service was, however,

up in party struggles and on the other hand those who are to have the general direction of affairs exercise that function by virtue of their responsibility to the Legislature, which implies their being removable from office and also that they should be members either of the Assembly or of the Legislative Council. Lord Grey (Colonial Secretary) to Sir John Harvey (Governor of Nova Scotia, March 31, 1847, *Brit H of C Papers* (621) xlii, 1847 8, p. 79

still dropping from its all-time high of 165,000 as of January, 1945, and the normal peace-time establishment will no doubt be much less than the 147,000 mentioned<sup>1</sup>. But even a very generous diminution will not bring the numbers anywhere near the pre-war figure of about 57,000 (in 1937), and there can be little doubt that normal expansion added to such new and enlarged activities as defence, veterans' affairs, family allowances, and unemployment insurance will probably leave the total somewhere in the vicinity of 100,000.

Any one of these totals, however, is impressive and gives some idea of the great numbers needed to run the modern Canadian government. The civil servants (the so-called *bureaucracy*) reach into all kinds of human activities, and a cross-section of the personnel would show that almost every occupation in the Dominion is represented. The problems presented by so large and varied a host are many, although they may for the most part be placed within two chief categories: first, those concerned with the organization or general framework of departmental activity, second, those which are essentially concerned with matters of personnel.

The primary division of administrative activity in the Canadian government (as in most governments) rests in the main on *function*, that is, the work of the departments is apportioned according to its general purpose, and similar functions are grouped together under one direction. The Departments of Justice, Agriculture, Fisheries, Finance, and many others are thus largely if not entirely devoted to the primary ends indicated. Several others embrace not identical but allied functions. National Defence thus covers the army, navy, and air force. The Department of Transport, to give another example, includes the different but related fields of railways, canals, marine, and aviation.

Other principles of departmental grouping are also found,

<sup>1</sup>Reliable comparisons are difficult to make with assurance for there is no hard and fast line of distinction. The above are based on official returns and are stated in round numbers. *Can. H. of C. Debates*, May 31, 1939, pp. 4783-4, *Financial Post*, June 8, 1946. All these figures refer to the employees of the Dominion government and do not, of course, include provincial and municipal services.

<sup>2</sup>Unemployment Insurance, Health and Welfare and Veterans Affairs made up 24,600 of the above total of 147,000.



although these are of comparatively minor significance. One of these is *work process*, the gathering together of similar activities which would otherwise be scattered through a number of departments because of their association with the major purposes to which those departments are devoted. The government of Canada thus does its printing through one agency, the Printing Bureau, which prints legal documents for the Department of Justice, pamphlets on animal husbandry for the Department of Agriculture, income tax forms for the Department of National Revenue, and so forth.

*Clientele* may furnish a third basis for departmental integration. Here the major concern is with a group of people who for some reason are singled out for special attention and can be given that attention more effectively by segregation. The Department of Veterans Affairs and the departmental divisions on Indian Affairs and on Immigration are all based on this principle.

*Territory* is a fourth possible principle which may be used for organizing departmental work. Any organization as it spreads downwards may tend to arrange some of its activities along geographical lines, but under a thorough application of the territorial principle the area becomes the essential basis for the apportionment of work. In the Experimental Farms Service of the Canadian Department of Agriculture, for example, the locality becomes the chief justification for the establishment of the local experimental farm and it will even determine the kind and variety of work in which the farm will engage and the qualifications of its personnel. The government of the North-West Territories under the Department of Mines and Resources is an even better example of setting up an administration on a purely territorial basis.

Each of these schemes of organization has its own advantages and limitations and the choice made will depend on a balancing of these factors.<sup>1</sup> The legal work of all departments, for example, could conceivably be done, and perhaps done better, by the Department of Justice and all medical work could be assigned to a common medical service, but the delay and general inconvenience which such a plan

<sup>1</sup>See Schuller C. Wallace, *Federal Departmentalization*, pp. 91-146.

would entail would make it in many instances administratively undesirable. In practice, much of the legal work is concentrated in the Department of Justice, while the medical staff is more widely diffused. These principles of organization are thus not mutually exclusive, and a department may find it advantageous to utilize more than one to secure the best results. Stenographers, for example, are formed within any department into a common pool to which any branch may send appropriate work or from which any branch may draw additional help when needed. Consistency in such matters has no inherent merit, the major test must always be the suitability of the particular organization to the work which it is called upon to perform.

Departmental functions and organization are, moreover, always in a state of flux. A new demand begets a gradual increase in activity, this leads to an enlarged staff, and this in turn to a further accretion of extra functions and officials, which are rarely tied in easily or logically with those of the remainder of the department. When this phenomenon has occurred in five or ten or twelve different places the operations inevitably suffer and become progressively more complex and cumbersome. The only effective remedy is to break up the existing organization in whole or in part and to re-group the positions so as to make them more amenable to control, thereby bringing their activities into closer co-ordination with others related to them. Thus a few years ago a consolidation of four departments into a new Department of Mines and Resources was aimed at remedying the following conditions:

In the four departments there are at the present time three deputy ministers and one deputy superintendent-general, three assistant deputy ministers and one assistant deputy superintendent-general, four legal advisers, two editorial staffs, three publicity staffs, four translation staffs, two architects' offices, four sets of secretarial and stenographic and other staffs, purchasing agents, four officers engaged in accounting for revenue and expenditure, three photographic establishments, three departments in which land business is carried on and four in which surveying is carried on, doctors are employed by two departments, welfare of natives is a concern of two departments, maps are prepared in two departments. At the present time there are eighteen branches all told in these four departments. Under the proposed legislation the number of branches will be limited to eight. Another

direction in which considerable economy should be effected is in the housing of these various departments. We hope before very long, it will become possible to bring the various units together into a single building where staffs will be more immediately under the supervision of those who are at the head of the different branches and of the department as a whole. At the present time the four departments are housed in twenty-four buildings throughout Ottawa.<sup>1</sup>

While the elimination of overlapping functions and the establishment of new relationships and greater co-ordination would seem to be an obvious remedy for conditions such as those described above, such reforms are rarely welcomed in any department. There is an ineradicable tendency, not only for every department to expand, but for its officials to resist bitterly any change which threatens to reduce its personnel and hence diminish its power and importance. Reasons can always be produced to justify the existing arrangements, some undoubtedly genuine, others far less cogent. "There never was a department that you tried to cut down," stated Mr. R. B. Bennett after his experience as Prime Minister, "that was not different from any other. They are all different, they always will be."<sup>2</sup> The initiative is therefore most likely to come from someone who is not too closely identified with the department itself, and the Canadian practice has been to give the major responsibility in such matters to the organization branch of the Civil Service Commission or, if the change is a major one, to fall back on the overriding power of a member of the Cabinet or even of the Prime Minister himself.

The organization of all Canadian departments assumes a hierarchical or pyramidal form. At the apex stands the Minister (with or without a parliamentary assistant) and immediately under him is the deputy minister, the civil servant who is the head of the departmental organization and who remains undisturbed in office when a reshuffling of the Cabinet or a change in Government causes the Minister to make way for his successor. Under the deputy is a small group of permanent officials who are in charge of the main

<sup>1</sup>*Can. H. of C. Debates*, June 2, 1936, pp. 3308-9. See *ibid.*, June 9, 1936, pp. 3533-40.

<sup>2</sup>*Ibid.*, Feb. 11, 1937, p. 803.

activities of the department, each of these in turn has the supervision of another small group who are in charge of their respective sub-divisions, and the work and oversight of these is similarly and progressively divided and relayed until the most humble member of the department is affected. The chain of responsibility works always upward to the immediate superior, and through him to his superior, until the Minister is finally reached with all the reins of control theoretically in his hands. Instructions and orders flow from the supreme head down through subordinates until they culminate in action at the appropriate level.

This "line" organization (as it is generally called) is usually augmented by another group of officials known as "staff," a term also borrowed from the structure of army control. The deputy minister may thus have attached to him a small group whose work is largely concerned with general planning, the provision of common services, and the securing of necessary co-ordination among the different parts. These officials (unlike their "line" colleagues) rarely give orders; they make recommendations and provide information and advice, and their relations are directly with those for whose primary benefit this special form of staff organization has been created.

The accompanying chart gives in bold outline the organization of a fairly simple department, the Dominion Department of Agriculture. The following is a brief account of the services performed by that department and the officials who supervise them.<sup>1</sup>

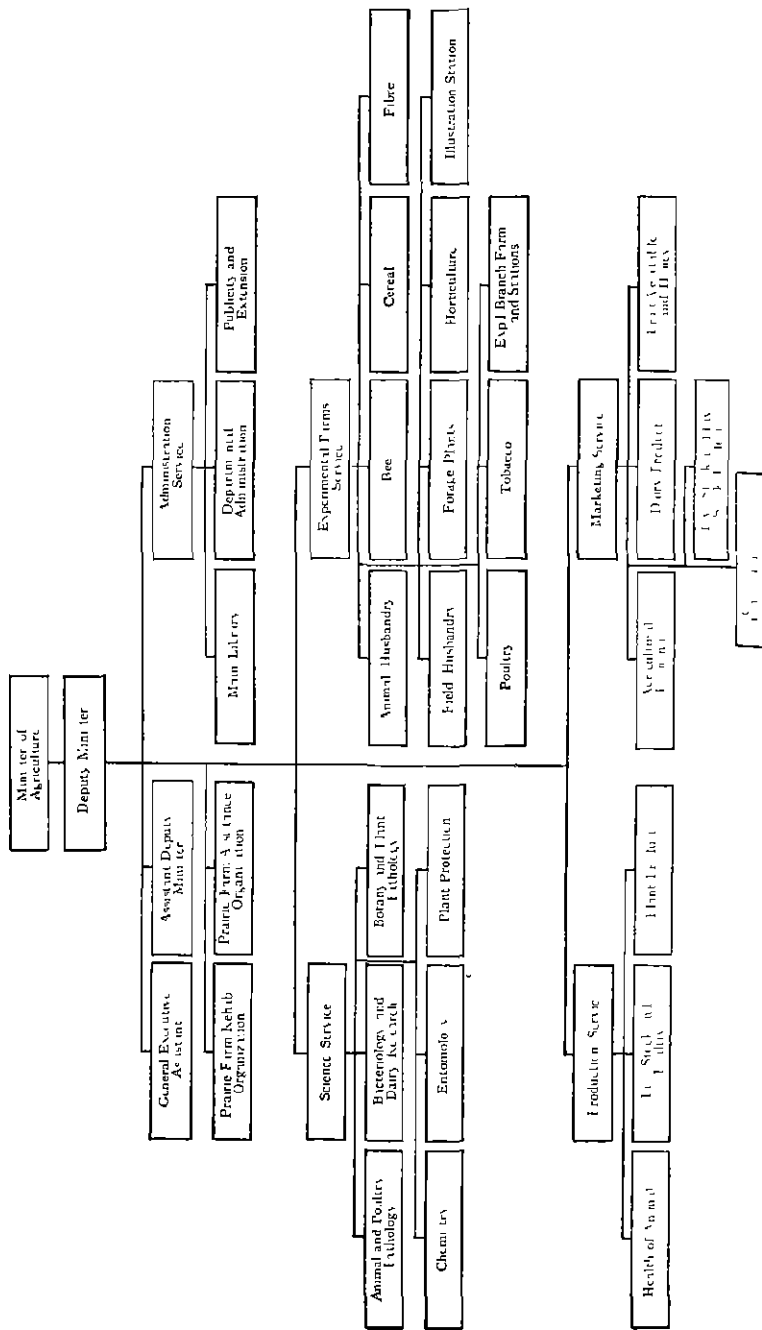
There are five chief services under the Minister of Agriculture and his Deputy Minister.

(1) *Administration Service*. This is immediately under the Deputy Minister and does not, like the other services, have a Director as its head. It does general staff work and attends to matters of common concern for all other services, such as the library, supplies, editing and distributing of departmental publications, personnel and filing staffs, and general publicity. Its chief officials are the Assistant Deputy Minister, the Executive Assistants, and a Director of the Publicity and Extension Division.

(2) *Science Service*. This is headed by a Director of Science Service and its activities are primarily devoted to the practical application of scientific

<sup>1</sup>See *Directory of Organization and Activities of the Dominion Department of Agriculture* (1944).

# ORGANIZATION OF THE DOMINION DEPARTMENT OF AGRICULTURE (MARCH 1, 1946)



knowledge to agricultural problems, such as plant and animal diseases, insect pests, soil chemistry, nutrition, etc. There are six divisions as indicated on the chart, each under the control of a Chief of Division, and under them there occurs a further specialization and devolution. Thus the Chief of the Division of Entomology (who is also the Dominion Entomologist) has under him the Chief of Field Crop Insect Investigations, the Chief of Forest Insect Investigations, the Chief of Systematic Entomology, the Officer-in-charge of Stored Product Insect Investigations, and the heads of some fifteen local Entomological Laboratories throughout Canada.

(3) *Experimental Farms Service* This operates also through a central organization at Ottawa at the main Experimental Farm and through thirty-two local farms and stations as well, all engaged in experimental and research work. The head is the Director of Experimental Farms Service. The Ottawa work is distributed among divisions in charge of the Dominion Animal Husbandman, Dominion Apririst, Dominion Cerealists, Dominion Field Husbandman, Dominion Agrostologist, Dominion Horticulturalist, Dominion Poultry Husbandman, and others. The local farms and stations involve a limited degree of specialization, but they are essentially geographical units engaged in activities of primary importance in their respective areas. Each has, of course, its own Superintendent with such further officials under him as the nature of the work requires.

(4) *Production Service* The chief activity of this service is to aid in organizing agricultural production as affecting live stock, poultry, health of animals, seeds, fertilizers, feeds, pesticides, etc. It also has a Director at its head, assisted by three Assistant Directors in the chief branches of activity, who in turn oversee the work of Veterinary Inspectors, Live Stock Fieldmen, District Supervisors, etc.

(5) *Marketing Service* The main endeavour of this section of the Department is to aid the efficient marketing of agricultural products under standard grades. This involves three divisions, one for dairy products, one for fruits and vegetables, and one for live stock and live stock products<sup>1</sup> (each having its own Associate Director, Chiefs, Inspectors, etc.), another division on agricultural economics (under an Associate Director), and two minor sections dealing with market information and consumer service.

The *Prairie Farm Rehabilitation Organization* and the *Prairie Farm Assistance Organization* complete the departmental scheme. These are two statutory organizations each with a Director, which operate directly under the Deputy Minister. They were called into existence by drought conditions on the prairies, and have never been very closely integrated with the rest of the Department.

An appreciable part of the work of government and one which is becoming increasingly important is not carried on through the ordinary departmental organization or even,

<sup>1</sup>Similar services for wheat and other grains are quite separate and are supervised by the Board of Grain Commissioners.

as a rule, under the direction of a Minister, but is conducted by a separate board or commission created for that particular purpose. The Harbour Trust of Montreal (which eventually became the Montreal Harbour Commission) began as early as 1830,<sup>1</sup> but few bodies of this type had been set up by the opening of the century. Since then, however, they have become very common and have been used freely by both Dominion and provincial governments.

These boards (or commissions) may be separated into several broad categories, although as one board may discharge more than one kind of function, the classification is not always accurate in every detail. The first group contains those whose functions are largely *advisory*, and the members of these bodies tend for the most part to be on a part-time basis. The National Research Council, for example, is composed of prominent scientists in many fields of endeavour who meet several times a year and place their exceptional knowledge at the disposal of the President of the Council, who is a full-time director of the general research activities of the Dominion government. The Fisheries Research Board, the Tariff Board, and the old Commission of Conservation discharge (or discharged) the same kind of functions in other fields.

Secondly, there are the commissions whose primary functions are those of *regulation*, or *supervision*, or *administration*, or any combination of these.<sup>2</sup> Thus while the Board of Pension Commissioners has the fairly straightforward task of applying a particular statute to cases which come before it for settlement, the Board of Transport Commissioners (formerly known as the Railway Commission) discharges very varied duties which include the settlement of disputes on rates and on alleged discrimination, the regulation and inspection of certain railway operations, the investigation of accidents, and many matters concerning not only railways but also telephones, telegraphs, aeroplanes, and inland water carriers. The Civil Service Commission has the task of conducting tests for admission to the civil service,

<sup>1</sup>R. MacG. Dawson *The Principle of Official Independence* p. 110. For detailed accounts of this and certain other commissions, see *ibid.*, pp. 110-65.

<sup>2</sup>See John Willis (ed.), *Canadian Boards at Work*.

adjusting classifications of positions, certifying promotions, and similar activities, the Board of Grain Commissioners applies the terms of the Canada Grain Act to the varied operations of the grain trade, and the Unemployment Insurance Commission administers the Unemployment Insurance Act.

Thirdly, there are the boards which are primarily *operating* bodies, those which conduct some special activity of the government of essentially the same character as a private business. The Bank of Canada, the Canadian National Railways, and the Canadian Broadcasting Corporation are all examples of this kind of government enterprise, and to these might be added most of the *thirty-one Crown companies* which were set up during the Second World War, and the few Crown companies which still survive.<sup>1</sup> Each of these is placed under a board created for the purpose which bears a decided resemblance to the board of directors of a private corporation.<sup>2</sup>

The justification for creating all these special boards is the nature of the work which they perform, which is supposed to demand a somewhat greater independence and initiative than the usual departmental activities which are kept more closely under ministerial control. But the work of these boards will, as has been seen, vary enormously, and hence their composition, the tenure of members and the method of removal, their relationship to the Minister to whom they are attached nominally or in a very real sense, will be modified to suit the particular conditions. Thus in some instances, such as the Civil Service Commission or the Broadcasting Corporation, there is great need for removing the operations from any suspicion of political interference, and the situation resembles in some degree that of the judiciary. These particular boards must therefore be assured of a very substantial

<sup>1</sup>The Crown companies were, in fact, widely different in function and might be divided into administrative and supervisory, manufacturing, and merchandising companies, but all used the corporate structure and more properly come under the third general category. See speech of C. D. Howe, *Can. H. of C. Debates*, May 14, 1946, pp. 1509-18.

<sup>2</sup>See J. A. Corry, "The Fusion of Government and Business", and J. W. Dafoe, "Public Utilities and Administrative Boards," *Canadian Journal of Economics and Political Science*, Aug., 1936, pp. 301-30.



measure of independence. In other cases, there may be simply a desire to keep the work of the board on a different plane from that of general government administration, or again, there may be a need for freeing its activity from some of the restrictions, formalities, and rules which inescapably hedge about and hamper a government department. Occasionally the desire for more permanence, consistency, and continuity will be considered sufficiently important to justify this particular form of administration. In all these cases a moderate degree of freedom will suffice. The Crown company formation was adopted for a number of reasons—to induce business men to aid in government operations under conditions with which they were familiar, to allow them more freedom, to give greater flexibility, to aid in preserving secrecy, etc., and although they were usually conceded a wide scope, they were nevertheless kept directly under the Minister.

The absence of ministerial control and the degree of independence of any board are best gauged and most effectively guaranteed by the security of tenure which the office-holders enjoy and the ease or difficulty of their removal. Here, as might be expected, the practice is widely different for members of different boards. At one extreme are those who have a long term (commonly ten years), hold office during good behaviour, and can be removed, like the judiciary, only after passage of a joint address of both Houses of Parliament. An intermediate status is that enjoyed by those with a three to ten-year term, tenable during good behaviour, and removable by the Governor-in-Council "for cause," that is, for some adequate reason which seriously impairs efficiency. At the other extreme are those who hold office at pleasure for a short term and can be removed at any time by the Governor-in-Council or Minister.

It is well, however, to bear in mind that the precise legal status of the members of these bodies may not give an entirely accurate picture, it indicates what are essentially their defences against attack. Much will depend on the spirit in which the relations between a particular board and the Government are conducted. There may, for example, be a tacit understanding (as seems to have been the practice in many Crown companies) that despite the precarious position of the

directors, they are to use their own judgment in all matters save those which impinge on Government policy, occasions which may prove to be rare in some activities and common in others. On the other hand, a hostile Cabinet could do much (and has, indeed, in times past tried to do much) to thwart and embarrass the work of a body like the Civil Service Commission, despite the legal entrenchments which have protected the members of the latter body against overt attack.

Finally, it should be noted that the conditions under which the members of a board work will determine not their freedom from interference alone but also the political responsibility of the Cabinet for the board's actions. If the members hold office at pleasure and are not protected by some conventional practice from interference, then the Cabinet will not only interfere on occasion, but it will quite properly be held accountable for whatever the board may do. If, on the other hand, the tenure of the members of a board has been made secure through legal means, or convention, or both, and the Cabinet has no right of interference and no power of removal except for extreme inefficiency or wrongdoing, then there is every assurance that the board will be able to perform its duties with no outside molestation political or otherwise. It must also follow, moreover, that if the Cabinet cannot direct or remove, it cannot be held responsible, except in a situation so grave that unusual procedures must be invoked.

Once again it is clear that the expedient of detaching these boards from ministerial control places them in a position very similar to that of the judiciary, and the higher the legal and conventional barriers against interference are raised, the closer the parallel with the judiciary becomes. An assurance for the efficient performance of a board's duties and a protection against ordinary abuses must thus be sought (as with the judiciary), not in threats and punishments, but through more intangible influences, such as integrity of character, professional pride, tradition, and similar factors. The weight of political responsibility is, in effect, lifted, and a moral responsibility—a responsibility to the public official's own conscience—is called in to take its place.<sup>1</sup>

<sup>1</sup>For judicial independence and its implications see *infra*, pp. 489-91.

## CHAPTER XIII

### CIVIL SERVICE PERSONNEL

WHILE no one will question the great value of a plan of departmentalization which is well adapted to the task in hand, this is of secondary consequence as compared to the quality of the officials who compose the service. A perfect organization badly staffed will at best achieve mediocrity, but a very able staff poorly organized will usually contrive to circumvent many of the obstacles and turn in at worst a fairly adequate performance. "The men of Massachusetts," said Bagehot, "could work any constitution," although it may be assumed that even the men of Massachusetts could do better work under some constitutions than under others. It is the most elementary and axiomatic of statements that good government depends in large measure upon good administration, and this demands that the most persistent and intelligent effort should be directed not only to the external framework of organization but also to the much more difficult and intricate questions of personnel. The selection of good civil servants and their training after entrance, the gradation of the service, the system of promotion and the rewards offered to stimulate effort, the maintenance of morale, the methods used to secure initiative and turn it to the best advantage, the need for consistency, for fairness, for operating efficiency—each of these presents its own difficulties in any large-scale organization, and the government service, lacking some of the more spectacular rewards of private business, tends to present many of these difficulties in an accentuated form.

#### ENTRANCE

Although exceptionally competent men have always been extremely useful in public administration as elsewhere, they have not always been as indispensable as they are today, for the driving necessity to recruit first- and not third-rate ability for the civil service has been in large measure the out-

come of the last fifty years. Andrew Jackson's idea that "the duties of all public officers are, or at least admit of being made, so plain and simple that men of intelligence may readily qualify themselves for their performance" was not in his time conspicuously wide of the mark, and for many years thereafter honesty, ordinary ability, and a fair amount of common sense were the only essential attributes of a civil servant. The same general condition obtained in Canada before and after Confederation, and it lent itself admirably to the necessities of current party politics. For while most Canadian public men readily accepted the negative implications of the above principle, they (like Jackson's followers) showed much less eagerness in trying to obtain the very rudimentary qualifications which a complete application of the principle required.

In the early years of the Dominion all appointments to the public service were made on the recommendation of Cabinet Ministers, members of Parliament, and the defeated candidates who belonged to the party in power, which meant that with very few exceptions these positions were given out as patronage to the friends of the majority party. "We must support our supporters," said one of the early leaders, and there were many politicians who did not hesitate to advance also the convenient corollary that if the rewards were scanty, party opponents should be thrown out of office in order to create the vacancies for deserving workers. But while this filling of positions by party patronage was very common, dismissals for party reasons never received quite as general or as fervid an endorsement. A victorious Government, it is true, was not above dismissing a generous number of officials, but the Ministers were usually somewhat apologetic about the matter and alleged (in most instances with a good deal of truth) that those affected had forfeited their rights by being active participants in the elections. The most unfortunate circumstance, and one which naturally tended to perpetuate the system, was that the appointment which followed such a dismissal was almost invariably made in recognition of party services. The new civil servant thus felt that he was expected to give support to the Government

in power, although eventually, when his party suffered defeat, this was bound to prove his undoing. It was then his turn to be dismissed, and the unhappy cycle began once more.

It soon became evident, however, that in Canada, as in the United States, this system offered no assurance that the official would possess even the modest qualifications which at that time were necessary for the proper performance of his work. Some able men were undoubtedly appointed, but these could scarcely compensate for the large number of notorious incompetents who were being constantly foisted on the public service. Patronage was exceptionally anxious to apportion rewards, but it was also exceptionally careless about the suitability of the recipient, apart from the quite irrelevant quality of his party helpfulness. The effect on the service was as unfortunate as it was inevitable. To quote from the report of a Royal Commission in 1880:

To this baneful influence [patronage] we believe, may be traced nearly all that demands change. It is responsible for admission to the service of those who are too old to be efficient, of those whose impaired health and enfeebled constitutions forbid the hope that they can ever become useful public servants, of those whose personal habits are an equally fatal objection, of those whose lack of education should disqualify them, and of those whose mental qualities are of an order that has made it impossible for them to succeed in private business. It is responsible too for the appointment of those who desire to lead an easy and, what they deem, a genteel life.<sup>1</sup>

Great Britain had begun to root out patronage from its service as early as 1870 by compelling candidates to demonstrate their fitness for the vacant positions by means of open competitive examinations.<sup>2</sup> Canada, in response to an agitation carried on by a number of reformers led by George Elliot Casey, M. P., and enlightened by the investigations of a committee of the House of Commons (1877) and a Royal Commission (1880), took the first cautious step towards improvement in 1882.<sup>3</sup> The Civil Service Act of that year provided that candidates who were to be appointed to a large number of positions in Ottawa would first be compelled to

<sup>1</sup>*Canadian Sessional Papers*, 1880 I, No. 113 p. 16.

<sup>2</sup>The first reform of a similar character was not introduced in the United States until 1883.

<sup>3</sup>For this and other parts of the history of the Canadian civil service see R. MacG. Dawson *The Civil Service of Canada*.

pass examinations set by a board created for that purpose. This was at best a timid and half-hearted measure which did not try to come to grips with the real problem. The examination was not competitive, and the Minister was therefore still free to appoint anyone he chose, subject to the trifling restriction that the candidate was required to pass a very elementary test. Sub-normal and illiterate candidates were shut out, but almost anyone else could squeeze through and, if he had the necessary political influence, he could slip into the appointment as before.

The service was therefore still forced to depend for its quality upon the conscience of influential party members, and the same desire to reward deserving supporters, aided, it may be, by the comfortable belief that almost anyone could fill the positions adequately, proved to be the dominant influence in making most appointments. "The distribution of patronage," wrote Sir Wilfrid Laurier's biographer, in one revealing sentence, "was the most important single function of the government."<sup>1</sup> Once again, effect followed cause with embarrassing precision, and the government of Canada reaped the sickly crop which it had persistently sown. In 1907, after a disillusioning experience of twenty-five years with the new Act, a Royal Commission reported that "the public service . . . not only at Ottawa but elsewhere throughout the Dominion has fallen back during the last fifteen years."<sup>2</sup>

The opening decade of the present century, however, brought a growing appreciation by the Government and by the public of the need for greater efficiency in the civil service. Government functions were rapidly multiplying in scope and in complexity and were demanding in increasing numbers intelligent and highly skilled officials, who would have the native ability and training to perform the difficult tasks with which they were confronted. A service which formerly had been admittedly inefficient could no longer hope to blunder along and cover up its deficiencies, for under the new demands which had arisen, gross inadequacy or lack of

<sup>1</sup>O. D. Skelton, *Life and Letters of Sir Wilfrid Laurier*, II, p. 270.

<sup>2</sup>*Canadian Sessional Papers*, 1907-8, No. 291, p. 15.

knowledge were little short of disastrous and would bring open and palpable discredit on the entire government. Even the public, which had long remained quiescent on the subject of civil service reform, began vaguely to comprehend the great value of efficient administration and appreciate the wasteful results of patronage, and this—and an imminent election—at last brought legislative action.

The new Civil Service Act was passed in 1908<sup>1</sup>. It created a Civil Service Commission, which was to set examinations for entrance to a large number of positions in the so-called "inside" service at Ottawa. These examinations were not only open to all who chose to apply but, unlike their predecessors, they were competitive, that is, the candidate who had proved his merit by coming at the top of the list, secured the appointment. In order that the Commission might not be influenced by party politics and, in particular, might be made quite independent of the Cabinet, its members were given a tenure during good behaviour<sup>2</sup> subject to removal only by the Governor-General on an address passed by the Senate and House of Commons.

These changes constituted the first genuine measure of civil service reform in Canada. The Act was, of course, limited in its extent, for it did not cover all the officials at Ottawa or any of those elsewhere in the Dominion, a fact which was convincingly demonstrated in a short time. In the three years following the defeat of the Laurier Government in 1911 some 11,000 civil servants resigned or were removed from office, the reason assigned in most cases being that the official had been guilty of political partisanship. While the system of making appointments through the Commission could not guarantee political neutrality, this neutrality was definitely encouraged, and very few officials who had been so appointed got into trouble because of party activity.

The widest advance was made in 1918, when the entire service, with trifling exceptions, was placed under the Civil Service Commission, and the latter was given the power to

<sup>1</sup>*Can. Statutes*, 78 Edw. VII, c. 15.

<sup>2</sup>Life tenure was changed in 1918 to a ten-year term.

base virtually all appointments upon open competitive tests which it administered. Certain parts of the service were, however, later withdrawn from the Commission's jurisdiction, some of them because they were genuinely unsuited to this method of recruitment, others because of various pressures which developed, some sinister and some otherwise, to give the system a little more flexibility. The inefficiency of the Commission itself during part of this period did not help the cause of reform, appointments, which had to be preceded by advertisement and examination, were bound to be slow and the delay was frequently irritating, and many civil servants and politicians were not at heart in sympathy with so drastic a change. The net result was a steady reduction in the scope of the merit system as administered by the Commission, and although the latter repeatedly called the attention of Parliament to this shrinkage, the protests had up to the outbreak of the Second World War little apparent effect.<sup>1</sup> The practice which grew up during the war itself cannot be regarded as of much significance, for the main endeavour was to obtain the necessary staff without too close a scrutiny of qualifications and with slight regard for the competitive element.<sup>2</sup> The general post-war trend has not yet been revealed with any certainty.

Canada has begun the post-war period, however, with a dual system rather than one based completely on merit—a part of the service is appointed on merit as ascertained by competitive examination, another part is chosen on various grounds, generally without competition, as recommended by

<sup>1</sup>The largest block of positions removed from the Commission's control was a group of 3 500 postmasters, which raised at the time the total number so exempted to 12 000. Many of these are of such minor importance that patronage appointment can do little harm. The most inexplicable and most persistent of these exempted groups is the Income Tax Branch, although, according to the Minister of Finance, "great care is taken in the selection of personnel." From a practical point of view the system of appointments is reasonably satisfactory at the present time." *Can. H. of C. Debates* June 4, 1940 p. 503.

<sup>2</sup>"At the beginning of the war in 1939 the Civil Service Commission, acting as a recruitment agency, was playing a central rôle in a competitive civil service system. By the end of the war it had become primarily a qualifying agency. Its primary task had shifted from that of selecting the best qualified among the surplus of applicants to one of finding and soliciting those who could meet certain minimum qualifications." Tivlor Cole "Wartime Trends in the Dominion Civil Service in Canada," *Public Administration Review*, Spring, 1946, p. 160.



Cabinet Ministers, by members of Parliament, and, most indefensible of all, by defeated candidates of the majority party, who, in the circumstances, cannot have a vestige of responsibility.<sup>1</sup> The condition was well described in 1930 by a member of Parliament, who in the previous year had served on a Commons' committee which investigated the Civil Service.

The chief handicap imposed on the Commission has been imposed by the hon. members of this House who are determined to force political patronage upon the civil service. One of the most astounding revelations made before that Committee was that which showed the growth of political patronage in connection with appointments to the civil service under the present administration.<sup>2</sup> We were presented with long lists containing thousands of positions which had been exempted from the provisions of the Civil Service Act, first by statute, again by the estimates, and again by orders-in-council. This has had a demoralizing result within the civil service, since we have attempted to merge two systems, a merit system under the Civil Service Commission, and a political patronage system, with all the conflict and confusion thus involved.

The Civil Service Commission has made an honest attempt to establish the principle that appointments to the civil service of Canada should be available to every citizen of the Dominion, and that appointments should be secured by open competitive examination. There is no proper system of qualification, there is no suggestion of competition, when appointments are secretly made on the recommendation of a sitting member, a defeated candidate, or a political committee.<sup>3</sup>

It is clear that the political parties are still interested in rewarding followers with spoils, and there are numerous party supporters who would gladly go back to earlier days when examinations and tests bothered no one save those who had to conduct them. But the great majority of the members of Parliament give at least an open approval to the merit system, although many of them are not above using the little jobs as useful rewards for humble workers and the occasional big job as a safe refuge for themselves when the skies become dark and threatening. Thus at the present time, al-

<sup>1</sup>"Mr. Nicholson: Is it customary for the Post Office Department to write to defeated government candidates in connection with recommending suitable postmasters?" Mr. Mulock (Postmaster General): Yes, where revenue of the office is under \$3,000." *Can. H. of C. Debates*, Nov. 27, 1940, p. 453.

<sup>2</sup>This blame is, in fact, properly to be apportioned between "the present administration" and the one which preceded it.

<sup>3</sup>C. G. MacNeil, *ibid.*, Feb. 21, 1939, pp. 1160-1.

though those parts of the service not under the Commission are extensive, appointments to the great majority of important posts and to many lesser ones are made strictly on merit. This practice, augmented by direct and indirect influences which have flowed from it, has brought about an enormous improvement since its adoption almost thirty years ago. The civil servants no longer feel that their positions rest on the shaky foundation of party patronage, they take a genuine pride in their profession, the standards of ability and performance have been immeasurably raised, and the service is attracting into its ranks some although not nearly enough of the best material in Canada.

#### TENURE AND REMOVAL

Tenure in the civil service has always been at pleasure, but this has by long-established custom become tenure during good behaviour. Political activity, however, has always been considered to be misbehaviour (particularly when it has favoured the wrong party) and such conduct has therefore been an accepted justification for dismissal.<sup>1</sup> The great bulk of the civil servants in recent years have carefully preserved their political neutrality and with it, their security. But as is almost inevitable, dismissals have tended to follow those appointments made under the most flagrant conditions of patronage, notably in such minor positions as the small rural post offices. Thus from 1922 to 1938 2,385 postmasters were dismissed, an average of almost 150 a year, and in the great majority of cases political partisanship was the reason given.<sup>2</sup> Whether the offences were as charged, it is difficult to say with certainty, for the means taken to ascertain the facts inspire little confidence. If, for example, a member of Parliament asserts on his responsibility as a member that a civil servant has engaged in party activity, the civil servant is usually dismissed without the slightest investigation or oppor-

<sup>1</sup>A permanent civil servant can be dismissed only by an order-in-council if temporary, he can be dismissed by the Minister at the head of the department.

<sup>2</sup>R. MacG. Dawson, "The Canadian Civil Service," *Canadian Journal of Economics and Political Science*, Aug., 1936, p. 290, *Can. H. of C. Debates*, March 11, 1938, pp. 1272-4.

tunity to defend himself<sup>1</sup> If the accusation is made by someone else (such as a defeated candidate) a formal investigation is held, and if the report is adverse, the civil servant is then dismissed Even the latter procedure is open to question, for very trivial acts are often interpreted as constituting partisanship, and the impartiality of the investigating commissioner is far from assured the commissioner in one case, for example, was the partner of the defeated candidate who preferred the charge<sup>2</sup> While admittedly these offices are not important and partisan activity on the part of their incumbents is doubtless very common, simple justice should demand that in these dismissals no member of Parliament should be allowed to play the double role of accuser and judge

#### GRADATION

The elimination or, more accurately, the curbing of patronage has been the major move in the improvement of the administration, for little genuine progress could be expected as long as considerations other than merit were steadily undermining the quality of the public servants But while this was an essential step it was in a very real sense only a preliminary one, for the acquisition and subsequent encouragement of an efficient personnel demand positive as well as negative measures Thus the precise nature of the examination which is given and the use to which this examination may be put are allied matters of first-rate consequence The test should be more than a barrier to keep patronage out and to ward off the importunities of job hunters It should endeavour to do more than select the best candidates from a list of mediocre applicants, it should also induce those with the best natural capacity and training to present themselves as candidates Such a problem raises in turn two others no less vital the way in which the personnel in each department are graded, and the system of promotion which is used to reward the deserving

<sup>1</sup>Some years ago in the Post Office Department however an investigation was held in every case where the revenue of the post office concerned was over \$400, irrespective of whether the member of Parliament made a declaration or not *Can. H. of C. Debates*, March 11, 1938 p. 1275

<sup>2</sup>*Ibid.*, Feb. 25, 1937, pp. 1271-89 April 7, 1937 pp. 2681-713 March 8 1938, pp. 1109-15

The plan of gradation or the relationship which the different positions and officials bear to one another will affect the place which the civil servant occupies in his department, the position in which he starts at entrance, and the avenues of promotion which lie before him. In the early years the Canadian service had little organization of a formal kind, this was succeeded at the opening of the century by a tentative attempt (although with little conviction behind it) to copy in a small way the English scheme of gradation, and this in turn was supplanted in 1919 by a plan which derived its inspiration from the United States, a move which was accompanied by some conviction but little comprehension of the real issues involved.<sup>1</sup>

The outstanding characteristic of the English plan is that it has frankly acknowledged the necessity of bringing in new recruits for the administrative and clerical service at two chief levels—the lower group to be drawn from the high schools, the higher from university graduates. The keys to the plan are the especial care taken in selecting members of the higher group and the effort made to provide them with subsequent training. The examinations which admit candidates to the upper level are extremely difficult and are set on a wide variety of academic subjects, so that the most brilliant of university graduates will not only be induced to compete but will be able to compete effectively in the fields they know best. The selection is thus made on evidence of exceptional natural ability rather than on that of exceptional knowledge relating to the positions which the candidates expect to fill, the theory being that if the examination does its part and secures men of genuine talents, they should have little difficulty in later acquiring the administrative skill and specialized qualifications which their departmental duties will require.<sup>2</sup> Indeed, their early careers in the service are planned in such a way that they will receive the best possible training to fit them for those future responsibilities. They

<sup>1</sup>Dawson, *The Civil Service of Canada* pp. 154-65.

<sup>2</sup>There are, of course, many positions where high technical or professional qualifications are essential. These are also recruited in Great Britain at a high level, but on the basis of the candidates' specialized knowledge and not their broad academic qualifications.

are spared the drudgery of routine work and the consequent deadening of their powers of initiative, and they are so placed, encouraged, and instructed that they are soon able to provide a pool from which the higher administrative posts can be filled. It is quite possible, however, to rise by promotion from the lower horizontal or clerical group to the one above, but this is reserved for those who have been able to show capacity of a high order.

The Canadian service, as stated before, was not entirely oblivious of the English system. On several occasions after 1900 it endeavoured to secure more widely educated recruits by admitting university graduates to a slightly preferred clerical grade, but the idea made little progress. The difference between the preferred and other grades was small, the examinations were never really difficult, little was done to give the new recruits work which would provide the proper training and advancement, and neither the extent of the scheme nor the time during which it was used was sufficient to provide a fair trial.

In 1919 Parliament, without any clear conception of the principles at stake, scrapped the existing plan of gradation, and introduced a new act, a new theory of gradation, and a new classification of positions. Any idea of admissions at two general levels was discarded, and its place was taken by a plan which, in theory at least, recruited all the staff through the lowest offices at the bottom and promoted them, step by step, to the top. Admission to any position—administrative, clerical, manual, technical, or professional—was to be through special competitive examinations or tests set for each particular office. It is this office-boy-to-president organization which is theoretically in effect at the present time.

The lines of cleavage under the classification of 1919 are therefore vertical and not horizontal. The service is split into large occupational groups which do not necessarily correspond with departmental divisions but are determined by the profession, trade, or kind of work involved. Each group contains all those engaged in the same or allied occupations, from the highest officer to the most humble member of his staff. All entrants are supposed to come in at the lowest positions and work themselves upward by efficiency and general merit. The highest offices are thus to be filled by promotion which is almost invariably vertical and rarely cross-wise. If the latter should

take place, the employee immediately enters an entirely different occupational group and has before him different lines of promotion. This scheme has necessitated a most involved tabulation of positions known as the *Classification Schedule*, each kind of office being therein described and its place fixed in the whole organization.<sup>1</sup>

The Civil Service Commission was entrusted with the general oversight of the classification, a work which involved continuous supervision, rearrangement of positions, additions and subtractions, alterations in salary schedules, etc. Originally there were 1,729 standard categories, which by 1939 had increased to about 2,400, and by 1946 to about 3,700. The *Schedule* is, to put it mildly, a bewildering document for the uninitiated, for each variation in a position's function or place in the hierarchy tends to create a new position under a new name. It is stated, for example, that there are seventeen classes of lighthouse keepers, and the variety of clerks is almost incalculable: clerks, grade one, clerks, grade two, clerks, grade three, principal clerks, head clerks, chief clerks, clerk typists, statistical clerks, messenger clerks, etc., etc.

The general plan is, however, superficially attractive, for it emphasizes equality of opportunity and promotion through all ranks to the top of each department. But it is open to the rather awkward objection that it will rarely work. A continuous ladder of promotion is always feasible to a limited degree, but in most lines of activity natural barriers are encountered which separate the upper section from the lower, and these usually consist of educational qualifications which those in the lower group are unable to meet. Certainly the Canadian classification is on its own showing inherently unsound. For even a superficial study of the *Classification Schedule* will reveal that an employee who enters (according to the description of qualifications given in the *Schedule*) with a preparatory school training cannot very easily be promoted to a higher position which demands (according to the description given) a high school education, still less can he move on from there to an even higher office for which the *Schedule* demands university graduation with honours in a

<sup>1</sup>Dawson, *The Civil Service of Canada*, p. 159.

special field or, in some cases, post-graduate work or its equivalent. The above contradictions are not the inventions of a malevolent critic: they appear explicitly and in great detail in the *Schedule* itself. The whole scheme of gradation is thus rendered nugatory by the very document which is supposed to be its clearest exponent and defender. Such a *contretemps* is, as suggested above, inevitable. It is obvious that in professional and highly specialized positions promotion from minor offices to the top is quite impossible; it is not so obvious that the attempt to fill the high administrative posts in the same way is equally undesirable. Those who will come up from below are apt to have little to commend them save a thorough and meticulous knowledge of the department's activities. They will not possess the same native ability as those chosen by the more rigorous selective process; they will lack as a rule the adaptability and breadth of view which an advanced education can help to provide, and the intimate knowledge of their department will be frequently dulled and rendered ineffective by the drudgery of years spent in routine work.

The Civil Service Commission has thus been required since 1919 to apply a scheme which was unworkable in the terms of its own paragraphs, and the embarrassing demands of hard experience have done nothing to lighten the task. The poorly educated simply could not be promoted to fill the top positions, and university graduates with honours standing would not enter the service at the bottom in order to fall in line for eventual advancement. The Commission followed the *Schedule* where it could, but when that failed, it was forced either to ignore the educational requirements and promote without them, or to ignore the indicated lines of promotion and bring new officials into the service from outside. The Commission did both. It promoted those who, despite inadequate educational training, had some compensating qualifications which made them moderately useful in the higher posts.<sup>1</sup> It also imported new material and placed these entrants over those already in the service. The latter procedure was almost a routine for professional and technical

<sup>1</sup>See *Can. H. of C. Journals*, 1932, Appendix No. 3, pp. 155-8, 182-6.

offices, for the educational demands were inexorable, but some time elapsed before it became at all common for administrative positions. In 1935, however, the Commission instituted a general academic examination which, while it was no more than a sickly travesty of the British Class I examinations, nevertheless had the great merit of introducing university graduates, academically trained, into a select administrative class at a reasonably advanced level from which promotions could be readily made to positions of higher responsibility.

The result of this unobtrusive but none the less definite repudiation of some of the most objectionable features of the classification has been that the Canadian service has made unmistakable progress in the direction of better educated and more highly qualified personnel. But this has been done in spite of the scheme of classification and not because of it, and the scheme has never ceased to hamper the best efforts of the Commission. This is particularly true of the attempt to encourage and foster the growth of an administrative officer group for the higher positions. To quote the report of a Royal Commission in 1946:

It is our considered opinion that certain of the fundamental difficulties and weaknesses of the civil service to-day are due to the persistent attempt to work within the confines of this rigid and complex system of classification.

At the gateway to the service recruitment is conducted by rating applicants on the basis of some specialized knowledge or some particular experience rather than on the basis of general intelligence and capacity. This practice has undoubtedly contributed to the failure to obtain sufficient recruits to supply the ranks out of which administrators of high calibre may be drawn. In particular it has militated against the recruitment of young men and women of capacity from those parts of the country where the educational system is not directed towards specialized types of training.

Inside the service, the classification system, through lack of flexibility, has hindered the adequate development and best utilization of high grade personnel. The career of a Canadian civil servant is bestrewn with a vast number of closely spaced blocks. The result is that many persons of ability and promise are lost in blind alleys or emerge from them too late in life.

The truth is, that the Canadian civil service as presently organized and managed does not provide its own leadership. There are not enough men of high quality and training in senior and intermediate administrative positions.<sup>1</sup>

<sup>1</sup>*Report on Administrative Classifications in the Public Service* (1946), pp. 13-15.



The abused classification has not been, however, entirely without merit. Its principle of special tests for each individual position, speaking generally, has been quite proper and useful when applied to those people who require some special aptitude or training, such as stenographers, chemists, accountants, librarians, statisticians, and very many others. It has also been reasonably successful in equalizing similar positions throughout the service, in stopping patronage in those offices under its control, and in checking indiscriminate promotions and increases in salary. But the price paid for some of these advantages has been too high, and many of them could have been obtained through other methods which would have neither the same rigidities nor the same limitations. In the main, the influence of the classification has been to restrict and hamper and not to invigorate and enrich, it has concentrated on the problem of how to block undesirable influences, while paying insufficient attention to the encouragement of those desirable qualities in the civil servant which are no less essential to his efficiency.

#### PROMOTION

The old Board of Examiners, which was created in 1882, began the practice of setting promotion examinations to serve as a minimum requirement for promotions made by the Minister. Its successor, the Civil Service Commission, always had a part in weighing and testing promotion qualifications, and the Commission today may impose such tests as it deems proper and, acting on these and other data, make the promotions. This is emphatically not a proper function to be vested in the Commission, and it is justified largely on the ground that promotions, like entrance requirements, must be protected against political influences and favouritism. Such a danger, however, is largely imaginary under a reformed service, for if sinister influences cannot secure appointments they will have little reason to try to affect the subsequent careers of those who have obtained their positions by independent means. Moreover, the qualities which should determine promotions are not examinable and can best be gauged by those who are in daily contact with the work of

the staff. In actual practice the Commission's work in this regard has tended to become formal and is largely confined to routine checking to eliminate possible favouritism and ensure that all possible candidates have been considered, and it then relies mainly on the recommendations and efficiency records made by the official superiors in the department. If the Commission's power over promotions continues to be used in so restrained a manner, it will probably do little harm, although such a practice simply raises once again the question of the necessity of the Commission's participation in any form.

#### TREASURY CONTROL

An interesting development of recent years and one which is likely to have some significance for the future is the growing intervention of the Treasury Board<sup>1</sup> in much of the Commission's work. The Cabinet has, of course, always exercised the power given it by the Civil Service Act of formally approving certain of the decisions and regulations of the Commission, but for many years this remained almost entirely a nominal control. About 1932, however, as a result of the depression, the Cabinet (through the Treasury Board) began to intervene in order to effect a reduction in the size and cost of the service, and the advent of the war gave the Board a further opportunity (under the War Measures Act) to issue a number of directives which in effect imposed additional limitations on the Commission's authority. A substantial degree of Treasury control has thus been read into a statute and applied to an organization, both of which were originally designed for quite different circumstances, and this unanticipated and unnatural practice has led to much confusion and dissatisfaction with the present administration of the service.

The distribution of authority between the Commission and the Treasury Board is, indeed, far from clear, and it is too involved to warrant any detailed statement here. The Commission exercises under the terms of the Civil Service Act complete control over appointments and promotions in

<sup>1</sup>*Infra*, p. 427

all parts of the service under its jurisdiction. On questions of organization and classification, however, the Commission before effecting any changes must first submit its proposals to the Governor-in-Council (in effect, the Treasury Board) and the latter is then quite free to take whatever action it desires. On matters of compensation paid to various classes and of assigning salaries to particular officials, the situation is somewhat different: the Commission makes its recommendations, and the Treasury Board can accept or reject, but cannot amend them.<sup>1</sup> The Board, by issuing Treasury minutes and by disallowing recommendations of the Commission, has thus been able to exercise a varying degree of control over the creation of establishments in different departments, the numbers of temporary and permanent civil servants appointed, leave of absence, the abolition of offices, the filling of vacancies by promotion, and the reclassification of positions.<sup>2</sup>

The objectionable feature of this arrangement is, of course, the muddled results which it inevitably produces. The Commission repeatedly makes recommendations which the Board refuses to approve, other matters become the subject of long negotiation, argument, and even deadlock while a substantial part of the Commission's work, for which it is primarily responsible, is carried on under rules which it is convinced are harmful and short-sighted. There can be little doubt that this dual responsibility, involving as it does the tossing of the ball of control back and forth from Commission to Treasury Board, is most objectionable and fatal to efficient administration. The recent Royal Commission was thus voicing a common dissatisfaction when it reported as follows: "It is apparent that the respective functions and responsibilities of the Civil Service Commission and the Treasury Board overlap. The Treasury Board has the authority in relation to all matters of establishment and organization but not the immediate responsibility, the Civil Service Commission has the responsibility but not the

<sup>1</sup>See Civil Service Act, *Rev. Stat. Can.* (1927), c. 22 Sections 9-12.

<sup>2</sup>*Report of the Civil Service Commission*, 1933, pp. 12-16, 1934 pp. 7-8, 1935, pp. 14-15, etc.

authority. This division of duties is the outstanding weakness in the central direction and control of the service and must be eliminated."<sup>1</sup>

The present situation is thus clearly unstable. The Treasury Board must be given either more power or less, and no matter which course is followed, the lines of division between the Board and the Commission must be more clearly drawn so that each will be able to operate freely within its own defined sphere. The solution might take the form of some modification of the existing scheme—such, for example, as the Treasury Board controlling blocks of expenditure, while the Commission would be responsible for individual cases, salaries, personnel, etc., or it might be along much more radical lines and conform more to the present British practice of centralized Treasury control. The latter proposal was substantially that put forward by the recent Royal Commission on Administrative Classifications.<sup>2</sup> Under this plan the Civil Service Commission would retain complete authority over all admissions and over promotions of a minor nature, but all questions of organization, remuneration, promotions of the administrative, scientific, technical, and professional staff, working conditions, personnel welfare, and similar matters would be vested in a special Establishments Division working under and responsible to the Treasury Board.<sup>3</sup>

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Many other considerations enter directly and indirectly into the creation and maintenance of an efficient civil service, but these can be only suggested here. The salary paid, the security of the office, the general amenities of the service, the causes of removal, superannuation, and similar matters will often materially affect the quality of an official's work and may prove decisive in inducing a good man to leave or remain.

<sup>1</sup>*Report on Administrative Classifications in the Public Service* (1946), p. 17.

<sup>2</sup>"Central financial control there must be. Otherwise, there will be uneconomical use of public money. Financial control without the direct and simultaneous duty to determine requirements and to provide the necessary means for effective operation leads to delay, frustration, and inefficiency." *Ibid.*, p. 17.

<sup>3</sup>*Ibid.*, pp. 23-5.

in government employment. Inevitably comparisons will be made with similar positions in the business and professional world. In many respects the service will suffer by such comparison, it cannot, for example, offer salaries which will equal those available elsewhere. But in other ways it can do more than hold its own, for the scale of operations is large and they are usually of such a nature as to appeal to those with imagination and ambition. The conviction that the civil servants are doing the big things in the nation which really matter, that their influence on the formation of the national policies is far from inconsiderable, that they have an intimate knowledge of what really happens in government circles to a degree that no one else in the country can hope to rival—these are the kind of intangible and elusive influences which exercise a subtle appeal over the minds and emotions of men and will materially affect an official's interest, his enthusiasm, and his devotion to his work. Mention has been made above of the extremely useful function of the Minister in stirring up the mind of the civil servant and in giving him a more accurate sense of proportion. Yet the chief reliance for these things should not be placed on the Minister, but on the official himself, and this leads back once again to the fundamental requirements already discussed. Efficient administration depends on the recruitment of civil servants of first-rate ability and the creation of an environment which will demand their utmost in initiative, resourcefulness, and conscientious devotion to the welfare of the state. Canada has not yet made an earnest and intelligent effort to provide either of these essentials.

## CHAPTER XIV

### ADMINISTRATIVE POWERS

It is safe to assert that a book on Canadian government written thirty years ago would not have contained a chapter on administrative powers. Such powers existed, of course, at that time, but they presented no serious problem. Acts of Parliament gave powers in varying degrees to Ministers of the Crown, and through them to civil servants, and occasionally administrative powers were conferred on members of a specially constituted board, but except for the last expedient (which was conceded to be exceptional) the statutes defined very specifically the powers of the administration. The rule of law<sup>1</sup> was based on the firm belief that official discretions were uncertain and by their very nature uncontrollable and evil, "wherever there is discretion," said Dicey, "there is room for arbitrariness,"<sup>2</sup> and arbitrariness was to be abhorred by a free people. Thus a legal system which cherished freedom would suppress official discretions by being sufficiently explicit in its grants of power that no discretionary acts and decisions of any consequence remained. The rules laid down by the law would be applied by the courts, and the actions of the officials would thereby be kept in careful conformity with the clearly indicated intentions of Parliament. Abuse of administrative powers was therefore carefully prevented by the courts, and their proper exercise was also ensured by the responsibility of Ministers to Parliament for all acts of their civil servants. Here and there, as indicated above, occasional boards had been created to perform some special function, and these had been given a fairly independent position and had been granted discretionary powers within a specified area, but resort to this unusual device simply emphasized the exceptional nature of their functions and set them apart from the ordinary administrative officials.

Some mention has already been made of the tremendous changes which have taken place in comparatively recent

<sup>1</sup>*Supra*, pp 88-9

<sup>2</sup>A. V. Dicey, *The Law of the Constitution* (5th ed.), p 181

times in the comprehensive interests and functions of government. The negative laissez-faire state has been more and more transformed into the positive state which is continually recognizing a greater and greater responsibility for the welfare of its citizens. The government is becoming yearly more assertive and its activities more widespread, it has long since ceased to regard as its sole or even its chief function the enunciation of general rules of conduct and the assigning of punishments for their breach, and it is quite prepared to direct and drive people into righteousness, it has taken the position that it will not only punish deviations from its rules but it will endeavour in many areas of social life to prevent such deviations taking place on any significant scale. The extreme application of this principle occurred during the recent war when virtually every activity of the citizen came to a greater or less degree under the direction of the state, and there is little or no reason to believe that government participation in the life of the citizen will ever return to the pre-war level. The chief uncertainty for the future is the point at which this participation will stop.

The outstanding manifestations of this vigorous government activity (leaving aside those directly concerned with the war) have occurred in the economic and social fields. The constant trend has been and continues to be from merely police functions, controlling external conditions of public order, to what are often known as "service functions"—the promotion by positive measures of such things as public health, housing, continuity of employment, higher standards of living, conservation, price stability, etc. In these latter fields it is frequently not restraint, but active compliance with positive commands which is being sought. The role of the public servant undergoes a change in quality and becomes both more difficult and more challenging, with corresponding demands on his ability and resourcefulness. He is bound to enter to a far greater degree into the very lives of the people: he issues orders and regulations, he decides disputes, he refuses one application, and accepts the next. He must be prepared not only to discharge narrow administrative functions but to provide constructive and far-reaching solutions.

as well, he must plan and initiate (through his Minister and probably to a degree on his own) policies which he has developed out of his own experience and observation<sup>1</sup>. While his success will in large measure be derived from his specialized skill and his familiarity with his work, it will also rest upon a breadth as well as a depth of knowledge, a grasp of the main purposes of his endeavour, and an appreciation of their social implications. Much of this work will force the higher officials to rely upon their own discretion and to substitute a careful and to a substantial degree an independent judgment on the circumstances of particular cases for the older conscientious adherence to rigid and invariable rules and forms. Initiative and a willingness to make important decisions have not been unknown qualities in civil servants in the past, but the whole tendency today is to multiply the number of occasions when these become necessary. The mere volume of decisions alone makes it impossible to consult the Minister on many matters, and when to this is added a prerequisite of intimate knowledge and experience, the Minister's participation tends to be largely confined to the settlement of questions of policy and those of outstanding importance.

The preceding pages have discussed the effect which the sheer weight and complexity of state activity have had on the civil service, and they have indicated how these have added to its stature as an important part of the working government. This, however, is but one side of a comprehensive shifting of power which has been occasioned by the same fundamental factors, for some of these gains in executive and administrative control have been made at the expense of Parliament and some at the expense of the judiciary. Parliament discovered that it could not take over all the additional burdens which fell to its lot under this new conception of state activity, and the judiciary was also unable to bring its functions into perfect harmony with the new demands which were made upon it. Parliament had neither the time nor the special knowledge to enact adequate legislation on many of these complex topics, nor, indeed, could

<sup>1</sup>Sir Josiah Stamp, "The Administrator and a Planned Society," *Public Administration*, Jan., 1938, pp. 1-11.



such legislation always be drafted in sufficient detail or be made flexible enough to cover the widely varying conditions which it encountered. The courts, if given extensive powers of deciding disputes arising out of this type of legislation, would not only be inundated with cases, but they would also lack the specialized knowledge which is necessary to do the work acceptably, and there would be little assurance that they would approach their task with that sympathy and understanding which is an indispensable part of proper administration in some of these newer fields. The few examples which follow will illustrate in greater detail the nature of these difficulties. Parliament has thus been compelled to abandon any desire it might have had to state detailed statutory plans in precise language. It has outlined its purposes in sweeping terms and provided for their elaboration and application through the appropriate department or board, and in many cases has given only a very limited power of supervision to the courts. The powers thus delegated by statute to the administration are of two broad types

(1) powers to enact subordinate legislation by order-in-council or departmental regulation,

(2) powers to render judicial or quasi-judicial decisions in disputes arising on administrative questions with little or no opportunity of appeal to the courts

### 1 *Delegated legislative powers*

An earlier chapter has indicated<sup>1</sup> how the Canadian Parliament has delegated to the Cabinet what is in effect the power to enact subsidiary legislation within the limits of the enabling statutes, and this legislative power may also be given to an individual department or to a board or commission. Delegations of both kinds are extremely common. At least one-half of the 225 public acts of the Dominion in force in 1933 gave power to the executive to legislate by order-in-council or departmental regulation,<sup>2</sup> and the tendency

<sup>1</sup>*Supra*, pp 252-3

<sup>2</sup>J. A. Corry, "Administrative Law in Canada," *Proceedings Canadian Political Science Association*, 1933, p 196. In Ontario in 1937 no less than 271 out of 399 acts made provision for supplementary legislation by various authorities. J. Finkelman, "The Making, Approval, and Publication of Delegated Legislation in Ontario," in *Canadian Boards at Work* (ed. by John Willis), p 172

has been for this proportion to increase rather than diminish. Most of these acts do no more than permit the addition of technical details, although in many cases this is covered by a vague phrase authorizing such regulations "as may be deemed necessary for giving full effect to the provisions of this Act." Other statutes, however, go much further than mere amplification. Some give the executive the power to vary the provisions or extend the scope of the act in an important respect, some purport to give the Governor-in-Council power to declare the true meaning of the act in case of doubt, while the Relief Act of 1931 gave the Governor-in-Council a blanket power "to make all such orders and regulations as may be deemed necessary or desirable for relieving distress, providing employment and, within the competence of Parliament, maintaining peace, order and good government throughout Canada."<sup>1</sup>

The most extreme example of such a delegation of subsidiary legislative power was furnished during the recent war. The War Measures Act<sup>2</sup> gave authority to the Governor-in-Council to make such orders and regulations as it "may deem necessary or advisable for the security, defence, peace, order and welfare of Canada" so long as the war emergency should continue—a grant of power so sweeping that it conveyed to the Governor-in-Council most of the enormous war-time emergency powers made available to the Dominion Parliament under the "peace, order, and good government" clause of the British North America Act. With this went the authority to re-delegate powers, including the power of delegation itself.

Parliament may entrust to the Governor-in-Council the power to delegate to an administrative body the power to delegate to a controller the power to issue a directive having the force of law. Laws may now emerge typewritten on letter heads signed by John Smith of which only a carbon copy is kept on file. This is adaptation with a vengeance. Let it no longer be said that totalitarian regimes can act more expeditiously and efficiently than democracies. And though there are dangers in this situation let it not be said either that this is no different from totalitarianism. All such powers

<sup>1</sup>Corry, "Administrative Law in Canada," *loc. cit.* pp. 196-7, 202, *Can. Statutes*, 21-22 Geo. V, c. 58, Section 1.

<sup>2</sup>*Rev. Stat. Can.* (1927), c. 20b.

stem from Parliament, in whose jurisdiction the control ultimately rests. What Parliament gave, Parliament can take away. We have retained our ultimate parliamentary control over the administration, what we have not done is to develop administrative tribunals and a body of administrative law adequate to control the exercise of the new state powers.<sup>1</sup>

The clear justification for so comprehensive a delegation was of course the national safety, but the degree to which this would be warranted in the period following the cessation of hostilities is naturally much more debatable. In December, 1945, Parliament passed the National Emergency Transitional Powers Act,<sup>2</sup> which declared that a condition of emergency still existed (and hence the residual power of the Dominion Parliament was, in its opinion, still dominant over the provincial powers stated in Section 92 of the British North America Act), that very substantial powers were therefore by this Act delegated anew to the Governor-in-Council, and that all existing orders and regulations under the War Measures Act could, at the order of the Governor-in-Council, be continued in full force. In a few months' time it was discovered that one of these orders-in-council, which had been passed secretly on October 6, 1945 (and was continued under the powers given by the Emergency Transitional Powers Act), gave the Minister of Justice authority to issue an order to interrogate and detain "in such place and under such conditions as he may from time to time determine" any person who might in his opinion be likely to communicate secret information to an agent of a foreign power or act in any manner prejudicial to the public safety. This furnished the authority for the arrest, interrogation, and detention of suspects which characterized the spy investigation of 1946. The arbitrary character of this piece of subsidiary legislation and the degree to which it abrogated some of the most cherished rights of the citizen afford the best illustration of how extreme was the authority which had been delegated by Parliament to the Government and how grave are the dangers implicit in such a wholesale delegation.

While few will question the basic necessity for frequent delegations by Parliament of this subsidiary legislative power,

<sup>1</sup>H. R. Scott, "Constitutional Adaptations to Changing Functions of Government," *Canadian Journal of Economics and Political Science*, Aug., 1945, p. 334.

<sup>2</sup>*Can. Statutes*, 9-10 Geo. VI, c. 25.

certain precautions should be taken in order to provide substantial protection against the worst of the dangers which may arise in practice. The most obvious safeguard is for Parliament to avoid the delegation of vague comprehensive legislative powers for an uncertain period. In times of national danger, grants of this sweeping nature are admittedly necessary, but they should be most jealously reserved for such *extraordinary occasions*. The methods used in Canada in the spy investigation in 1946 may have been unfortunate, but the incident had at least a most wholesome effect, for Parliament weighed out the next delegations for the transitional period with grudging suspicion. No delegation of legislative powers should be made unless it is clearly necessary for the purpose contemplated, and, when made, it should specify in careful detail the extent of the delegation<sup>1</sup>. Again, special provision should be made for parliamentary scrutiny of subordinate legislation, either by having it tabled in the House or by making parliamentary approval necessary for its permanent validity. Finally, it is highly desirable that the departments concerned should be compelled to publish their rules and orders and make them readily available to the public within a reasonable time.

## 2 *Delegated judicial and quasi-judicial powers*

Acts of Parliament may also delegate to the executive or other authority nominated by the executive, powers which either are purely judicial in their nature or at least bear a strong resemblance to these and are for that reason known as quasi-judicial. The distinction between the two is not at first glance apparent. In a formal dispute at which contesting parties present their cases, facts will be ascertained through the examination of evidence and questions of law determined by the submission of legal arguments. If the decision which follows is rendered on the facts in accordance with the law, it is said to be a judicial one. If, however, the presiding officer, having ascertained the facts, is not legally obliged to give a decision solely in accordance with the law,

<sup>1</sup>Corry, "Administrative Law in Canada," *loc cit.*, pp 196-8

the decision is quasi-judicial. The distinction rests therefore upon the absence or presence of a discretionary power, whether the official making the decision is bound to follow an explicit route mapped out by the law once the facts are ascertained or whether he is free to give weight to other factors, such as considerations of public policy. Both judicial and quasi-judicial powers may be delegated to a Minister, to a civil servant, or to an independent body such as a board or commission.<sup>1</sup>

The power which is delegated to an administrative body such as the Board of Pension Commissioners is almost, if not entirely, a judicial one. The Board has the final determination of the pension rights of war veterans, and these are determined by the applicability of the statute and the rules of the Board to the ascertained disabilities of the applicants. Similarly a part of the work of the Board of Transport Commissioners is judicial, for it will hear disputes between a railway and its customers and decide on the facts in accordance with rules laid down by Parliament. Certain of its decisions will, however, lean heavily towards the quasi-judicial, such as, for example, rulings concerning discrimination by the railways in favour of one shipper as against others, for while some of these matters may be statutory, many others are not so defined or are defined in such vague terms that they really rest on general principles gradually worked out by the experience of the Commission. To this may be added the interesting but somewhat confusing statement that some of the Commission's functions may even be considered legislative, for when it issues orders on particular cases it is in effect legislating by a series of special enactments.<sup>2</sup> This is most clearly seen in its decisions on railway rates, for these have not only a temporary effect, but become rules which are to be observed for the future. The truth is that a number of these administrative bodies

<sup>1</sup>See Report of Committee on Ministers' Powers (Great Britain) *Parliamentary Papers* (1932), Cmd 4060 pp 73-5. Some writers, however, have insisted that the line between judicial and quasi-judicial powers is so blurred that the distinction becomes quite meaningless. See W. Ivor Jennings, *The Law and the Constitution* (2nd ed.) pp 263-84. According to this conception, there are judicial powers and legislative powers—and there's an end on that.

<sup>2</sup>J. A. Corry, *Democratic Government and Politics*, p 338.

exercise functions which cannot be entirely confined in one category, and what may appear at first glance to be a fairly simple power will be found on analysis to fall within two or more classifications

The more common delegation is the delegation of quasi-judicial functions which involve the exercise of official discretion. This allows the administration of a statute to be tied in effectively with its interpretation, and gives a flexibility and quick adaptability to the administration which most certainly could not be achieved without some such device—a very real need when dealing with such things as dumping duties, fair market values, and variable tariffs, which occurred in the nineteen-thirties, or with the enormous number of possible methods of evading the high taxes which became a serious administrative problem during the recent war. Similarly, in all statutes dealing with social and economic regulation the clear impossibility of providing for all eventualities by rigid enactment or regulation inevitably places large discretionary powers in the hands of the officials in charge. The war experience with its host of economic and industrial controls is an excellent illustration of the absolute necessity of multiform official discretions in a complex regimented society.

The advantages of this delegation of discretionary powers have already been anticipated in part. The statutes and regulations thereby acquire a valuable and even, as suggested above, an indispensable flexibility without which they would involve too great a tax on even the admitted versatility of the judiciary. The proceedings (and this is particularly true for those through which many of the social service payments are determined) cannot be allowed to become expensive nor should a settlement be allowed to be unduly delayed, and both these goals are achieved more surely through administrative rather than judicial channels.

In the majority of these delegations of discretionary powers, the decision of the administrative officials (and, through them, of the Minister) is final, that is, there is no appeal allowed to the courts. Such an appeal would, indeed, largely vitiate the whole purpose of the delegation, which is

designed to substitute for judicial rigidity of interpretation the flexibility of an administrative discretionary determination. It would also largely destroy the merits of the device as indicated in the last paragraph. Where the questions decided are, however, judicial in nature and involve pure questions of law the appeal to the courts may well be permitted, and in those cases involving the exercise of discretionary powers where the decision turns on a question of public policy, an administrative appeal court may be used to provide greater consistency and give reassuring evidence of impartiality.

There are, of course, very serious risks involved in this erection of barriers between the citizen and the courts of justice, and few can contemplate with equanimity any substantial interference with so fundamental a constitutional principle as the rule of law. For while discretionary power does not necessarily result in arbitrary power in the sinister sense (the officials being subject to a number of very potent restraints of various kinds<sup>1</sup>), it does introduce the possibility of ill-controlled authority, it will always raise a strong suspicion of abuse, and on many occasions the inability of the injured party to appeal to the courts cannot fail to convert suspicions into apparent certainties. The mere willingness of a Cabinet Minister to accept political responsibility for administrative decisions is in the vast majority of cases not nearly as real or as effective a safeguard as that which a review by the courts would provide.

✓ The prevention of appeals from administrative decisions to the courts, however, does not mean that the courts are completely excluded from all scrutiny of the dispute. The exact nature of the power of the official who has acted in the matter is, for example, a proper subject for judicial review, and no official (Minister or civil servant) can wield any more or any different power than has been conferred on him by statute. In short, the courts always stand ready to name the bounds of delegated power and to see that those bounds are at all times respected, and they also possess a general

<sup>1</sup>See *Canadian Boards at Work* (ed. by John Willis), pp. 65-72.

authority to review administrative procedure to ensure that it is fair and does not violate the canons of 'natural justice'."<sup>1</sup>

Extreme illustrations of this grant of discretionary powers are provided by the terms of the Canadian Income War Tax Act and the Excess Profits Tax Act, 1940. These statutes, in a praiseworthy endeavour to prevent tax evasion on a large scale and particularly the manipulation of accounts and expenditures by business concerns, gave to the Minister of National Revenue enormous latitude in the interpretation of the law, in the making of regulations, and in the allowance or disallowance of certain expenditures as tax deductions. Charges for business repairs, for depreciation, for replacement of machinery, for advertising, for salaries, could be disallowed for taxation purposes by the Minister (which, in practice, meant the civil servant) if they did not comply with a certain standard—only too frequently a vague or even unascertainable standard—set up by the Department. Ninety-five sections of the Income War Tax Act gave the Minister discretionary power, twenty-eight sections of the Excess Profits Tax Act gave him other discretions. These were phrased in many ways by references to "the opinion of the Minister", to cases where the Minister "has power to determine", to others where a matter is "in the Minister's discretion," and so forth.<sup>2</sup>

The most alarming aspect of the exercise of these wide discretionary powers is naturally the inability of those most seriously affected to take the decisions to court on appeal. No appeal lies to the court against the exercise of the Minister's discretion if legally used, "the Court has no right to examine into or criticize the reasons that led the Minister to his opinion or question their adequacy or sufficiency, it is not for the Court to lay down the considerations that should govern the Minister's discretionary determination, Parliament requires the Minister's opinion, not that of the Court. It is the Minister's reason, not that of the Court, that Parliament relies upon, and 'no other tribunal can substitute its standard of sufficient reason or its opinion or belief for his'."<sup>3</sup>

<sup>1</sup>See Report of Committee on Ministers' Powers, pp. 75-80.

<sup>2</sup>See *Proceedings of the Special Committee on the Income War Tax Act and the Excess Profits Tax Act, 1940*, Senate of Canada (1915, 1946).

<sup>3</sup>Mr. Justice Thorson in *Pure Spring Co. v. Minister of National Revenue*, [1946] Ex. C. R. 471, at pp. 502-3.



The court may, however, have a right under the statute to intervene and examine the grounds on which the discretionary decision was *based* in order to satisfy itself as to their adequacy. Thus the Minister, while he is the only judge of the wisdom or propriety of his decision, does not exercise a purely arbitrary power, but a discretionary one, and underlying the exercise of that discretion is the assumption that the Minister possesses sufficient material on which a judgment can be intelligently formed. To quote from a recent decision of the Privy Council:

The section makes the Minister the sole judge of the fact of reasonableness or normalcy and the Court is not at liberty to substitute its own opinion for his. But the power given to the Minister is not an arbitrary one to be exercised according to his fancy. To quote the language of Lord Haldane in *Sharp v. Wakefield* (1891) A.C. 173 at page 179 he must act "according to the rules of reason and justice, not according to private opinion, according to law and not humour. It is to be not arbitrary, vague and fanciful but legal and regular." The Court is always entitled to examine the facts which are shown by evidence to have been before the Minister when he made his determination. If these facts are in the opinion of the Court insufficient in law to support it the determination cannot stand. The Court is not at liberty to overrule [the determination] merely because it would itself on those facts have come to a different conclusion. As in the case of any other judge of fact there must be material sufficient in law to support his decision.<sup>1</sup>

In 1946 a Special Committee of the Senate recommended the establishment of an independent Board of Tax Appeals which would be empowered to hear appeals in law or fact from the exercise of administrative or ministerial discretionary powers, the levying of assessments, or the imposition of penalties. Parliament, however, did not accept this recommendation in its entirety. It set up an Appeal Board which has power to review the decisions of income tax officials in law and fact, but the Board has no authority to receive appeals regarding the use of their discretionary powers, which was the most serious charge laid against the system. The statute provides, however, for a second board which may

<sup>1</sup>*Minister of National Revenue v. Wrights' Canadian Ropes*, C.T.C. (1947) 1, at pp. 14-15. The Privy Council held that the Minister had not shown possession of the necessary material on which a decision such as that given by him could have been reasonably formed, and the decision (an assessment for taxation) was therefore set aside by the court.

advise the Minister on the use of "specified" discretionary powers, but its opinion is purely advisory and therefore need not be followed. This recent change is undoubtedly a great improvement on certain features of the older acts, and while in the opinion of many, the functions of the second board are unduly restricted, it is difficult to see how any other solution could reconcile the formation of policy with ministerial responsibility. An independent board which could exercise wide discretionary powers would be no improvement on a responsible Minister who is doing the same, for the latter can always be attacked in Parliament for any abuses which occur (witness the attacks in the 1945 and 1946 sessions) and departmental policies can thus be compelled to undergo substantial modifications. If the discretions are unbearable, they can easily be reduced by an amendment of the statute, although at a substantial sacrifice of elasticity and efficiency.

The remedy for the dangers and abuses of delegated judicial and quasi-judicial powers, as for those of delegated legislative powers, is to be sought not in abolition, but rather in the exercise of discrimination in the manner and extent of the delegation and in the erection of proper safeguards against the more common evils. Some discretionary authority is exercised by every civil servant, a very great deal of discretionary authority is exercised by an increasing number, and the primary endeavour should be to keep this delegation in strict accordance with the nature of the work which is to be performed. The powers, if given on any considerable scale, should be parcelled out to officials with fastidious care, and the operation of the laws which make the grant should be kept continually under the jealous eye of Parliament. A delegation of power under one statute and to one department will not in itself justify a similar delegation to another, and the onus of proof as to its necessity should rest heavily upon the shoulders of those who ask for it.

Such discrimination would naturally start with a separation (whenever this is feasible) between judicial and quasi-judicial functions, the former being exercised by the courts of law or by an independent tribunal.<sup>1</sup> There is some

<sup>1</sup>See Report of Committee on Ministers' Powers, pp. 92-109.

reason to suppose that further distinctions or gradations of function would also be possible, and that delegation to individual departmental officials might be superseded to a greater degree by delegation to independent bodies or even departmental boards. The recent changes mentioned above in regard to Canadian tax appeals constitute a practical application of this principle. Other safeguards which should always be maintained, whether the powers being exercised are judicial or quasi-judicial, are that all procedures should be kept as simple, direct, and inexpensive as possible, that decisions, when given, should be communicated to the interested parties with reasoned statements attached, that such parties should always have access to the version of the facts on which the administrator has made his decision, and that intelligible summaries of decided cases should be published at frequent intervals for the guidance of the general public.

In the last resort, however, abuse of power—in administration, as in all other fields of government—cannot be controlled by formalized checks and barriers alone, they must be supported and constantly reinforced by other means, the chief of which is a House of Commons which is sharply and intelligently critical of administrative procedures. Moreover, the surest guarantee of obtaining such a House is found in the vigilance of an alert public, fully aware of the constitutional rights of the citizen and jealous of any Government which needlessly sacrifices those rights to its own administrative convenience. "I do not think we need to fear the overweening ambition of the Ottawa official," said Professor Corry some years ago, "nearly as much as our own general indifference. The fact that there was no protest outside Parliament against the wide terms of the Relief Act of 1931 is rather astounding. We cannot escape the growth of administrative discretion in the world in which we live, but it may be open to doubt whether we have the energy and public spirit necessary for its effective control."<sup>1</sup>

<sup>1</sup>Corry, "Administrative Law in Canada," *loc cit*, p. 207.

PART V

THE LEGISLATURE



## CHAPTER XV

### THE SENATE

CANADA is slowly developing some institutions of government which, if they cannot yet be placed in the vestigial class, are very definitely in danger of attaining that questionable distinction. The Governor-General, as already indicated, is still a useful part of the government, but there can be little doubt that a succession of mediocrities in the position accompanied by a continued unwillingness of the Cabinet to make use of it (the two possibilities being very closely bound together) would certainly cause the office to deteriorate to such a degree that there would be little justification for its continuance. The Senate—the upper house of the legislature—is all too clearly being undermined by forces which threaten to lead to eventual obscurity and obsolescence. Some years ago its end as an effective branch of government seemed imminent, it had, through the acts of others and its own lack of assertiveness, become so sluggish and inert that it seemed capable of performing only the most nominal functions. Recently, however, there have appeared periods of industry, and it would now seem possible to hope that the patient may be emerging from the long coma and might, if given the proper stimulants, become a useful, even though not an extremely active, member of political society.

The Canadian Parliament is composed of the Sovereign (represented by the Governor-General), the Senate, and the House of Commons, and the consent of all three is necessary for the passage of legislation. The Governor can be ruled out as an effective separate party, for in such matters he follows the advice of his Cabinet, and the latter will approve of whatever action has been taken by the Commons with which it must have remained in complete accord. The Senate, however, is in theory an independent legislative body, although in practice the degree to which it will act independently will depend in some measure upon certain legal restrictions on the exercise of its powers, the distribution of party strength in the houses, and the extent to which it feels it can count on

popular support against the expressed wishes of the House of Commons

The circumstances which gave rise to the creation of the Senate in 1867 have been already outlined,<sup>1</sup> but a word or two may be added regarding its place and the functions it was expected to perform in the new government. The Senate, although endowed with comprehensive legal powers which were almost the equal of those of the Commons, was, of course, intended to be the minor legislative partner. This intention was placed beyond any possibility of doubt by three constitutional arrangements: two were stated in explicit form, the other, while no less clearly understood, rested on the established practices of the past. The British North America Act provided the explicit statements. Only the House of Commons was to be based on popular election, and while that alone gave the Commons the upper hand, the Act added a further clause that the same body should also have the sole power to originate all bills for the raising or spending of money, a grant of power which conclusively clinched the matter. The third guarantee of the supremacy of the Commons was unwritten, but equally vital, namely, the constitutional understanding that the Cabinet was to be held responsible to the lower and not to the upper house. Once these three fundamental propositions were enunciated, and they were never, of course, questioned for a moment, the general position of the two houses was permanently settled, although there was still room for development and adjustment within the areas and functions thus allocated.

Although the role of the Senate was thus intended to be a minor one, it was nevertheless expected to take upon itself certain particular duties which might be neglected by the Commons. In the first place, the Senate was to protect the interests of the provinces, for although the small provinces were not given the same number of senators as the two large ones, they had nevertheless a much greater representation proportionally than in the lower house. Quebec, while conceding representation by population in the Commons, was given the explicit assurance of special protection in the

<sup>1</sup>*Supra*, pp. 32, 37-9

Senate "The very essence of our compact," said George Brown, "is that the union shall be federal and not legislative. Our Lower Canada friends have agreed to give us representation by population in the Lower House, on the express condition that they shall have equality in the Upper House. On no other condition could we have advanced a step."<sup>1</sup>

To maintain this condition intact, it was not sufficient merely to equate representation in the Senate from the major provincial areas, certain other requirements had to be met. The senators from each area had to be definitely limited in number, or, if any increase whatever were allowed, the sectional balance had to be maintained. Further, members should not be elected, for this might easily lend itself in the future to an agitation to place this chamber, like the Commons, on a more strictly representative basis which would, in turn, push it back on population. It was clearly recognized at the time, however, that the maintenance of local and sectional interests would not depend entirely upon the Senate, for these interests would have other vigorous and powerful defenders in the federal Cabinet and in the House of Commons.<sup>2</sup>

Secondly, the Senate was intended to act as a revising and restraining body to deal with possible errors or impulses of the Commons. On this point the opinion of Sir John A. Macdonald may be taken as typical:

There would be no use of an Upper House if it did not exercise, when it thought proper, the right of opposing or amending or postponing the legislation of the Lower House. It would be of no value whatever were it a mere chamber for registering the decrees of the Lower House. It must be an independent House, having a free action of its own, for it is only valuable as being a regulating body, calmly considering the legislation initiated by the popular branch, and preventing any hasty or ill-considered legislation which may come from that body, but it will never set itself in opposition against the deliberate and understood wishes of the people.<sup>3</sup>

Thirdly, the Senate was to represent property and conservatism. This was to a limited degree implied in the preceding function of the restraining power of the upper

<sup>1</sup>*Confederation Debates*, 1865, p. 88.

<sup>2</sup>See R. A. MacKay, *The Unreformed Senate of Canada*, pp. 36-51. This excellent study has been freely used throughout the greater part of this chapter.

<sup>3</sup>*Confederation Debates*, 1865, p. 36.



house, but it was also explicitly stated on a number of occasions<sup>1</sup>

### *Constitutional provisions*

The major provisions whereby these aims were to be achieved are contained in the British North America Act, 1867, and the 1915 Amendment.<sup>2</sup> They are as follows

The Senate originally consisted of 72 members: 24 from Ontario, 24 from Quebec, 24 equally apportioned between Nova Scotia and New Brunswick, although 4 of these were to be assigned to Prince Edward Island if the Island entered the union (This adjustment took place in 1873). As the Western Provinces came into the federation, they were given 2 or 3 or 4 senators depending upon the circumstances,<sup>3</sup> and in 1915 all of Western Canada was made a fourth senatorial area represented also by 24 senators, 6 from each of the four Western Provinces, thus making a total of 96 in all.<sup>4</sup> The maximum membership can, however, be slightly increased on the recommendation of the Governor-General and at the direction of the British Government—the intention of this provision being to give some elasticity to the Senate in the event of a deadlock developing between the two houses. Only 4 or 8 additional senators (3 or 6 before 1915) can be added in this way—1 or 2 from each of the four senatorial areas. No other appointments from an area can be made (except under the same exceptional method) until its membership has once again dropped below 24, when the number of senators from that area can be brought back to normal.<sup>5</sup> The maximum today under ordinary circumstances is thus 96, with a possible increase to 100 or 104.

Senators are appointed by the Governor-General (in Council), and they hold office for life. They represent their respective provinces, but each senator from Quebec repre-

<sup>1</sup>Mackay, *op. cit.*, p. 50

<sup>2</sup>*Supra*, pp. 140-1. Sections 21-36, 117 of the B.N.A. Act

<sup>3</sup>*Supra*, pp. 92-3

<sup>4</sup>Provision was originally made that in the event of Newfoundland joining the federation, she was to be given 4 senators, and this was raised to 6 by the 1915 Amendment

<sup>5</sup>This is the 1915 provision. Originally the number from each of the three areas had to return to 24 before any new appointments from any area could be made

sents also one of twenty-four senatorial districts in that province. All senators must be residents of the provinces they represent.

A senator may belong to either sex,<sup>1</sup> he must be at least thirty years of age and a British subject, he must own real property within the province he represents<sup>2</sup> to a net value of \$4,000, and be worth at least \$4,000 over and above all debts and liabilities.

A senator can vacate his seat by failing to attend the Senate for two consecutive sessions, by ceasing to be a citizen, by being adjudged bankrupt or insolvent, by being attainted of treason or convicted of "felony or of any infamous crime", or by being no longer qualified in respect of property or residence, though he may reside in Ottawa if he holds an office under the Dominion government which requires his presence there.

The Speaker of the Senate is appointed by the Governor-General (in Council), that is, he is the choice of the Prime Minister.<sup>3</sup>

It would be idle to deny that the Senate has not fulfilled the hopes of its founders, and it is well also to remember that the hopes of its founders were not excessively high. Professor MacKay has rightly called attention to the fact that today many Canadians expect the Senate to have some of the prestige and glamour of the House of Lords on the one hand, and some of the power and importance of the United States Senate on the other,<sup>4</sup> whereas the original plans were drafted on much more modest lines. But even the sober expectations of the fathers had little chance of ever being realized, for the dice were plainly loaded against the Senate from the start, and later developments have served but to increase the initial difficulties. The senators themselves seem to have done little to counteract these handicaps, they have for the most part accepted their shabby fate, and on the whole have turned

<sup>1</sup>This rests on a court decision, *Edwards v Attorney-General for Canada* (the "Persons" Case), [1930] A. C. 124.

<sup>2</sup>A Quebec senator must either have his real property qualifications within his senatorial district or live in that district.

<sup>3</sup>The privileges of the Senate and the privileges and salaries of the senators are given with those of the House of Commons, Chapter XVII.

<sup>4</sup>MacKay, *op. cit.*, pp. 58-61.

in what must be considered to be an undistinguished performance

### *Appointment*

The first great handicap which was placed on the Senate at Confederation was the system under which its members were appointed. The founders of the Dominion accepted as inevitable the fact that if the Cabinet appointed the senators, it would be for party reasons, but even they could scarcely have expected party gratitude to become so dominant a motive<sup>1</sup>. Senatorships have been invariably regarded as the choicest plums in the patronage basket, and they have been used *without compunction as rewards for faithful party service*. Sir John A. Macdonald stands alone<sup>2</sup> as the only Prime Minister who appointed an opponent (John Macdonald, a Liberal), and he was one of Sir John's personal friends who had supported him loyally at the time of the Pacific Scandal. Every Prime Minister admits that the system is unsatisfactory except to promote narrow party interests, but every Prime Minister continues to make appointments for the same reason. Sir Wilfrid Laurier described his recurring dilemma as follows:

When it comes to the appointment of senators, it is a difficult matter. With all the good will I have, if I were to advise His Excellency to take a man from the Opposition side, I do not know that my action would be well received. My hon. friend would hardly expect me to submit to His Excellency the name of a man who represented us to be every thing that was bad, who had nothing good to say of us, who declared that we were corrupt and wicked and guilty of all the sins in the calendar. That would be I think, more than Christian charity could be expected to endure. Even if I were to offer to His Excellency the name of one of the lukewarm Conservatives, who is not very strong on one side or the other, perhaps gentlemen on the other side would be the very first to find fault with such an appointment. Therefore on the whole I believe these appointments have to be made as all of them are made. Sir John Macdonald in his day appointed one gentleman from the Liberal side, but this gentleman was a personal friend of his and one who on a particular occasion had stood by him in very trying circumstances. I am sorry to say that I have not yet found in the ranks of the Conservative party a man of such independent

<sup>1</sup>Original appointments to the Senate in 1867 represented all political groups.

<sup>2</sup>A possible but doubtful exception was the appointment of the late Patrick Burns by Mr. R. B. Bennett.

views as John Macdonald was in the ranks of the Liberal party. With all the diligence with which I have scanned the other side, I have not been able to find such a man.<sup>1</sup>

On certain occasions appointments for party reasons may well be considered imperative. Generally speaking, a party which once attains office in the Dominion government, stays there for many years, and thus when its rival at last steps into power, the new Government is confronted with a Senate which is almost entirely filled with its enemies.<sup>2</sup> At such a time a Prime Minister has no real alternative, for he is virtually forced to fill all Senate vacancies with his own supporters in order to redress the balance. Unfortunately, by the time this state of equilibrium is reached, appointment for party services has apparently become such a habit that it cannot be shaken off, and the scene is then rapidly set for a new Government which, when it is in turn confronted with a hostile Senate, must begin the congenial task of redressing the balance anew.

Even a casual examination of the personnel of the Senate will show how party services have been the controlling factor in appointments, although faithful personal support or generous financial contributions, unaccompanied by more overt party activity, are not readily ascertained from the records. In 1945 a total Senate membership of 95 had the following antecedents.<sup>3</sup> No less than 43 senators had been former members of the House of Commons, 12 of whom had been Cabinet Ministers, 18 others had seen service in provincial legislatures, 10 of whom had been in provincial Cabinets,<sup>4</sup> 9 others had been unsuccessful candidates, and 2 more had held high party office (as had a number of those included in the above categories). Thus at least 72 out of 95, or 76 per

<sup>1</sup>*Can. H. of C. Debates*, Jan. 20, 1908, pp. 157, 4.

<sup>2</sup>The founders of the Confederation naturally influenced by the rapidly changing Cabinets of that period did not expect this stability or, of course, this result which has appeared as a by-product. The limitation on total membership, said Macdonald, "will prevent the Upper House from being swamped from time to time by the Ministry of the day." (*Confederation Debates*, 1865, p. 36). It is true that swamping has become a deliberate and not a precipitate process, but once accomplished it lasts for a long period by virtue of the very defences which were erected against it.

<sup>3</sup>*Parliamentary Guide*, 1946.

<sup>4</sup>Of the above 43 ex-members of Parliament, 13 had also seen service in provincial legislatures.

cent, had been exceedingly active in party circles, ranging from federal Cabinet Ministers and provincial Premiers down to party office holders and defeated candidates

It is a favourite device for an astute Prime Minister to keep his supporters eager, active, and toiling unceasingly for the party until the election is near at hand, and then, having wrung them dry, to reward the most faithful by translation to a higher and more restful sphere of usefulness. Thus few appointments to the Senate will be made in the year or two before a general election is anticipated, and then within a few weeks of that event the vacancies will be rapidly filled. Thus from July 20 to October 14, 1935 (the day of the election), no less than 17 Conservative senators were appointed (10 of them being members of Parliament fleeing from the impending storm), and in the two months preceding the 1945 election, 18 Liberals (11 of them from the Commons) were made senators<sup>1</sup>

This system is clearly not likely to produce an upper chamber of first-rate material, eager to work, independent in outlook, and calm and dispassionate in its approach to public questions. The Senate will contain a fair number of party supporters whose past contributions have been so inconspicuous as to be generally unknown. It will also contain a sprinkling of defeated candidates, who, while they may have been able to create a party obligation, have certainly no claim to public recognition. Some years ago, for example, one person ran in no less than five federal elections and was defeated in all of them. A grateful Government granted what an unappreciative electorate had denied, and the perpetual candidate triumphantly entered the legislative halls as a senator. The most important group, however, will be a large number of senators with distinguished records, but the great bulk of these enter the chamber only when their active political life is over. They are tired of politics, or they have reached the age of retirement and are willing to accept a

<sup>1</sup>In the twenty years from 1925 to 1945, 67 appointments to the Senate have been made in the two or three months before elections (covering in all a combined period of ten months), whereas all other senatorial appointments (over a combined period of nineteen years) have totalled only 55, the first being at the rate of over 80 appointments a year, the second at the rate of less than 3.

pension *via* the Senate. Their whole lives rise up to make it difficult to adopt an attitude of political neutrality, and the fact that they are still associating with their old colleagues and are only a few feet away from the thickest of the fight, makes party detachment and independence little more than a fanciful aspiration which has lost contact with the facts of life.

It is, of course, easy to overstress this partisan aspect of the Senate's personnel, but the system tends to crack the very foundation of the Senate's efficiency. There is no doubt that many of these appointments are well made and that many of those appointed are a credit to the Senate, there is no doubt that the system is most useful as an instrument of party discipline and service, but there is equally no doubt that the chief purpose underlying these appointments is not the public good, but party patronage and advantage, and that this is reflected in the general low regard in which the Senate is popularly held.

The Senate has become the refuge and reward of old party servants, each party filling up the vacancies of death with its friends and rarely on a basis of ability. As the Senate criticizes but does not seriously interfere, the Canadian people generally regard it with amusement, tolerance, or contempt, and while Governments have frequently promised to reform it, nothing is done. The general old gentlemen who populate the snug red-velvet chamber live on, undisturbed, meeting for a few weeks in the year, bumbling and grumbling at the Government, making a few good speeches, and drawing an annual indemnity for less work than any other citizens of Canada.<sup>1</sup>

The appointments to the Senate are frequently used to give not only provincial representation, but also representation to economic, racial, and religious groups in the provinces. Organized labour and other economic interests have been given special, although very uneven, representation. The Roman Catholic minority in Ontario and the Protestant minority in Quebec have both been over-represented in the Senate on the theory that they do not obtain an adequate number of seats in the House. "Similarly," writes Professor MacKay, "senators have been appointed as the avowed representatives of the French in Ontario, of

<sup>1</sup>Bruce Hutchison *The Unknown Country*, p. 82

the Germans in Ontario, of the French in Western Canada, and of the Acadians of the Maritime Provinces. Indeed, the appointment of representatives for religious and racial minorities has become such a tradition that a Prime Minister would find great difficulty in ignoring it."<sup>1</sup> A few years ago fresh manifestations of this tendency occurred, when it was suggested that the Jewish people should have their own senator, and this was followed in a month or two by a Welsh organization passing a resolution demanding that someone of Welsh descent be the next member to be given an appointment. The scramble for recognition on special grounds was greatly increased when the question of sex was introduced, and in 1930 Mrs. Cairine Wilson was made a senator as the avowed representative of the women of Canada. The natural consequence was not long delayed, and the demand was made that the women of each province should have their own senators. In short, the problem of balancing race, creed, sex, and province is getting more and more out of hand, and in a few years more the Government may be compelled to adopt as the only peaceable solution the appointment of all of its supporters to the red chamber, an expedient which, whatever it might do to the Senate's ability, would most certainly add materially to its variety and vitality.

The system of appointment has, however, a special advantage in that it allows the Prime Minister a welcome latitude in changing and reorganizing his Cabinet. A very troublesome problem which is rarely absent from the mind of a Prime Minister is how to get rid of dead wood in his Cabinet, men who have served their country faithfully, but whose best days are over and who may or may not still have a modest contribution to make to public life. "The first essential for a Prime Minister is to be a good butcher," said Mr. Asquith, and then added, "there are several who must be pole-axed now."<sup>2</sup> The honest and fitting solution would be to give them a generous pension, but on the one occasion when that expedient was tried, it was so widely denounced that it had to be abandoned by an embarrassed

<sup>1</sup>MacKay, *op cit*, pp 179-80. For an occupational classification of senators, see *infra*, p 372.

<sup>2</sup>Winston S. Churchill, *Great Contemporaries*, p 141.

Cabinet. A far worse plan, but one which has been quietly followed for years, is to place the Minister in the Senate pasture for the rest of his days.

These appointments have yet another use in facilitating Cabinet adjustments. It is sometimes necessary, in order to give sectional representation in the Cabinet or for some other reason, to appoint as Minister a person who is not in Parliament, and hence a seat in the House must be found for him without delay. A member of the Commons, who represents what is considered to be a safe constituency for the Government, may be induced to resign so that the Minister may be elected to fill the vacancy, and in a few months or a year the obliging member's sacrifice is rewarded by his appointment to the first available senatorship from his province.

### *Term<sup>1</sup>*

The second great handicap imposed on the Senate at Confederation was the life term. The purpose was, of course, to render the senators independent and comparatively free to decide questions on their inherent merits, without being unduly influenced by the pull of party motives and the fear of electoral defeat. Such advantages as these, however, have been rendered nugatory by the partisan antecedents of the senators combined with the depressing consequences which are almost certain to flow from so secure and so prolonged a tenure.

<sup>1</sup>In the first place, the life term inevitably leads to a large number of senators remaining in the chamber long after they have passed the age of genuine usefulness<sup>1</sup>. There are undoubted exceptions, but in the Senate, as in virtually all other positions, the rare meritorious case should not be al-

<sup>1</sup>See Mackay *op cit*, p. 191. In 1945 (*Parliamentary Guide*, 1946) the age distribution of senators was as follows:

30 to 40	1
41 to 50	3
51 to 60	22
61 to 70	37
71 to 80	23
over 80	9
	<hr/>
	95

If 65 is taken as a normal age of retirement, 54 out of 95 or 57 per cent of the senators should have been superannuated.



lowed to establish the standard for the remainder. The great bulk of the over-age senators cannot perform their duties with the same effectiveness as younger men. There is no reason whatever to suppose that retirement at sixty-five or seventy from business or the professions is any more necessary than from the Senate, once it is assumed that the senators are expected to do an honest day's work. The House of Commons can clearly furnish no parallel, for the process of election furnishes an automatic check and an automatic method of retirement which can discriminate between cases with quite merciless efficiency. Canada has had on at least two occasions the singular distinction of possessing the oldest legislator in the world. Senator Wark, who died in 1905 in his 102nd year, and Senator Dessaulles, who died in 1930 in his 103rd year. The obituary of the latter bears unconscious but eloquent testimony on the point under discussion as well as on the one which follows.

Senator Dessaulles, dead at St. Hyacinthe, who held a seat in the Senate of Canada since 1907, had a remarkable record. So far as is recalled by those around the Senate since he was there, he never once participated in any debate or gave expression to an opinion, but he followed the discussions closely and was there when the division bells rang. He was a kindly old man, held by all parties in venerable respect because of his great age.<sup>1</sup>

The life term has also had an unfortunate effect on the age of appointment, for no one goes to the Senate with an eye to a future career, but always with the sense of opening up the last chapter. Even the House of Lords has a steady infusion of younger men, and some of them, of course, are very young indeed. In the 1945 Senate thirty-three out of ninety-five or more than one-third had been over sixty years of age at the time of their appointment.<sup>2</sup> The Senate has thus become a

<sup>1</sup>*Ottawa Citizen*, April 21, 1930.

<sup>2</sup>Ages of senators at time of appointment (*Parliamentary Guide*, 1946)

30 to 40	3
41 to 50	16
51 to 60	43
61 to 70	31
over 70	2
	<hr/>
	95

shelter for those whose active life is almost over and who are primarily concerned with a pleasant, secure, and not very strenuous old age. 'A senatorship isn't a job,' says Mr Grattan O'Leary, who has had ample opportunity to observe the institution and its members, 'it's a title. Also it's a blessing, a stroke of good fate, something like drawing a royal straight flush in the biggest pot of the evening, or winning the Calcutta Sweep. That's why we think it wrong to think of a senatorship as a job, and wrong to think of the Senate as a place where people are supposed to work. Pensions aren't given for work.'<sup>1</sup>

The assurance of a secure existence, which is quite unrelated to performance, and the constant association with others similarly situated have a deplorable, but in no way surprising, psychological effect which militates against initiative and intensive effort. There will be those who may be largely unaffected, whose zeal for public service and whose habits of mind and training will have become so ingrained that they will toil unselfishly, unremittingly, and with conspicuous competence for years, but these will be exceptional. For while the Senate may supply the opportunities, it does not supply at all adequately the incentives for work. Political ambition is dead,<sup>2</sup> the needs of the future are guaranteed, the salary, while not munificent, is ample. There is a general sense of futility in the red chamber. Few people listen to the speeches, the usual drama and excitement of politics are lacking, no vital issues hang on the Senate's votes, there are no reputations to be made, there are no fresh, aggressive, stimulating young minds to satisfy

Surely, slumber is more sweet than toil, the shore  
Than labour in the deep mid-ocean, wind, and wave, and oar,  
Oh rest ye, brother mariners, we will not wander more

#### *General position*

3) A third handicap is the vulnerability of the Senate's

<sup>1</sup>*Ottawa Journal* (editorial), quoted in *Financial Post*, Feb 28, 1942

<sup>2</sup>"I have to day signed my warrant of political death. How colourless the Senate—the entering gate to coming extinction." Entry in the diary of Sir George Foster on his appointment to the Senate. W. S. Wallace, *Memoirs of the Rt. Hon. Sir George Foster*, p. 207

fundamental position in the government of the nation. This is not because the Senate is not the co-equal of the Commons or because it is not a popularly elected body, it is rather because it rests on no political foundation, and it therefore can look nowhere for support or justification save in the essential rectitude or excellence of its own acts which is rarely sufficiently impressive to carry much weight. "The Senate," said Professor MacKay, rests "upon nothing but itself and the Prime Minister or party leader who has appointed its members. Therefore, when it opposes the House of Commons its action seems capricious and arbitrary. To the public such action is the antithesis of representative government and the voice of the Senate is but the voice of the Minister who has appointed its members, 'ventriloquising through his nominees' <sup>1</sup> This is the chief explanation of its weakness and of its unpopularity <sup>2</sup>"

#### *Cabinet Ministers in the Senate*

To these original handicaps, which have been laid on the Senate since its creation, others have been added. There has been a tendency, for example, for successive Prime Ministers to ignore its potentialities and give it an insufficient amount of work, which has been reflected in a diminished prestige. Again, senators have frequently been left out of party caucuses, not in order to encourage or maintain their independence of the party or of the Commons, but apparently because they were not wanted. But by far the most crippling blow which has been dealt the Senate since Confederation is the modern practice of keeping senators out of the Cabinet. The first Government after Confederation contained no less than four members of the upper house, but the number varied greatly in succeeding years and on the whole tended to diminish, although in the nineties two Prime Ministers (Abbott and Bowell) were also senators. Sir Robert Borden introduced the custom of having no Ministers in the Senate who were heads of government departments, and since 1921

<sup>1</sup>*The Canadian Monthly*, 1873, p. 425

<sup>2</sup>MacKay, *op. cit.*, p. 142

this has become an accepted practice which has been rarely broken<sup>1</sup> The Cabinet is now represented by one Minister without portfolio, who acts as Government Leader and spokesman The primary reason given for the new convention is that spending departments must have a Minister in the House to defend departmental estimates, so that democratic control requires all these Ministers to be in the representative body

To a legislative chamber whose position was none too secure and whose prestige was already badly battered, this decision was little short of catastrophic For the Cabinet, which was already disposed to ignore the Senate, now possesses the best of all possible excuses for doing so A Minister will always wish to introduce his own measures into Parliament—"to bring his own child," as Senator Dandurand said, "to the baptismal font"—and if no Minister other than the Government Leader sits in the Senate, then clearly there will be very few Government bills introduced there

The absence of Ministers has yet another weakening influence on the effective participation of the chamber in the business of government Information cannot readily be obtained through day to day inquiries or in the course of debate or other proceedings, and when finally secured after irritating delays it has become stale and uninteresting A Government Leader with the best of intentions and the most extraordinary industry cannot be expected to have available at a few hours' notice all the relevant factual material which may be demanded by his inquiring fellow members "A query of information," complained Sir George Foster, "is sent to some practising member of the Government in a department, and it is handed over to a clerk, and perhaps ten days, or twenty days, or even a month, afterwards, a lifeless and unvivified memorandum is brought to this House and is laid on the table All the spirit has died out The desire for information is only a living thing when the information can be given at

<sup>1</sup>*Supra*, pp 209-10

the time it is required."<sup>1</sup> Nor can the Government Leader know the views and intentions of the Cabinet on many matters which arise. He is, indeed, frequently embarrassed by his inability to speak for the Government with any assurance, and an amendment proposed in the Senate may have to be held over until the Leader consults with the appropriate Minister in order to ascertain whether it will be acceptable. While some senators apparently believe that the old days may return and departments will once more be represented in the upper house, the realists frankly concede that any such reversal is most unlikely.<sup>2</sup>

### *Legislative activity*

In view of these original and acquired weaknesses, any very impressive performance from the Senate could scarcely be expected. Its legislative functions have, by most accounts, been discharged with moderate but not conspicuous success. The legal prohibition on the introduction of money bills in the Senate and the tendency of Ministers to introduce all their own measures in the Commons have deprived the Senate of any important part in the initiation of Government bills. Strenuous efforts by the Government Leader to secure a share for the Senate have at times produced some results, and there is every reason to believe that at such times the work has been excellently done.<sup>3</sup> But the occasions are few, and even Mr. Arthur Meighen, one of the most able and energetic Leaders in the Senate, stated that little increase in the number could be expected when only one Cabinet Minister was available to carry the burden.

His duties with respect to any measure which he introduces are very much greater [than with other Government legislation]. He may have considerable work to do in amending it, perhaps in recasting it entirely. He has to attend meetings of the committee which considers it and, if necessary, hears witnesses. He has to spend hours upon it, in some instances very many hours and days and weeks. In the Senate he will never succeed in getting his legislation passed until he does make himself thoroughly con-

<sup>1</sup>*Can. Senate Debates*, Feb. 7, 1923, p. 53.

<sup>2</sup>See opinions of Senators Arthur Meighen and Sir Allen Aylesworth, *ibid.*, March 8, 14, 1934, pp. 141, 162.

<sup>3</sup>E.g., the Railway Bill in 1932, the Shipping Bills, 1933, 1934.

versant with it. At the present time the committee work, as all honourable members know, is undoubtedly the most useful work that the Senate does.<sup>1</sup>

One result of this failure to introduce Government measures in the Senate is that there is a lull in its activity in the early days of the session while it is awaiting bills from the other house. The Senate copes with the problem by taking a holiday, and it is thus not at all uncommon for the chamber to adjourn for long periods immediately after the passage of the Address in reply to the Speech from the Throne.<sup>2</sup> Thus in 1938 the Senate adjourned from February 3 to March 1 (25 days), and in 1939 from January 18 to February 14, and from February 15 to March 7 (45 days). The Senate has, indeed, cultivated the art of leisurely sittings throughout the entire session, for its adjournments are frequent and its debates short. In the two years given above it sat only 61 and 47 days respectively, while the House of Commons was sitting almost twice as long in sessions of 102 and 101 days. But even these figures are very inadequate and misleading. For in 1938 there were 26 days when the Senate debates covered less than *six* pages of Hansard, 36 days when they covered less than *eleven* pages, 51 days when they covered *fifteen* pages or less, and only 10 days when the Hansard report *exceeded fifteen* pages.<sup>3</sup> An ordinary day in the House of Commons will fill *thirty* to *forty* pages of the same size. While the value of the contributions made by the members of the Canadian Parliament can scarcely be measured by the convenient method of totalling pages of debate, it is difficult to believe that the senators have achieved so remarkable a brevity without losing much of the content in the prodigious effort of concentration. A perusal of their remarks amply confirms the accuracy of this observation.

The senators are able, however, to participate with some effectiveness in the legislative work by the consideration of measures after they have passed the Commons, and, if they

<sup>1</sup>*Can. Senate Debates*, March 8 1934, p. 141.

<sup>2</sup>This is done over the protests of some members of the Senate. *Ibid.*, Oct. 31, 1945, pp. 170-3.

<sup>3</sup>The 1939 figures are 12 days (one to five pages), 22 days (one to ten pages), 35 days (fifteen pages or less), 12 days (over fifteen pages).

so desire, by proposing amendments or rejecting the entire bill. Detailed examination of these measures is rarely given in Committee of the Whole, but rather in one of the Senate's standing committees. These committees often hold meetings at which the general public may present their views, and at other times members of the Cabinet will appear before a committee for the purpose of giving information and explaining a particular proposal. In addition to the joint committees on which senators sit in association with members of the House of Commons, there are sixteen standing committees of the Senate. These often function on days or weeks when the upper house is not in session, and thus for some senators, at least, the frequent adjournments and brief sittings do not always bring relief from parliamentary labours. On the other hand, a number of these committees are inactive, and several have not met for years. It was suggested in 1945 by the Government Leader that these committees were too numerous, that a few were too large, and that some members were on too many of them, and a special committee was accordingly set up to investigate the matter. Its report (which was adopted by the Senate) retained all the committees, contemplated no reductions in their size, and proposed to increase the membership of thirteen of the committees and the total assignments from 340 to 539, so that on an average every senator would be able to be a member of more than five committees.<sup>1</sup>

There can be no doubt that the Senate is useful in revising bills, which are often sent from the Commons badly drafted, hastily assembled, and, in some instances, almost unworkable. Senators have more leisure and fewer distractions than members of Parliament, and the wide experience of many senators makes it possible for them to add materially to the quality and practicability of the bills which come before them. Whether the Commons would be equally careless if there were no Senate on which it could rely to correct its errors is, of course, pure speculation, but there is a strong likelihood that even under such circumstances many bills would still be the better for additional study and attention.

<sup>1</sup>*Can. Senate Debates*, Nov. 19, Dec. 5, 7, 1945, pp. 265-9, 374-5, 381-7.

Adequate consideration of bills is, however, often made difficult if not impossible by their late arrival from the Commons, and they appear before the upper house in the dying days of the session. 'It is little less than a travesty,' exclaimed Mr. Meighen, 'that this chamber, prepared for work, ready to serve the people of this country, should be compelled to wait more or less idly for weeks, perhaps for months, while discussions, which are no doubt necessary under any democratic system, are proceeding in the other chamber, and that a plethora of legislation should be thrown at us in the latter part of each session, when we have no opportunity to do what we ought to do in the way of reviewing it, and all that the other House expects of us is that we shall pass it without thought, without amendment, and without delay.'<sup>1</sup> It is, however, indicative of the weak position of the Senate that although this irritating procedure is a regular occurrence the chamber has not had the moral courage to try to stop the practice by making the Commons wait while the tardy bills receive careful and deliberate consideration.

It is generally supposed that if the Senate and House of Commons are controlled by opposite parties (which will occur after a change in a long-established Government) the Senate will be much more disposed both to amend and to reject bills coming from the lower house. No very recent study of the Senate's record on these matters has been made, but Professor Mackay's report on the experience of almost sixty years is instructive.<sup>2</sup> He found that there was little or no justification for the charge that the senators *amended* legislation from partisan motives, but it was true that they were very much more eager to *reject* public bills<sup>3</sup> when the majority belonged to a different party than the Cabinet and hence also differed from the majority in the Commons. It was not that the power of rejection was used capriciously, but that the senators were much more likely to disagree and were not at all adverse to setting their opinion against that of the Commons when they belonged to opposite sides. The basic cause, of course, is the

<sup>1</sup>*Ibid.*, March 8, 1934, p. 140.

<sup>2</sup>MacKay, *op. cit.*, pp. 103-37.

<sup>3</sup>The rejection of *private* bills showed no significant difference.



system of partisan selection. Party appointments to the Senate produce, naturally enough, party senators, and their independence, their calm judgment, their impartiality (which Sir John A. Macdonald emphasized at length) tend to vanish when subjected to strain. In the words of the late Senator Perley

It is generally accepted that this Senate is as partizan as the House of Commons. I am willing to stake my reputation before the country upon that assertion. The Senate is not independent. Why would it be? The moment you say that a senator should be independent and should not vote against the Government, you virtually say that he must be a man without gratitude. It is a characteristic of most men to be grateful. It is a noble trait in the mind of any man, and when a Government takes a man from the cold shades where the people have left him and puts him in the Senate, it is a commendable trait in that man's character to feel that he must support the Government. He must be a partizan, and he is a partizan, the evidence shows it clearly.<sup>1</sup>

The Senate has never taken the position that its powers of rejection and amendment are absolute and independent of public opinion, but it has ventured to oppose the Commons on the ground that a measure was not only inadvisable but that the lower house had no popular mandate for this particular proposal. If the will of the people is clearly expressed, the Senate, even although it disagrees with the wisdom of the bill, will acquiesce in the popular decision. Thus the Senate rejected the Old Age Pensions Bill in 1926, but passed the same bill a year later, largely because an election had intervened, the bill had been an election issue, and the Government which had initiated it had been returned to office.

It may be noted here that the deadlock clause in the British North America Act<sup>2</sup> has never been used by a Cabinet to overcome opposition in the Senate. In part this may be explained by the fact that when a Government is most likely to need it—on its accession to office—the possible increase in senators is so small that it would be inadequate to redress the balance, but the power has also remained dormant because the Senate, while repeatedly defeating a new Government's measures, could rarely be accused of sheer perverse obstructiveness and intransigence. On three occasions the

<sup>1</sup>*Can. Senate Debates*, June 20, 1906, p. 823.

<sup>2</sup>*Supra*, p. 332.

use of the deadlock clause was considered. Alexander Mackenzie applied in 1873 for permission to appoint additional senators, but the British Government properly refused to agree, pointing out that the clause was designed to be used only in the event of a serious collision between the two houses and then only when the creation of senators would furnish an adequate remedy. Sir Wilfrid Laurier made a tentative inquiry some years after he had become Prime Minister, but he received no encouragement on apparently the same general grounds, and Sir Robert Borden discussed the possibility on several occasions from 1912 to 1914. Any limitation on the use of this power today would depend largely on the self-restraint of the Canadian Cabinet,<sup>1</sup> for no British Government would be invited to give even its opinion on the subject.

The power of the Senate to amend a money bill is a matter of dispute between the two houses. The British North America Act is silent on the point, but the House of Commons, taking its precedent from the British Parliament, has always contended that the Senate has no such power, and it has committed its opinion to writing in the formal rules of the House of Commons. "All aids and supplies granted to His Majesty by the Parliament of Canada, are the sole gift of the House of Commons, and all bills for granting such aids and supplies ought to begin with the House, as it is the undoubted right of the House to direct, limit, and appoint in all such bills, the ends, purposes, considerations, conditions, limitations and qualifications of such grants which are not alterable by the Senate."<sup>2</sup>

The Senate has indignantly rejected what it alleges is an addition to the constitution. It has insisted that in view of

<sup>1</sup>See E. A. Forsey, "Appointment of Extra Senators under Section 26 of the British North America Act," *Canadian Journal of Economics and Political Science*, May, 1946, pp. 159-67; E. A. Forsey, "Alexander Mackenzie's Memoranda on the Appointment of Extra Senators, 1873-4," *Canadian Historical Review*, June, 1946, pp. 189-94. Dr. Forsey suggests that if the Cabinet tried to take under advantage of this section the Governor General might invoke his reserve power against his advisers.

<sup>2</sup>*House of Commons Standing Orders and Rules*, No. 61. This goes back to the earliest rules of the House in 1867 and originated in a resolution passed by the English House of Commons in 1678. The Canadian Appropriation Bill is presented to the Governor General in the name of the House of Commons alone. See *infra*, p. 429n.

the explicit reference in the British North America Act to the origination of money bills in the Commons, the omission of the Act to mention the amendment of money bills is conclusive evidence that no restriction on the Senate's power in this regard was ever intended. It adds, further, that if the Senate is to perform its expected function as the guardian of provincial rights, it must have the power to interfere in legislation of this kind. The Senate does not contend, of course, that it could increase votes of expenditure or revenue without the usual motion of a Minister.<sup>1</sup>

The theoretical argument is interesting, but not nearly so important as the practice. The Senate has in fact repeatedly amended money bills, and by this term is meant not only ordinary bills which have contained money clauses,<sup>2</sup> but also bills dealing exclusively with financial matters, notably a number of income tax bills. At such times, it has not been at all uncommon for the lower house to acquiesce in the Senate's amendments, while adding the quite futile clause that the incident was not to be considered as a precedent. While the Senate would not openly reject a simple pure money bill, the power to amend in a manner which is unacceptable to the Commons may be construed as a virtual power of rejection.

No mention has yet been made of the field of legislation where the Senate does its most useful work, namely, the consideration of private bills. The nature of these bills and the procedure used tend to make the committee stage by far the most important, and by general admission the Senate's committee work is its most effective endeavour.

Private bills are different from public bills in purpose and widely different in procedure.<sup>3</sup> The object of a private bill

<sup>1</sup>See report on this matter in *Can. Senate Journals*, 1918, pp. 193-203.

<sup>2</sup>What constitutes a money bill is often a matter of some dispute, and different definitions have been advanced to suit the convenience of the moment.

The following motion was passed on the initiative of the Minister of National Revenue in concurring in Senate amendments to the Income War Tax Act: "That this house concur in the said amendments, and while doing so it does not think it advisable at this period of the session to insist on its privileges in respect thereto, but that the waiver of the said privileges in this case be not however drawn into a precedent that the clerk do carry back the bill to the Senate and acquaint their honours that this house has agreed to their amendments." (*Can. H. of C. Debates*, June 1, 1939, p. 4846.)

<sup>3</sup>For public bills see *supra* p. 249 *infra*, pp. 420-5.

is "to alter the law relating to some particular locality or to confer rights on, or relieve from liability, some particular person or body of persons"<sup>1</sup> Bills for the incorporation of a company, or for authorizing the extension of a line of railway, or for divorcing married persons, are examples of private bills. The procedure followed in passing private bills is partly legislative and partly judicial in character, but they go through the usual three readings as do other bills. The private bill originates in a petition. The promoter of the bill presents a petition asking for its passage, fees must be paid, plans and maps (if necessary) must be submitted to indicate the changes proposed, and notices of intention must be advertised. These and other formalities are all checked by the Committee on Standing Orders and, if satisfactory, the bill is read the first and second time in the same way as a public bill. It is then referred to a standing committee of the Senate, and this body will hold hearings at which counter-petitions may be presented, counsel from both sides heard, and something very similar to a judicial inquiry will take place. The committee then reports to the Senate, and the Senate acts on the report, with or without further discussion. The tendency is for the Senate to accept its recommendations. If accepted, the bill is read a third time, and sent to the Commons.

Private bills may be introduced first into either house, but all divorce bills (which form one category)<sup>2</sup> have originated in the Senate ever since Confederation. It has been suggested that the Senate should be given a monopoly of originating all private bills, although members in the lower house have been reluctant to lose this opportunity for demonstrating their usefulness to their constituents. As one way of diverting the flow of private bills, the fee for those which originate in the Commons was raised in 1934 to \$500, while the fee for those originating in the Senate remained at \$200.<sup>3</sup> The obvious advantage to be gained from any device which

<sup>1</sup>Arthur Beauchesne, *Rules and Forms of the House of Commons of Canada* (3rd ed.), p. 280.

<sup>2</sup>All the provinces, except Quebec, have made provision for hearing divorce cases, so that virtually all these bills deal with residents of Quebec.

<sup>3</sup>See *Can. Senate Debates*, March 8, 14, 1934, pp. 141-2, 162, *Can. H. of C. Debates*, June 30, 1934, pp. 4509-10.

will give the Senate a preference in dealing first with these bills is that private bills (which under the standing orders must always be presented early in the session) can be conveniently considered by the Senate in the long interval when it is awaiting the public bills which are to be sent up by the Commons. The differential charge has served its purpose, and in practice all private bills now originate in the Senate, although the rules of the houses still allow them to originate in either chamber.

### *Investigations*

Aside from the Senate exercising a revising power of moderate value and its useful participation in the passage of private bills, it has also been successful in conducting investigations at various times into current political or social problems. A special committee of the Senate, for example, has recently held an inquiry into the operation of the Income War Tax Act and the Excess Profits Tax Act<sup>1</sup> and it did a thoroughly competent piece of work. Such inquiries would appear to be a most fruitful field for Senate endeavour, for every year produces situations which are badly in need of some such scrutiny and drastic overhauling, and the Senate has the leisure, ability, and freedom (if not a very constant desire) to investigate them. The debates of the Senate abound with the sad plaints of senators who feel that their exceptional talents<sup>2</sup> are being neglected, but this yearning for strenuous public service is not very convincing. For while the activities of the Senate may be blocked in certain directions, they are quite untrammelled in others, and yet the average yearly performance is far from impressive. The failure to utilize at all fully its inquisitorial powers is a case in point. The Senate's highest recommendation will consist not in the unconvincing eulogies of its own members, but in the efficient performance of those duties which lie at hand.

<sup>1</sup>*Supra*, pp. 324-5.

<sup>2</sup>"*Senator Aseltine*. The Senate is one of the most democratic bodies in the world. Anyone is at liberty to appear before our Senate committees, and anyone who does so receives a most sympathetic hearing. It is very seldom that we decide an issue on straight party lines. Moreover the members of the Senate represent the best brains in the country." *Can. Senate Debates*, Feb. 5, 1943, p. 48.

*The protection of rights*

Some mention may be made of the extent to which the Senate has succeeded in protecting property, provincial, and minority rights. Minorities as well as provinces (as has been stated above) are often given special representation in its membership, and it is also true that an inventory of senatorial wealth would yield far more *per capita* than one taken in the House of Commons. The late Mr. Woodsworth once enumerated at some length the directorships held by certain senators,<sup>1</sup> and the list is still both long and impressive.<sup>2</sup> The antecedents and present condition of the senators are, in short, such as to make them unusually aware of the need for preserving many of the rights mentioned.

What the Senate has actually done in these matters is not easily discovered. Professor MacKay, however, surveyed the ground with some care, and reached certain conclusions.<sup>3</sup> The Senate, he found, has no consistent record as an upholder of the rights of the provinces, and the party lines have usually proved stronger than those of the section or province affected. Quebec, in fact, is probably the only province which looks with any confidence to the Senate to protect its position against encroachment or abuse, for much surer lines of defence are found in the federalized Cabinet, in threats of party secession, and in the stalwart fighters elected to the House of Commons. In the maintenance of the rights of other minorities, the Senate has proved to be of moderate but not exceptional service, although its alertness in private bill legislation has been of considerable help in protecting private property rights and public interests against the attacks of predatory corporations. Its attitude towards measures of social reform has not been particularly cordial, although here, as in the questions just mentioned, party lines and policies make bold generalizations difficult, if not impossible. Thus although the Senate originally defeated the Old Age Pensions Bill, the most important factor in that vote was that the Senate was controlled by the Conservatives,

<sup>1</sup>*Can. H. of C. Debates*, March 9, 1927, pp. 1039-42.

<sup>2</sup>Forty senators were listed in 1945 as directors in Canadian companies.

<sup>3</sup>MacKay, *op. cit.*, pp. 138-72.

the House of Commons by the Liberals, and the one party was not at all reluctant to use this opportunity to embarrass the other<sup>1</sup>

### *Reform*

The Senate has thus been by no means a useless body, but there are certainly the gravest doubts whether it is worth the three-quarters of a million which is annually spent upon it unless it is looked upon simply as a pension scheme for retired commoners<sup>2</sup> Nor is the fact without significance that the position of the Senate in the scheme of government is never mentioned without the question of abolition or reform being at once raised, for virtually no one has any desire to maintain it in its present unsatisfactory condition. The only admirers are the senators themselves, and even they have been known in their franker moments to consider the possibility of improvement.

Politically, Senate abolition or reform tends to become an issue which is supported by the Opposition or Government chiefly on those occasions when the other party gains control, and it ceases to be an issue when the party balance in the Senate swings the other way. The C C F party has always demanded abolition, and it will doubtless continue to do so as long as the Senate is filled with supporters of other parties. The Conservatives during their long stay in the wilderness before 1911 loudly demanded reform, but after a year or two in office these cries died down and gradually disappeared. The Liberals in 1924 called for drastic changes in the Senate, the House of Commons in 1925 declared by a vote of 120 to 32 that the Senate as constituted was not of the greatest advantage to Canada, and a Dominion-provincial conference in 1927 (summoned by a

<sup>1</sup> The bill was defeated in the Senate by 45 votes (all Conservative, but three) against 21 (all Liberal, but one).

<sup>2</sup> The total cost of the Senate in 1946-7 was about \$880,000. A speech of the late Senator Murphy, which senators frequently quote, stated that the Senate had saved the country at least \$103,000,000, this estimate resting on the extraordinary assumption that because certain grants had been rejected by the Senate this represented a 'saving' to the nation. One can admire, for example, the courage, if not the logic, of the statement that Canada saved \$35,000,000 by not contributing three battleships to the British navy in 1913. *Can. Senate Debates*, March 1, 1934, pp. 105-11.

Liberal Government), while not in favour of abolition, considered a wide range of reform measures. But as Conservative senators died and Liberal ascendancy in the Senate mounted, Liberal enthusiasm for reform—other than by Liberal appointments—rapidly vanished. As soon as the Senate shows signs of giving consistent support to Government measures, the majority party in the Commons begins to regard it not exactly with admiration, but at least with tolerance.

This is not the place to discuss the problem of second chambers in general or that of Canada in particular, but some indication of the possibilities may be given. For one thing, the attitude of the provinces makes the continuance of the Senate a virtual necessity. For although the Senate is admittedly of little use in preserving provincial rights, it does furnish some additional security for Quebec, and it provides the Maritimes with a pool of patronage which is a most important factor in sustaining amicable party relations in that small area. Ontario and the West are not nearly so convinced of the Senate's indispensability, but even there it receives a scattered support. Thus political demands as well as other more abstract reasons for maintaining a second chamber combine to rule out abolition.

Some measure of reform, however, should not be impossible. The prime difficulty is that the Senate can be improved in so many ways that the multitude of alternatives smothers any particular measure of reform which may be advanced. A few of these may be mentioned as illustrations, most of them having been put forward at the Dominion-provincial conference of 1927:<sup>1</sup> (a) the senators should be elected, directly by the people, or indirectly by some other body, (b) they should be partly elected, partly appointed, (c) there should be a fixed and limited term of office, (d) senators should be retired at a definite age, (e) the powers of the Senate should be limited like those of the House of Lords, so that it could exercise only a suspensive veto over ordinary legislation and have no control over money bills. Less elaborate proposals which have been put forward would in-

<sup>1</sup>See, for these and other proposals, Dawson, *Constitutional Issues*, pp. 265-83.



volve a permission for Ministers to introduce bills and speak in either house, although they would vote only in the house in which they held their seats, or would utilize the parliamentary assistants by placing a number of them in the Senate, or, if Ministers were re-admitted to the Senate, by placing their assistants in the Commons

Without embarking on any discussion of a subject which has been endlessly debated, it may be suggested that while many features or powers of the Senate might conceivably be altered, any scheme of reform would have to accept certain conditions as fundamental and unchangeable—the unquestioned supremacy of the House of Commons, the existing distribution of provincial seats in the Senate, the maintenance of the Senate's function of revision and, possibly, of rejection of legislation, although the more extreme use of these might be substantially curbed

If the Senate is not to be considered as a rival of the House of Commons—and, as just stated, it must never be that—one of the most attractive alternatives, popular election, is almost certainly ruled out. If it is desirable to emphasize more decidedly than today the provincial element in the Senate, some real improvement could doubtless be achieved by allowing the provincial legislature to elect or the provincial Government to nominate part or all of that province's senators. For it is a very serious fault that under the present system, a minority party, even though it may control the provincial legislature and elect a majority of the members of Parliament from that province, may not be able to secure even one representative in the upper house.<sup>1</sup> There is also much to be said for giving the Senate some kind of suspensive veto like that exercised by the British House of Lords. "It may be doubted," writes Professor J. A. Corry, "whether any reform is of such immediacy that two years spent in broadening consent to it through the slow erosion of opposition are not well spent. For democracy is as much a matter of gaining the consent of minorities as it is of giving

<sup>1</sup>Alberta has had since 1921 a United Farmer or Social Credit Government in office and has consistently elected a majority of members from one or other of these parties to the House of Commons, but the senators from Alberta during this period have all been Liberals or Conservatives.

effect to the will of the majority."<sup>1</sup> But the most needed reform of all must be concerned with removing the deadening effects of the life term. The simple and obvious remedy is to shorten it to eight or ten years, and also to add compulsory retirement at the age of sixty-five or seventy. Incentive, interest, and vitality must be brought into the Senate, and the inflow of younger and more active members increased. For it is these qualities, rather than increased powers and challenging divisions, which will enable the Senate to discharge its functions adequately. There is every reason for the Senate to remain a secondary partner in the Canadian Parliament, there is no reason for it to remain the comparatively unimportant and ineffective body it is today.

<sup>1</sup>Corry, *Democratic Government and Politics*, p. 101.

## CHAPTER XVI

### THE HOUSE OF COMMONS REPRESENTATION

THE House of Commons is the great democratic agency in the government of Canada, the "grand inquest of the nation", the organized medium through which the public will finds expression and exercises its ultimate political power. It forms the indispensable part of the legislature, and it is the body to which at all times the executive must turn for justification and approval.

The fundamental importance of the House of Commons is thus derived from its essential representative character, the fact that it can speak, as no other body in the democracy can pretend to speak, for the people. It presents in condensed form the different interests, races, religions, classes, and occupations, whose ideas and wishes it embodies with approximate exactness. It serves as the people's forum and the highest political tribunal, it is, to use Mill's phrase, "the nation's committee of grievances and its congress of opinions." One of its greatest merits is derived from the fact that it is not a selection of the ablest or most brilliant men in the country, but rather a sampling of the best of an average run, an assembly of diverse types and varied experience, the members of which are genuinely and actively concerned with the promotion of the national welfare. No Cabinet which keeps in constant touch with this body can be very far removed from fluctuations in public opinion, for the House is always acting as an interpreter and forcing this opinion on the attention of its leaders. Thus while a Government will be frequently embarrassed by the need for satisfying the many demands which the House will put forward, it will also derive no inconsiderable benefit from these encounters. For the House gives guidance, encouragement, advice, and support to a Government as well as disparagement and criticism, and the presentation and interchange of views, making possible a more exact appreciation of the nature of the popular response to Government policies, enable the Cabinet to proceed with far more assurance and certainty to the work which

lies before it. Thus Mr Mackenzie King declared in the gloomy days of 1940

I can say frankly to hon. members that it is a source of comfort rather than the opposite to have Parliament in session at a time such as this. I say that quite sincerely. There is comfort in the sense of knowing that where the situation is as serious as it is, the body of the people's representatives are here and can express freely their views, as can the Government its views and what it is doing, in a manner which it is not possible to do through the press. I would not wish a long period to elapse, with the country and the world in the state in which it now is, without having an opportunity of consulting with members of Parliament and having them fully informed with respect to what the Government is doing.<sup>1</sup>

The companion function of the Commons and one which can scarcely be separated from the first is that of educating and leading public opinion on many questions. The House is much more than a mere mouthpiece to repeat and advertise the views of the constituencies. It will, of course, do that to a large extent, but it will also discuss many questions on which the voters have as yet no certain convictions or on which they may need further information and guidance. The House will talk, argue, investigate, oppose, decide, and frequently postpone action on many matters, and in doing these things it arouses interest and helps to create a more enlightened opinion throughout the country. The process in Canada is in no way different from that in Britain as described by Professor Ivor Jennings.

So the discussion radiates from Westminster in waves of ever-decreasing elasticity. Arguments are transmitted, presented simplified, perhaps distorted. A "common opinion" develops and creates new waves which find their way back to Westminster. They set going new arguments in the smoke room and more formally in the House. In their turn these arguments produce new rays which go back to the ordinary people. In this way there is a constant interchange between Parliament and people which does produce a constant assimilation of opinion. The purpose of Parliament is to keep them [the Cabinet] in touch with public opinion, and to keep public opinion in touch with the problems of government.<sup>2</sup>

The House of Commons, under the unremitting guidance of the Cabinet and with the co-operation of the Senate, also sets its formal seal of approval upon legislation and matters of state policy. Here the Senate, as indicated in the previous

<sup>1</sup>*Can. H. of C. Debates*, June 20, 1940, p. 972. See speech of Mr Winston Churchill, *Brit. H. of C. Debates*, Jan. 22, 1941, p. 237.

<sup>2</sup>W. Ivor Jennings, *Parliamentary Reform*, pp. 18-19.

chapter, takes a decidedly subordinate part, and it is not at all likely to set itself in serious opposition to the Commons, particularly if the latter's popular mandate is assured. These decisions of the legislative chambers will assume various forms: (1) statutes, (2) the imposition of taxes and the authorization of expenditures, which are really only a special kind of statute, (3) resolutions, such as those requesting the British Parliament to amend the British North America Act, or those calling upon the Governor-in-Council to remove a public official, and (4) formal declarations of state policy, which the executive will certainly carry into effect, such as those dealing with treaties, the declaration of war, etc.

The House of Commons will almost invariably give its consent to all the measures which the Cabinet has submitted, but, in the process, it exercises what is easily one of its major functions, namely, criticism. Here, as in virtually all the activities of the House, the nature of the participation of the individual members depends on their party affiliations, and although their share is largely indirect and often inconspicuous, the influence they exert on Government measures is far from small. If they belong to the majority party, they make themselves felt in the privacy of the Government caucus where, separately or in association with other members, they may carry enough weight to bring about substantial modifications in the Cabinet's proposals. If they belong to an Opposition party, they can vent their criticism on the Government's measures when these come before the House, and they may sometimes be able at this time to secure a few modest concessions. But the full impact of the Opposition will be more far-reaching and significant than this. For the Cabinet will already have done its utmost to forestall Opposition criticism by drafting proposals in the most innocuous terms possible, knowing full well the criticism which would follow if the measure were to appear in another form or without being substantially toned down from the original draft. A further possibility is that the criticism of the Opposition may not pay dividends until the next general election, although in the meantime it will serve as invaluable material to use against the Government not only on the floor of the House, but in the press and in the country generally. In short, the

Cabinet is always aware of the danger of allowing its opponents any foothold from which they can launch their attacks, and the surest defence is for it to endeavour to present its measures in such a form that they will be able to withstand any assault from the enemy<sup>1</sup>

A vital aspect of the critical function of the House of Commons is its power of general supervision. This takes many forms and will be discussed later at some length<sup>2</sup>. The House asks the Ministers interminable questions, it conducts *investigations into the administration of the departments*, it draws out the activities of the Government into the light of publicity, it scrutinizes the financial statements and proposed taxes and expenditures, it checks to a limited degree departmental orders and orders-in-council, it listens to ministerial statements on Government policy, it receives petitions for redress of grievances. Many of these matters are related, of course, to Cabinet functions, and the responsibility of the Cabinet to the House of Commons is always both present and active<sup>3</sup>. As a last resort the House of Commons has, of course, the power to declare its lack of confidence in the Cabinet, and thus obtain a new Government or force a dissolution.

Finally, the House of Commons is a selective body. It does not actually pick the Cabinet, although the fact that the Cabinet, chosen by the Prime Minister, must always be able to retain the support of a majority of the House may be considered as giving the chamber a negative power of choice. It is somewhat more correct to say that the House selects the Prime Minister, although here the party convention (and, in unusual circumstances, the Governor-General) has, as a rule, far more authority in the matter. Once again, however, the Commons must give its seal of approval *after* the choice has been made. But the House selects Ministers indirectly in yet another way. It provides the rigorous environment in which ministerial talent must prove its worth and establish its right to office. The prospective Ministers usually serve an arduous apprenticeship in the House, and while many

<sup>1</sup>For a more extensive development of this point, see Chapter VII.

<sup>2</sup>*Infra*, pp. 134-44.

<sup>3</sup>*Supra*, pp. 207-9.

cease to be serious contenders long before their party comes to power or vacancies occur in the Cabinet, the few able survivors have had ample opportunity to develop their capacity before they are called upon to assume office. There is, in the words of Professor Laski, "no alternative method that in any degree approaches it."<sup>1</sup> The result is that a Cabinet will usually take office with a fair percentage of able Ministers, for while many of them may have had little or no previous training in such positions, the majority have had a preparation which has been proved by experience to be a most effective substitute.

### *Representation*

Representation in the Canadian House of Commons is based on population and is apportioned by provinces. This representation is checked after each decennial census, and, when necessary, it is adjusted in accordance with shifts in population.<sup>2</sup> When new provinces were admitted to the Dominion after 1867, they were given seats in the House,<sup>3</sup> and the number of their members was thenceforth determined in the same manner as that of the other provinces.<sup>4</sup> The territories were granted representation in 1887,<sup>5</sup> but after Alberta and Saskatchewan became provinces, the territorial representation dropped to one, the member from the Yukon.

The scheme which was adopted in 1867<sup>6</sup> and which remained in effect until 1946 was simple in its main outlines, and was designed to provide elasticity without allowing the size of the House to become unduly large. Quebec was given 65 members, and this number remained fixed. Representation from other provinces was to vary as their populations varied with that of Quebec. In other words, a quota of representation was obtained after each census by dividing

<sup>1</sup>H. J. Laski, *A Grammar of Politics*, p. 300.

<sup>2</sup>This has always been observed except after the census of 1941, when war conditions made postponement desirable. See 1943 Amendment to the B. N. A. Act *supra*, p. 141.

<sup>3</sup>Under the 1871 Amendment, *supra*, p. 140.

<sup>4</sup>There were minor exceptions. British Columbia was given on its admission six members, and this number could be *increased* under the terms of the B. N. A. Act, but apparently not decreased. Alberta and Saskatchewan had a special adjustment of representation shortly after entrance, although it was based on the principles in the B. N. A. Act.

<sup>5</sup>Under the 1886 Amendment, *supra*, p. 140.

<sup>6</sup>*Supra*, p. 39.

the population of Quebec by 65, and this quota was then divided into the population of each province to determine the number of its representatives. The total number of members in the House as well as those from each province (except Quebec) might thus vary from decade to decade.

Although the general rule was simple, a number of exceptions introduced in 1867 and in subsequent years made the scheme rather complex and eventually led to its abandonment. These exceptions were as follows:

(a) The population of Quebec was not the population of modern Quebec but was that of Quebec within the boundaries existing before the extension of the province in 1912. A population of even moderate size within this additional area would obviously have affected detrimentally the representation of all the other provinces. Its population in 1941 was only 3,067, so that if this had been included in the total, its effect on the quota would have been almost negligible. A new mine or a hydro-electric development, however, would soon have changed the situation.

(b) After the quota had been divided into the population of a province, any remainder which was more than one-half of the quota entitled the province to an additional member.

(c) A province was guaranteed, as its minimum representation in the House of Commons, the same number of members as it had senators. This special rule was stated in the 1915 Amendment to the British North America Act,<sup>1</sup> and was inserted primarily to save the representation of Prince Edward Island and to some degree that of the other Maritime Provinces. The number of members from all these provinces had for years past been steadily declining. In 1882 Prince Edward Island had 6 members, New Brunswick, 16, Nova Scotia, 21, but by 1914 these had dropped to 3, 11, and 14 respectively. The 1915 Amendment thus guaranteed these provinces a minimum of 4, 10, and 10, and although other provinces are also covered by the terms of the same Amendment, there is little likelihood that there will ever be any necessity for them to avail themselves of its protection.

(d) The British North America Act provided that if the population of a province did not keep pace with that of

<sup>1</sup>*Supra*, pp. 140-1



Quebec but was nevertheless able to maintain approximately the same rate of increase as that of the Dominion as a whole, it would not lose any members on a redistribution. This was calculated as follows. The proportion which the population of a province bore to that of the Dominion was ascertained for both the census ten years earlier and the current one, and if the latter proportion was not more than one-twentieth less than the former, no reduction in the representation of that province would be made under the general rule.

This exception would have been quite unobjectionable if its application had given to the particular province concerned the actual number of seats to which it had been entitled under the operation of the general rule ten years before. But it did far more than this. It allowed the province to retain its existing representation unimpaired, even although that number might have been saved for it ten years ago by an earlier operation of the same one-twentieth clause. Thus a discrepancy between representation and population could be perpetuated from decade to decade and might become gradually worse if the population continued to decline in relation to Quebec and yet kept step with the general increase in Canada.

Unfortunately, this proved to be no mere arithmetical possibility, for the circumstances were such that the clause operated in precisely the way suggested above. Moreover it so happened also that the clause did not come to the rescue of one of the weaker provinces (a contingency which might have been borne with equanimity) but saved Ontario, the largest province of all and the one which presumably needed no special concessions to maintain its voice and influence in Parliament. In 1914 Ontario had been given 82 members in the Commons. In 1924 she was entitled to 81, but the one-twentieth clause came to her aid, and she retained 82. In 1933 she would have received under the general rule, 78, the exception allowed her to keep 82. Under the census of 1941, she would normally have fallen back to 74, but once again the one-twentieth clause would have permitted her to keep 82, the number established thirty years earlier. And this might have gone on indefinitely, each decade bringing about a greater and greater disparity between population and repre-

sentation Quebec with a mounting population thus saw her representation tied down to a fixed 65, while Ontario, whose population was not increasing at as fast a rate, nevertheless kept its artificial number of 82 intact. Quebec, not unnaturally, began to demand 'rep by pop', the whirligig of time had indeed brought in its revenges.<sup>1</sup>

If the original plan of redistribution (with its amendments) had been applied to the results of the 1941 census, the following exceptions to the general rule would have resulted

P. E. I.	instead of	2	seats	would have had	4	(1915 Amendment)
New Brunswick	" "	9	" "	" "	10	(1915 Amendment)
Ontario	" "	74	" "	" "	82	(1-20th clause)
Nova Scotia	" "	11	" "	" "	12	(1-20th clause)
Alberta	" "	16	" "	" "	17	(1-20th clause)

When a rule governs four provinces and the exceptions govern five, the time for formulating a better rule would seem to have arrived. The result was the passage of the 1946 Amendment to the British North America Act, which substituted an entirely new section on the redistribution of seats.<sup>2</sup> Under this arrangement the total number of members is made a constant and not a variable, and Quebec is not tied down to a definite number, but is treated exactly the same as any other province. The guarantee of a minimum number of seats under the 1915 Amendment is, however, retained as before. Parliament still has the power without any formal amendment of the British North America Act to increase the total membership of the House, but any such increase must maintain the same proportionate provincial representation as provided below.

The new system, which was first applied in the redistribution of 1947, is as follows:

(1) The total number of members in the House of Commons is fixed at 255.

(2) One seat is assigned to the Yukon Territory together with any part of Canada which may be added by Parliament and which does not form part of a province.<sup>3</sup>

<sup>1</sup>*Supra* pp. 19, 39.

<sup>2</sup>*Supra* p. 141.

<sup>3</sup>This has already proved awkward. Parliament has added a large part of the Mackenzie District to the Yukon, thus creating an enormous constituency of about 700,000 square miles, cut in two quite separate pieces by two high ranges of mountains. Yet as the 1946 Amendment now stands, it is impossible to give two members to this area.

(3) The total population of all the provinces is divided by 254 to obtain a quota, and this in turn is divided into the population of each province in order to find the number of members each is to receive

(4) If (as is a virtual certainty) this operation does not fill all 254 seats, the remaining seats are given to the provinces with the largest remainders (one to each province) beginning with the one having the largest remainder and continuing down in order of the magnitude of the remainders until the vacancies are all filled

(5) If, after this has been done, any province has fewer members than senators, it is forthwith given a number of members corresponding to the number of its senators

(6) The operation must then begin anew and be repeated as above, the total number of seats to be filled being now 254 minus the seats assigned under paragraph (5), and the total population used being that of the nine provinces less that of the province or provinces given special consideration in paragraph (5)

Thus in 1947 as a result of the operation of paragraphs (3) and (4) it was found that Prince Edward Island would obtain only two seats. She was therefore given four under paragraph (5). The second calculation, therefore, started with only 250 seats to be filled, and the quota was obtained by dividing the total population of all the provinces except Prince Edward Island by 250. The results of the old and new systems of distributing seats are as follows <sup>1</sup>

<i>Province</i>	<i>Population (1941)</i>	<i>Old Representation</i>	<i>New Representation</i>
Ontario	3,787,655	82	83
Quebec	3,328,815	65	75
Nova Scotia	577,962	12	13
New Brunswick	457,401	10	10
Prince Edward Island	95,047	4	4
Manitoba	729,744	17	16
British Columbia	817,861	16	18
Saskatchewan	895,992	21	20
Alberta	796,169	17	17
Yukon and Mackenzie	4,914	1	1
		245	255

<sup>1</sup>*Can. H. of C. Debates* (unrevised), Feb. 21, 1947, p. 712

It is evident that substantial alterations in the boundaries of constituencies within a province will often be necessary in order to allow for these changes in provincial representation, and that similar alterations are, in any event, often desirable in order that the constituencies may be adjusted to the shifting of population within the province. Redistricting for both purposes is usually carried out simultaneously, and is completely under the control of the Dominion Parliament. There is, however, nothing to prevent the latter delegating its power to some other body if it so desires.<sup>1</sup>

The early method of dealing with redistribution was for the Government to introduce into the House a bill which gave the constitutional allotment of seats and outlined in detail the altered boundaries of the newly drawn constituencies. The bill was then put through Parliament like any other Government measure. This does not appear at first glance to be very objectionable, but it was accompanied in practice by a shocking abuse of the Government's power. The Cabinet in 1872, 1882, and 1892 used the opportunity to draw the constituency lines so that they greatly favoured its own party. This was especially flagrant in 1882 when forty-six constituencies in Ontario were gerrymandered, although in this instance popular indignation was so aroused that it is possible the act may have actually recoiled on its creators.<sup>2</sup>

The system was altered by Sir Wilfrid Laurier at the redistribution in 1903, when the detailed determination of constituency boundaries was referred by the House to a select committee on which both political parties were represented. This plan has been followed on all subsequent occasions, and it is very much fairer to the Opposition. For while a majority of the committee members always come from the Government side, every party has an opportunity to voice its views and influence the final decision. The result is that a gerrymander on any comprehensive scale has disappeared, although it may still occur in a small way and sometimes gives rise to wrangling and accusations of sharp practice. "Undoubtedly," said Mr. Bennett, when Prime

<sup>1</sup>B. N. A. Act, 1946 Amendment.

<sup>2</sup>R. MacG. Dawson, "The Gerrymander of 1882," *Canadian Journal of Economics and Political Science*, May 1935, pp. 197-221.

Minister in 1933, "where two courses were open, our friends chose the course that was more helpful to them just as in 1924 the course chosen was the one more favourable to the party then in power"<sup>1</sup>

Proposals have been advanced to overcome this difficulty by giving the power of redistricting to some more impartial body. Thus Mr Mackenzie King in 1933 suggested a commission of six judges from different provinces (three to be nominated by the Government, three by the Opposition),<sup>2</sup> and Mr C. G. Power disclosed in 1947<sup>3</sup> that the Government had had a bill prepared in 1940 to set up a commission composed of a superior court judge as chairman assisted by two commissioners appointed from each province in turn as its constituencies came under consideration. One of the most difficult aspects of this question is that the work of any such commission cannot be purely mechanical and based on population alone, for it must give some weight to many other factors as well. Mr Power's proposed commission, for example, was to have been instructed to consider such matters as the physical features of the constituency, the means of communication, the existing constituency boundaries, federal and provincial, the boundaries of administrative areas, and other pertinent factors. There is, moreover, the further problem that even if such a body could be set up and a report made, Parliament is not likely to consider it as binding, and hence, even with a commission, the whole matter may become the subject of lengthy and acrimonious discussion in the House.

Two important principles have been generally accepted in Canada as justifying a departure from the general rule of the approximate equality of the constituencies. First, municipal and county boundaries should be followed when at all possible. It is considered far wiser to over- or under-represent an area than to dismember districts which have established traditions, long history, and strong local pride and character.<sup>4</sup>

Secondly, rural areas should be more generously repre-

<sup>1</sup>*Can. H. of C. Debates*, May 23, 1933, p. 5342.

<sup>2</sup>*Ibid.*, May 25, 1933, pp. 5468-9.

<sup>3</sup>*Ibid.* (unrevised), Feb. 21, 1947, p. 718.

<sup>4</sup>This principle had been eloquently defended by Sir John Macdonald but the gerrymander of 1882 dismembered many counties and built up composite constituencies with little regard for local boundaries.

sented than urban, that is, the population of the rural constituencies should be definitely less than that of the city constituencies. This is justified on several grounds<sup>1</sup>. The cities have many more spokesmen than the country in the form of boards of trade and various commercial, social, and industrial organizations, and the daily press is entirely an urban institution. It is therefore much more difficult for opinion in the country to become organized and to make itself felt in Parliament and elsewhere. Many representatives of country districts live in the cities most of the year, whereas few city representatives have the same contact with the country. Moreover, the member who represents a rural district has an exceptionally difficult task in canvassing his constituents and in keeping in touch with them. But while this inequality in representation is deliberately sought, it is expected that there should be an approximate equality among all the urban districts and also among all the rural districts. Thus the constituencies in Toronto should be approximately the same size as those in Montreal, and a rural district in Manitoba should have about the same population as one in Alberta.

These wide variations may be illustrated by several examples from the redistribution of 1933. The island of Montreal would have had on a strict basis of population twenty-four seats, it received sixteen, exactly two-thirds of its normal number. The constituency of Brant had a population of 21,202, and Haldimand 21,428, yet Welland had 82,731, and Nipissing over 74,000. Stanstead had a population of 25,118 and Chateauguy-Huntingdon 24,412, yet three Montreal districts had the following population: Hochelaga 82,100, St. Denis 77,259, and St. James, 95,942.<sup>2</sup>

#### *Representative character of the House*

All but four of the members of the House of Commons are elected from single-member constituencies. Two constituencies—Halifax (city and county) and Queens (P. E. I.)—elect two members each.<sup>3</sup>

<sup>1</sup>*Can. H. of C. Debates*, March 25, 1921, pp. 6604, 4531-7.

<sup>2</sup>*Ibid.*, May 22-23, 1933, pp. 5210, 5345, 5374, 5414.

<sup>3</sup>At one time (1872-92) no less than ten constituencies returned two members each, but they gradually dwindled to the two mentioned above. See Norman Ward, "Voting in Canadian Two-Member Constituencies," *Public Affairs*, Sept., 1946, pp. 220-3.

In all constituencies the candidate who receives the highest number of votes is elected, and it is thus possible for a member of Parliament to represent a minority of voters. This has, indeed, become extremely common since 1921, for this period has been characterized by an enormous increase in the number of candidates, a consequent diffusion of the vote, and thus a greater likelihood that no one will secure a clear majority. In the general election of 1935, 892 candidates contested 245 seats, and 140 or 57 per cent of the members elected were returned on a minority vote. In 1945 the candidates numbered 965,<sup>1</sup> and 59 per cent of those elected had only minority support.

This discrepancy between popular support and individual representation may easily be reproduced on a larger scale throughout the nation. The last five elections yielded, for example, the following results:<sup>2</sup>

- 1926 The Liberals polled 48.6 per cent of the popular vote and elected 55 per cent of the House
- 1930 The Conservatives polled 49 per cent, and elected 56 per cent of the House
- 1935 The Liberals polled 47 per cent, and elected 73 per cent of the House
- 1940 The Liberals polled 54 per cent, and elected 75 per cent of the House
- 1945 The Liberals polled 39 per cent, and elected 48.5 per cent of the House

Thus in all instances the party polling the most votes received more, and sometimes far more, than its rightful proportion of representatives. This may have been due in part to the inequality in the size of the constituencies, and in part to a mixture of sweeping victories by one party and closely won elections by another, but the major cause was the election of candidates on pluralities (and not actual majorities) in three-, four-, and five-cornered contests. A slight shift in popular favour was able to cause a major shift in representation, with no assurance that the winner would have a majority of the popular votes.

What happened [in 1930] was that out of every 100 voters two left the Liberal party for the Conservative party and one left the independent parties for the Conservative party. Forty-six out of every 100 voters had been Conservatives in 1926, 49 out of every 100 voters were Conservatives in 1930. From 1926 to 1930 Canada was ruled by a government which had

<sup>1</sup>In only seven out of 243 constituencies, were there only two candidates.

<sup>2</sup>These percentages will vary somewhat according to the classification given to Liberal Progressives, Progressives, Independent Liberals, etc.

been elected by 48.6 per cent of the voters, from 1930 to 1935 she has been ruled by a government elected by 49.2 per cent of the voters. A net change in party allegiance of one voter out of every thirty caused the landslide of 1930.<sup>1</sup>

Nothing has yet been done to solve the problem of representation which is raised by this condition. There is a natural reluctance to adopt any system of proportional representation which might give further encouragement to the multiplication of political parties, and even the alternative vote in single-member constituencies is under suspicion as likely to exert some pressure in the same direction. The alternative vote was mentioned in the Speech from the Throne in 1924, and a bill to authorize it was actually introduced by the Government in 1924 and 1925, but on neither occasion did it go beyond the second reading. A special committee of the Commons reported in 1936 and 1937 against both the alternative vote and proportional representation.<sup>2</sup>

Some idea of the general nature of the membership of the House of Commons may be gathered from a glance at a few statistics concerning the House elected in 1945.<sup>3</sup> This will at once reveal that the members do not by any means constitute in their own persons an exact cross-section of the people, although it is, of course, quite conceivable that they may in fact represent with some accuracy the opinions and interests of the voters. There is, for example, a very wide discrepancy between the age of the members and that of the adult population. Thus in 1945 the average age of members at election was almost 51 years.<sup>4</sup> Only 6 per cent were between 21 and 35 although this group comprised about 40 per

<sup>1</sup>Escott Reid, "Democracy and Political Leadership in Canada," *University of Toronto Quarterly*, July, 1935, p. 510.

<sup>2</sup>*Can. H. of C. Journals*, June 11, 1936 pp. 446-8, *Can. H. of C. Debates* April 6, 1937, pp. 2638-90.

<sup>3</sup>See L. H. Laing "The Nature of Canada's Parliamentary Representation," *Canadian Journal of Economics and Political Science*, Nov., 1946, pp. 509-16.

<sup>4</sup> Members 21-30 years of age	3
31-40 " " "	38
41-50 " " "	61
51-60 " " "	81
61-70 " " "	45
71-80 " " "	10
(unreported	7)



cent of the adult population of Canada, and over 71 per cent of the members were between 41 and 65, as contrasted with a national average of 39 per cent. The group 35-40 was present in about the same ratio in the House as in the nation.

Advanced age groups did not, however, correspond to greater political experience, for the House census also shows a very large number of untrained members, almost 40 per cent of the total being there for the first time. This, if not repeated too frequently, is not necessarily unfortunate, for what will be lost in experience will often be made up through a gain in freshness and vigour and in a new stimulus applied to the older members. Only one member of the House was a woman—a shocking imperfection for any who believe in a literal “cross-section” theory. At least 55 per cent had some university affiliations. The native-born element was very high—all except 32 were Canadians by birth, and of this remainder 23 were born in the British Isles, 7 in the United States. The following table indicates the occupational grouping of members in the Senate and House of Commons at two different times.<sup>1</sup>

	1929		1945	
	<i>Senate</i>	<i>House of Commons</i>	<i>Senate</i>	<i>House of Commons</i>
Finance, banking and insurance	10	12	7	7
Merchants and sales	14	31	10	28
Manufacturing	9	16	5	13
Agriculture	7	45	15	44
Fishing and shipping	0	1	3	1
Engineering and mining	4	6	2	7
Medicine	8	22	9	7
Law	23	64	26	76
Education	5	10	2	17
Journalism	7	10	7	7
Labour	1	3	1	4
Clergy	0	0	0	4
Unclassified and other occupations	6	19	8	30
	94	245	95	245

<sup>1</sup>Compiled from the *Parliamentary Guide*. These figures are only approximately correct, for frequently more than one occupation is given and frequently also none at all. In the former instance, what seemed to be the major interest has been taken.

The reasons that some occupations are more heavily represented than others and often seem to bear little or no relationship to the corresponding numbers in the country are apt to be rather involved and difficult to assess with any certainty. The 1945 Parliament, for example, reproduces the strong leaning towards law which is always found in the Canadian House of Commons, and, for that matter, in the Senate. While there is a natural sympathy between the practice of law and politics, this is far from furnishing a complete explanation. A lawyer with a fair practice and a good partner can leave his work for politics and resume it later more easily than anyone in almost any other profession, politics will also divert, as a perquisite, a substantial amount of legal business to its friends, and politics gives the surest claim to eventual preferment to the Bench.

In 1945 agriculture, naturally enough, had a strong representation in the Commons, but other industries and basic occupations had surprisingly few members. The more vocal professions—law, education, journalism, and the clergy—apparently found it possible to turn their talents to profitable political uses for they comprised over 42 per cent of the entire membership. It must be borne in mind that this occupational distribution of members of Parliament is by no means unimportant in influencing the general approach of the members to many public questions,<sup>1</sup> and it is difficult not to believe that a distribution which corresponded more closely with the occupational census of the nation would furnish a more useful Parliament for most purposes.<sup>2</sup>

### *Representative and delegate theories*

One vital aspect of representation is the attitude of the member and his constituency to one another, a relationship which is usually set out in terms of the conflicting delegate and representative theories. According to the former theory, the member is the mouthpiece of his constituency, the neces-

<sup>1</sup>Note, for example, the protest of one member of Parliament in 1941 concerning the composition of a proposed committee which included fifteen lawyers and only nine from other occupations, a personnel which he contended would be likely to impede seriously the work of the committee. *Can. H. of C. Debates*, March 5, 1941, pp. 1263-4.

<sup>2</sup>See J. F. S. Ross, *Parliamentary Representation*, pp. 58-77.

sary human agent through which the voters continually register their will, according to the latter, the member is chosen to represent the nation as well as the local area, and he is expected to use his talents and make his decisions largely by the exercise of his own personal judgment. So far as any generalization on such a matter is possible, the bulk of the Canadian constituencies and of the members who sit for them definitely favour the representative rather than the delegate idea, although in most instances a substantial dependence on the constituency is apparent. One of the clearest statements of this representative position was given some years ago by Mr. C. G. Power in the House of Commons:

A better-class candidate is a man who has some respect for his conscience and for his duty, and proposes to come here and do it. A member of Parliament, when he is elected by his constituency, is elected, not to be the mouthpiece or delegate of any group or class in his constituency, but to represent in this House the whole people of Canada. He comes here to give his best judgment upon the questions which are put before him—not to give a decision based upon instruction he may have received from people thousands of miles away who know nothing of the question under discussion. If it be democratic to allow a small group of ward heelers to control the decisions which a member may make in the House of Commons in the performance of his duty, I do not believe in that kind of democracy.<sup>1</sup>

The delegate theory, however, is not without its defenders in Canada, for it has obtained support from some of the minor parties, which have followed it both by instructing their members and through the use or attempted use of the recall. Thus the United Farmers applied a recall procedure within that party about 1920 by compelling their candidates to agree to abide by it before they received the party nomination, the C. C. F. in Saskatchewan makes a similar use of it with the party members of the provincial legislature at the present time, and Alberta had a Recall Act on the statute book, although it was repealed in 1937. In the federal field the use of the recall and the allied restrictions on a member's freedom were prohibited some years ago. The Dominion Elections Act now makes it illegal for any candidate for election to the House of Commons to sign any document which would require him "to follow any course of action that will prevent him from exercising freedom of action in Parlia-

<sup>1</sup>*Can. H. of C. Debates*, Feb. 21, 1939, pp. 1307-8.

ment if elected, or to resign as such member if called upon to do so by any person, persons, or association of persons"<sup>1</sup>

The relationship, however, rarely offers a clear-cut choice between these two alternatives of representation and delegation, and there are almost as many variations as there are members and constituencies. For a member is bound by many obligations, pledges, and loyalties, and any decision will incline one way or another in accordance with the relative strength of the many complex forces which are operating at that time and on that issue. One of these determinants is made up of the pledges which he has given to his constituents. Another is composed of the promises which his party has made and to which he, as the party's representative, is indirectly committed. His character, his knowledge, his experience, his loyalty to his party and its leaders, his own convictions on the matter, these and similar factors may all enter into his decision and determine to what degree he will abide by his own judgment and that of others whom he esteems or in whom he has confidence. Other very important forces, of course, are the desires and opinions of his constituency, of his party executive, of the local newspapers, of the lukewarm opponents he may be trying to conciliate, and so on. To what extent will the constituency feel aggrieved if its expressed wishes are courteously but unmistakably ignored? How will this effect his re-nomination? His re-election? What likelihood is there that after a time the interest in this particular matter will die down, or that his constituency will realize that his position in Parliament gives him a better opportunity to form a more careful and accurate judgment, and that his opinion is, after all, apt to be right? A situation with so many possibilities can rarely be reduced to the two simple alternatives of a member making his own decision or allowing his constituency to make it for him.

The ideal relationship is one where, as Macaulay said, the electors choose cautiously and confide liberally, then, after the term has expired, they will renew the conduct of the member and pronounce on his stewardship as a whole. The indispensable ingredients are tolerance, compromise, and

<sup>1</sup>*Can. Statutes*, 2 Geo VI, c 46, Section 106

mutual respect. The member must be conscientious, yet not stubborn, tactful, yet firm, he will give way when possible, and he will refuse to make concessions when he feels it unwise or against his deep convictions. The constituency will hold its representative to his pledges, it will at all times place its views before him and urge their acceptance, yet it should be willing to recognize the sincerity of his opinions, his honesty, his actual inability on some occasions to comply with all its wishes. It would, of course, be absurd to pretend that Canadian members and their constituencies move always or even customarily on this high plane, but there is no doubt that some allowance is continually made for differences of opinion, that explicit instructions to members are rarely issued, and that compromises and mutual understanding between a member and his constituency are common. An interesting case arose in 1942 when the Liberal Government insisted on a plebiscite in order to secure release from an explicit anti-conscription pledge which the party had given at the election two years before. The plebiscite (which gave the desired release) did not, however, settle all problems for some members found themselves in accord with the general verdict but in disagreement with the vote in their own constituencies. Mr. Power was in this group, and he proceeded to put his theory of representation to the proof by voting on what he conceived to be national lines and against the clearly expressed wishes of his constituents<sup>1</sup>. At the next election Mr. Power was returned with over 10,000 majority, although in the interval he had re-established the accord with his constituents by resigning from the Cabinet on the conscription issue.

### *The franchise*

The franchise in Canada has had a chequered history. In the first fifty years of the federation when political animosities were bitter and political standards were often low, it was not uncommon for the rival parties to try to gain an advantage by the manipulation of the franchise, and this effort was materially aided by party disagreements on the use of the

<sup>1</sup>See *Can. H. of C. Debates*, June 26, 1942, pp. 3723-4.

provincial franchise in Dominion elections. Inasmuch as such changes were necessarily made by statute, these became the boundary lines which have marked off the different periods from one another.

(a) *First Period* Provincial franchise (1867-85)

The British North America Act (Section 41) provided that all electoral matters in the first Dominion election were to be governed by existing provincial laws and would remain so for the future until they were changed by the Canadian Parliament. The reason for this provision was stated by Macdonald in the Confederation debates in 1865:

Insuperable difficulties would have presented themselves if we had attempted to settle now the qualification for the elective franchise. We have different laws in each of the colonies fixing the qualification of electors for their own local legislatures, and we therefore adopted a similar clause to that which is contained in the Canada Union Act of 1841, viz., that all the laws which affected the qualification of members and of voters, which affected the appointment and conduct of returning officers and the proceedings at elections, as well as the trial of controverted elections in the separate provinces, should obtain in the first election to the Confederate Parliament, so that every man who has now a vote in his own province should continue to have a vote in choosing a representative to the first Federal Parliament. And it was left to the Parliament of the Confederation, as one of their first duties, to consider and to settle by an act of their own the qualification for the elective franchise, which would apply to the whole Confederation.<sup>1</sup>

No less than five Dominion elections were held under the provincial franchises. For although Macdonald (as indicated above) believed that the Dominion should determine the qualifications of all those who voted in its elections, he was unable to persuade all his followers, and the Liberals stood firmly for provincial control which they considered to be more in accord with the idea of federalism. Several circumstances eventually brought a change. Some provincial governments had begun to disfranchise employees of the Dominion, and as these were appointed by patronage, and as Conservative Governments had been in power at Ottawa for most of the time since Confederation, the great majority of those affected by these provincial laws were Conservatives. It not unnaturally irked a Conservative Cabinet to see the

<sup>1</sup>*Confederation Debates*, 1865, p. 39.

most reliable of party supporters (including many on the pay-roll of the government-owned Intercolonial Railway) deprived of an opportunity for showing their gratitude to the party which had given them their positions, indeed, there was something to be said for a perverted form of patronage which would give the Liberals all the government jobs in order to accomplish their disfranchisement. But the Conservatives preferred a more straightforward approach and therefore launched an attack on the franchise itself. Another factor was that a number of the provinces were abandoning the early property qualifications for the suffrage, and were thereby transplanting these electoral practices into Dominion politics. Macdonald and the Conservative party generally had little sympathy with such advanced ideas of democracy and wished to retain a property qualification. Finally, a Dominion franchise would necessitate Dominion election lists, and these in turn would involve widespread patronage in their preparation and frequent revision, an advantage which was not overlooked by the Dominion Cabinet. Taken together, the arguments for a Dominion franchise were considered to be not only cogent, but conclusive.

(b) *Second Period* Dominion franchise (1885-98)

The Electoral Franchise Act was enacted in 1885<sup>1</sup>. It set up uniform qualifications for voting in a Dominion election, which were based on a low property test. The Liberals opposed it vehemently on theoretical grounds and also because of the cost, the party jobbery, and the manipulation which it encouraged. It proved in practice to be outrageously expensive. The Liberal opposition continued unabated for years afterwards, and at their national convention in 1893 they promised repeal if returned to power. In 1898 the new Liberal Government honoured the pledge.

(c) *Third Period* Provincial franchise (1898-1917)

The Act of 1898<sup>2</sup> eliminated the separate Dominion franchise and returned to those of the different provinces. It provided, however, that no person could be disqualified

<sup>1</sup>*Can. Statutes*, 48-49 Vict., c. 40

<sup>2</sup>*Ibid.* 61 Vict., c. 14

from voting at a Dominion election because he was an employee of either the Dominion or a provincial government. When the Conservative Government came to power in 1911, it made no move to change the existing law, which remained intact until the passage of two special measures brought about by the First World War.

(d) *Fourth Period* Combined Dominion-provincial franchise (1917-20)

For a few years the franchise was both fish and fowl: it used the old provincial franchises with additions and subtractions made by the Military Voters Act and the War Time Elections Act of 1917. The general purposes of these acts were plain and unmistakable: they were to give the vote to those who would support the Government, to take it away from those who would oppose it, and to create a floating military vote, a large part of which would almost certainly be given to Government candidates. The Military Voters Act<sup>1</sup> thus enfranchised all Canadian men and women on active service, and made it possible for a substantial part of the military vote to be cast in such constituencies as might be suggested to the voters at the time the poll was held. The War Time Elections Act<sup>2</sup> denied the vote to conscientious objectors, enfranchised the wives, widows, and other female relatives of men overseas, and disfranchised both those of enemy alien birth and those of European birth speaking an enemy alien language who were naturalized after 1902. A year later, the election being over, the vote was extended to all women who were otherwise qualified.<sup>3</sup>

(e) *Fifth Period* Dominion franchise (1920 to the present)

In 1920<sup>4</sup> Parliament passed a new act which straightened out the confusion created during the previous years and once more set up a Dominion franchise for all Dominion elections. Since then all parties have accepted the general principle, and there is no reason to suppose that there will be any attempt to revert to the provincial basis. The provinces still, of course, control the franchise for provincial elections.

<sup>1</sup>*Ibid.*, 7-8 Geo V, c. 34

<sup>2</sup>*Ibid.*, 7-8 Geo V, c. 39

<sup>3</sup>*Ibid.*, 8-9 Geo V, c. 20

<sup>4</sup>*Ibid.*, 10-11 Geo V, c. 46



Canada has today full adult suffrage. Generally speaking, every man and woman may vote if he or she is twenty-one years of age, is a Canadian citizen, has been ordinarily resident in Canada for twelve months preceding the election and has been ordinarily resident in the electoral district at the date of issuing the writ authorizing the election<sup>1</sup>. Certain persons are, however, disqualified for special reasons, these being the Chief and Assistant Chief Electoral Officer—the returning officer in each district (except for a casting vote), every judge appointed by the Governor-in-Council, lunatics, inmates in penal institutions, anyone who is found guilty of corrupt or illegal practices at elections, Indians residing on a reservation who have not served in the armed forces, those disqualified by race from voting in the provincial election in the province in which they have normal residence and who have not served in the armed forces, and persons in several other categories.

### *Elections*

General supervision over the Dominion elections is vested in the Chief Electoral Officer, an independent official who is given special protection in the Dominion Elections Act<sup>2</sup>. He is chosen by a resolution of the House of Commons, holds office during good behaviour, may be removed only for cause and in the same manner as a judge of the Supreme Court of Canada (that is, by the Governor-in-Council acting on a joint address passed by both Houses of Parliament), and is entitled to the same superannuation on the same conditions as the judges of the Supreme Court of Canada. The Chief Electoral Officer issues the writs for the elections to returning officers in each constituency (although the date for the election is fixed by the Governor-in-Council) and after the election these officers return the writs to the Chief Electoral Officer together with all necessary reports and documents covering the elections in the constituencies.

The nomination of candidates for seats in the House of Commons is usually a dual process. The prospective candi-

<sup>1</sup>*Ibid.*, 2 Geo. VI, c. 46, Section 14.

<sup>2</sup>*Ibid.*, Section 4.

date will, as a rule, secure in the first instance a nomination from one of the political parties,<sup>1</sup> but this has no legal effect, and it will not officially place the candidate in nomination. The second or legal step which must be taken is largely a formality, but it is quite indispensable if the candidate wishes to have his name appear on the ballot paper and receive any votes on the day of the election. Any ten qualified voters may formally nominate a candidate by signing a nomination paper giving the name, address, and occupation of the candidate in sufficient detail to identify him. This nominating paper must be accompanied by (*a*) the candidate's consent in writing or, if the candidate is absent, a statement to that effect on the nominating paper, and (*b*) a deposit of \$200. The deposit is intended to discourage irresponsible or flippant candidacies, and will be returned if the person nominated is elected or if he polls at least half the number of votes cast in favour of the successful candidate. If only one candidate is nominated, he is declared elected by acclamation. If a candidate should die after the close of nominations (which is one or two weeks before election day) and before the closing of the polls, another nomination day and election day shall be set.<sup>2</sup> The names of candidates are placed on the ballot in alphabetical order and the address and occupation of each are added to ensure identification, but the names of their political parties or any similar designations are omitted.

In the early days of the federation the nomination of candidates for the House of Commons was made in public, voting was open and oral, and the elections were not all held on the same day, but extended over many weeks.<sup>3</sup> Open voting encouraged bribery and intimidation, and the same practice combined with a lengthy election period allowed the results in one part of the province or Dominion to influence subsequent voting. Plural voting, an offshoot of the property franchise and made possible largely by the prolonged poll, was also permitted in some provinces and hence was used in

<sup>1</sup>*Infra*, Chapter XXII

<sup>2</sup>*Can. Statutes*, 2 Geo. VI, c. 46, Sections 21-4

<sup>3</sup>Thus in 1867, elections ran from August 7 to September 20, and in 1872, from July 20 to October 12. See Sir R. Curtwright, *Reminiscences* pp. 20-1. In the one constituency, the election might last several days.

Dominion elections. An act of 1874,<sup>1</sup> however, made many changes. It abolished public nominations, instituted voting by secret ballot, and provided (with some exceptions which were made necessary by geographical conditions) for elections to be held on one and the same day. Some small remnants of plural voting persisted in federal elections until as late as 1920, when with the return to the Dominion franchise "one man, one vote" became the invariable rule.<sup>2</sup>

### *Controverted elections*

Closely associated with these matters is the decision of controverted election cases.<sup>3</sup> These involve irregularities or corrupt or illegal practices at elections, and they may result in the election being declared void and the successful candidate losing his seat. Election disputes of this kind had given a great deal of trouble before Confederation, and they continued to do so in the years which followed. Like the other early election procedures, they came under the existing provincial laws, and these provisions were by no means uniform. Thus the laws of two provinces gave disputes of this kind to a general committee of the House, in other provinces cases arising from elections went to select committees of the House, while in Manitoba and British Columbia these cases were tried by judges of the superior courts.<sup>4</sup> The system was not only clumsy, it was often grossly inefficient, for the disputes which went to the legislative committees were rarely, if ever, decided on any but partisan grounds. The practice of having the House hear these cases had been originally copied from Great Britain and constituted one of the privileges of Parliament, but when the British Parliament in 1868 handed them over to the courts, Canada began to consider a similar solution. Parliament passed the necessary legislation five years later in 1873,<sup>5</sup> and this has formed the basis of the existing law. Briefly, controverted election

<sup>1</sup>*Can. Statutes*, 37 Vict., c. 9.

<sup>2</sup>*Ibid.*, 10-11 Geo. V, c. 46, Section 57, *Can. H. of C. Debates*, April 13 1920, pp. 1158-9.

<sup>3</sup>Campaign funds and election expenses are discussed in Chapter XVIII.

<sup>4</sup>See R. MacG. Dawson, *The Principle of Official Independence*, pp. 51-1.

<sup>5</sup>*Can. Statutes*, 36 Vict., c. 28. Provincial legislatures later followed suit, and all have given their own controverted election cases to the courts.

cases are now heard by two superior court judges (without a jury) from the province where the election has been held, and they report their findings to the Speaker of the House of Commons. An appeal from the court's decision on both law and fact lies to the Supreme Court of Canada.<sup>1</sup>

### *By-elections*

Finally, representation in the House of Commons involves not only its periodic renewal at the general election, but also occasional appeals through by-elections, those contests which are held from time to time to fill vacancies which occur through death, acceptance of office,<sup>2</sup> resignation, or some other cause. By-elections are a very useful adjunct to parliamentary government. They provide an opportunity for both Cabinet and Opposition to test their policies in sample constituencies, they furnish an instrument through which the people can give encouragement or warning to those in power, and the intensive discussion of public questions in the constituency is widely reported and has a marked educative effect throughout the entire nation. The by-election also introduces an element of flexibility into Parliament: the membership does not remain frozen into a shape determined some years before, but becomes to some degree adjusted to changing opinions and circumstances. "The essential test of an electoral system is not its static efficiency, but its dynamic efficiency. What we require of the House of Commons is that it should be not a snapshot of the electorate at a particular moment, but a moving picture. By all means let the picture be as accurate as possible, but it is infinitely more important that it should move. It will always be more important that the representative chamber should be sensitive than that it should be a mathematically accurate reflection of the electorate at a given moment."<sup>3</sup>

The by-election is no straw vote based on a cold uninformed opinion, it is a genuine contest conducted on well-

<sup>1</sup>*Rev Stat Can* (1927), c 50

<sup>2</sup>This is a very special way of vacating a seat which is more fully discussed later. *Infra*, pp 388-90

<sup>3</sup>*The New Statesman*, March 11, 1922, p 638

prepared ground and under substantially the same conditions as a general election. A comparatively obscure constituency may thus suddenly find itself the centre of national interest and disputation, and every voter in the area is made to feel that momentous issues hang on his considered judgment. Opposition leaders pour into the district, the voters are overwhelmed by literature, canvassers, broadcasts, and public meetings, and even weary Cabinet Ministers will find time to participate and lend the Government candidate a helping hand. For the issue is not simply a matter of winning or losing a seat—it is to a greater degree the increase or decrease in the size of the party vote which was polled in the last contest in that district, and hence each party has a goal in view which may be quite within its reach. Victory is good, but in terms of comparative figures it may actually be disaster, defeat is a discouragement, but it may, in fact, indicate a growing ascendancy and a promise of later triumphs.

The consequences of a by-election or series of by-elections may be far-reaching. The votes will scarcely have been counted before calculators and interpreters will be wrestling with the results, newspapers will carry weighty editorials on the significance of the contest, and party councils will review their programmes with either complacency or consternation. For there is not the slightest doubt that the political leaders watch and weigh the by-election results with exceptional care, and several lost seats or a dwindling in popular majorities will do more to bring a Government or party to its senses than all the attacks of its opponents in the Commons. In 1934, for example, six by-elections were held—the Liberals retained three seats, took two from the Conservatives, and reduced the Conservative majority in the sixth by 4,569 votes<sup>1</sup>. Five out of these six elections preceded by slightly more than three months Mr. Bennett's "New Deal" addresses, and it is difficult to escape the conclusion that this programme and the willingness of many of his party to accept so radical a departure were greatly influenced by the succession of electoral reverses.

<sup>1</sup>In all six districts the Liberals improved their 1930 standing by 23,133 votes, or an average of 3,855 votes for each district.

which had just occurred<sup>1</sup> In 1942 the defeat of Mr Arthur Meighen, the newly chosen Conservative leader in a by-election in a strong Conservative constituency<sup>2</sup> shook the Conservative party to its foundations, and most certainly lost Mr Meighen the leadership In 1945 the defeat of General McNaughton, the new Liberal Minister of Defence, in a by-election was a clear sign of the dissatisfaction in English-speaking Canada with the Liberal conscription policy and, but for the favourable progress of the war, would in all likelihood have had very serious political repercussions

But the efficacy of the by-election depends in large measure upon its haphazard occurrence, and the more controlled the timing and the choice of locale, the less likely is it to serve its purpose A contest held under conditions which suit one side and not the other is always unsatisfactory, but it is an unavoidable concomitant of a general election At a by-election it is equally undesirable, but not at all unavoidable The time for calling the general election must be left within the power of the Government to control, that for the by-election is better left to circumstance Yet the present practice is to allow the Dominion Cabinet to decide on by-elections when it pleases, and even to call some and ignore others No less than seven vacancies occurred in the House in 1934-5 following the disastrous series of by-elections noted above, but the Conservatives refused to take any risks and the vacancies remained until the end of the Parliament<sup>3</sup> In 1947 a by-election was held in Montreal, while one in Halifax was put off for many months, the Cabinet evidently thinking it could carry the one riding and not the other The old system of requiring new Cabinet Ministers who accepted portfolios to seek re-election<sup>4</sup> had this genuine merit it materially increased the number of by-elections, and they were invariably elections which could not be postponed From this point of view the abolition of this practice was decidedly short-sighted It should be possible to de-

<sup>1</sup>See *Can. H. of C. Debates*, Feb. 20, 1939, p. 1131

<sup>2</sup>South York turned a Conservative majority of 4,456 into a C.C.F. majority of 2,482

<sup>3</sup>See *Can. H. of C. Debates*, Feb. 14, 1939, pp. 902-3

<sup>4</sup>*Infra*, pp. 388-90

vise a law<sup>1</sup> which would make a by-election compulsory two or three months after a vacancy has occurred, and thus avoid the calculated procrastination which robs this extremely valuable device of much of its usefulness

<sup>1</sup>The experience in Ontario has not been however encouraging, for a section of the Legislative Assembly Act (*Rev Stat Ont* (1937), c 12, Section 34) giving authority to the Clerk of the Crown in Chancery to issue a writ for an election if a vacancy has existed for more than three months was not enforceable in the courts and apparently lacked also provision for its own implementation. The vacancy in the Assembly which gave rise to the test case had existed for almost two years. *Temple v Bulmer*, S C R (1943), 265

## CHAPTER XVII

### THE HOUSE OF COMMONS PERSONNEL

#### *Qualifications and disqualifications*

The qualifications of members of the House of Commons (unlike those of the senators) are not given in the British North America Act, but are determined by statute. Those of the members in the early Canadian House were fixed by the provincial laws which governed the respective provincial legislatures until such a time as the Dominion Parliament set up its own requirements. The present statutory qualifications are simple: the members must be Canadian citizens and at least twenty-one years of age. Property qualifications disappeared in 1874 at the same time the ballot was introduced.<sup>1</sup>

There are, however, certain positions or circumstances which will disqualify a person from being a candidate for or sitting as a member of the House of Commons. The following are ineligible: (1) those convicted of corrupt or illegal electoral practices (they cannot sit for a period of seven or five years respectively after conviction), (2) government contractors, (3) certain public officers such as sheriff, registrar of deeds, etc., (4) members of a provincial legislature,<sup>2</sup> (5) senators,<sup>3</sup> (6) persons holding offices of profit or emolument under the Crown, with the exception of Cabinet Ministers with portfolios, parliamentary assistants, members of the armed services on active service, and members of the militia.<sup>4</sup> While the House cannot on its own motion (that is, without resorting to legislation) add to these disqualifications

<sup>1</sup>*Can. Statutes*, 37 Vict., c. 9, Section 20.

<sup>2</sup>These were not always barred, although Nova Scotia and New Brunswick from the beginning prohibited the members of their legislatures from sitting in either Dominion house. The Canadian Parliament imposed a conditional prohibition in 1872 (35 Vict., c. 15) and a complete prohibition in the following year (36 Vict., c. 2) on members of a provincial legislature either running as candidates for or sitting in the House of Commons. It is still possible for a member of the Quebec Legislative Council (the only provincial upper house now in existence) to be at the same time a senator.

<sup>3</sup>B. N. A. Act, Section 39.

<sup>4</sup>The grounds of ineligibility given above are not complete. See *Can. Statutes*, 2 Geo. VI, c. 46, Section 20.



of candidates, it can by simple resolution expel a member or refuse to admit him. Louis Riel, for example, was twice expelled. Thomas McGreevy was expelled in 1891 for his part in certain scandals,<sup>1</sup> and in 1947 the House passed a resolution that Fred Rose "having been adjudged guilty of an indictable offence and sentenced to six years' imprisonment and not having served the punishment to which he was adjudged, has become and continues incapable of sitting or voting in this House, and it is ordered that Mr. Speaker do issue his warrant to the Chief Electoral Officer to make out a new writ for the election of a new member."<sup>2</sup>

*Offices of profit or emolument under the Crown*

The general rule that no person can hold an office of profit or emolument under the Crown and remain a member of the House of Commons was originally formulated in England to guard against members being brought under ministerial executive control, an abuse which dates back to the efforts of Tudor and Stuart sovereigns to acquire parliamentary support through a careful if not too scrupulous use of the power of appointment.<sup>3</sup> If applied without exception, it would clearly exclude from the House not only judges, civil servants, and other officials (which is desired) but also any Cabinet Minister who received a salary—an absurd and impossible restriction under a system of cabinet government.<sup>4</sup> Following the English precedent, the Canadian statute<sup>5</sup> for many years declared that if a member of the House accepted a portfolio (that is, a position in the Cabinet and the headship of a department) he could regain his seat if, as Minister, he was *then* elected to the House. In short, the constituency had to give its approval to the member *while*

<sup>1</sup>Sir J. G. Bourinot, *Parliamentary Procedure and Practice* (3rd ed.), pp. 255-61.

<sup>2</sup>*Can. H. of C. Debates* (unrevised), Jan. 30, 1947, p. 2.

<sup>3</sup>Rectified in England by the Act of Settlement (1700), Section 3.

<sup>4</sup>This curious situation actually arose in Prince Edward Island in 1859-63, when the law excluded from the legislature all office holders in receipt of salaries. During this period the Cabinet consisted entirely of Ministers without portfolio and hence unpaid. E. A. Forsey, *The Royal Power of Dissolution of Parliament*, p. 222.

<sup>5</sup>*R.S. Stat. Can.* (1927), c. 147, Section 13.

*holding the office of a salaried Minister*. Thus if the members of a Cabinet who were already in office were returned at a general election, they would not have to be re-elected, if, however, as a result of a general election the Government changed, or if at any other time one or more new Ministers took office, the extra elections would become necessary. Special provisions were made to permit a mere exchange of portfolios taking place within the Cabinet without the necessity of an additional election.<sup>1</sup>

This curious arrangement, although based on conditions which had long ceased to carry any weight, had one great merit—it caused from time to time a number of by-elections at which the record of the Government was brought into special prominence, inasmuch as the immediate issue was the election or rejection of a Cabinet Minister. But certain other features were not so easily defended.<sup>2</sup> It was, for example, quite meaningless to have a general election and overthrow a Government, to swear in a new Cabinet immediately, and then to have the new Ministers—fresh from an election a few weeks before—return to their constituencies and submit to further elections. These by-elections were, indeed, so superfluous that in almost every instance the Ministers were returned by acclamation.

Another awkward situation arose when a Cabinet was changed in the middle of the parliamentary session. On the new Cabinet assuming office, some fifteen or twenty seats would become immediately vacant, there would be no Cabinet to meet the House, and usually the most feasible alternative was to adjourn the House for some weeks until the by-elections could be held and the Ministers had had an opportunity to regain their seats. If the new Government's majority was uncertain (and, under the circumstances, it was almost bound to be so) its majority might easily have

<sup>1</sup>The notorious "double shuffle" of 1858 arose from a clause in the statute dealing with such a contingency. The statute at that time stated that a Minister who resigned and within a month accepted *another* portfolio did not thereby vacate his seat. The Macdonald-Cartier Government resigned in 1858, and after a few days came back into office. In order to avoid by-elections, each Minister took a different portfolio than he had had before—the shuffle, and then on the following day each returned to his original department—the double shuffle.

<sup>2</sup>See *Can. H. of C. Debates*, May 8, 1931, pp. 1407-14.

vanished after the fifteen or twenty vacant seats had been filled. It was to avoid these delays and embarrassments that Mr. Meighen, when he accepted office in 1926,<sup>1</sup> formed his "acting Ministry." This was composed of Mr. Meighen, who had by the operation of the law automatically vacated his seat on becoming Prime Minister, and several others, who were not made Ministers but "acting Ministers," the chief difference in status being that they were not heads of departments but acting heads, and as such, received no salary and could therefore retain their seats. This kind of Cabinet was legal, but quite new to the government of Canada. Its position was questioned by Mr. King, and enough Progressive support was detached to bring about its defeat in the House three days after it had taken office.<sup>2</sup>

The law was completely changed in 1931.<sup>3</sup> Today any member of the House of Commons may become a Minister and the head of a department without such action in any way affecting his seat, and the parliamentary assistants are allowed the same privilege under the terms of their annual appropriation.<sup>4</sup> The general rule, however, which makes a person who holds an office of profit or emolument under the Crown ineligible to sit in the House of Commons is still unimpaired.

### *Residence*

There is no residence qualification for members of the House of Commons, either in the British North America Act or in any statute. Nor can there be said to be any customary qualification, although undoubtedly there is a marked tendency for constituencies to prefer residents as members. But cases are not uncommon where members do

<sup>1</sup>Mr. Mackenzie King's Government had been in imminent danger of being defeated by a vote pending in the House, and he had advised the Governor General to dissolve. The latter refused, stating that as Mr. Meighen had the largest single party in the House and as an election had been held only eight months earlier, Mr. Meighen should be given an opportunity to form a Government. Mr. King thereupon resigned, and Lord Blyth sent for Mr. Meighen. Mr. Meighen, although head of the largest party in the House, had no majority and was thus forced to depend on Progressive support.

<sup>2</sup>*Supra*, p. 190.

<sup>3</sup>*Can. Statutes*, 21-22 Geo. V, c. 52.

<sup>4</sup>*Ibid.*, 10 Geo. VI, c. 76 (Appropriation Act No. 6, Schedule A).

not live in their constituencies and they occasionally represent constituencies in other provinces. The latter is most apt to occur when a seat must be found for a party leader or a Cabinet Minister who has been defeated or who is entering the House for the first time. The absence of any residence requirement gives a useful element of elasticity, for defeat does not necessarily mean exclusion from Parliament nor is an able member kept out simply because he happens to live in a district which is politically opposed to him.

### *Term*

The maximum term of the member of Parliament is five years, but the minimum may be for almost any shorter period, depending on the time when Parliament is dissolved. During the First World War the term was extended to six years by a special amendment to the British North America Act,<sup>1</sup> the shortest term, in 1925-6, was approximately ten months.

The usual term is about four years. It has, indeed, become a tradition of Canadian political life that no Prime Minister will allow a term to run for the full five years if it can possibly be avoided. This is based on experience as well as on other practical considerations. Three times<sup>2</sup> Parliament has run its full five years: on two occasions (1896, 1935) the Government was then beaten, and on the third (1945) it had a very narrow escape. Moreover, a Cabinet which puts off the election to the last possible moment is sure to be faced with the embarrassing accusation on the hustings that it had postponed the day of reckoning because it was afraid to face the people. It also runs the risk of failure in getting its measures through Parliament, for on such occasions time is a powerful ally of the Opposition, and it may be able to filibuster Government proposals until the term of the House runs out. Thus the Liberals in 1896 prevented the remedial bill on Manitoba schools being passed by resorting to systematic obstruction.

<sup>1</sup>*Supra*, p. 141

<sup>2</sup>This omits the abnormal six year term, 1911-17

But the weightiest objection to the five-year term from the Cabinet's point of view is that it thereby loses control of the political situation, the calendar and the accident of circumstances become the real masters. As long as there is an alternative time for an election, the Prime Minister is able to a material degree to pick his own issues and seize the most favourable moment for the contest. This is a tremendous advantage which no astute leader will ever relinquish unless, as occasionally happens, the present and immediate future appear so discouraging that nothing can be lost by postponing the election as long as possible. In all three instances of the five-year term mentioned above, the risks incurred by the long term were apparently preferable to the difficulties which an earlier election might have precipitated.

The uncertain term has one conspicuous merit, namely, that while it cannot guarantee that there will be a genuine issue to be decided at the election, it most certainly increases greatly the likelihood of such a fortunate synchronism. The combination may come about through skilful manoeuvring by the Prime Minister, or because the political situation forces an election, or because both factors work together to produce the same result. Canadian history supplies several excellent illustrations. The Pacific Scandal in 1873-4, the proposed reciprocity agreement in 1911, and the Byng-King dispute in 1926 were all issues which were submitted to the people for a definitive verdict at least two years before the five-year period had elapsed. In all three instances the election was called to settle a controversy, there was a real issue to be decided, and the Government made an appeal to the electorate for support. On other occasions which might be mentioned, the Prime Minister was better able to control the time and the issues, but this direction was made possible in a large measure because the issues were neither so vital nor so insistent.

There is clearly an appreciable risk involved in lodging this power of dissolution with the Prime Minister, and, as stated above, the Byng-King dispute centred about the propriety of the Prime Minister making his demand for a dissolution. But even when the circumstances surrounding the

demand are far more normal than they were in 1926 (the merits of which dispute need not be discussed here) there is always the temptation for a Prime Minister to use his power to promote party ends, for few in such a position are able to distinguish at all clearly the exact place where the party prospects end and the public good begins. There is, however, fairly general agreement on two points: first, the Governor-General should in the vast majority of cases allow the Prime Minister to exercise the power of dissolution without interference; second, the power is a weapon which has become almost indispensable to a Prime Minister in enabling him to keep his party and Parliament under control. The weakness of cabinets in pre-war France has been ascribed in no small measure to this deficiency.

Finally, while the uncertain term and the sudden election may at times have a disturbing effect on the economic and political life of the nation, it is very questionable indeed whether this is more injurious than the elaborate preparations which precede an election which is known and planned for long in advance. *In any event, there can be little doubt that the psychological effects of the uncertain term are both stimulating and wholesome in a democracy.* The elements of speculation, hesitation, and suspense, the momentous decision, the dramatic appeal of the Government for vindication at the hands of the electorate, the frequent emergence of a genuinely controversial issue, are all calculated to attract attention, to stir up interest, to induce discussion, to make the people realize their importance as active and indispensable participants in the democratic process. Routine elections which can be anticipated far ahead and which are likely to owe their chief interest to the fact that so many months have passed since the last contest, appear, by comparison, somewhat stodgy and uninspiring affairs.

Parliament must hold at least one session a year,<sup>1</sup> and in an emergency and sometimes following a change of Government it has held an extra session. It is, as stated before, summoned by the Governor-General on the advice of the Prime Minister.

<sup>1</sup>B N A Act, Section 20

*Resignation*

A member of the House of Commons, unlike his fellow member in Great Britain, is allowed to resign<sup>1</sup> Even so, the act of resignation may be attended with certain complications If the member's election is under dispute or if the time during which it may be questioned has not yet expired, his resignation is forbidden<sup>2</sup> There is also, perhaps, an implied restriction that resignations are not to be made except for very serious reasons "A member," said Mr Mackenzie King, "is elected to serve during the life of a Parliament Unless there are grave reasons compelling him to return to private life, or he receives some appointment under the Crown he is, I believe, in duty bound to keep the mandate he has received from his constituents"<sup>3</sup> When the member has actually decided to resign, he has more than one alternative as to the method he shall adopt He may simply give notice from his place in the House of his intention to resign, or he may send a written declaration of his intention, attested by two witnesses, to the Speaker of the House If the member is himself the Speaker or if there is no Speaker at the time, he may make the same written declaration and send it to any two members of the House<sup>4</sup>

For many years a member might run and be elected from more than one constituency in the same election, but he was then compelled to make his choice and resign from the seat he had decided to abandon Prominent party leaders could thus make sure of a seat and perhaps help to carry a constituency which was considered doubtful This practice was forbidden in 1919<sup>5</sup>

*Salary*

Members of the House of Commons and the Senate are not paid a salary, but rather what they are pleased to call an indemnity This subtle distinction seems to rest on the assumption that members have their own occupations and that

<sup>1</sup>This was explicitly stated in 1868, *Can. Statutes*, 31 Vict., c. 25, Section 7

<sup>2</sup>*Rev. Stat. Can.* (1927), c. 145, Section 9

<sup>3</sup>*Can. H. of C. Debates* (unrevised), April 1, 1946, p. 463

<sup>4</sup>*Rev. Stat. Can.* (1927), c. 145, Sections 6, 7

<sup>5</sup>*Can. Statutes*, 10 Geo. V, c. 18

they are worth far more to the nation than what they receive in their capacity as members. The payment is thus merely a compensation for losses which they have sustained in sacrificing their time, energies, and talents in the public service. In the early years when the demands on the members were not nearly as numerous as they are today, there was doubtless much to be said for such an interpretation, but at present the parliamentary sessions tend to increase in length, and membership in the House is fast becoming a full-time occupation. It would seem to be more in accord with facts to regard the remuneration as a simple unpretentious home-spun salary.

The members of the first Assembly in Canada in 1758 were paid nothing, and those of the first Dominion Parliament received \$600. The amount has since been raised on several occasions, the last being in 1945. Before the recent increase all were paid \$4,000 a session. The Government proposed to give the members of the Commons an extra \$2,000 a year non-taxable living allowance, and to cut off the members of the Senate without even a token payment. But the latter protested so violently at the brutality of this honest appraisal of their worth that the Cabinet decided to soothe the injured senatorial dignity by allowing them an extra \$2,000, although without the tax exemption, and the act was passed in that form. The senators now receive \$4,000 a session plus \$2,000 a year or \$6,000, while the members in the Commons get \$4,000 a session plus \$2,000 a year, tax exempt which in all is worth not far from \$8,000.<sup>1</sup>

While the basic salary is paid on a sessional basis, it is almost always an annual payment. An additional session in the year is exceptional, and even then, excessive payments are guarded against to some degree by a system of deductions and an insistence on a minimum number of days in the session. The full sessional payment is thus not made unless the session is at least 65 days in length, and to receive this a member (or senator) must attend at least 50 days. Days, however, on which there is no sitting because the House (or Senate) has adjourned over that period, count as days of attendance, a

<sup>1</sup>*Ibid.*, 9-10 Geo VI, c. 29



provision which makes the whole arrangement almost a farce. If the session lasts less than 65 days or if the member attends less than 50 days, the payment is \$25 for each day's attendance. A member is allowed 15 days' unexcused absences, and for every day missed over the 15 allowed he has \$25 deducted from his total payment. There are further provisions to cover sickness and other contingencies, and also to pay travelling expenses to and from Ottawa once for each session.<sup>1</sup>

Cabinet Ministers receive the sessional indemnity of \$4,000, plus an annual taxable \$2,000, plus an automobile allowance of \$2,000, plus \$10,000 a year—a total of \$18,000. The Prime Minister receives (the first three items being the same) \$23,000.<sup>2</sup> The Government Leader in the Senate is paid an extra allowance of \$7,000, or a total of \$13,000.<sup>3</sup>

Canada has accepted since 1905<sup>4</sup> the principle that if it is a good investment to pay Cabinet Ministers to carry on the business of the country, it is equally sound to pay a Leader of the Opposition to criticize the Government and even to try to prevent it from doing what the people of Canada desire. The logic may be a little obscure, but the arrangement is none the less satisfactory for that. The Leader of the Opposition is accordingly paid the same salary (including the automobile allowance) as a Cabinet Minister, and the Opposition Leader in the Senate is paid an extra \$4,000, or \$10,000 in all.<sup>5</sup>

The determination of how much a member of the House of Commons should be paid (leaving to one side the more imponderable senatorial services) is by no means easy or simple. One may state with some assurance, however, that the present amount is by no means excessive, although it would be more straightforward to make the salary taxable and place it without disguise at \$8,000 a year with an additional modest allowance for extra sessions. Two extremes must always be avoided. The salary must not be so low that

<sup>1</sup>*Re Stat Can* (1927), c 147, Sections 33-47

<sup>2</sup>*Ibid.*, c 182

<sup>3</sup>This extra allowance was begun in 1947

<sup>4</sup>*Can Statutes*, 4-5 Edw VII c 43. *Re Stat Can* (1927), c 147, Section 42

<sup>5</sup>This also was first granted in 1947

desirable candidates will refuse to run because they cannot make ends meet. It must not be so low that the member finds himself compelled to secure an additional income to maintain his position, which income must be derived either from his own private resources, or from outside interests or organizations, or from other activities in which he may be forced to engage and which may seriously interfere with his work as a member. In short, the ordinary needs and moderate comforts of life cannot be ignored without suffering thereby a serious loss in efficiency. On the other hand, the salary must not be so high that it will attract the kind of candidate who sees in politics a remunerative opening which will pay more than any other within his reach or capacity. To demand a certain pecuniary sacrifice is not unreasonable, for politics has many other compensations of an intangible but none the less attractive and piquant nature. "There are," said Lord Haldane, "many kinds of glory. The glory of a popular preacher is very great, but he does not demand a large salary. The glory of a successful politician may be very great, and often he is as poor as a rat, but he does not mind. He has much more. He can dine with millionaires each night if he pleases."<sup>1</sup> Lord Haldane must have been singularly fortunate in his millionaires to draw such a curious picture of perfect felicity, and his sense of creature comforts is also a little out of perspective, but his underlying emphasis on the importance of factors other than salary can scarcely be open to serious question.

### *Parliamentary privilege*

The English Parliament has for many centuries enjoyed certain special privileges and powers for the protection of the houses and of their members against interference and for the more effective discharge of their duties.<sup>2</sup> These gradually

<sup>1</sup>Report of the Coal Industry Commission (Great Britain), II, *Parliamentary Papers* (1919), Cmd. 360, p. 1090.

<sup>2</sup>"Parliamentary privilege is the sum of the peculiar rights enjoyed by each House collectively as a constituent part of the High Court of Parliament, and by members of each House individually, without which they could not discharge their functions, and which exceed those possessed by other bodies or individuals. Thus privilege though part of the law of the land, is to a certain extent an exemption from the ordinary law." Sir F. Erskine May, *The Law, Privileges, Proceedings and Usage of Parliament* (14th ed.), p. 41.

developed during the years as the need for them arose, particularly as part of the parliamentary defences against the powers and the meddling of the Crown during the Tudor and Stuart periods. Occasionally, however, these privileges took a different turn and offered a serious challenge to the liberties of the subject. Privileges formed in themselves a special body of law and became known collectively as the *lex et consuetudo Parliamenti*. It had no statutory basis whatever, indeed, it sprang originally from the authority of Parliament as a court, and not as a legislative body. Parliament merely asserted a rather vague and elastic but dogmatic control over matters which affected its members and the conduct of its business. This special law was for long outside the common law and a rival to it, but the two eventually effected a partial reconciliation, and privilege is now regarded as a part, albeit a separate and independent part, of the common law. But while privilege is thus beyond the jurisdiction of the ordinary courts, the exact relationship between the authority of the courts and Parliament on some aspects of these matters is still under dispute. For if Parliament is conceded complete power and its decisions on such questions are subject to no judicial review, there is little, if anything, to stop arbitrary action and a gradual encroachment on the main body of the law. Thus while the courts will concede that the houses have the power to enforce their privileges without interference they will not admit that this implies complete control over the existence and the extent of the privileges themselves.<sup>1</sup>

Substantially the same customary privileges which had become recognized in England were asserted by the legislatures in the English overseas colonies,<sup>2</sup> and among these claimants were, although at a much later date, the Canadian provinces. Thus the Legislative Assembly of Nova Scotia in its first session in 1758 disciplined a citizen who had uttered threatening language against one of its members, and in 1759 the Speaker asked the Governor (following the British precedent) for an assurance that the "usual privileges" would be granted.<sup>3</sup> The general practice was for the legislatures in

<sup>1</sup>*Ibid.*, pp. 148-75

<sup>2</sup>See Mary P. Clarke, *Parliamentary Privilege in the American Colonies*

<sup>3</sup>*Ibid.*, p. 91n

all the colonies to assert and exercise their assumed privileges, and for the English Government and the courts to question the validity of many of these pretensions.<sup>1</sup> A series of court decisions during the last hundred years, however, has clarified the situation, and there now seems to be very little uncertainty as to the position of parliamentary privilege in Canada.<sup>2</sup>

The *lex et consuetudo Parliamenti* as known in England, unlike the major part of the common law, has not been transplanted to Canada. The creation of legislative bodies overseas did not endow those bodies with the privileges and powers of the English Parliament, which, as stated above, were primarily judicial in origin. Such creation did imply, however, that these legislatures would need to exercise certain very moderate privileges which were necessary for the maintenance of order and discipline during the performance of their duties. But these were to be protective and not punitive powers, for the latter were again considered to be characteristic of a court rather than of a legislative body.

The above restrictions on the privileges of the colonial legislatures apply, however, to their innate powers alone—their ability to assert successfully privileges and powers which rest only on tradition and on inheritance. This is quite apart from their ability to define privileges and to assume powers *by statute*, which ability is limited only by the general jurisdiction of the legislative body. The Canadian provincial legislatures (some of which, it must be remembered, antedate that of the Dominion by about one hundred years) thus enjoy a moderate disciplinary power, derived from their status as legislative bodies, and also an authority to confer wide privileges and powers on themselves by the enactment of legislation to that effect. All the provinces of Canada have passed such laws.<sup>3</sup>

<sup>1</sup>*Ibid.*, pp. 235-61. See Burnish Murdoch *Epitome of the Laws of Nova Scotia* (1832), I, 65.

<sup>2</sup>There are many cases on this subject e.g. *Kelly v. Carson*, 4 Moo P.C. 63, *Doyle v. Falconer*, 4 Moo P.C. (N.S.) 205, *Barton v. Taylor*, 11 App. Cas. 197, *Fielding v. Thomas* [1896] A.C. 600.

<sup>3</sup>In the early years of the federation, the Dominion Government disallowed some provincial acts of Ontario, Quebec, and Manitoba which sought to establish privileges of the legislatures of these provinces on the ground that they were *ultra vires*. Other such acts were allowed to stand. Nova Scotia, whose laws on the question antedated Confederation, could not be subjected, for example, to these attentions.

The inherent privileges of the Dominion Parliament were of the same moderate proportions as those allowed to other colonial legislative bodies. The British North America Act, however, made explicit provision for the immediate addition of statutory powers and privileges on a substantial scale. Section 18 read as follows: "The privileges, immunities, and powers to be held, enjoyed, and exercised by the Senate and by the House of Commons and by the members thereof respectively shall be such as are from time to time defined by Act of the Parliament of Canada, but so that the same shall never exceed those at the passing of this Act held, enjoyed, and exercised by the Commons House of Parliament of the United Kingdom of Great Britain and Ireland and by the members thereof."

In 1868 the Canadian Parliament enacted a law which gave to each of the houses, in almost the identical words used above, the powers, immunities, and privileges enjoyed by the British House of Commons at the time of passing the British North America Act "so far as the same are consistent with and not repugnant to the said Act."<sup>1</sup> A further section stated that these were part of the general and public law of Canada and "it shall not be necessary to plead the same, but the same shall in all Courts in Canada and by and before all judges be taken notice of judicially." The act also protected the publication of any proceedings against civil or criminal suit if these were published under the order or authority of the Senate or House of Commons.

This was followed in the same year by an act professing to give to the Senate or to any select committee on private bills of the Senate or of the House the power to examine witnesses on oath.<sup>2</sup> In 1873 the power to examine witnesses on oath was extended to any committee of either house.<sup>3</sup> This latter act was disallowed by the British Government on the ground that it was *ultra vires*, in that it tried to give powers to the Canadian houses which were not possessed in 1867 by the British House of Commons. The earlier act had

<sup>1</sup>*Can. Statutes*, 31 Vict., c. 23, Section 1. The last clause (in quotation marks) creates a limitation which may not have been implied in the B.N.A. Act.

<sup>2</sup>*Ibid.*, 31 Vict., c. 24.

<sup>3</sup>*Ibid.*, 36 Vict., c. 1.

been, in fact, *ultra vires* also, although it had been allowed to stand. The sequel came in 1875 with an amendment to the British North America Act, which repealed the original Section 18 and substituted another to the effect that the privileges, immunities, and powers of the Canadian Parliament and its members were never to exceed those enjoyed from time to time by the British House of Commons.<sup>1</sup> Another section confirmed the earlier Canadian act of 1868 noted above. Inasmuch as the British House of Commons in 1871 had given to its committees the power to examine witnesses on oath,<sup>2</sup> the Canadian Parliament was able in 1876 legally to endow its committees with the same power.<sup>3</sup>

The privileges, immunities, and powers of the Houses of the Parliament of Canada are thus potentially those of the British House of Commons, although their primary base is statutory and not established custom and inherent right. They can, of course, be increased beyond those of the British House by constitutional amendment. Curiously enough, they cannot be so readily extended as those of the provincial legislatures, for while there may be some implied limitations on the latter, they could be greatly augmented by legislative act of these bodies themselves. The Dominion might, of course, disallow so presumptuous a piece of legislation.

The privileges, immunities, and powers of the Canadian Parliament fall into two chief categories: (a) those of individual members of either house, (b) those of the Senate or of the House of Commons as a body.<sup>4</sup> They are virtually but not quite the same for each house,<sup>5</sup> and the discussion which follows will, for the sake of convenience, deal only with the privileges (including "immunities and powers") of the Commons.

(a) *Privileges of the individual member*

Some of these are designed to enable the member to attend

<sup>1</sup>*Supra*, p. 140.

<sup>2</sup>*Brit. Statutes*, 34-35 Vict., c. 83.

<sup>3</sup>*Can. Statutes*, 39 Vict., c. 7.

<sup>4</sup>See Bourinot, *Parliamentary Procedure and Practice*, pp. 143-73, Arthur Beauchesne, *Rules and Forms of the House of Commons of Canada* (3rd ed.), pp. 79-86.

<sup>5</sup>The privilege of the Commons to settle controverted election cases, for example, could clearly not be a privilege of the Senate.

to his parliamentary duties without interference. He cannot be arrested or imprisoned under civil process while Parliament is in session or within a reasonable time going to and returning from the session. This gives him no protection against arrest in any criminal action or for any indictable offence,<sup>1</sup> although, if he should be arrested, that fact must be at once reported to the Speaker. A "reasonable time" in England has traditionally been considered to be forty days, which, with existing transport facilities, would appear more than adequate. A member does not have to serve on a jury during the session, nor at such times can he be compelled to attend court as a witness although, if necessary, the House will give its permission for him to absent himself for such a purpose.

Other privileges are meant to encourage the member to speak and act freely without fear of undesirable consequences. The most vital of these by far is his right of freedom of speech, that is, an immunity from any legal prosecution for anything he says or does in his work as a member in the House or on its committees. The assumption is that occasional abuses of this privilege will be more than compensated for by the gain in complete frankness in discussion. A few restrictions do, in fact, exist, but these are to promote more orderly debate and the proper and seemly conduct of government. Members cannot be assaulted, or insulted, or threatened in the House or going to or from the House.<sup>2</sup> To offer a bribe to a member—or for a member to accept a bribe—is a breach of privilege and regarded as a "high crime and misdemeanor." These privileges are also extended to any who attend the House under its orders, such as, for example, a witness before the House or a committee of the House.

#### *(b) Collective privileges of the House*

These privileges concern the House as a corporate body, and their breach is usually designated by the word "contempt," a term used in much the same sense as the more familiar "contempt of court" applied to judicial proceedings.

<sup>1</sup>See statement on the occasion of the arrest of Fred Rose, M.P. *Can. H. of C. Debates*, March 15, 1946, pp. 4-8.

<sup>2</sup>For an alleged threat against a member, see *ibid.*, Feb. 1, 1939, p. 519.

Contempt is an invasion of the rights of the legislature as a whole, disobedience to its orders, or disorderly conduct before or within it <sup>1</sup>

The fundamental collective privilege of this nature is the maintenance of order and discipline in the House, for clearly the legislature could not function if it had no authority to compel obedience from its members. The mildest exercise of this power is when the Speaker calls a member to order, and this will occur a number of times before the Speaker moves on to sterner measures. If necessary, he will then threaten to "name" the offender, that is, to call him by name instead of using the name of his constituency. If this threat fails, the member is named. The abashed member is thereupon led from the chamber by the Sergeant-at-Arms and the House decides what disciplinary measures shall be taken, the initiative being with the senior Minister present <sup>2</sup>. The House may suspend the offender for the sitting, or for a much longer time, or may even expel him.

The House of Commons has always had the power to regulate its own internal concerns, including the right to decide whether a person elected should be allowed to take his seat. The power of expulsion is closely associated with this refusal to admit, and in either contingency the rejected person may run again as a candidate and be again elected—and conceivably be again refused admittance. The House had formerly the privilege to try all controverted election cases, but this right (as already noted) has been passed over to the judiciary in order to secure a more impartial and expeditious trial <sup>3</sup>.

Another kind of 'contempt proceeds from outside the House, and may consist of scandalous or libellous reflections on the proceedings, or on the members in their capacity as members. Inasmuch as attacks of this kind on a member may be construed as attacks on the privileges of the House as a body, the line between personal and collective privilege

<sup>1</sup>See R. Luce *Legislative Assemblies*, pp. 499-518.

<sup>2</sup>Liguori Lacombe, M.P., was named and suspended in 1942, *Can. H. of C. Debates*, March 24, 1942, pp. 1605-7. For an earlier case, see Paul Bilkev, *Papers, Papers and Things*, pp. 146-7.

<sup>3</sup>*Supra*, pp. 382-3.



is here not very clear. Members are, indeed, inclined to overwork this privilege by raising a wide variety of petty questions as alleged infractions, although, on balance, these protests do little harm and frequently enliven the proceedings and start the House on its day's work in a more cheerful frame of mind.

Breaches of privilege committed by persons outside the House may be followed up by summoning them to appear before the Bar to explain or to justify their action. If guilty, they may be punished by a public reprimand, delivered by the Speaker, or they may be imprisoned, although they cannot be kept in custody after the House has prorogued. There is nothing, however, to prevent the House at its next session from re-committing the offender to gaol for further punishment.

## CHAPTER XVIII

### THE HOUSE OF COMMONS PROCEDURE

THE close similarity between British and Canadian political institutions and the tendency of the latter to cherish inherited traditions and precedents are nowhere so vividly illustrated as in the conduct of legislative business. From the first meeting of a newly elected Parliament to the moment of its dissolution an enormous number of British practices are followed as scrupulously in Canada as in the Mother of Parliaments itself. It has always been so,<sup>1</sup> and the general principle is still observed that whenever a matter of legislative practice or procedure is not covered by the Canadian rules, the usages and customs of the British House will be followed so far as they are applicable.<sup>2</sup> The original justification was, of course, that these were part of the great political inheritance which no Canadian, whether of British or French extraction, wished to forego, and to this must now be added an *uninterrupted Canadian custom reaching back almost two hundred years to the first Nova Scotia Assembly in 1758*. The most colourful of these venerable customs are those which appear as part of the opening procedure of a new Parliament.

#### OPENING OF PARLIAMENT

The members of a newly elected House of Commons come together when summoned by the Governor-General-in-Council, and they at once sign the roll and take the oath administered by the Clerk of the House. After a short lull in the proceedings, three sharp knocks are heard on the door of the Commons chamber, and it is opened to admit an official of the Senate, the Gentleman Usher of the Black Rod. He walks up the centre of the chamber and announces, both

<sup>1</sup>Note the immediate assertion by the first Assembly of Nova Scotia of the right to exercise and enjoy the privileges of the House of Commons.

<sup>2</sup>Canadian House of Commons, Standing Order No. 1. There are, as will be seen later, important differences as well between Canadian and British practices. Arthur Beauchesne *Rules and Forms of the House of Commons of Canada* (3rd ed.), pp. 1-5.

in English and in French, that the Deputy of the Governor-General<sup>1</sup> desires the presence of the Commons in the Senate chamber. The members of the Commons then proceed as requested to the other house, where the Speaker of the Senate makes the following announcement:

His Excellency the Governor-General does not see fit to declare the causes of his summoning the present Parliament of Canada until the Speaker of the House of Commons shall have been chosen according to Law, but this afternoon, at the hour of three o'clock in the afternoon, His Excellency will declare the causes of his calling this Parliament.<sup>2</sup>

The members of the House thereupon retire to their own chamber to elect a Speaker. This does not take place every session, but only for each newly elected Parliament or, of course, at other times if it should become necessary to fill a vacancy. The House, which is as yet unorganized, is presided over by the Clerk who points to the proposer and seconder of the candidate for the speakership, the proposer being conventionally the Prime Minister and the seconder a member of the Cabinet. In early times in the provinces it was not uncommon for more than one candidate to be nominated, but this has never happened in the Dominion. Any member of the Commons may, of course, speak on the nomination, and almost invariably<sup>3</sup> the leaders of the Opposition parties express their approval. The designated member having been declared elected, the mover and seconder conduct him to the Speaker's chair. He thereupon thanks the House, and (following a very old English tradition) "disables" himself by expressing his unworthiness for the high honour. The following is a typical utterance by a recent Speaker:

Ladies and gentlemen of the House of Commons, it is with a real sense of gratitude that I accept, in all humility, the bestowal of this high honour. Yet, gratitude is here tinged with anxiety. So many distinguished gentlemen

<sup>1</sup>There are two Deputies of the Governor-General, namely the Chief Justice and the Senior Puisne Judge of the Supreme Court. On the first occasion on which either one of them attends the Senate after his appointment, the commission granting his powers is read by the Clerk of the Senate in the presence of both houses.

<sup>2</sup>*Can. H. of C. Journals*, Sept. 6, 1945, p. 7.

<sup>3</sup>In 1936 Mr. R. B. Bennett, Leader of the Opposition, disapproved strongly of the candidate nominated. *Can. H. of C. Debates*, Feb. 6, 1936, pp. 6-10.

have graced this chair that I would falter before the prospect of succeeding them were I not, while truly conscious of my own unworthiness, given assurance by my knowledge of the genuinely fair and considerate attitude of my honourable colleagues in this House. Strengthened, therefore, by your enlightened advice and counsel, guided by the wise decisions of my eminent predecessors I dare hope to preside over the proceedings of this august assembly with impartiality and justice to uphold British parliamentary traditions, to be, in truth, your worthy spokesman.<sup>1</sup>

These remarks being concluded, the mace is then placed on the table before the Speaker. The mace is the symbol of the Speaker's authority, and accompanies him on all formal occasions. It leaves and enters the House with him, being carried before him by the Sergeant-at-Arms, and it reposes in his chambers between sittings and sessions of Parliament. When he leaves the chair, it is placed on the rests at the end of or "under" the table.

In Great Britain the Speaker is repeatedly re-elected irrespective of party changes or his own party affiliation, but in Canada a new Speaker is usually chosen for each Parliament,<sup>2</sup> and he never comes from any but the Government party.<sup>3</sup> The Canadian practice has at least one great advantage, in that it enables Parliament to alternate more frequently the Speakers from English and French Canada, a custom which has been regularly observed in recent years. It is supplemented by the rule that the Deputy Speaker must possess a "full and practical knowledge" of the language which is not that of the Speaker.<sup>4</sup> The duties of the Speaker as well as the nature of the rules he enforces have been well stated by Mr R. B. Bennett:

The duties of a Speaker are very onerous. The Speaker of our House first of all has to preside over our deliberations. He must protect the person of the members from insult. He must maintain decorum. In addition to that he must put every question to the House that has to be considered by its members, any motion or resolution must be read by him. His

<sup>1</sup>*Ibid.*, pp. 12-13

<sup>2</sup>One Speaker, Rodolphe Lemieux, held the office for three Parliaments, and there are other exceptions.

<sup>3</sup>Sir Wilfrid Laurier stated that he had approached Sir John A. Macdonald with the suggestion that the Canadian House should follow the English practice of permanency, but Sir John did not favour it. *Can. H. of C. Debates*, Jan. 20, 1909, p. 2.

<sup>4</sup>This last provision is contained in a standing order (No. 56) of the House.

second duty is to see that the conduct of debate is maintained in accordance with the rules and practices of this House. He must have a knowledge of the written rules, that is the standing orders and rules of the House of Commons which are embodied in the little volume which I hold in my hand. That as we lawyers might say, is the *lex scripta*, the written law governing the House of Commons in the conduct of debate. But there is a great body of law which is not written—a great body of precedent which has to do with the conduct of debate. There is that accumulation which has come down, as Sir Wilfrid Laurier said, during all the ages to which we must pay regard and respect. The Speaker is the guardian of the powers, the dignities, the liberties and the privileges of this House of Commons.<sup>1</sup>

The Speaker sits on a dais at one of the narrow ends of the rectangular Commons chamber. The members of the Government party sit on the long side to the Speaker's right and face across the chamber where the Opposition parties occupy the other long side to the left of the Speaker. Occasionally, when the Government supporters are very numerous, they fill not only the entire right side but spread over to the far left as well. The Prime Minister occupies a desk one-third of the way down the chamber from the Speaker, he is flanked by the Cabinet Ministers, and the Leader of the Opposition is directly opposite, supported by his chief assistants. Other Opposition parties form their own small groups around their respective leaders. Slightly in front of the Speaker on the central floor which divides the right side from the left is the table of the House holding the mace. The chair of the Clerk of the House (occupied by the Chairman of Committees when the House is in Committee) is at the head of the table, and at the right of the Clerk is the Clerk Assistant. In almost the exact middle of the House are the small tables for the reporters of the debates, and, finally, near the entrance or "bar" of the House, sits the Sergeant-at-Arms. Galleries occupy all four sides of the chamber.

The House breaks up after the Speaker has made his speech from the chair, and it reassembles shortly before the time appointed by the Governor-General. Again the Gentleman Usher of the Black Rod knocks, enters, and walks up the centre of the chamber. On this occasion, however, he

<sup>1</sup>*Can. H. of C. Debates*, Feb. 6, 1936, pp. 3-4.

bows profoundly three times as he progresses, and his message announces that the Governor-General (and not his Deputy) desires the attendance of the House in the Senate. He backs out gracefully, bowing three times as he does so. Once again the members of the House go to the Senate chamber. But now, being organized, they go in more orderly fashion, preceded by the Sergeant-at-Arms (bearing the mace), the Speaker, and a small retinue. This time the Governor-General is present, usually in full regalia and surrounded by the utmost that Ottawa can offer in ceremonial splendour. The Speaker immediately announces his election to the Governor-General, and claims on behalf of the members of the House "all their undoubted rights and privileges, especially that they may have freedom of speech in their debates, access to Your Excellency's person at all seasonable times, and that their proceedings may receive from Your Excellency the most favourable consideration." These the Governor graciously confirms to the enormous relief of all concerned. The time-honoured demand for the privileges of the House is taken almost verbatim from the English Parliament<sup>1</sup> but it is there preceded by a request for the royal confirmation of the choice of the Speaker-elect. An early refusal of Lord Dalhousie in Lower Canada to confirm the choice of Louis Papineau as Speaker, led to that province dropping this request a few years later in 1841.<sup>2</sup> It has never been used in the Dominion Parliament.

The Governor-General then reads the Speech from the Throne. This is a statement, drafted by the Prime Minister and doubtless approved by the Cabinet, which reviews concisely the state of the nation and recites in fairly general terms the programme which the Cabinet proposes to submit to Parliament in the coming session. The reading being concluded, the Commons return to their chamber at the other end of the building.

<sup>1</sup>Sir W. R. Anson, *The Law and Custom of the Constitution* (4th ed.), I, pp. 67-8.

<sup>2</sup>Sir J. G. Bourinot, *Parliamentary Procedure* (3rd ed.) pp. 184-6. Some provinces have the Lieutenant-Governor approve the choice of Speaker, others do not.

The Speaker then informs the House that he has attended the Governor and has there made the usual claims on its behalf for the customary privileges, which His Excellency "was pleased to confirm." At this point the Prime Minister moves for leave to introduce Bill No. 1, "respecting the administration of oaths of office." The motion is agreed to, and the bill is read the first time. This bill does not exist except by title, and the same phantom bill is introduced at the opening of every session at Ottawa as at Westminster.<sup>1</sup> It signifies the immemorial right of the House of Commons to attend first to its own business before considering the affairs of the Crown. The Senate also has its *pro forma* Bill No. 1, "a bill relating to railways," which enjoys the same fleeting honour. The independence of the House being thus vindicated, the Speaker announces that when he attended the Governor in the Senate, the Governor had read the Speech from the Throne, and adds that "to prevent mistakes" he has obtained a copy. The Speech is then presented to the House by the Speaker, and a decision is made on the time when it will be further considered.

The next move is the appointment of a special committee of Government and Opposition members<sup>2</sup> to draw up the membership of the standing committees of the House. A few incidental pieces of business bring this sitting to a close.

At the next sitting the House begins to settle down to work. The Ministers table their departmental reports, copies of international agreements, communications of a special nature, orders-in-council, and similar documents. Some 230 of these were brought down on the second day of the 1947 session. Consideration of the Speech from the Throne is the next order, and a special motion giving it precedence over all other business is passed. An Address in reply will be moved and seconded by two private members on the Government side; it will be debated, frequently at great length, and carried on a party vote. The committee which was to draw up the membership of the standing committees makes its report without delay and it is accepted by the House.

<sup>1</sup>The Canadian provinces follow the same practice. As a formal precedent this goes back in England to 1603. *Ibid.*, p. 187n.

<sup>2</sup>These will be party whips, *infra*, pp. 418-19.

## COMMITTEES OF THE HOUSE

The *Standing Committees* are distinguished by the fact that they are appointed in advance for "the consideration of all subjects of a particular class arising in the course of the session"<sup>1</sup> Their number and the titles they bear are governed by the standing orders of the House and change very little from year to year There are at present (1947) twelve of them and two Joint Standing Committees, that is, committees with a combined Senate and Commons membership The names suggest the subject-matter with which each of them is concerned—Public Accounts, Agriculture and Colonization, Industrial Relations Standing Orders Banking and Commerce, Private Bills, Privileges and Elections, Marine and Fisheries, etc.—and the same is true of the two Joint Committees, on the Library and on Printing The number of members on a committee is large,<sup>2</sup> varying usually from thirty-five to fifty or sixty Each has a predetermined quorum of about one-third of its total Members serve on more than one committee, they are placed on those which deal with the topics in which they (and their constituencies) are interested, and the same members go back year after year on the same committees The Government members are in the majority on every committee, all parties being represented in approximately the same proportion as their relative membership in the House

The Standing Committees may be supervisory, such as that on the Library, but the bulk of them are legislative and to some degree investigatory They inquire into and study any matters referred to them, they may send for persons, papers, and records and they make reports to the House—but they are strictly limited by the terms of reference under which they are instructed There has been a slight tendency in recent years to refer some of the estimates to an appropriate Standing Committee with the purpose of widening its scope and enabling it to discuss all matters which come under

<sup>1</sup>Beauchesne, *op cit* p 194

<sup>2</sup>Some years ago the number of members on many of these committees was very much larger, one had 182 members, another, 130 etc



the department concerned.<sup>1</sup> The committees cannot meet while the House is sitting without obtaining special permission, and they cannot function after the House has been prorogued. All members of the House may attend the meetings of any committee without taking part in the proceedings, although they are customarily barred when the committee deliberates and is preparing its report. Committee meetings are also as a rule open to the public.

While the Standing Committees are superficially impressive, they are, in fact, not a very important part of the legislative machinery.<sup>2</sup> From time to time one or more committees may be very busy and will prove extremely useful, but their activity is sporadic and uncertain. Years may elapse without some of them holding a single meeting. In 1942 six Standing Committees did not meet at all. The Debates, Public Accounts, and Fisheries Committees did not sit for many years, and the Committee on Industrial and International Relations went eight years without a meeting. In 1943 three of the Committees were not called together until 115, 123, and 171 days respectively had passed after the opening of the session. The Committee on Agriculture and Colonization sat for a total of seventy-one days in eight years, or an average of only nine days a year.<sup>3</sup>

There is a definite need for a thorough reconsideration of the functions and composition of the Standing Committees.<sup>4</sup> There are probably too many of them, they most assuredly are too large, they are not nearly as useful as they could be made if they were brought more definitely into the orbit of the House's activity.<sup>5</sup> Many more bills could be referred to them, it is possible, although by no means certain, that they might be usefully invoked for the consideration of departmental estimates and accounts, and their effectiveness would

<sup>1</sup>This has been done on several occasions with the Standing Committee on External Affairs. *Can. H. of C. Debates*, May 10, 1946, pp. 1394-6.

<sup>2</sup>They are of almost negligible importance, for example, if compared with committees in the United States Congress.

<sup>3</sup>*Ibid.*, Jan. 29-Feb. 11, 1943, pp. 8-9, 341, Jan. 31, Feb. 21, 1944, pp. 26, 680-1, Nov. 13, 1945, p. 2084.

<sup>4</sup>See Brooke Claxton, John Bracken, *ibid.*, Feb. 9, 1943, pp. 291-7, March 18, 1946, pp. 35-6.

<sup>5</sup>See J. M. Macdonell, *ibid.*, Dec. 18, 1945, pp. 3720-2.

be enormously enhanced by giving them a skilled secretariat which would be at their disposal both during and between sessions. The success which has attended the occasional operations of some of the Standing Committees forms the surest indication of what improvements are possible.

The *Select Special Committees* of the House are much more useful bodies, for they are called into existence by special needs, and there is adequate work mapped out for them to do. The distinguishing marks of the Select Committee are thus its *ad hoc* nature and the narrowness of its field, for it is usually appointed to consider or investigate a particular topic, petition, or bill. Some of the Select Committees tend to resemble Standing Committees in that they may be set up from session to session, and they may, says Beauchesne, be "turned into Standing Committees by instructions enlarging the original order of reference."<sup>1</sup> In recent Parliaments the Reconstruction Committee, the Social Security Committee, the War Expenditures Committee, and the Committee on Radio Broadcasting have all been in this uncertain category, and may be designated *Select Sessional Committees* to indicate a greater degree of permanence than is the lot of the ordinary Select Committee.

Inasmuch as the chief function of the Select Committee is investigation, it may be considered to be the parliamentary prototype of the executive's Royal Commission. It differs from the Commission not only in its method of appointment, but also, of course, in personnel, for its members are all in Parliament and are obviously working only part-time. They rarely possess the *expertise* which often characterizes the members of a Commission, indeed, it is not infrequent to find that one of the main tasks of the Committee is the education of its own members.<sup>2</sup> The result is that the Commission will probably be used for wider investigations or those calling for more specialized qualities, while the Select Committee is preferred for the study of a specific situation which has been brought to the attention of Parliament. This is, however, only a tendency, and no hard and fast line of distinction is

<sup>1</sup>Beauchesne, *op cit*, p. 199.

<sup>2</sup>The Select Committee on the Civil Service in 1938 is an excellent example.

observed in actual practice.<sup>1</sup> There is not the slightest doubt that investigations by Select Committees have often been of substantial help to the Cabinet and to Parliament in the drafting of new legislation and the modification of existing statutes.

The House and the Senate may decide to appoint a *Joint Select Committee* made up of members from both chambers, a device which has obvious advantages in preventing waste of time and duplication of effort. Despite a recent outbreak of these bodies, they have been used rather infrequently. In 1945 a Joint Committee was appointed to choose a design for a Canadian flag, in 1946 and 1947 another of these committees was set up to examine and consider the Indian Act and to report on a number of specific problems relating to Indian administration, and in 1947 yet another was set up to consider human rights and freedoms.

A committee of an entirely different kind and the most important by far is the *Committee of the Whole House*, that is the entire House of Commons acting as a committee, and not in its ordinary capacity. The membership is of course identical with that of the House, but certain procedural practices are different. The presiding officer of the Committee of the Whole is not the Speaker, but the Chairman of Committees, who is the Deputy Speaker, and, as a result, when the House is in Committee the mace is not on but under the table. Members in Committee may speak any number of times on a measure, although the discussion must be strictly relevant to the particular item which is being considered. The discussion in Committee is apt to become more informal: the speeches are short and to the point, and although under the rules all members must address the Chairman, this is relaxed in actual practice and the members commonly address one another, ask questions, and receive direct answers.

The special function of the Committee of the Whole is the discussion of details, and its more flexible procedures are designed with that in mind. All measures for the raising and

<sup>1</sup>A Select Committee (on Price Spreads) in 1934 was continued after prorogation by being transformed into a Royal Commission.

spending of money must be first considered in Committee, and also "any other questions which, in the opinion of the House, may be more fitly discussed in committee, are dealt with in that manner" <sup>1</sup> Public bills will usually be considered in Committee of the Whole following the second reading. The Committee of the Whole House functions in three capacities

(1) As *Committee of Supply*, when it is concerned with the departmental estimates, that is, the votes or grants of proposed expenditures which the Government requires for the coming year. This Committee and that on Ways and Means are constituted immediately following the passage of the Address in reply to the Speech from the Throne.

(2) As *Committee of Ways and Means*, when it is concerned with the raising of money for public use by the imposition of taxes and when it also authorizes the payment of the sums made necessary by the grants voted by the Committee of Supply.

(3) As *Committee of the Whole House* in its ordinary capacity, when it deals with neither supply nor ways and means but other matters which may properly be referred to it. In actual practice these matters are almost entirely confined to the consideration of resolutions preceding the introduction of money bills and the consideration of public bills, clause by clause, following the second reading.

#### RULES OF THE HOUSE

The advantage which the Government has on all committees is fairly typical of the general favours which it enjoys in all parliamentary activity, the extent of these favours being determined in large measure by the rules which Parliament follows in transacting its business. The rules hold the balance between the rights of the private members and the rights of the Cabinet, but the balance tends to lean consistently to one side and help the Cabinet get its measures passed and its supplies voted, for whatever else happens the government of Canada must go on. Members of all parties

<sup>1</sup>Beauchesne, *op cit*, p. 159

accept this gesture to majority rule as inevitable, although their stoicism naturally will not prevent them from protesting and disputing over any optional privileges and interpretations which the rules may allow. There is thus a constant pressure from the Government side to dispose of Government business and an opposing pressure by the private members to slow it up or to shelve it temporarily for their own favourite projects. Somewhere between the two a fairly amicable compromise is usually found. It must, however, be realized that Government measures may and usually do furnish private members on both sides of the House with a wider opportunity for discussion than do the private member bills and resolutions, which are often more restricted in both scope and appeal.

The rules of the House set aside so many days and parts of days of the week for the special use of the Government and of private members respectively. They also provide, however, that after four weeks one of these days (Thursday) becomes a "Government day" instead of a "Private Member day." Unfortunately, Parliament always opens on a Thursday, so that one of these four days is immediately lost to the private member. The debate on the Address in reply to the Speech from the Throne may encroach on one or two more cherished Thursdays, but as most of this debate is fully utilized by private members, their grounds for complaint on this score are not very substantial. Not many weeks after the session opens, however, further encroachments occur, when the Prime Minister moves that on Wednesdays (and perhaps on Mondays also) Government notices of motions and orders shall have precedence over all but certain classes of business. This leaves only an odd hour or two in the week for the consideration of the measures of the private members. Complaints such as the following are not unusual.

Before last session I placed a notice on the order paper at least six weeks prior to the meeting of the House. It had only reached the top of the list, about to come on for discussion, when the Prime Minister rose and moved that all further private members' days be taken away. This year I thought I would make sure, and I therefore placed a resolution on the order paper three weeks after the close of the House. That surely was

plenty of time. On July 25 I sent the notice in. Now I am second on the list and my resolution may or may not be heard. I do not think it will be. And twenty-five members with resolutions below mine cannot possibly be heard.<sup>1</sup>

Protests of this kind are never wanting, but the Government will almost invariably persist in its motion. The reason is very evident: the Government's business is both extensive and urgent, and the parliamentary session is fleeting. The Prime Minister made the following reply to the above speaker:

A good deal has been said on this motion with respect to the rights of private members. Odd as it may seem, I think something ought to be said as to the rights of the Government. In the first place, may I point out that it is over a month since this Parliament assembled. In that time the Government has not been able, until to day, to bring forward a single Government measure. That has not been the fault of the Government, but has been due to the fact that the entire time has been occupied by private members speaking in the debate on the Address in reply to the Speech from the Throne and on the motions with respect to the Brun gun contract. Getting on with Supply is one of the major obligations of a Government. At the end of the session there is an outcry if the Government have a great deal of Supply left. We have not had opportunity thus far to get into Supply at all.<sup>2</sup>

The business of Parliament—and of the Government—is also greatly expedited by the rules of debate.<sup>3</sup> Only certain specific motions are debatable, speeches cannot be read, members cannot speak twice on the same question: these and other rules of a similar kind eliminate much needless discussion. In 1927 the House introduced a drastic curtailment, whereby no one is allowed to speak for more than forty minutes except "the Prime Minister and the Leader of the Opposition, or a Minister moving a Government Order and the member speaking in reply immediately after such Minister, or a member making a motion of 'No Confidence' in the Government and a Minister replying thereto." While this favours the Cabinet and may cause occasional hardship, there can be little doubt that most speakers can make their contributions quite adequately in the time allowed. A few extra minutes may, in fact, be obtained by the unanimous consent of the House if the speech is noteworthy.

<sup>1</sup>A. W. Neill, *Can. H. of C. Debates*, Feb. 14, 1939, p. 914.

<sup>2</sup>W. L. Mackenzie King, *ibid.*, pp. 915-16.

<sup>3</sup>See Beauchesne, *op. cit.*, pp. 87-115.

A further limitation which greatly strengthens the command of the Cabinet over the time of the House is the closure, a device used to terminate excessive debate or systematic obstruction. It was originally introduced at Ottawa in 1913 in order to bring the Borden Naval Bill to a vote after a continuous session of the House (except for Sundays) of about two weeks. The closure is applied as follows. Any Minister, who has given notice at a previous sitting of the House of his intention, may move that the consideration of the matter under discussion in Committee shall not be further postponed or that the debate in the House shall not be further adjourned. This motion is not debatable and must be voted on forthwith. If passed, the discussion or debate on the main question may be resumed but speeches are henceforth limited to twenty minutes, and a vote must be taken on or before two o'clock the following morning.

The value of the closure lies not so much in its actual use (which has been rare) as in its presence in the rules of the House and the knowledge that the Government may invoke it whenever necessary. Curiously enough, there are times when the Opposition may desire to have the closure applied in order to give the party a point of attack. Thus in 1932 when the Liberals wished to call attention to the extreme provisions of the Relief Bill, they obstructed its passage in order to force the Government to apply the closure and thus demonstrate still further the autocratic tendencies of which the Opposition was so loudly complaining.

The regularity and certainty of the party vote on both sides are to a large degree maintained, as stated in an earlier chapter, through the caucus, and they are ensured on the floor of the House by the activity of party officials called "whippers-in" or, more commonly, "whips." These are constantly checking on the party members, summoning them to caucus, discussing their grievances with them, arranging for their order of speaking,<sup>1</sup> and making certain that they are

<sup>1</sup>The Speaker is usually given a list of speakers by the whips and he endeavours to hear them alternately pro and con. According to the rules he gives the floor to the member who happens to "catch his eye" but the process of selection is not nearly as casual as this would seem to suggest.

always on hand when a division (or vote) is called. The last endeavour is made very much easier by the device known as "pairing". This is simply an agreement between individual members of opposing parties that for a specified period neither will vote in a party division, either member or both thus being able to be absent without weakening his party's voting strength. Personal pairs are arranged between members themselves, official pairs through the party whips. The Prime Minister and the Leader of the Opposition have a sessional pair, which lasts for the entire session and becomes operative whenever one is absent from a vote on a party question<sup>1</sup>. Pairing may, of course, become too convenient and members absent themselves for week-ends and holidays, leaving those members from the distant provinces to carry the legislative burden<sup>2</sup>. The use of pairing will depend in large measure on the party convenience. In 1947, for example, the Liberal majority was so small that the two chief Opposition parties thought they might embarrass the Government by constantly threatening defeat, and an order was issued forbidding the pairing of members save for exceptional reasons. Pairs are not recognized by the rules of the House, and a member who through inadvertence breaks a pair and tries to correct it after the vote has been recorded is not allowed to do so. The Meighen Government was defeated on July 1, 1926, by a vote cast under such circumstances.

Official hostilities between the parties rarely overlook the parliamentary courtesies, not merely on the floor of the House, but also "behind the Speaker's chair" when the leaders, and particularly the whips, endeavour to work out arrangements for the conduct of business which will meet

<sup>1</sup>Mr. R. B. Bennett stated the terms of his pair with Mr. Mackenzie King thus: "Our pairs [were] to be in force on all matters introduced by the Government or for which the Government assumes responsibility, also in connection with all matters introduced by the official Opposition or by any member on its behalf, and to apply also when the Speaker is in the chair as well as in Committee of the Whole House on such matters." *Can. H. of C. Debates*, Feb. 22, 1932, p. 385.

<sup>2</sup>In one division on May 24, 1946, no less than 124 members were paired. The absence of Toronto and Montreal members (and others as conveniently situated) over the week-ends has even led to a custom that very few divisions are called during Mondays and Fridays. *Ibid.*, Feb. 21, 1944, p. 679, see also pp. 676-91.



the convenience of all parties. The struggle is, indeed, often carried on more in the spirit of a game than a war, and the onlooker, after hearing a vigorous assault on a Minister early in the afternoon, may be surprised to witness a little later the attacker and the attacked walking together out of the chamber on the best of terms.

### THE PASSAGE OF BILLS

The basic procedure in the passage of public bills<sup>1</sup> is that they should receive three readings in the House, three in the Senate, and then go to the Governor-General for his formal signature. If the bill originates in the Senate, the order of passage in the two houses is, of course, reversed. If one house amends a bill passed by the other, it is returned to have these amendments considered and approved, and if these are not accepted, the house which originated the bill will state the reasons for rejection. Communication between the houses is by message, but if no agreement occurs through this medium, a conference will be held between representatives of each house to discuss and, if possible, to reconcile differences.<sup>2</sup> If agreement is not reached, the measure is, of course, lost.

The introduction of a bill<sup>3</sup> in the Commons must be preceded by forty-eight hours' notice, which appears on the agenda of the House or "orders of the day." When the appropriate time arrives, the member must then move for leave to introduce the bill, giving the bill's title and a "succinct explanation" in a few sentences of its general purpose. Leave is almost invariably granted,<sup>4</sup> and the bill is read the first time without any debate or amendment being permitted. There may be a vote, but the first reading tends to be nothing more than a formality which serves to bring the bill into the swirl of the House's activity.

<sup>1</sup>The distinction between public bills and private bills and the further distinction between Government bills and private member bills have been already made, and the passage of private bills has been described, *supra*, pp. 249, 350-1.

<sup>2</sup>Beauchesne, *op cit*, p. 73.

<sup>3</sup>All possible alternatives and variations in the legislative process are not attempted here, but the main outlines are stated and are, except for those possible additions, correct.

<sup>4</sup>It was refused in 1932. *Can. H. of C. Debates*, Feb. 22, 1932, pp. 380-5.

This is not, however, always the first step in legislation. A bill which involves a charge upon the people, that is, a bill which necessitates the expenditure of public funds or the imposition of a tax must first be considered as a resolution in the Committee of the Whole House. Inasmuch as it is a financial bill, a Minister<sup>1</sup> moves that the House go into Committee at the next sitting to consider the proposed resolution, adding, of course, that the "Governor-General having been informed of the subject-matter of this resolution, is pleased to recommend it to the House." The motion is agreed to, and at the next sitting, again on motion of the Minister, the House goes into Committee to consider the resolution. The Minister thereupon explains the general tenor of the proposal, discussion ensues, and further information on the main purpose of the coming bill may be obtained. This discussion may be carried on at some length although the rules clearly anticipate that it will be brief, for ample opportunity is given at later stages for exhaustive consideration. The resolution is passed, reported to the House, and read a second time. The motion for leave to introduce the bill is then made at once, and the bill is thereupon read for the first time.

The second reading of a bill is normally its most important stage, and it presents the opportunity for full and lengthy discussion. The debate on the second reading, however, is confined to the principle of the proposal and any attempt to discuss details or to deal with the clauses *seriatim* will be ruled out of order. The bill may be defeated on this reading, but the conventional way of accomplishing the same purpose is to move an amendment that the bill be read "this day six months" or after "any other term beyond the probable duration of the session."<sup>2</sup> It is also possible to move a resolution in amendment to the main motion "declaratory of some principle adverse to, or differing from, the principles, policy, or provisions of the bill" or otherwise opposed to its progress."<sup>3</sup>

If the House gives a bill a second reading, it has thereby

<sup>1</sup>B N A Act, Section 51, *supra*, p. 251

<sup>2</sup>Beauchesne, *op cit*, p. 228

<sup>3</sup>*Ibid*,

expressed its approval of the general aim of the bill. The next logical question to be answered is whether the measure as proposed will best carry out this purpose. The following stage must therefore be devoted to a discussion of the detailed provisions. To accomplish this, the bill will now follow one of several routes depending upon the type of bill, the nature of the subject-matter, the information in the possession of the House, and other factors.

(1) It may go directly to the Committee of the Whole House. Here it will be discussed clause by clause, and each will be separately approved, rejected, or amended in an endeavour to make the bill implement most effectively the general principle already accepted. Final disposal of any clause may be postponed to allow an opportunity for obtaining further information or to give more time for consideration, but once a clause is passed it cannot be again discussed.

(2) It may go to a Select Committee. This is the most likely procedure if the House feels it has insufficient information on the particular problem concerned, or on the working of an existing statute, etc.

(3) It may go to a Standing Committee, particularly if there happens to be a Standing Committee already set up on the general field in which the bill lies. This reference may be for the purpose of gathering information or of getting more careful consideration from a smaller body than the Committee of the Whole House, or because the bill is unusually complex, or in order to use the more specialized knowledge which the Standing Committee may possess, etc. The choice between a Select and a Standing Committee will be largely determined by the particular circumstances at the time.

If the bill has gone to a Select or Standing Committee, it will be reported with or without suggested amendments. In either event, it is next referred to the Committee of the Whole House, and the latter takes whatever action it sees fit under the recommendations of the smaller committee.

The next stage is the report stage, when the House receives the report of the Committee of the Whole. If the bill

is reported as unamended, it goes directly on the order paper for the third reading. If amendments have been made, the House gives or refuses a second reading to the amendments, or it may decide to re-commit the bill to a Select Committee, or to a Standing Committee, or to the Committee of the Whole House for further consideration and report.

Finally, the bill comes to the third reading, which is usually perfunctory with little debate and rare amendments, although, speaking generally, the same kind of amendments which are permissible on the second reading may be made also at this time.<sup>1</sup> The principle of the bill is not debatable nor are the detailed provisions, for these have already been settled, and (despite some appearances to the contrary) the rules are designed to eliminate needless repetition. The only debatable point is whether the bill should or should not be read a third time. Occasionally, the Opposition will make at this stage a last desperate effort to encompass the defeat of a Government measure or at least to establish its own position beyond any possible doubt. It is, of course, obvious that a bill which has progressed thus far is not likely to be defeated as it comes into the last stretch.

There are, despite the apparent simplicity of three readings, approximately thirty stages—major and minor—which a money bill encounters from the original notice on the order paper to the final passage by the House,<sup>2</sup> and this takes no account of an exhausting trip through the Senate, possible disagreements between the chambers, and the final signature of the Governor. The following page or two will give a greatly condensed version of the progress of a specific bill through the House which may serve to make the procedure somewhat more real. The bill (No. 195) was passed in the Second Session of the Twentieth Parliament in 1946. Its short title was the Foreign Exchange Control Act, and it eventually appeared in the statute book as 10 George VI, c. 53.<sup>3</sup>

<sup>1</sup>Beauchesne, *op. cit.* p. 238.

<sup>2</sup>*Ibid.*, pp. 164-6.

<sup>3</sup>References are not given for each step. The data may be found in the House of Commons *Journals* and *Debates* for 1946.

1 *June 14, 1946* Mr J L Ilsley (Minister of Finance) moved that the House at its next sitting go into Committee of the Whole to consider a proposed resolution to make statutory provision for foreign exchange control which was being exercised under the authority of orders-in-council passed under the War Measures Act. The recommendation of the Governor General had been obtained. Motion was agreed to.

2 *June 17* Mr Ilsley moved that the House go into Committee of the Whole to consider the resolution. Motion agreed to.

3 *June 17* Mr Ilsley (in Committee of the Whole) explained at some length the history of foreign exchange control, how effective it had been the existing situation, and what steps would probably be necessary in the future. After a question or two, the resolution was reported to the House, and read a second time.

4 *June 17* Mr Ilsley thereupon moved for leave to introduce Bill No 195. The motion was at once agreed to, and the bill read the first time.

5 *July 2* Mr D C Abbott (for Mr Ilsley) moved the second reading. The Minister had earlier intimated that owing to the complexity of the bill he thought the wisest course would be to shorten the time given to it in the House, and to allow the Banking and Commerce Committee to give it a thorough study. This suggestion the House accepted. The bill was discussed briefly, read the second time, and referred to the Standing Committee on Banking and Commerce.

6 *July 9, 11, 15, 16, 17, 23, 25* The bill was discussed in the Standing Committee, witnesses were heard, and the bill was passed section by section with a number of amendments.

7 *July 26* The Standing Committee reported the bill with amendments to the House.

8 *August 7* Mr Abbott moved that the House go into Committee on Bill No 195. Motion agreed to and the House went into Committee of the Whole.

9 *August 7* Committee considered the bill section by section at great length, and passed Sections 1-24. Progress reported.

*August 8* House resumed in Committee, and passed Sections 25-27. Progress reported.

*August 9* House resumed in Committee, and after a long sitting passed Sections 28-53, 55-74. Section 54 was allowed to stand temporarily because of certain criticism, eventually the Minister suggested a compromise, and it was passed as amended. The preamble and the title of the bill (always the two last items to be considered in Committee) were agreed to. Bill reported with an amendment.

10 *August 9* House considered report as amended, and ordered bill for third reading at the next sitting.

11 *August 10* Mr R W Mayhew (Parliamentary Assistant to the Minister of Finance) moved the third reading of Bill No 195. Motion agreed to, bill read the third time, and passed, without any discussion.

12 *August 30* Mr L S St Laurent (Minister of Justice) moved the

second reading of and concurrence in amendments made by the Senate to Bill No. 195<sup>1</sup>. These were both substantial and trivial, but the Government accepted them *in toto*, and after a short discussion the amendments were read a second time and concurred in.

13 August 31. The Royal Assent was given by the Deputy Governor-General in the name of His Majesty.

### FINANCE

Some attention has already been given to the authoritative position occupied by the Cabinet in financial legislation. It is now necessary to develop this point a little further, and to indicate in greater detail the way in which such legislation is drafted and presented by the executive and considered and passed by the House of Commons. Some years ago in presenting a review of Canadian financial administration<sup>2</sup> the Deputy Minister of Finance outlined the essential features of the system. These may be briefly stated as follows:

(1) A budget system, that is a systematic statement and consideration once a year of the financial standing of the government, so that Cabinet, Parliament, and nation may know what the current position is and what it is likely to be in the future.

(2) The acceptance by the Cabinet of the responsibility of preparing this statement, of formulating estimates of future expenditure, and of devising expedients for raising funds to meet these expenditures—all of which it submits to Parliament.

(3) The acceptance by Parliament, and especially the House of Commons, of the responsibility of receiving these statements and plans, of scrutinizing them, of satisfying itself of their essential correctness and suitability, and of approving them.

(4) The acceptance by both Cabinet and Parliament of the doctrine that approval by the latter in no way diminishes

<sup>1</sup>No attempt is made here to trace the course of this bill through the Senate. It may be mentioned, however, that the Senate also referred the bill to its Standing Committee on Banking and Commerce, which held six sittings and took 116 pages of evidence in its inquiry.

<sup>2</sup>W. C. Clark, "Financial Administration of the Government of Canada," *Canadian Journal of Economics and Political Science*, Aug. 1938, pp. 391-419.

the responsibility of the former, either for the proposals as originally submitted or for any modification in these proposals which may be made after discussion

(5) A combination of rigid control over major appropriation items with a degree of flexibility in matters of "supporting details," and power to abstain from making any authorized expenditure if the Cabinet should consider such abstention desirable

(6) As an aid to the foregoing and as an insurance that the wishes of both Cabinet and Parliament will and can be carried out, there must be adequate administrative machinery. This involves not only proper accounting, reporting, and auditing facilities, but also the presentation of material in such a form that a body of laymen, such as the House of Commons, can deal with it intelligently

While a government—contrary to general belief—does not reverse the practice of the frugal individual and spend its money without worrying about its income, there is always an element of this happy inversion in its practice. Some months before the meeting of Parliament the Department of Finance notifies all departments that the "estimates" or planned expenditures for the coming fiscal year should be prepared and submitted. An informal intimation of the general attitude which the Cabinet or the Minister of Finance is likely to adopt on projected expenditure will have reached the departments through their Ministers, so that the amount of cloth from which the coats are to be cut will be vaguely, but none the less surely, known in advance.

The departmental officials at various levels accordingly make their plans for the future and draw up a draft of the expenditures involved,<sup>1</sup> which, it need scarcely be said, are always apt to be in excess of those which are absolutely necessary. These projects are laid before the deputy minister, who scans them carefully, interviews and questions the directors and chiefs of the various departmental activities, sends some items back for reconsideration, cuts other estimates out of hand, and finally passes on a revised proposal.

<sup>1</sup>Any vote of money which is unexpended at the end of the fiscal year, lapses, and, if it is to be spent, must re-appear in the new estimates and be re-voted in the new Appropriation Act.

to the Minister. The Minister, although he has already made his wishes generally known through his deputy, may have further suggestions to offer and may insist on certain alterations being incorporated. The estimates then go to the staff of the Treasury Board, and from there to the Board itself.

The Treasury Board is a statutory committee of the Privy Council composed of the Minister of Finance (the chairman) and five other Ministers, with the Deputy Minister of Finance as secretary. It exercises very extensive authority in all financial matters, which it derives from the Department of Finance and Treasury Board Act and other statutes<sup>1</sup>. It has power to investigate the financial activities of all departments, and it may issue regulations on matters of personnel and financial administration. In the consideration of estimates, its great merits are its small size, the ability and prominence of its members, and the presence of the Minister (and Deputy Minister) of Finance, who is primarily responsible for all financial matters and is charged especially with the unhappy duty of raising the revenue to meet all contemplated expenditures. The Treasury Board is thus by the nature of its personnel and position implacably opposed to extravagance, and it has ample opportunity at this time to develop its peculiar talents. The ensuing struggle is best told in the words of the Minister of Finance:

The staff of the Treasury Board, without reference to the Minister in the first place, go at those estimates and try to have them reduced. They are successful to a considerable extent in having them reduced. But various departments demur, and some go even farther than that and vigorously and violently protest against the proposed cuts. The matter is then taken up by myself with the various Ministers and by the Treasury Board with the various Ministers, and after a considerable amount of argument the estimates are still further reduced until they reach the form in which they appear before the House of Commons.

We talk about putting a watch on expenditures, but how much assistance do we get in this House in watching expenditures? Nine-tenths of the speeches in this House are asking for bigger and better expenditures. That was the case all through the last Parliament. While this session did not start out in that way, it finally got that way. If the Government is

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<sup>1</sup>*Rev. Stat. Can.* (1927), c. 71. See, for example, its powers in civil service matters, *supra*, pp. 309-11.



making large expenditures it is not because the Ministers are trying to make those expenditures, it is because of public and parliamentary demands for those expenditures. That is why the expenditures are being made. At times I feel as though I am against the whole world when I try to keep a lot of these expenditures down. We just do the best we can, that is all, and keep them down.<sup>1</sup>

After this troubled passage through the Treasury Board, the estimates are approved by the Cabinet (where a disappointed Minister may make a last stand for a larger appropriation), and are recommended to the Governor-General for his approval, which is given as a matter of course. They are then transmitted by the Minister of Finance (with the recommendation of the Governor-General) to the House of Commons early in the parliamentary session, and are at once referred to the Committee of Supply.

The estimates are not presented simply as enormous blocks of funds assigned to each department, for this would make it impossible for Parliament either to understand the expenditures or to exercise oversight of any consequence. Even a moderately effective control must be based on an intelligent appreciation of the exact purposes for which the money is to be spent, and this involves breaking down the proposals into a large number of comparatively small items. But, on the other hand, Parliament must not make its statutory provisions too rigid, for it would thereby greatly embarrass the administration in its task of applying the funds effectively to the need which is to be met. A combination of rigidity and flexibility is desired, and this is ingeniously achieved by stating and passing the appropriations in a double form. The main estimates are grouped under hundreds of items, and the Appropriation Act will be passed under these headings which cannot be changed. But behind most of the appropriation headings are "supporting details," and these designate the much smaller amounts assigned to the individual projects and are not entirely mandatory.

This second section of the estimates gives information to Parliament which is essential to the carrying out of its functions of scrutiny and criticism, but it does not form part of the Supply Bill or the Appropriation Act. Were these details enacted as part of the fund-granting statute, the hands of

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<sup>1</sup>J. L. Ilsley, *Can. H. of C. Debates*, Dec. 18, 1945, pp. 3734-5.

the executive would be too closely tied. A degree of flexibility is necessary if the administration of government services is to be carried out smoothly and efficiently. This flexibility, plus the necessary degree of control, are provided by giving the Treasury Board power to vary the details showing the objects of expenditure on which the vote is to be spent. No such variation, however, can be made by a department without the specific approval of the Treasury Board.<sup>1</sup>

After the estimates have been referred to the Committee of Supply, they are dealt with item by item as convenient opportunities present themselves over a period of some months. The items or votes for each department are presented by its own Minister, and he furnishes information and answers questions as desired. Side by side with the proposed estimates are the appropriations voted for the current year in the previous session, so that members have a basis of comparison on which to construct their inquiries and criticism. The House being in Committee, the procedure is not unduly formal, and the discussion is confined almost entirely to details, although on certain broad items (such as one covering the general administrative costs of a department) it may be widened accordingly. No private member, it will be recalled,<sup>2</sup> can have any item increased, but he may move to have it decreased or eliminated.

After these separate resolutions have all been approved (with a further approval by the Committee of Ways and Means)<sup>3</sup> an omnibus Supply bill which includes all the above votes is introduced by the Minister of Finance, is passed through the usual stages in the House, and becomes the Appropriation Act.<sup>4</sup>

Three other kinds of Supply may be mentioned in addition to the one just discussed, which is known as the *Main*

<sup>1</sup>Clark, *op cit*, p. 398. See also *Can. H. of C. Debates*, Feb. 3, 1938, pp. 148-9.

<sup>2</sup>*Supra*, p. 251.

<sup>3</sup>The purpose of this additional formality is to authorize the payment of funds to cover the Supply from the Consolidated Revenue Fund, the gigantic monetary pool into which all payments to the government of Canada are made and from which all payments are disbursed.

<sup>4</sup>The Appropriation Bill is presented to the Governor-General in the name of the House of Commons only, and his assent is given in a special phrase (following English precedent), namely: "In His Majesty's name, His Excellency the Governor-General thinks his loyal subjects, accepts their benevolence, and assents to this Bill." For ordinary bills the assent is given in these words: "In His Majesty's name, His Excellency the Governor-General doth assent to these Bills."

*Estimates* *Supplementary Estimates* are made necessary because of the impossibility of providing in the Main Estimates for all the contingencies which have occurred since their original preparation. The Supplementary Estimates are therefore introduced very late in the session. They add to the Main Estimates both unexpected and increased items, and also those which could have been foreseen but have been only recently decided upon. There are also *Further Supplementary Estimates* which are commonly introduced just before the close of the fiscal year. These are to provide for additional items and money already spent which have not been covered up to that time. It is clear that the greater the use which is made of these devices, the less appreciation Parliament can have of the true financial situation when the Main Estimates are being considered, and this ignorance must inevitably be accompanied by some relinquishment in effective control. The situation is made much worse by the fact that the Supplementary Estimates are usually passed hurriedly on the verge of prorogation and they thus receive the most perfunctory examination. Both the Supplementaries form ideal vehicles for "pork barrel" expenditures.<sup>1</sup>

*Interim Supply* is usually passed in the middle of the session. It provides money for current services because the passage of the Main Estimates is dilatory and the new fiscal year has begun before these are voted and made available. *Interim Supply* is voted in bulk as one-twelfth or one-sixth of the total (one or two months' supply) at a time, and it is passed without delay and with little discussion. Because of the immediate need for funds, the Opposition is, however, in a strong position to state the terms on which this Supply will be granted.<sup>2</sup>

Emergency and unforeseeable expenditure which has not been provided for by Parliament may be made by a Governor-General's warrant, a special authority issued under an order-in-council. It cannot be issued if Parliament is in session, its use is severely restricted by other statutory provisions, and the expenditure is usually included in the next *Supple-*

<sup>1</sup>*Infra*, pp 569-71

<sup>2</sup>See, for example, *Can H of C Debates*, March 29, 1946, pp 386-90

mentary Estimates, which enables Parliament to review it *ex post facto*

The other side of the financial situation is, of course, revenue, and this is considered in the presentation of the budget—the balance of the contemplated expenditures with a corresponding income (derived from current revenue or loans) so that a financial equilibrium is obtained. About the middle of the parliamentary session the Minister of Finance will move “that Mr Speaker do now leave the chair for the House to go into Committee of Ways and Means,” and on that motion will deliver his budget speech. The main topics in this speech have become fairly well standardized and embrace the following<sup>1</sup>

(1) A review of the economic and financial conditions during the past year and the effects of government policies on these conditions

(2) A review of the financial operations of the government during the past fiscal year (or, it may be, the year which is almost concluded). This presents the current situation and lays the necessary foundation for what is to come

(3) A tentative weighing of the existing and, to some degree, known elements of the situation—the probable revenue to be derived from taxation at present levels, and the almost certain expenditure as indicated in the estimates already presented and by the financial demands arising from existing statutory grants. These estimates, being outside limits on expenditure, may not represent accurately what the expenditure will actually be, and hence the Minister will give some indication whether he believes the estimates already brought down to Parliament give an accurate indication of the total amount which will be needed

(4) The adjustment which the above tentative computation has made necessary. If a surplus is indicated, the Minister will probably either change the taxes in certain respects or reduce or abandon some of them. If a deficit is indicated, the Minister must close the gap by tax adjustments, tax increases, new taxes, or capital borrowings. The Government may, of course, choose this moment to announce

<sup>1</sup>Clark, *op cit*, pp 417-18

any drastic changes, such as a departure in tariff policy, which are likely to have important financial consequences

The above motion of the Minister of Finance, which forms the occasion for the presentation of the budget, is debatable and subject to amendments, and it usually receives both. This is one of the most important debates of the year and it will naturally stress in particular the economic difficulties and outlook for the future. The motion is eventually carried (or the Government resigns) and the House goes into Committee of Ways and Means to consider the resolutions which will carry out the budget's proposals. These resolutions may be debated at length. When they are eventually reported and read a second time, the Minister introduces the bills for which these resolutions were a preparation. From then on—second reading, Committee of the Whole, report, and third reading—the bills follow the usual route, and appear eventually in the statute book.

The elaborate and complicated system of authorizing, auditing, and checking which forms a very essential part of the financial administration as it affects the receipt and, particularly, the disbursement of the public funds cannot be discussed here in detail.<sup>1</sup> The primary responsibility for the system is vested in the Cabinet acting through the Treasury Board. The special agent charged with the oversight of the spending departments is the Comptroller of the Treasury, whose office goes back only to 1931 when it was set up under the revised Consolidated Revenue and Audit Act of that year. The Comptroller is a member of the Department of Finance, but he holds office explicitly during good behaviour, and is removable for cause by the Governor-in-Council. He maintains a network of accounting branches throughout all departments. His principal tasks are to make sure that the parliamentary votes are spent as directed and that no such vote is exceeded, and the control is made effective by a pre-audit system under which his office must be satisfied before disbursements are made. Any disputes regarding the Comptroller's interpretation of the grants, or concerning the

<sup>1</sup>See Clark *op cit*, pp 403-17, H. R. Balls, "The Development of Government Expenditure Control: The Issue and Audit Phases," *Canadian Journal of Economics and Political Science*, Nov., 1944, pp 464-75.

amount still standing to the credit of a department, or on similar matters may be taken to the Treasury Board, which has the power of final decision

The office of Auditor-General was established in 1870. He is an official of Parliament—not of the Cabinet—and he holds office during good behaviour, subject to removal only by the Governor-in-Council after the passage of a joint address by both Houses of Parliament. His function is to check on all receipts and payments of the Consolidated Revenue Fund, to ensure that money has been or is to be paid for the purposes intended (including, of course, authorizations made by the Comptroller), and generally to investigate every aspect of the public service as it affects finance. His decisions can also be overruled by the Treasury Board, but these cases must be submitted to the consideration of Parliament in his annual report. In this report he is further bound to call attention to any irregularity, any exceptional procedure, any special payments by warrant, any refund of a tax or similar payment under statutory authority, or any matter which he feels he should bring to the attention of Parliament, and Parliament may, of course, take what it considers to be appropriate action.

## CHAPTER XIX

### THE HOUSE OF COMMONS AND THE CABINET

THE account which has been given in several of the earlier chapters has shown the extremely close connection between the Canadian Cabinet and the majority of the House of Commons—a connection so intimate that it becomes virtual identification. The Cabinet (in effect) summons, prorogues, and dissolves the House, the Cabinet wields very extensive authority over all legislation and exclusive authority over all financial legislation, the Cabinet controls the time, regulates the business, and apports the energies of the House almost *from hour to hour during every day of its meeting*. There is virtually nothing which the House does or discusses in which the Cabinet has not some interest, and in most of these matters it exercises a paramount control. The functions of Parliament would seem to have degenerated until all that it does is to pass on the measures which the Cabinet chooses to offer within the time which the Cabinet chooses to allow, to spend and raise the money which the Cabinet desires without the opportunity of increasing either revenue or expenditure, to fall in constantly behind the majority, which in turn automatically falls in behind the Cabinet. Responsible government would appear to have suffered a strange and alarming inversion: the Cabinet is no longer responsible to the Commons, the Commons has become instead responsible to the Cabinet.

~~That there is a substantial measure of truth in this, no~~ one can deny. The Cabinet does dominate Parliament, and it is largely because of this masterful leadership that Parliament is able to make its expenditure of time and energy produce results which are moderately satisfactory. A House of two or three hundred members which wanders where it pleases will undoubtedly be able to do a lot of wandering, but it will not accomplish much more, and *if it is to perform its functions as a useful part of the government, it must be willing to place some limits on its freedom and submit to direction*. It does not thereby become of necessity sub

servient to the Cabinet nor is the Cabinet on that account unable to derive from the House much counsel and guidance. To regard the rare defeats of the Cabinet by the House as a reliable indication of the efficacy of parliamentary control, is to judge the efficacy of parental control by the number of times the child is punished. The House of Commons does control the Cabinet—rarely by defeating it, often by criticizing it, still more often by the Cabinet discounting the criticism before subjecting itself and its acts to the House, and always by the latent capacity of the House to revolt against its leaders.

This criticism may occur (as indicated elsewhere) in the secrecy of the Government caucus, but the launching of open criticism and attack is primarily the responsibility of the Opposition. It wages perpetual war on the Government, finding out its faults, picking its policies and proposals apart, offering substitutes and amendments, and lying in wait for any sign of weakness or dissension. The preceding chapter has suggested that the rules of the House, while fair, tend to favour the Cabinet and to give it a general right of way for its business, but there are also many rules which are designed to aid the other side and give the minority an opportunity to voice their opinions and register their protests. Some of these provisions have already been touched upon, notably the elaborate formalities in the legislative process which offer facilities at every turn for discussion and attack. It remains now to glance at those opportunities which are especially appropriate for this purpose and which allow the Opposition—and, indeed, any private member—to vent its criticism, woo its public, and test its strength in the divisions.

1<sup>1</sup> *The debate on the Address in reply to the Speech from the Throne*

This opportunity for general debate is presented as soon as Parliament settles down to the work of the session. The leaders of the Opposition parties direct the attack on the Government's policies, past, present, and future, and move amendments to the main motion, and the Prime Minister defends these policies at length. After the great guns have



spoken, everyone feels free to join in the discussion. The great merit of the debate on the Address from the point of view of the private member is that the field of argument is virtually unlimited, and it is therefore possible to talk about anything under the sun and yet be in order<sup>1</sup>. Under such benign auspices even the dullest member can, and often does, fill up his forty minutes with ease. Some idea of the diversity of subject-matter allowed may be gathered from the speech of the Leader of the Opposition (R. B. Hanson) on the Address in 1940. He spoke on the following topics: the summoning of Parliament at that time, responsible government in Canada, the Canadian attitude to the war, the possible invasion of Britain, the manufacture of aeroplanes, the Canadian war effort in general, a speech made by General Crerar, the training of troops, Canada's external relations, finance, soldiers' hutments, wasted potato bags, the Montreal railway terminals, imports from the United States, the Rowell-Sirois Report. Mr. Hanson then added: "I did intend to say something about the St. Lawrence Waterway, but I do not think I should trespass much longer on the time of the House. The Prime Minister to-day tabled the correspondence, and I have not had opportunity to look at it. I shall therefore reserve what I have to say on that matter. I had also intended to say something about leadership in Canada, but also reserve my remarks on that subject until a later date. I cannot, however, refrain from saying something about the position of truck transportation in the province of Prince Edward Island."<sup>2</sup>

The extent to which the members will take advantage of this prolonged field day will vary from year to year, but the tendency is for a large number to use it as a convenient sounding-board for the benefit if not the edification of their constituencies. In 1942, for example, there were no less than 142 speakers, and the debate occupied virtually the entire time of twenty parliamentary days covering a period of exactly four weeks. While the debate is not always so pro-

<sup>1</sup>This was not always so, but the rule has been greatly relaxed. Arthur Beauchesne, *Rules and Forms of the House of Commons of Canada* (3rd ed.), pp. 95-6.

<sup>2</sup>*Can. H. of C. Debates*, Nov. 12, 1940, p. 33.

longed, this was by no means exceptional. The great objection is not the loss of time although that is serious, but the utter pointlessness of many of these outpourings, the speeches lack both direction and force, and they splash ineffectively against the rocks of national indifference and boredom.

## 2 *Questions addressed to Ministers*

The members of the House are given an opportunity at the opening of the majority of sittings to address questions to Cabinet Ministers concerning various phases of public affairs. This opportunity occurs normally on three days in the week, but some use of the privilege is frequently made on other days as well when the orders of the day are reached. Under the rules of the House questions are to be submitted in writing forty-eight hours in advance. If an oral answer is required, this is indicated by an asterisk attached to the question, otherwise the answer is printed in the official *Debates*. If the answer is lengthy or is apt to be delayed, it is passed as an order for return and is tabled in due course.

The formal rule which governs written questions has been supplemented, however, by a practice of long standing whereby the Speaker permits oral questions also, which the Ministers may answer or, if they prefer, may refuse to answer until notice is given. The oral question is supposed to be confined to matters of some urgency or those concerning the business of the House, although this is always given a very generous interpretation.<sup>1</sup> Supplementary oral questions (which should be based on those for which notice has been given) are sometimes allowed but they are not very common, and are definitely not encouraged.

The question must consist of an inquiry reduced to its simplest form. It must contain no argument or opinion, must not be provocative, and must be brief and to the point. The answers given must be equally concise and limited to the minimum explanation that is necessary to convey the information. The following are sample questions: the first, the

<sup>1</sup>Beauchesne, *op cit*, pp. 117-21.

most common, of the factual type, the second, one which illustrates those which are sometimes not answered because it is not advisable to do so, the third, an oral question, asked on the orders of the day, which is given an evasive answer<sup>1</sup>

#### AUSTRALIAN AND NEW ZEALAND BUTTER

Mr Drope

1 How much Australian and New Zealand butter was brought into Canada this year?

2 What was the cost laid down per pound?

3 How much of this butter is still in the hands of the government agencies?

Mr Gardiner

1 4,984,448 pounds

2 Invoice value f o b shipping point \$1,873,710 67 Transportation costs are not complete at this date

3 38,808 pounds

#### WAR ASSETS—SCRAP METAL

Mr Daniel

1 How much copper and brass, and alloys thereof, has been sold as scrap metal by War Assets Corporation since the end of the war to date?

2 What types of scrap were sold, and what was the quantity and price received for each classification?

3 Who purchased this scrap and what was the price paid by each purchaser, and what amount did each buy?

4 What export permits were issued for scrap sold to dealers for brass and copper?

5 Who received these permits?

6 What amount of scrap was covered by each permit?

Mr Howe

It is not the policy to answer questions of this kind. The answer could be of value only to those having the material mentioned for sale and the purpose would be to get the prices to know what his competitors are bidding therefore I would ask that the question be dropped

Mr Speaker

Dropped

#### STRAIT OF CANSO

#### BRIDGING PROJECT—CALLS FOR TENDERS

*On the orders of the day*

Mr Clarence Gillis (Cape Breton South)

Mr Speaker, I should like to ask a question of the Minister of Transport

<sup>1</sup>Can. H. of C. Debates (unrevised), May 5, 6, 21, 1947, pp. 2777, 2831, 3330

Have tenders yet been called for the construction of a causeway across the Strait of Canso? If not, are they in the course of preparation?

Hon. Lionel Chevrier (Minister of Transport)

Mr. Speaker, the hon. member asked that question the other day. At that time he was called to order, and told that the question should have been put on the order paper—just as this one could. But I will say this to him now, that the method of transportation at Canso is being given very serious consideration. When a decision is arrived at it will be announced in this House as government policy.

The purposes behind the interrogation are varied. It may be a simple inquiry for information, it may represent a covert attack on a Minister, it may be a search for material to use in a later debate, it may be an attempt to win favour in a constituency, it may be an endeavour to call public attention to a grievance, or it may be a scheme to induce the Government to commit itself to a policy. The practice is enormously valuable, for it draws the acts of government out into full publicity and threatens at all times to submit the most obscure happenings to a sudden and unexpected scrutiny. It is one of the most formidable devices which the Opposition has at its disposal.

The question, invaluable though it is, is clearly open to abuse unless it is surrounded with substantial safeguards. The Minister is thus permitted to decline to answer any question and cannot be compelled to furnish a reason for doing so, although a blunt refusal would place him in a very vulnerable political position. A common reason for silence is that an answer would not be in the public interest, and this is deemed adequate if the question demands confidential or secret information, which might occur in the course of a war, or with privileged correspondence, etc. Inquiries involving future Government policy are also considered to be improper, as are trivial or hypothetical questions, those which seek information which is readily obtainable by members through other channels, and many others.

The most frequent abuse of the question privilege in the Canadian House of Commons is the demand for information which is of extremely doubtful value, and to secure which involves a tremendous amount of labour. The Government will usually take exception to such questions on the ground

of expense, and they will be dropped from the order paper. The following is an example of one of these, with the Prime Minister's comments attached.

*Mr Mackenzie King* On to-day's list there is question No. 48, relating to civil servants. The question reads:

1 How many civil servants entered the various departments of the government under the provisions of the Civil Service Act, since 1920?

2 What was their place of residence when they entered the service?

3 How many are bilingual in each department?

That means a search from one end of Canada to the other for the information which is requested. I question whether the reply, while it may be possible to have it compiled, if it is to be accurate, can be made available within several years. That kind of question does not facilitate the business of the House nor does it keep down the expenses of government.<sup>1</sup>

3 *A motion of adjournment to discuss a definite matter of urgent public importance*

This device enables the private member to break into the routine proceedings of the House and precipitate a discussion on an urgent current matter which he believes should be brought to the attention of the Government and Parliament. The member asks leave to move the adjournment of the House "for the purpose of discussing a definite matter of urgent public importance" and states his reasons. If the Speaker decides that the question is urgent, he asks whether the member has the leave of the House. If at least twenty support the motion, the member may proceed, and if less than twenty but more than five support it, the question of leave is at once referred to the House for a decision. The House does not, of course, actually adjourn, and it is customary at the end of the discussion for the member to withdraw his motion. The motion is simply the means to enable the matter to be brought before the House. The member will probably succeed in doing more than force a discussion, for the Cabinet can scarcely abstain from offering some comment on the issue which has been raised. A Minister is therefore apt to attempt a defence or at least make a statement which will involve an enunciation of Government policy.

<sup>1</sup>*Can. H. of C. Debates*, Feb. 1, 1937, p. 423.

There are a number of restrictions on the use of this motion for adjournment. The most controversial is that concerning the question of urgency. This is taken to mean that the matter is so pressing that the public interest will suffer if attention is not at once directed to it. The Speaker is the sole judge of the question of urgency and in giving his ruling he must also consider the other opportunities which the member may have for bringing the question before the House. There is no appeal from his decision on the point. The matter to be discussed cannot be one which has already been before the House that session, and it must deal with a subject which is within the competence and responsibility of the Dominion government.<sup>1</sup>

4 *A motion for the House to go into Committee of Supply or of Ways and Means*

Under a standing order the House will go into Committee of Supply or of Ways and Means on two days of the week without a motion, but at other times the Speaker must first put the question (moved by a Minister) that the House go into Committee. Any member may then rise and initiate a discussion on grievances relating to virtually any subject, with or without moving an amendment. For centuries it has been the tradition in England to use this opportunity for Parliament to air its complaints when the requests of the Crown for funds are to be considered. Inasmuch as the House goes into Committee of Ways and Means only a few times in a session and goes very frequently into Committee of Supply, by far the greater number of opportunities for voicing grievances come on the latter occasions. In April, 1947, the Government (as compensation for other inroads on time) agreed that on every Monday of that month it would move as the first order of Government business that the House go into Committee of Supply, so that private members would be assured of a weekly opportunity to raise any questions they wished to have discussed.<sup>2</sup>

It may be noted in passing that different opportunities for

<sup>1</sup>Beauchesne, *op cit*, pp 63-78

<sup>2</sup>*Can H of C Debates* (unrevised), March 31, 1947, pp 1923-6

securing discussion occur with some frequency, and that should one fail, another may often be substituted. Thus a member who has been unable to force a discussion on a motion of adjournment to discuss a definite matter of urgent public importance, may succeed in obtaining his discussion a little later in the same sitting when the Government moves that the House go into Committee of Supply,<sup>1</sup> or even after the House has gone into Committee.<sup>2</sup>

### 5 *Discussion in Committee of Supply*

Inasmuch as virtually all Government activities entail expenditures, and as most of these expenditures must be authorized by the approval of estimates,<sup>3</sup> it follows that sooner or later the House must pass on most of these activities of the Government in Committee of Supply. Each item in the estimates is debatable, and any member may move to have it reduced or struck out. "When public finance is involved," said Mr. R. B. Bennett, "it is the duty of every member of the Opposition to endeavour to show whether the country got the greatest value possible for its money."<sup>4</sup> Members may demand information in great detail, and can hold up the estimates until the desired material is produced. Thus in 1931 the Liberal Opposition refused to pass the estimates until certain contracts had been placed before and examined by the Committee.<sup>5</sup> Mr. M. J. Coldwell, the C. C. F. leader, has stated the position of the private member in strong but not exaggerated terms: "There is one place and one place only in this Parliament where private members are supreme, namely, in Supply when discussing the estimates. This is His Majesty's purse, and the age-old right of members of Parliament is to decide to what extent His Majesty's purse shall be filled. That is what we are doing now, and I certainly wish to protest against any precedent being established that would curtail the right of this Parliament to discuss any

<sup>1</sup>*Ibid.*, March 31, 1931, pp. 457-63.

<sup>2</sup>*Ibid.*, Nov. 5, 1945, pp. 1808-10, 1819-37.

<sup>3</sup>There are some expenditures made under permanent statutory authority, e.g. interest on the public debt, salaries of the judiciary, etc.

<sup>4</sup>*Can. H. of C. Debates*, June 14, 1938, p. 3834.

<sup>5</sup>*Ibid.*, March 30, 1931, pp. 418-22, 432-53.

matters in connection with the administration of the Minister's department<sup>1</sup>

Debate on a wider scale can occur when the estimate deals with general departmental activity, such as the costs of departmental administration, but as the discussion must be strictly relevant in Committee as well as at any other time, criticism in Committee is almost always confined to matters of detail. A Minister will occasionally accept suggestions from private members on small matters in the estimates, and these concessions are not normally considered to be capitulations which endanger the Government's prestige.

#### 6 *Private member bills and resolutions*

This is one of the simplest and most obvious ways for an ordinary member of the House to influence and to attack the Government. The private member, as has been mentioned before, will have great difficulty in securing the necessary time on the parliamentary calendar for the consideration of his proposals, but hours are always found for some of them, and a debate develops. The subject is likely to be a special hobby or interest of the member (such as civil service reform, public ownership, proportional representation) and its introduction gives the House an opportunity to express its views and perhaps vote on the question. It provides an opening for an attack on the Government if the member wishes to use it for that purpose, for if the suggested change is desirable and if nothing is being done about it, some degree of blame can be attributed to the Cabinet for its inaction. The normal Government attitude is one of official indifference with no restrictions being placed on how its supporters speak or vote. If the Government should wish to suppress such a measure, arrangements can be made to have it talked out, voted down, or passed and then dropped. The limited time available is always a reliable ally fighting on the side of the Government.

#### 7 *The Budget*

The budget furnishes the private member's second main

<sup>1</sup>*Ibid.*, June 12, 1941, p. 3922



sporting event of the session. Economic issues are so pervasive and the remedies for all economic ills so well known and so clearly comprehended that everyone will have his own contribution to make to the budget debate. Virtually all these contributions can be considered to be relevant. Almost all of them will involve some part of the Government record as well as its proposals. The necessary practice of keeping all budget provisions a close secret until the Minister of Finance presents his statement to the House makes preliminary consultation in caucus impossible, and occasionally the Cabinet may be moved to make some small modification in the original recommendations.

#### 8 *A motion of want of confidence in the Government*

This is the most direct method of launching an attack on the Cabinet, but it is usually brought in as an amendment to another motion or by an immediate attack on a Government measure which inferentially becomes an issue of "no confidence." There are times when a Cabinet may itself take the initiative and demand a vote of confidence from the House. Thus in January, 1926, when no party had a majority, the Liberal Government before the Speech from the Throne was considered, asked for a vote of the House to confirm its right to office. In all cases where the challenge to the Cabinet has the formal support of an Opposition party, the Prime Minister will endeavour to facilitate debate and speed the taking of the verdict which his opponents profess to desire.

It is clear that the private members, and particularly those private members of the Opposition parties, have many occasions through the session when they may impress their views on Parliament and bring them to the attention of the country. Even so, there are many ways in which this criticism and discussion might be turned to better advantage. Mr. Brooke Claxton in an admirable speech some years ago<sup>1</sup> threw out a number of suggestions, derived from British practice, whereby this might be accomplished. He pointed

<sup>1</sup>*Ibid*, Feb. 9, 1943, pp. 291-7.

out, for example, that the British question hour is not filled (like the Canadian) with continuous demands for *recondite* facts, but brings out a large proportion of questions of a stimulating and provocative character. Questions are used to express a grievance, or to draw the Cabinet's attention to a condition, or to provide a Minister with an opportunity to make a statement on policy, and these are given an additional colour and interest by the free use of supplementary questions.

Another British practice, which has much to commend it, is the limitation and direction of the time devoted to the debate on the Address. The Government, Mr. Claxton suggested, could use the closure not only to keep this discussion within moderate limits, but also to allot definite periods for specific topics. Some days for this debate could be left entirely open as at present, but others should be confined to the discussion of various subjects put forward by private members. The Speaker in Great Britain consults with the different parties and then chooses for debate the subjects which promise to be the most significant and the most fruitful. The saving in time through the use of this procedure would be impressive, discursive trivialities, which today form a large part of this debate, would be almost eliminated and the discussion would be focussed on questions of primary and secondary importance. The same idea of a general division of subjects might also be carried over into the debate on the budget, so that Ministers and members could concentrate on the same issues and bring their ideas into actual contact and conflict.

Finally, Mr. Claxton proposed that the British practice of holding debates "on the adjournment" should be introduced into the Canadian House. If a member at Westminster is dissatisfied with the answer given by a Minister during the question hour he gives immediate notice that "in view of the unsatisfactory nature of the reply" he "will raise the matter on the adjournment." He thereupon places his name down for the first vacant period of this kind. This period is a half-hour at the end of each day's sitting which is set aside for the purpose of raising matters "on the adjournment."

When the member's opportunity comes, he launches his attack, and the Minister will be present to reply. The conventional rule is that the member will allow the Minister as much time to defend as he uses in stating his side of the argument. It need scarcely be pointed out that the combination of the question and the debate on the adjournment is a tremendously powerful implement in the hands of a skilled opponent.

Progress in these matters in Canada has been incredibly slow. The House is incurably conservative, and even the British example, so conclusive in many things, seems to have lost its power of attraction. The forces of resistance are found in the top and bottom ranks, among both the generals and the privates. The Cabinet Ministers are not at all anxious, for example, to put teeth into the question period, nor do they wish to add to the more effective employment of the forces of the enemy by giving them so sharp an instrument as the debate on the adjournment, nor do they desire to strengthen the position of the standing committees by encouraging their intervention in departmental activity. "The Government," said Mr. John Bracken in much the same strain, "allows itself to perpetuate these antiquated forms because they enable it the better to thwart the Opposition and to protect its own interests as a party in control of the procedure of the House."<sup>1</sup>

The private members have a different motive, but it leads to the same result. They are always suspicious of any change which may contribute to their further supersession, and this is particularly true of the rank and file. The less their ability, the more they will oppose innovations which will enhance the position of the more competent. The race is to the swift, but they would not be among the winners, and the greater the turmoil and confusion, the less apparent will be their deficiencies. They will strive to maintain the right of all members to meander at will, simply because they would be unable to keep up if compelled to concentrate on a fixed and definite objective. The only safe policy is to retain the existing order at all costs.

<sup>1</sup>*Ibid*, March 18, 1946, p. 35

The result has been that the rules of the House have remained almost stationary. The standing orders have been revised only five times since Confederation, and only two of these changes were extensive. The last revision—a partial one—was in 1927. In recent years the House has gone so far on several occasions as to appoint a committee to consider possible amendments, but it has not accepted even the moderate recommendations that have been made.<sup>1</sup> "Canadian practice," to quote Mr. Bracken once more, "is still in the ox-cart stage of half a century ago."<sup>2</sup>

These are some of the formal conditions under which the political war is fought and while there is little doubt that many changes in detail might be made with profit, there is general agreement that the essentials should be maintained very much as at present. For the rules and the recognition of the rights of the majority and minority rest on something much more substantial than the mere votes of the House which have set up the former and maintain the integrity of the latter. The Committee on the Revision of the Standing Orders of the House of Commons declared in 1944: "Two fundamental principles govern the procedure of the House. They are, that the Government shall, so long as it can maintain a majority, be able to secure such legal powers as it considers necessary for administration and that minorities, however small, shall be able to criticize that administration. These rights cannot be alienated even if the House, in maintaining them, may protract sessions and lay itself open to severe criticism."

The basic condition under which the House operates is thus a genuine spirit of tolerance and fair play, and an unwillingness to take undue advantage of the power which political fortune has temporarily placed in the hands of the majority. Indeed, time and again a party leader will be found to forego the momentary advantage and maintain a principle which strengthens the position of his adversary. A

<sup>1</sup>*Can. H. of C. Debates*, March 7, 1944, pp. 1238-42, *Can. H. of C. Journals*, April 10, 1946, p. 126. See also Beauchemin, *op. cit.*, pp. v-vii.

<sup>2</sup>*Can. H. of C. Debates*, March 18, 1946, p. 35.

<sup>3</sup>*Ibid.*, March 7, 1944, p. 1239.

Cabinet Minister will frequently intervene, for example, on behalf of an opponent if he believes the ruling of the Speaker to be wrong or to have given insufficient weight to interparty arrangements which may have been agreed upon.<sup>1</sup> The Leader of the Opposition will prove an even more zealous defender of the minority privileges, for his position—and interests—make him their especial guardian. In the words of Mr. Bennett

I occupy a position in which I am placed by statute, and one of my duties is to do exactly what I am doing, to try to safeguard the liberties of Parliament from encroachment by the Government of the day. That is my duty. That is one of the difficulties of the position which I occupy, and I will discharge that duty whether it be on behalf of a member of the Opposition or of any other party when there is tyrannical exercise of power on the part of the Government by reason of a great majority, enabling the administration to destroy the liberties of this Parliament which have been secured in the manner we all know. When that happens it is my unfortunate duty to protest against such an encroachment upon the liberties of members of the House, and I propose to do it so long as I am here."

Intervention on the part of a Cabinet Minister may not be, of course, all pure undiluted sportsmanship blended with a love of minority rights. No Government can be quite unmindful of the fact that in protecting the minority today, it is actually protecting itself tomorrow, and this sober reflection is likely to introduce a kindly note into its relations with other parties. Moreover, no Government wishes to affront the basic political tolerance in the electorate, which would resent, and probably actively resent, any flagrant interference with freedom of speech and criticism. Finally, the members of the minority always have their own defences, and a wise Cabinet will know that it can often persuade far more successfully than it can drive. "Is it the Government's fault," asked Mr. J. L. Hsley, "that so much discussion goes on, that it takes so many days to get through a particular item?" I tried just before the Easter recess to crowd the House a little. I will not do it again. If the Government starts to crowd the House, the House crowds

<sup>1</sup>*Ibid.*, June 12, 1941, pp. 3921-2, *ibid.* (unrevised), Feb. 19, March 28, 1947, pp. 597-8, 1882-5.

<sup>2</sup>*Ibid.*, May 30, 1938, p. 3339.

the Government. That always happens. The moment we indicate to the House that we want to get ahead, we simply precipitate speeches about the right of the House of Commons to discuss matters and to discuss them thoroughly."<sup>1</sup>

But while the rules and customs of the House will protect the rights of the rival forces, they can win no engagements for them. All contestants must therefore be conditioned and disciplined and equipped for service. Here the parliamentary organization of the parties plays a very useful part. Some mention has already been made<sup>2</sup> of the control which the Government is able to exercise over its members, and the Government has the tremendous advantage also of having leaders who are in actual authority and not merely at the head of party councils. To a useful prestige they can add the very practical virtue of being in a position where they can dispense favours and consolidate power. Ministerial leaders have also great ability at their disposal. They can draw freely on the talents of their civil servants, who will supply them with ideas, prepare and execute their programmes, and furnish the debating material necessary for their vindication. For it is virtually impossible to draw any distinction between Ministers as Ministers and Ministers as party leaders, between the Government's proposals and the party's proposals. The most cogent argument for allowing the policy-forming functions of the non-parliamentary section of the party to fall into desuetude during the party's tenure of office is the comparative inefficiency of that section when contrasted with the well-organized and well-schooled ministerial group.

An Opposition party is rarely so generously provided with leaders and never so well equipped with assistance as is the Government. This constitutes a serious handicap which affects not only the party in the narrow sense but also the efficacy of its legislative work, and from that the work of Parliament as well. There is therefore very much to be said for the idea that each party should have a generous public grant (based on its membership in the Commons) for the sole

<sup>1</sup>*Ibid.*, May 26, 1942, p. 2771.

<sup>2</sup>*Supra*, pp. 244-8.

purpose of financing research and allied services. The Conservative members, under the leadership of Mr. Bracken, have been endeavouring in recent years to increase their effectiveness by a carefully planned scheme of organization. They have formed themselves into ten groups on ten separate fields, each under a leader who is especially responsible for his particular topic—reconstruction, finance and taxation, agriculture, trade and commerce, Dominion-provincial affairs, etc. Each group holds meetings for discussion (some meet every week during the session), and current problems are turned over to the appropriate group for special study. These meetings do not affect, of course, the usual meetings of caucus, which determines the broad lines of attack which the party will pursue in the House of Commons. There is, however, a tendency for these groups to take the lead in their designated fields, and for the ten chairmen to form what the British would call a "shadow Cabinet" of potential Ministers.

The House meets, and in march the Opposition and sit there ready to attack Ministers and their measures, never, if possible, to make a concession, by word or vote, to official shrewdness or skill. For the time being they are Her Majesty's loyal sappers and miners, not to be very particular if only they can hack and hew their way to the treasury benches. They are waiters upon Providence,—all animated with the most exalted, never-say-die patriotism. If they only had a bandage on their brow inscribed "organized fault-finders," and a symbolical grid-iron, to be transferable, like the seals of office, the equipment would be complete. Broiling is a part of their special functions, and woe to the Minister when a chief with the spirit of an untamed Indian is at the head of his foes. For party aims, he is understood to be clothed with forked-lightning invectives, and in debate, wonder not, if he substitutes epithets for arguments, and deals more with the motives than the logic of Ministers. In brief, he and his lieutenants must be quick to detect the joints in the armour of those in power, and above everything, they are bound to cultivate the most wakeful and morbid suspiciousness.<sup>1</sup>

This is as applicable to Canadian government today as it was when it was written four years after Confederation, and although this hyper-sensitivity to error and "wakeful and morbid suspiciousness" have their absurd side, they nevertheless lie at the base of responsibility and good government. For the Cabinet remains efficient primarily because the

<sup>1</sup>W. G. Moncreiff, *Party and Government by Party* (1871), pp. 58-9.

searchlight of publicity never ceases to play upon it, and the Opposition directs the beams of the searchlight. The final objective of the Opposition is a majority of seats in the House of Commons, and while this can rarely be obtained by the direct alienation of Government supporters, it can most certainly occur as the result of the next general election. A shifting of a small number of popular votes from one side to the other may under Canadian conditions<sup>1</sup> bring about a change in Government, and the eyes of the Opposition are ever searching for material which will win over this detachable vote. The criticism, the amendments to motions, the divisions in the House, the long debates, the theatrical denunciations, the meticulous examination of the estimates, and scores of other manoeuvres have this as their ultimate goal, and no expedient or weapon is so insignificant that it can be neglected in the unceasing engagement for prestige, for reputation, and eventually for power.

The Government, for its part, must retain its existing majority and, whenever possible, extend it. While it has always the advantage of holding office and directing affairs, it also has the responsibility of exercising the initiative and of finding the remedies for the many ills that beset the country. The first essential is that it must get its measures and estimates through Parliament, and thus while it must give the Opposition ample opportunity for criticism, it must also be continually pressing Parliament for action. "The Prime Minister is obliged," said Mr. Mackenzie King, "to keep constantly in mind two vital objectives: the one, to seek to provide opportunity for the fullest and frankest discussion of matters of public interest; the other, to see that sufficient time is provided for the full and proper discussion of the important business of government. It is a difficult and delicate task to hold the balance between the urgent demands of the Government upon the time of Parliament, and a proper regard for the privileges, so essential to the sound functioning of a free community, of the private members of Parliament."<sup>2</sup> From this flows the paradox

<sup>1</sup>*Supra*, pp. 370-1

<sup>2</sup>Radio broadcast, Jan. 23, 1939



that a Government wants little verbal backing from its own supporters, what it needs is "brute votes," and while some parliamentary defenders are necessary to uphold its course on the floors of the House, every speaker over that minimum simply impedes the passage of Government measures<sup>1</sup>

The Cabinet must also avoid even the appearance of defeat or of weakness. This is the chief reason behind its stiff and unyielding attitude to many excellent suggestions coming from the Opposition side. A few of these the Cabinet may be able to accept with dignity, but let this become frequent, and the electorate will naturally conclude that the simpler solution would be to place in power the party which is so fertile in valuable ideas rather than acquire them in this circuitous fashion. The same need explains the indirect influence of criticism which has already been discussed.<sup>2</sup> Criticism by the Opposition casts its shadow before it invades the Cabinet meeting and the Government caucus, it is most influential before it is formally voiced. How will the Opposition attack this project? Will it be easier to defend next year than this? What will the farmers think of it? How will it affect the Government vote in Ontario? What will be the attitude of the leading Opposition newspapers? These will be anticipated as far as possible when the measure is being drafted, and the Cabinet will then defend it ardently and refuse to accept any amendment of any consequence. If a serious flaw in the proposal is later discovered, the safest way of escape is to abandon it as unobtrusively as the circumstances (and the Opposition) will allow.

Parliament concentrates and dramatizes the struggle for political power by bringing the political parties into immediate and continual conflict. Arguments are marshalled on one side and on the other, criticism and counter-proposals are made in full publicity, and the reputation of one side

<sup>1</sup>"The most obvious duty (I do not say the only duty) of any individual member of a parliamentary majority is, speaking generally, to assist Government business, to defend Government action, and in particular to be found in the Government lobby whenever the House divides. He may further these ends by his eloquence. He may do so even more effectually perhaps by his silence."

A. J. Balfour, *Chapters of Autobiography*, p. 134

<sup>2</sup>*Supra*, pp. 244-6, 435

mounts as that of another falls away. Elections do not catch the voter by surprise and quite unprepared for the ballot. The ground has been worked over beforehand, the prestige of the Government is frequently established or destroyed long before polling day, and even new issues find their place in an environment which is by no means unfamiliar. Moreover, there is always an alternative Government, if one is needed, near at hand, one which will pick up the work of its predecessor, make a few alterations here, develop certain things there, adapt old institutions to new ideas, and gradually press on a bit further the tentative experiment in human relations which is the business of government.

In government, as in most other spheres of life, man is journeying through a strange world. He is being pushed by forces which often he little appreciates or understands. At best, he is largely ignorant of much that will result, especially in the long run, from measures which he sponsors. Government is empirical. The statesman needs to be ready and quick to learn.

But no man is a ready critic of his own measures or quick to see their faults. It is eminently desirable, therefore, that in public affairs there should be an active body of critics, sharp to detect errors and persistent in pressing them home. Yet not captious, but responsible critics, who know that in due time they may have to stand by their criticisms and take their place in the dock.

There must also be readiness to embark on new measures so as to adjust government to changed conditions, ideas and aspirations. At the same time, every new measure is an experiment to be closely watched in its operation and results, and there should be no delay in pointing out mistakes.

These requirements are largely met by the party system. It can easily be abused, and often is, and it may seem crude to the theorist. But given the right conditions, it has proved in practice a remarkably successful instrument for the journey through the uncharted seas of government.<sup>1</sup>

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<sup>1</sup>Sir Gwilym Gibbon, 'The Party System in Government,' *Public Administration* Jan. 1937, p. 19.



PART VI

THE JUDICIARY



## CHAPTER XX

### THE JUDICIARY

THE function of the judiciary is primarily the settlement of disputes which are brought before the court for that purpose. But in the course of performing this function, the judge does more than simply impose a punishment or deliver a judgment. He must interpret the laws and give them clarity and fuller meaning. He stands as guardian to see that the rule of law<sup>1</sup> is maintained—to ensure that no one will be punished except for a breach of the law, and to nullify the acts of any government or government official which are not legally authorized. The citizen therefore looks to the courts for the protection of his rights not only against his fellow-citizen, but also against his government and its agents, and, as an earlier chapter has shown,<sup>2</sup> abuses of official discretionary power find their most determined enemy in the courts of law. The judiciary will also act as interpreter of the written constitution, and in Canada this involves the power to set aside as *ultra vires* any laws which run contrary to the supreme law. Inasmuch as Canada is a federation, the courts are in a position where they must determine and maintain the respective fields of jurisdiction of the federal and provincial governments.<sup>3</sup> The judiciary therefore performs political and constitutional functions of the greatest consequence by applying legal criteria to the actions of government.

The laws which the courts enforce may be written or unwritten. The written law is the statutory law, which is stated in fairly explicit form and is being continually augmented by further legislative action. A number of these statutes may be collected and arranged in the form of an exceptionally comprehensive statute or code, such as the Criminal Code of Canada, which summarizes the Canadian criminal law. But no matter how carefully such a code may be drafted, it will need to be interpreted and applied to the

<sup>1</sup>*Supra*, pp. 88-9

<sup>2</sup>Chapter XIV

<sup>3</sup>*Supra*, pp. 83-5, 151-60

facts as disclosed to the court, and in the process there will appear a parasitic growth of case law which clarifies and elaborates the written text

The unwritten law is chiefly the common law, the established custom which has been enforced and developed by centuries of judicial decision in England and later throughout many parts of the civilized, and particularly the English-speaking, world. Decision has followed decision, precedent has been built on precedent, the courts in each case following (or distinguishing between) the decisions of other courts of equal or higher rank<sup>1</sup>. From these decisions principles have been derived and a system of law developed, which in turn has continued to grow in the same piecemeal but extraordinarily effective fashion.

At one stage in its early development, the English common law became rigid and formal, and its rigorous application often involved a denial of justice. Disappointed suitors petitioned the King for relief, and the King referred their petitions to one of his high officials, the Chancellor, asking him to do what equity required. In the course of time, there arose a separate body of principles known as the doctrines of equity, and a separate Court of Chancery for applying them. This Court developed its own distinctive procedure and some ingenious remedies of its own for enforcing rights and duties. So for several hundred years, English law was a dual system with separate courts of common law and equity operating side by side, the effect of which was to modify the application of the strict law by equity.

Today the duality of courts has disappeared, both in Britain and Canada, but the rules of the common law and the doctrines of equity still remain distinct and separate. The superior courts of the provinces administer both law and equity, although one judge will sometimes be designated as the judge in equity. These courts now give, as a matter of course, what formerly used to be got by petition to the Court of Chancery. In effect, equity has become a part of the

<sup>1</sup>This is known as the doctrine of *stare decisis*. It is characteristic of the common law (and equity), and is not obligatory, for example, in the civil law in Quebec.

common law system, enriching it with a special procedure for certain kinds of cases, a wider range of remedies, and a modified application of certain of the strict rules of the common law

Earlier pages have indicated the importance of the common law in Canadian colonial governments and in the government of today<sup>1</sup>. It still furnishes the great bulk of the Canadian civil law, although the statutory law has made substantial alterations in many fields. In Quebec, however, the civil law is different from that in the rest of Canada, for it is a French importation, based not on the common law of England but on the Roman law<sup>2</sup>. Thus matters concerning personal, family, and property relations are governed in Quebec by another and very different set of legal principles embodied in the Civil Code, although the law concerning commercial relations has been materially modified by the influence of the common law<sup>3</sup>. It should be borne in mind, however, that very substantial portions of what would normally be within this general category of "property and civil rights" are uniform throughout the Dominion inasmuch as they are under the jurisdiction of the federal Parliament—namely, laws in relation to bills of exchange and promissory notes, banks and banking, navigation and shipping, patents and copyrights, bankruptcy and insolvency, and, in part, insurance, railways, and joint stock companies<sup>4</sup>. Inasmuch as the criminal law is under the jurisdiction of the federal Parliament,<sup>5</sup> it also is uniform throughout the Dominion. It is now, as stated above, in codified form, but its provisions have been almost entirely derived from the common law<sup>6</sup>.

<sup>1</sup>*Supra*, pp. 5-7, 72-4, 168-72.

<sup>2</sup>This goes back, of course, to the period of French rule, and it was preserved by the Quebec Act 1774. *Supra*, pp. 7-10, 73-4. B.N.A. Act, Section 92, Sub-section 13. See Louis St. Laurent, Presidential Address, *Proceedings, Canadian Bar Association*, 1932, pp. 22-35.

<sup>3</sup>Both common and civil law have influenced the development of each other in many directions. Cf. Rinfret, "Reciprocal Influences of the French and English Laws," *Canadian Bar Review*, Feb., 1926, pp. 78-81.

<sup>4</sup>B.N.A. Act, Section 91.

<sup>5</sup>*Ibid*.

<sup>6</sup>There is a so-called provincial criminal law consisting of offences created by the provinces for the enforcement of provincial legislation. *Ibid*, Section 92, Sub-section 15.



The opening sentence of this chapter—that the function of the judiciary is primarily the settlement of disputes—needs some amplification. The court will normally come into action only after a wrong has been committed, that is, its usual task is to inflict punishment or ensure redress for infractions of the law rather than to intervene in order to prevent an infraction from taking place. In some classes of cases, however, the law provides (in equity) for such prior intervention if the court can be convinced that the commission of a legal wrong is contemplated and that compensation therefor would be difficult or impossible to assess. A court may also act in an administrative or supervisory capacity as, for example, when it assumes direction (usually through a person whom it appoints) over the estate of a deceased person or over the property of a bankrupt.

The courts may also be required to decide questions which have not come up in the ordinary course of litigation, but which have been specially formulated for the purpose and are referred to them for an opinion<sup>1</sup>. Laws of both the Dominion Parliament and most of the provincial legislatures authorize their respective Governments to refer questions involving, among other things, the interpretation of the British North America Act or the constitutionality of Dominion or provincial legislation to the Supreme Court of Canada (or to the Supreme Court in the province) for a legal opinion. Parties will usually be heard on both sides (for provincial Governments will wish to be represented on a case referred by the Dominion Cabinet, and *vice versa*) and a judgment will be given by the court, which is subject to the same appeal as cases which have arisen in the usual manner. The advantage of this method of obtaining a judicial interpretation of the British North America Act in advance of legislation or the application of legislation is obvious, and it is being used with increasing frequency. There is, however, some danger that controversial questions which threaten to cause embarrassment to a Government may be passed over

<sup>1</sup>The Supreme Court of the United States has refused to decide cases of this nature on the ground that they were not disputes which could be judicially recognized.

to the courts in order to shed, at least temporarily, responsibility for action, and the courts may thereby become involved in political disputes. "No one who has experience of judicial duties can doubt," said Earl Loreburn, "that if an Act of this kind were abused, manifold evils might follow, including undeserved suspicion of the course of justice and much embarrassment and anxiety to the judges themselves"<sup>1</sup>

### THE SYSTEM OF COURTS

The Canadian system of courts, while presenting a number of characteristics derived from the federal nature of the Dominion, is far from following the American idea of what a court system under a federation involves. In the United States the federal and state courts are almost entirely separate from one another. There is a vertical line of cleavage between the two, with the federal courts and their field of jurisdiction on one side and the state courts and their field on the other, the nature of the controversy or the nature of the parties determining whether the action should be brought in the state or federal courts. Federal and state courts thus form their own hierarchies with final jurisdiction being vested in the Supreme Court of the United States or in the Supreme Court of Appeal in the state as the case may be. In certain circumstances a dispute may be transferred from one to the other. Thus if a case in a state court were found to involve the interpretation of the United States constitution or a federal statute it could be removed to a federal court for the settlement of that issue. Removals for these and other reasons, while not at all rare, are nevertheless far from being the rule, and a case will normally finish in the system in which it originated. The arrangement is necessarily complex, and can scarcely be considered to be very satisfactory.

The British North America Act establishes a plan of federal and provincial courts where the dividing line is horizontal rather than vertical. The Dominion is empowered to create a general court of appeal and may establish "any additional courts for the better administration of the laws of

<sup>1</sup>*Attorney-General for Ontario v. Attorney-General for Canada*, (1912) A.C. 571, at p. 583.

Canada "<sup>1</sup> The province has jurisdiction over "the administration of justice in the province, including the constitution, maintenance, and organization of provincial courts, both of civil and criminal jurisdiction, and including procedure in civil matters in those courts "<sup>2</sup> Procedure in criminal matters is, however, under the Dominion, and it also has control of the appointment, the remuneration, and, if necessary, the removal of the judges of both Dominion and provincial courts (with a few minor exceptions) <sup>3</sup> Complexities, such as they are, occur not in matters of jurisdiction over cases (as in the United States) but in the administration of the courts and the enforcement of Dominion laws by provincial authorities For example, some parts of the criminal law are not always uniformly enforced across the Dominion because the initiative on enforcement rests largely with provincial Attorneys-General who must set provincial courts in motion The great bulk of cases will originate in one of the provincial courts, and may then go on appeal to the Supreme Court of Canada, and (until 1949) from there to the Judicial Committee of the Privy Council in London One Dominion court, the Exchequer Court of Canada, does not form a part of this symmetrical arrangement It has been given specialized jurisdiction, and to that extent is not unlike a federal court on the American model

The plan of the Canadian courts (including the Judicial Committee) is therefore as follows The courts of Ontario have been used as an illustration of the provincial courts

- 1 The Judicial Committee of the Privy Council (an Empire court)
- 2 The Supreme Court of Canada (a Dominion court in every respect)
- 2(a) The Exchequer Court of Canada (a Dominion court in every respect, but not a fully integrated part of the system)
- 3 The Supreme Court of Ontario (a provincial court, with Dominion appointment, etc )

<sup>1</sup>Section 101

<sup>2</sup>Section 92, Sub-section 14

<sup>3</sup>Sections 96-100

- (i) The Court of Appeal for Ontario
- (ii) The High Court of Justice for Ontario
- 4 County Courts (provincial courts, with Dominion appointment, etc.)
- 5 Minor provincial courts (provincial courts solely)
  - (i) Surrogate Courts
  - (ii) Division Courts
  - (iii) Magistrates' Courts
  - (iv) Other Courts

#### 1 *The Judicial Committee of the Privy Council*

The Judicial Committee of the Privy Council has been until very recently the court of final appeal for Canada in all but criminal cases, and the court of final appeal for a large part of the Empire outside the British Isles. It consists of British Privy Councillors who have held high judicial office, notably the Lord Chancellors, and the law lords of the House of Lords. There are also an indefinite number of distinguished judges from the Dominions and India who are British Privy Councillors, such as the Chief Justice or a judge of the Supreme Court of Canada, or even a judge of a superior court in a Canadian province, but the attendance of these members was both irregular and rare. The Judicial Committee, as the name would suggest, is different from an ordinary court in that it gives no judgments, but rather reports its opinion to His Majesty, and this advice is then acted upon by an order-in-council. The advice is given by the body as a whole, and the Committee therefore records no dissenting opinions.

The abolition of the appeal to the Judicial Committee was canvassed for many years in Canada, and definite action was attempted on several occasions. Opinion in Canada, however, was always divided, and there were legal difficulties in bringing about a change. For the appeal rested not only on statute, but also on the prerogative, and until the passing of the Statute of Westminster in 1931 a Dominion or provincial enactment to abrogate it would have been *ultra vires*. Thus the abolition of criminal appeals in 1888 was declared *ultra vires* in 1926,<sup>1</sup> but when the Statute of Westminster

<sup>1</sup>*Nadan v the King*, (1926) A.C. 482

removed the limitations on the competence of Canadian legislatures, these appeals were abolished two years later.<sup>1</sup> A move for the Dominion to deal in the same way with the appeals in civil cases was questioned on the ground that part of the power might be vested in the provinces, but in 1947 the Judicial Committee held that the Dominion had jurisdiction.<sup>2</sup>

The arguments for and against appeals to the Judicial Committee need not be dwelt upon here,<sup>3</sup> although it may be noted that the voices raised in favour of their continuance tended to grow fainter as the years passed. It became more and more obvious that the risk of an occasional prejudice was far preferable to an objectivity which was founded on an Olympian remoteness from the scene of action, that the Judicial Committee knew little about the nature and problems of Canadian federalism, that it varied widely in the quality and continuity of its personnel,<sup>4</sup> and that the mental agility which its members were forced to display in order to cope with varied problems from all over the Empire often inspired more wonder than confidence. For many people, however, the great arguments for abolition were linked with national pride and the dislike which most Canadians had for the idea of going outside the Dominion for a final verdict on legal disputes, particularly when they were likely to be of such profound constitutional significance for the future. Quebec was disposed for many years to support appeals because of the apparent protection they gave her against an intolerant majority in the other provinces,<sup>5</sup> but that phase gradually

<sup>1</sup>*British Coal Corporation v The King*, [1935] A.C. 500.

<sup>2</sup>*Attorney General of Ontario v Attorney-General of Canada*, [1947] 1 D.L.R. 801.

<sup>3</sup>John S. Ewart and George H. Sedgewick, "Judicial Appeals to the Privy Council," *Queen's Quarterly*, Summer, 1930, pp. 456-94.

<sup>4</sup>"Mr C. H. Cahan. Not a single member of the Board [in 1937] was appointed to the Privy Council prior to 1928, and not a single member, who in 1932 heard the appeal in re The Regulation and Control of Radio Communication in Canada, sat as a member of the Committee which recently heard the appeals in respect of certain acts of Parliament enacted during the session of 1935. Two of the members of the Judicial Committee who heard and decided the recent appeals had practically no experience whatever in Canadian constitutional matters." *Can. H. of C. Debates*, April 5, 1937, p. 2574.

<sup>5</sup>The success of the Judicial Committee as a defender of minority rights is, to put it mildly, open to question. F. R. Scott, "The Privy Council and Minority Rights," *Queen's Quarterly*, Autumn, 1930, pp. 668-78.

disappeared under the influence of strong nationalist sentiment. In 1949 a Canadian statute cut off all appeals to the Judicial Committee and made the Supreme Court of Canada the court of last resort.

## 2 *The Supreme Court of Canada*

The Supreme Court of Canada was established in 1875,<sup>1</sup> under the express authority of the British North America Act,<sup>2</sup> to exercise appellate civil and criminal jurisdiction for the Dominion.<sup>3</sup> The desire of some of its sponsors was to make it a court of final appeal and abolish the appeals to the Judicial Committee, but the doubtful legality of such a step and the opposition in both Canada and Great Britain resulted in the Act cutting off only the statutory right of appeal and leaving the prerogative right unimpaired.

The Court today entertains appeals from the provincial courts when substantial sums of money are involved, when questions of constitutional interpretation arise, when the validity of Dominion and provincial statutes is in dispute, and on various other matters. The conditions under which appeals are allowed from provincial courts are determined by the Canadian Parliament, and a provincial legislature has no power to define or restrict these conditions. The Supreme Court also hears appeals from the Exchequer Court, from the Board of Transport Commissioners, and in controverted election cases. It gives advisory opinions to the Dominion Government (as stated earlier in the chapter) on the interpretation of the British North America Act, on the constitutionality of Dominion and provincial legislation, and on similar matters referred to it by the Governor-in-Council. Under certain conditions it will also hear appeals on like questions which have been referred to the provincial courts by the provincial Governments.<sup>4</sup> The utility and prestige of the Supreme Court as an appellate tribunal was formerly weakened

<sup>1</sup>Section 101.

<sup>2</sup>*Can. Statutes* 38 Vict. c. 11. See Frank MacKinnon "The Establishment of the Supreme Court of Canada," *Canadian Historical Review*, Sept., 1946, pp. 258-74.

<sup>3</sup>*Rev. Stat. Can.* (1927), c. 35 Section 43.

by the fact that appeals could be taken directly from the Supreme Courts of the provinces to the Judicial Committee of the Privy Council, and the recent abolition of appeals cannot fail to have a very wholesome effect on the character and standing of the Supreme Court of Canada.

The Supreme Court was originally composed of a Chief Justice and five judges, the number of judges being raised to six in 1927 and eight in 1949. It has had from the beginning a representative federal character. The Act has always provided that at least two of the judges should come from Quebec, a reasonable provision in view of the special character of the Quebec civil law.<sup>1</sup> But when the original bill was before the Commons this proposal furnished the excuse for a member from British Columbia to demand a special representative from that province because, said he, "the judges of the other provinces know little about the management of Indian lands or of mining affairs."<sup>2</sup> The Act, however, made no guarantee to any province except Quebec, but the practice of giving sectional representation on the Court has been firmly established by custom for very many years. The late Ernest Lapointe, Minister of Justice, made the following admission in 1927:

While geographical conditions should not be considered in the appointment of judges, because the best possible men should be appointed to the Supreme Court of Canada, there is one exception, namely, that two judges will always be members of the Bench or bar of Quebec, familiar with the civil law and procedure of that province. Apart from that there is no geographical condition mentioned in the Act. I must say, however, that since the creation of the Court such considerations have been taken into account in making appointments, there is one judge usually supposed to be a member of the bar or Bench of one of the Maritime Provinces, two come from Quebec, two have usually been appointed from Ontario, and one judge is usually appointed from the Bench of British Columbia. The prairie provinces were not then developed as they are to-day, and up to the present there has not been a judge from either the bar or the Bench of any of those provinces.<sup>3</sup>

The fulfilment of prairie ambitions, however, was not long deferred. Parliament in the same year increased the numbers of the Supreme Court by one, and the first appointment to

<sup>1</sup>*Can. H. of C. Debates*, March 30, 1875, p. 974.

<sup>2</sup>*Ibid.*, March 10, 1927, p. 1079.

the new judgeship came from the Province of Saskatchewan.

The judges are appointed by the Governor-in-Council and hold office during good behaviour, with compulsory retirement at seventy-five. They may be removed by the Governor-General-in-Council following a joint address by both Houses of Parliament.<sup>1</sup> The Chief Justice receives \$20,000 a year, the other members of the Court \$16,000.<sup>2</sup>

## 2(a) *The Exchequer Court of Canada*

This was originally very closely associated with the Supreme Court of Canada, each being composed of the same members. The Exchequer Court was a court of first instance, the Supreme Court heard nothing except appeals. The two were separated in 1887.

The Exchequer Court now consists of the President and three other judges, appointed by the Governor-in-Council. They hold office during good behaviour (with compulsory retirement at seventy-five) and may be removed by the usual joint address procedure.<sup>3</sup> The President is paid \$13,333.33, the other members \$12,000 each.

The Court has original jurisdiction concurrent with the provincial courts in cases involving the revenues of the Crown (which implies an extensive jurisdiction in matters of review of taxation) and exclusive jurisdiction over suits brought against the Crown in federal affairs. The Court deals with claims against the Crown for property taken for any public purpose or injuriously affected by the construction of any public work, and claims against the Crown arising out of any death or injury to person or property resulting from the negligence of any officer or servant of the Crown while acting within the scope of his duties or his employment upon any public work, etc. It hears cases which concern patents of invention, copyrights, trade marks, and industrial designs. It also has jurisdiction over certain classes of railway cases, when the railway is not wholly within one province or is otherwise subject to the authority of the Parliament of

<sup>1</sup>*Rev Stat Can* (1927), c. 35, Section 9

<sup>2</sup>*Can Statutes*, 10 Geo VI, c. 56

<sup>3</sup>*Rev Stat Can* (1927), c. 34 Section 9



Canada In most cases which involve any substantial amount, an appeal lies to the Supreme Court of Canada

If the legislature of a province has passed an act giving the necessary authority, the Exchequer Court has jurisdiction over controversies between the Dominion and that province or between that province and any other province or provinces which have passed similar acts Such cases may be appealed to the Supreme Court of Canada

The Exchequer Court also acts as a Court of Admiralty exercising a general jurisdiction in admiralty cases,<sup>1</sup> and in this capacity the Court has original jurisdiction as well as jurisdiction in appeal In practice, however, most of the cases are tried in the local Admiralty Court, although cases of special importance may be transferred and tried as of first instance at Ottawa The Governor-in-Council may from time to time appoint a superior or County Court judge (or any barrister of not less than ten years' standing) to be a District Judge in Admiralty for a special Admiralty District, who will exercise admiralty jurisdiction within that district, subject to appeal to the Exchequer Court and the Supreme Court of Canada The five eastern provinces and British Columbia each form an Admiralty District for this purpose

### 3 *The Supreme Court of Ontario*

(i) The Court of Appeal for Ontario

(ii) The High Court of Justice for Ontario

The Supreme Court of Ontario consists of two divisions—an Appellate Division and the High Court Division.<sup>2</sup> The former, known as the Court of Appeal for Ontario, is composed of the Chief Justice of Ontario, and seven other justices of appeal, the latter, known as the High Court of Justice for Ontario, is composed of the Chief Justice of the High Court and fourteen other judges All are appointed by the Governor-General-in-Council and hold office during good behaviour The two Chief Justices receive \$13,333 33 each, all the others \$12,000 each

<sup>1</sup>*Can. Statutes*, 24-25 Geo. V, c. 31

<sup>2</sup>*Rev. Stat. Ont.* (1937), c. 100, Sections 2-9

The Court of Appeal has general appellate jurisdiction in civil and criminal cases coming from the High Court. It may sit in two divisions before three or more justices of appeal, provided always that their number is uneven. Appeals may also be heard from the decisions of individual judges of the Supreme Court or from decisions of judges of inferior tribunals—County, Surrogate, or (where the sum in dispute exceeds \$100) Division Courts.

The High Court is a superior court of record of original jurisdiction, both civil and criminal, and in a few rare cases it may hear appeals. It may decide cases at common law or in equity and cases relating to the revenues of the Crown, although in certain admiralty matters and in matters which come within the province of the Exchequer Court, its jurisdiction may be excluded. In short, the great bulk of litigation of moderate and major importance will come before the High Court. In practice the Supreme Court of the province specially assigns one or more judges to exercise the judicial powers and jurisdiction conferred by the Bankruptcy Act—and the Bankruptcy Court functions, so to speak, as a separate court. The High Court judges, moreover, as members of the Supreme Court of Ontario, exercise jurisdiction over a large number of special subjects, given under provincial and Dominion statutes which deal with particular matters.

These courts (like the other major provincial courts) are, as already stated, under both Dominion and provincial control. The province constitutes, organizes, and maintains the courts, and determines the procedure in civil matters, while the Dominion appoints, pays, and removes the judges. This is open to obvious objections, for the province may always provide for more judges than are necessary and allow the Dominion to foot the bill. The system, however, seems to work very smoothly, although there have been occasions when the Dominion has refused to make appointments because it considered the number was excessive.<sup>1</sup> The general position of the Dominion Government on these questions

<sup>1</sup>Thus in 1928 Saskatchewan had twenty three judicial districts but only eighteen district judges. *Can. H. of C. Debates*, March 19, 1928, p. 1490.

was stated by the Minister of Justice in 1946 "The provincial authorities are the ones who determine what courts they will have and how many judges constitute the Bench of each court. Of course we have something to say in the matter. We do not admit that they can provide for any number of judges, a number that would be out of all proportion to the number required to handle the judicial business. But we try to meet the desires of the provincial authorities in providing sufficient judges for the courts which they organize as being the ones required for their local needs."<sup>1</sup>

#### 4 *County Courts*

There is a County Court in every county or district in Ontario, although one County Court judge may be given more than one court and one county may have more than one County Court judge.<sup>2</sup> The County Court judges are appointed by the Governor-General-in-Council during good behaviour subject to compulsory retirement at seventy-five. As in the higher provincial courts, the province has control over the organization, etc., of the County Courts, while the Dominion has charge of the personnel. The judges are paid \$6,666 66 a year, although grants from the province for the performance of additional duties will often bring this figure up to about \$10,000. There are sixty-two County and District Court judges in Ontario at present, which admittedly is too many.<sup>3</sup> There is, indeed, an understanding between the Dominion and Ontario Governments that this number will be contracted to fifty-two as vacancies occur and as the work is more economically arranged. At one time Ontario had seventy-five County and District Court judges.<sup>4</sup>

The County Courts in Ontario have jurisdiction over civil cases involving small amounts of approximately \$500 and under, which concern such matters as actions arising

<sup>1</sup>*Ibid* (unrevised), July 23, 1946 p. 3795

<sup>2</sup>*Rev. Stat. Ont.* (1937), c. 103

<sup>3</sup>Nova Scotia, for example, has only seven, British Columbia fourteen, Saskatchewan, eighteen. Quebec has a different organization and has none (except two judges of the Circuit Court of Montreal), but it has a total of forty-nine judges (and Chief Justices) of the superior courts.

<sup>4</sup>*Can. H. of C. Debates* (unrevised), Aug. 2, 1946, pp. 4324-7

out of contracts, personal actions, cases regarding trespass, rights of way, foreclosure, partnership, etc. If the parties agree, however, the jurisdiction may be extended to cover any amount. The County Courts may also have special powers given them under such provincial statutes as the Ontario Voters' Lists Act and the Ontario Election Act. They have authority to try cases involving minor criminal offences. Here the judge may sit with a jury as a Court of General Sessions, meeting semi-annually, or as a Criminal Court of summary jurisdiction without a jury.

### 5 *Minor provincial courts*

- (i) Surrogate Courts
- (ii) Division Courts
- (iii) Magistrates' Courts
- (iv) Other Courts

These courts are entirely under provincial control as to organization, maintenance, etc., and also as to appointment, remuneration, and the conditions under which the incumbents hold office. Unlike the higher courts, the tenure of a few of these judicial officers is at pleasure.

(i) *Surrogate Courts* Every county in Ontario has its own Surrogate Court to deal with the estates of deceased persons.<sup>1</sup> The judge is appointed by the Lieutenant-Governor-in-Council and holds office during good behaviour. The practice is for the province to appoint a County Court judge to be the judge of the Surrogate Court, and for the province to pay him an additional amount for the extra work involved.

(ii) *Division Courts* These (of which there may be as many as twelve to a county) are civil courts for the trial of minor personal actions, breaches of contract, debt, etc., involving small amounts, with a special jurisdiction as provided in the High Schools Act, the Separate Schools Act, and others.<sup>2</sup> They are usually presided over by judges of the County Court, although the appointments are not so limited. If the sum involved does not exceed \$100, there is no appeal, if over \$100 an appeal lies to the Court of Appeal. Here also

<sup>1</sup>Rev Stat Ont (1937), c 106

<sup>2</sup>*Ibid*, c 107

the province will pay the salary or, for a County Court judge, an addition to his salary

(iii) *Magistrates' Courts* <sup>1</sup> There are a very large number of these courts set up under the Magistrates Act for the trial of designated minor criminal offences and a few civil cases under special statutes such as the Master and Servant Act and Landlord and Tenant Act

The magistrates are also justices of the peace, and as such have a considerable jurisdiction in respect of petty offences, the conduct of preliminary inquiries, the issue of warrants, etc

The magistrates and deputy magistrates are appointed by the Lieutenant-Governor-in-Council, and they must retire when they reach the age of seventy. All deputy magistrates hold office at pleasure. A magistrate holds office at pleasure for two years after his appointment, but thereafter he may be removed from office by the Lieutenant-Governor-in-Council for "misbehaviour, or for incapacity or inability to perform his duties properly on account of old age, ill health, or any other cause," provided there has been an inquiry and the magistrate has had an opportunity to submit a defence. In the event of removal, all documents relevant to the case shall be laid before the Legislative Assembly of Ontario within the first fifteen days of the next session <sup>2</sup>. Magistrates are paid such salary as may be fixed by the Lieutenant-Governor-in-Council.

(iv) There are also other minor provincial courts with jurisdiction over special classes of cases, such as, juvenile courts and family courts in large towns and cities, coroners' courts, and courts of arbitration set up (by agreement or otherwise) under the Arbitration Act

### THE POSITION OF THE JUDICIARY

The unique functions which the judiciary<sup>3</sup> perform in the government make it imperative that they should be given a

<sup>1</sup>*Ibid*, c 133

<sup>2</sup>*Ibid*, *Ont. Statutes*, 5 GEO VI, c 28

<sup>3</sup>The words "judiciary" and "judge" are used hereafter as applying to members of the County and higher courts and not to members of the minor provincial courts

position quite different from that of the great majority of government officials<sup>1</sup>. They are not representative agents who, like the members of the legislature and the executive, are expected to reflect some interest or opinion and are chosen to carry out desired measures. The judge who would try to voice the opinion of the public, of the Government, of a province, of the Canadian Pacific Railway, or of the United Steelworkers would obviously lose all value as a judge, for the whole weight and value of a decision depend on the very absence of influences of this kind. His opinion is not to be founded on what people want, but rather on what is the law, and the decision emerges from these principles when applied to the facts before him. *The Federalist* stressed this point in its discussion of the qualities needed in a judge, although the antithesis is not quite so sharp as it suggested, for there is also blended with "judgment" an element of "will" in the determination of the judge to deal fairly and toil unremittingly to give a just decision. The judiciary, said *The Federalist*, "may truly be said to have neither *force* nor *will*, but merely judgment. The courts must declare the sense of the law, and if they should be disposed to exercise *will* instead of *judgment*, the consequence would be the substitution of their pleasure to that of the Legislative body"<sup>2</sup>.

It is, of course, true that judges cannot remain completely unaffected by their environment and cannot and should not be indifferent to the effects of their decisions on the social and political needs of the nation. There will always be some interplay among the habits of mind of the judge, the society in which he lives, and the decisions which he renders. Once again, however, "will" can be used to aid and balance "judgment", so that the judge may be kept constantly aware of the quiet and insidious pressures to which he is subjected and may be able as a result to make suitable allowance for them. It is, moreover, possible to keep within fairly definite

<sup>1</sup>There are a few other officials who for one reason or another must be given similar if not identical treatment and protection. The Auditor General, the Chief Electoral Officer, and some of the boards and commissions which perform special functions are the closest examples. *Supra*, pp. 291-3.

<sup>2</sup>*The Federalist Papers* No. 78.

limits the opportunities which are given to the courts to invade other than purely judicial fields, for the laws can be so phrased that the situations where the judge is forced to make what are essentially political decisions—where judgment begins to slip over into policy—will be comparatively rare.<sup>1</sup> The problem, in short, will not be a grave one if Parliament (or, if necessary, the constitution-amending power) will assert its rightful authority to make all the major political decisions, a function which can quite properly involve not only the original enactment of a law or a constitution, but also any subsequent amendment if an undesired interpretation has been given by the courts.

The rendering of an honest unbiased opinion, based on the law and the facts, is far from simple—it is one of the most difficult tasks which can be imposed on fallible men. It demands wisdom as well as knowledge, conscience as well as insight, a sense of balance and proportion, and if not an absolute freedom from bias and prejudice, at least the ability to detect and discount such failings so that they do not becloud the fairness of the judgment. It is evident that the ordinary political environment is unable to provide the proper incentives which will call forth these qualities nor will it permit these qualities to be exercised without a large measure of interference which will deprive them of the greater part of their value. The judiciary, in short, must be given a special sphere, clearly separated from that of the legislature and executive.<sup>2</sup> They must, to accomplish this separation, be given privileges which are not vouchsafed to other branches of the government, and they must be protected against political, economic, or other influences which would disturb that detachment and impartiality which are indispensable prerequisites for the proper performance of their function. It is these unusual factors which create the condition known as the "independence" of the judiciary.

#### *The removal of responsibility*

Judicial independence, as will be seen later, has a positive side, but its most striking characteristics are negative. It

<sup>1</sup>*Supra*, pp 154-60

<sup>2</sup>*Supra*, pp 89-90

involves the removal of most of the punitive influences which surround ordinary officials, particularly for the enforcement of political responsibility, which usually imply the power of a superior to remonstrate, reprimand, and even remove from office. The judge is placed on a firm base of his own, undisturbed by outside forces, present or apprehended. He is given assurance that his office is secure, that his means of livelihood are not imperilled and that he may give decisions which are displeasing to the Government, to the public, or to anyone else without fear of consequences. "It is a strange doctrine," said Sir Wilfrid Laurier, "to preach that the judges are responsible to Parliament. Where is that responsibility? I have always understood that the judges were responsible only to their own conscience, and Parliament has no power over them. True, they can be removed, but only on an address of both Houses of Parliament. That law has been adopted to make them absolutely independent of Parliament, and they are only responsible to Parliament in extreme cases of malfeasance."<sup>1</sup>

To this political irresponsibility is added a civil and criminal irresponsibility as well. Under the common law a judge is not liable to civil or criminal action for acts committed within his jurisdiction while performing his judicial duties. He may act corruptly, maliciously, and oppressively, and the injured party has no remedy against him. "This provision of the law is not for the protection or benefit of a malicious or corrupt judge, but for the benefit of the public, whose interest is that the judges should be at liberty to exercise their functions with independence and without fear of consequences. How could a judge so exercise his office if he were in daily and hourly fear of an action being brought against him, and of having the question submitted to a jury whether a matter on which he had commented judicially was or was not relevant to the case before him?"<sup>2</sup>

### *Tenure*

The foundation of judicial independence is security of

<sup>1</sup>*Can. H. of C. Debates*, Sept. 15, 1903, p. 11313.

<sup>2</sup>*Scott v. Stansfield*, 3 Excheq. Rep., 220, at p. 223.



tenure, for it is this which sets the judiciary free from the ordinary bonds of political responsibility. All the Canadian judges hold office during good behaviour. But this legal tenure is made even stronger by the practice of giving "good behaviour" an interpretation which for all supreme and superior court judges excludes removal on virtually any ground except deliberate wrongdoing.<sup>1</sup> A judge may be stupid, and make scores of wrong decisions, he may be indolent, and neglect his work, he may be, at least to a degree, biased and unfair, yet there is every likelihood that he will be retained in office. The Government would certainly not punish him for stupidity, for over that he has no control. Nor is it at all likely that the Government would take any action against him for the above, and many other, faults for which he is definitely to be blamed. While his conduct may be shocking and the administration of justice may suffer, the lesser evil is to leave him alone, for an attack and a removal for any but the most flagrant and scandalous offences would have a detrimental effect on the work, security, and peace of mind of all the other members of the judiciary. The late W. S. Fielding, Minister of Finance, expressed this position in quite explicit terms. "This responsibility of judges to Parliament," said he, "is very largely a dream, because we know that practically there is no responsibility. There are judges who are neglecting their duties, there are judges who are too old, there are judges who are ill, and there are judges who are not performing their duties, and every man in this Parliament knows it."<sup>2</sup>

In recent years, however, while this general principle has been in no way seriously infringed, Parliament has taken a much sterner view of one kind of judicial laxity and inefficiency, namely, that which comes as a result of old age and infirmity. But the weapons which Parliament has at its disposal are few, and are severely limited by the provisions of the British North America Act. They are, moreover, not the same for all judges. The tenure during good behaviour

<sup>1</sup>The County Court judge may be removed for less serious offences, *infra*, pp 481-2

<sup>2</sup>*Can H of C Debates*, Sept 15, 1903, p 11316

which is enjoyed by the judges of the provincial superior courts is guaranteed by the provisions of the British North America Act, whereas that of the members of the Supreme and Exchequer Courts of Canada and of the County Courts rests only on ordinary statute and can therefore be amended without difficulty. Any scheme of retirement—the weapon which the Government has tried to use—must, therefore, take account of this difference.

The argument against compulsory retirement at a definite age, and one which is urged with exceptional vehemence on behalf of the judiciary, is that under any such scheme many of the old judges who remain fully competent to carry out their duties are forced out of office with the inefficient ones. This was adequately answered years ago by Chief Justice Taft when discussing the same problem in the United States:

There is no doubt that there are judges at seventy who have ripe judgments, active minds, and much physical vigour, and that they are able to perform their judicial duties in a very satisfactory way. Yet in a majority of cases when men come to be seventy they have lost vigour: their minds are not as active, their senses not as acute, and their willingness to undertake great labour is not so great as in younger men, and as we ought to have in judges who are to perform the enormous task which falls to the lot of Supreme Court Justices. In the public interest, therefore, it is better that we lose the services of the exceptions who are good judges after they are seventy and avoid the presence on the Bench of men who are not able to keep up with the work, or to perform it satisfactorily. The duty of a Supreme Judge is more than merely taking in the point at issue between the parties, and deciding it. It frequently involves a heavy task in reading records and writing opinions. It thus is a substantial drain upon one's energy. When most men reach seventy, they are loath thoroughly to investigate cases where such work involves real physical endurance.<sup>1</sup>

That the problem is a serious one in Canada cannot be doubted, for Canadian courts have always been burdened with a large number of old judges. In 1946, for example, the superior courts in the provinces held six judges who were between 80 and 90 years of age, thirty-one between 70 and 80, forty-nine between 60 and 70, thirty-six between 50 and 60, and fourteen under 50.<sup>2</sup> The persistence with which judges have at times held fast to their positions when their

<sup>1</sup>W. H. Taft, *Popular Government*, pp. 159-60.

<sup>2</sup>*Can. H. of C. Debates*, April 17, 1946, p. 960.

usefulness was largely spent has been little short of shocking Mr R B Bennett reviewed the situation in 1936 as follows

There is not much doubt that starting at one end of Canada and travelling to the other one may find large numbers of men endeavouring to discharge judicial functions who are physically unable to bear the strain of work and continuous effort necessary in the discharge of those duties. One makes that statement with great hesitancy but it is a fact. If all judges in Ontario were physically fit to discharge their judicial duties there would be no necessity of increasing the number by two [as proposed in the bill being considered]. I believe that is so obvious that it needs only to be mentioned.

This is the principle which I submit should govern Parliament in dealing with matters of this kind. First, if a man holds himself out as being qualified by training to occupy any position requiring the services of an expert—whether it be judge, doctor, dentist or engineer, it matters not—unless he has the proper qualifications he should not be appointed to the position, whatever it may be. Second, when he ceases to possess those qualifications he should no longer continue in office. Is there any possibility of getting away from the second proposition? We endeavour to have some regard in a small way, for the first one. But the second, which is a corollary of the first, has been the very foundation of the impartial administration of justice, namely that when men hold themselves out as being sufficiently trained in the law to enable them to undertake judicial duties, and when by reason of impairment of physical or mental capacity they are no longer able to give concentrated attention for periods extending over seven, eight or nine hours a day, obviously they are no longer qualified for the positions they hold. It is an implied condition of the contract they make with the state that they will be able to discharge those duties and give the services indicated, and when they have reached the stage where they can no longer do so, it seems to me they should be content to retire.<sup>1</sup>

Little difficulty has been experienced in dealing with the federal judges on the Supreme and Exchequer Courts or judges of the County Courts. For these, retirement has been made compulsory at the age of seventy-five, with arrangements for suitable pensions depending in some measure upon the length of service. If found to be incapacitated or disabled by reason of age or infirmity they may be compelled to retire at an earlier age on the same terms as those described below for judges of provincial superior courts.

Parliament considered, however, that it could not compel the retirement of the judges of the provincial superior courts at seventy-five without violating the "good behaviour"

<sup>1</sup>*Ibid*, June 3, 1936, pp 3360-1

guarantee in the British North America Act. The remedy which has been adopted is in complete accord with the view set forth above by Mr Bennett. In the first place, if the judge resigns after at least fifteen years in office or because of some permanent handicap which may disable him for judicial work, he may be given a pension up to two-thirds of his salary at the time of his resignation. If, however, the judge does not avail himself of this opportunity and if the Minister of Justice reports that the judge has become incapacitated by reason of age or infirmity, the Governor-in-Council may stop his salary, provided, however, that the above report has been preceded by an investigation by one or more judges of equal or higher rank, appointed by the Governor-in-Council, and that the judge in question has been notified and given an opportunity to state his case.<sup>1</sup>

This stoppage of salary has been generally considered to be an excessively drastic measure, and for years no Minister of Justice had the courage to apply it, a reluctance which may have been accentuated by the possibility of his being accused of trying to create vacancies for the Government to fill. The major objection is, of course, that the decision to investigate and the decision to cut off the salary must always be taken by the Cabinet, and these violate the sound principle that the executive should never give any cause for suspicion that it is exercising the slightest pressure on the judiciary. There is the further danger that such an investigation into the capacity of a judge to perform his duties is almost certain to disturb public confidence in the courts, and the final injury might therefore be worse than the situation it was designed to remedy. In 1936 the late Ernest Lapointe, the Minister of Justice, said that in the fourteen years in which the provision had been on the statute book it had never been applied,<sup>2</sup> but that if the Dominion or a provincial bar association should ask him to move in a case of this kind, he would feel bound to do so. In recent years, however, the provision has been used on several occasions. The Minister of Justice has received complaints, he has made discreet inquiries, he has

<sup>1</sup>*Can. Statutes*, 10 Geo. VI, c. 56

<sup>2</sup>*Can. H. of C. Debates*, June 15, 1936, pp. 3705-6

written the judge indicating that an investigation would have to be authorized, and in all cases he has thereupon received the resignation of the judge <sup>1</sup>

A much more satisfactory solution would be to amend the British North America Act so that superior court judges could be automatically retired like their colleagues in the other courts. This possibility has not been neglected, but it has proved abortive. The Dominion Government has considered that an amendment which so closely affected the provincial courts should be initiated only with the consent of all the provinces, and at least one province has refused to agree to the proposal <sup>2</sup>. Thus, for a time at least, the matter rests.

### *Removal*

The almost impregnable position of the federal and superior court judges is best seen in the difficulty of removal, that is, the forcible ousting of these judges from their positions when they are in full possession of their faculties and quite capable of doing a normal day's work. "Good behaviour," as suggested above, is given a most generous interpretation, and bribery, gross partiality, and criminal proclivities are probably the only certain offences which would lead to removal. What is probably the best enunciation of the conditions which would justify removal was given by Edward Blake, a former Minister of Justice, in 1883:

I am not one of those who at all object to this great, this highest court of all, this grand inquest inquiring by proper means into the conduct of the judges. I believe that to be our highest, our most important and also our most delicate function. I have no quarrel with the statement of the hon. First Minister, in part, when he declared that a judge's conduct ought not to be attacked, at any rate, with view to an inquiry such as this, unless the charge against him be one of serious impropriety—a charge which, if true, would warrant his dismissal from office. We could not complain of a judge because he erred in his judgment or misconstrued the law, or misapplied the facts. There is a constant error of judgment, because judges, like other men are fallible, and it is not an error in judgment that should form the subject even of an observation here. What was the

<sup>1</sup>*Ibid.*, Feb 2, 1943, pp 72-3, *ibid* (unrevised), Aug 2, 1946, p 4340

<sup>2</sup>*Ibid* (unrevised), June 21, 1946, p 2791

cause, then, which could properly bring this judge's action under our consideration? It was a charge of partiality, of malfeasance in office—not that the judge erred, for all may err in judgment, but that he degraded his office, betrayed his trust, wilfully and knowingly did a wrong thing, perverted justice and judgment—that is the nature of a charge which could alone make it proper to have been brought here. Of that there is no allegation in the notice of motion.<sup>1</sup>

The process of removal for federal and superior court judges is a joint address of both Houses of Parliament, followed by actual removal by the Governor-in-Council. But this is hedged about with a great many formalities. Charges must be made by responsible parties and a petition submitted to Parliament praying for the judge's removal, the charges in the petition must be both explicit and of such a serious nature that, if substantiated, they would justify removal, the House must appoint a select committee to investigate the charges, the committee must report unfavourably, and the House adopt its report, the address must then be passed by both houses,<sup>2</sup> and finally, although this would doubtless follow as a matter of course, the Governor-in-Council must remove.<sup>3</sup> Each of these steps adds enormously to the difficulty of making the process effective, so much so, that it is not far from the truth to say that these higher judges are virtually irremovable.<sup>4</sup> As a matter of record, while one or two of these stages have been reached in several cases no federal or superior court judge has been removed since Confederation.

The defences of the County Court judges are not nearly as strong as those of the higher members in the hierarchy. In the first place, the reasons which will justify removal are

<sup>1</sup>*Ibid*, April 9, 1883, p. 522.

<sup>2</sup>One of the most astonishing statements to be made in the House in many years was that made in 1933 by Mr. R. B. Bennett, when Prime Minister, in discussing the attitude his Government would take if it decided on the necessity of removing a trustee of the proposed Railway Board who was to be subject to removal by the joint address procedure. Mr. Bennett said that the Government would first remove the member, and then seek the joint address—an extraordinary inversion which it seems impossible to justify—and he then added the even more incomprehensible statement that if the address, initiated by the Government, failed to pass the Senate, his Government would unhesitatingly resign. *Ibid*, May 4, 1933, pp. 4585-96.

<sup>3</sup>See R. MacG. Dawson, *The Principle of Official Independence*, pp. 35-9.

<sup>4</sup>There are older methods which might still be applicable for the removal of some judges, but these are considered to be obsolete. *Ibid*, p. 39-40.

not only malfeasance (the unwritten reason for the removal of higher court judges) but also "misbehaviour [a much wider term] or . . . incapacity or inability to perform his duties properly by reason of age or infirmity"<sup>1</sup> Misbehaviour will thus justify removal, incapacity or disability gives the Governor-in-Council a choice of either removal or stopping the salary,<sup>2</sup> the crux of the matter being whether the judge will be removed, or allowed to resign and hence save his pension. Secondly, the removal of a County Court judge can be accomplished by the passage of a simple order-in-council after an investigation by a commission of inquiry instituted by the Governor-in-Council and conducted by a judge of a higher court appointed for that purpose. Such an inquiry will not be ordered unless the Minister of Justice receives complaints from responsible parties or organizations (such as the bar association) in the province, and the judge is, of course, given every opportunity to defend himself against any charges.<sup>3</sup>

These provisions, while they give the County Court judges very substantial protection, nevertheless make the process of removal an expedient which is undoubtedly workable. Two judges have actually been removed in recent years,<sup>4</sup> and others in the more distant past forestalled certain removal by resignation.

### *Salary*

Salary is another factor determining the independence of the judge. The first condition is that it should be certain and not subject to the changing opinions of Parliament. Judicial salaries in Canada are therefore fixed by statute and do not appear in the annual parliamentary vote, and they are given special security by being made a charge on the Consolidated Revenue Fund. When the salaries of public officials were cut down during the depression those of the judiciary were not reduced, although a special income tax of

<sup>1</sup>*Can. Statutes*, 10 Geo. VI, c. 56, Section 30.

<sup>2</sup>The County Court judges are subject to the same treatment for the results of age or infirmity as the others. *Supra*, pp. 478-9.

<sup>3</sup>*Can. H. of C. Debates*, Oct. 17, 1932, pp. 255-6.

<sup>4</sup>*Canadian Annual Review*, 1933, pp. 109-10.

10 per cent was levied on judicial salaries, in order to maintain the principle—if not the income—intact. It is for the same reason, of course, that the provision for bringing about retirement by cutting off salaries, which has been discussed above, is viewed with alarm in some quarters, and the device will be justified only if the threat contained in the act continues to be used with exceptional caution.

The lowest limit of the judge's salary should clearly be sufficient to enable him to live in moderate comfort with financial security. In practice, however, the salary will have to be set at a much higher figure than this, for it must be large enough to induce many of the best lawyers to accept positions on the Bench. A good lawyer may not insist on an enormous salary, but he will demand one which will enable him to live on approximately the same scale as that to which he has become accustomed.<sup>1</sup> While it is true that the highest paid lawyer will not necessarily make the best judge, there can be no doubt that the correlation between legal and judicial ability is high, and that if the state wants the best material, it must be prepared to pay for it. In entering this competition, however, the Government has a valuable item to throw into the balance in the prestige and security that go with the judicial appointment, and many lawyers are prepared to make a very genuine sacrifice in exchange for the more intangible rewards of a judgeship.

There have been occasions in Canada, however, when the remuneration has been so low that the Government has experienced genuine difficulty in securing first-class judges, for the best talent would not accept appointment. Under such conditions the quality of the courts rapidly deteriorates, and this is soon reflected in the administration of justice. One of the openly avowed purposes of the salary increases in 1946 was to raise the standard of the judiciary through an improvement in the financial circumstances of the judges.

### *Appointment and Promotion*

The quality of the judge is the most critical of all the

<sup>1</sup>See Evidence, *Special Committee of the House of Commons on Judges' Salaries*, 1928, pp. 101-18.



factors concerned in the proper exercise of his powers. The conditions under which he works after his appointment should allow full scope and opportunity for the display of his talents, but the great prerequisite for usefulness, the character of the judge, has been formed in earlier years and must be weighed and tested before he takes his seat on the Bench.

*The appointment is made by order-in-council, and is the especial concern of the Prime Minister<sup>1</sup> and the Minister of Justice.* Party affiliations play a varying part, but they are not often entirely absent from an appointment, and at times actually prevent the choice falling on those with the more desirable qualities. "There has been too much political patronage concerned in appointments to the Bench," said Mr. Bennett. "The result has been that the test whether a man is entitled to a seat on the Bench has seemed to be whether he has run an election and lost it. Very often that has been so. If he has run an election and won it, peradventure he should not look for a seat on the Bench because he can better serve his country in some other capacity."<sup>2</sup>

It would, however, be quite erroneous to conclude that merit has been disregarded in making judicial appointments. "I have always laid down with respect to the judiciary," wrote Sir John Macdonald, "the principle that no amount of political pressure shall induce me to appoint an incompetent or unworthy judge,"<sup>3</sup> and while neither Sir John nor his successors have been quite as careful as this statement would suggest, judicial appointments have been kept on a high level. The law societies and bar associations take a very active interest in the matter, and recommendations and representations from them are usually welcomed at Ottawa. Indeed, each major party includes enough lawyers actively interested in politics to ensure a constant supply of excellent material, although even so, the selection is not invariably

<sup>1</sup>In a formal statement in an order-in-council (July 19, 1920) on the functions of the Prime Minister, the recommendation to Council of the appointment of Chief Justices of all courts is given as a special prerogative of the Prime Minister. See R. MacG. Dawson, *Constitutional Issues in Canada, 1900-31*, p. 125.

<sup>2</sup>*Can. H. of C. Debates*, May 17, 1932, p. 2999.

<sup>3</sup>Sir J. Pope, *Correspondence of Sir John Macdonald*, p. 85.

confined to the supporters of the party in power. "Quite frankly," said Mr L S St Laurent, the Minister of Justice, "I have been rather proud of the comments I have received about most of the appointments to the High Courts in the several provinces that it has been my privilege to recommend. A number of excellent judges have been appointed who, I am told, were not at any time members of the party of this administration."<sup>1</sup>

The idea of sectional representation on the Bench which appears in so unmistakable a form in the Supreme Court of Canada, is evident to some degree in other courts. There is apparently a deliberate effort made to avoid choosing too many judges from the larger centres of population, so that a provincial superior court will give some representation to the different areas in the province. The practice of considering the religious beliefs of the judges in many appointments has been followed for years, but not consistently, and rarely with open acknowledgment.<sup>2</sup> While this may be readily attacked as narrow-minded prejudice, it may be based on other things than the mere political desire to cater to public opinion through a sectarian spoils system. For it is vital to maintain public confidence in the judiciary, and if that should depend in some measure on a moderate regard for what may well be considered a purblind parochialism, some gesture in this direction is only wise. No one can deny that a Quebec Bench filled with Protestants or an Ontario Bench filled with Roman Catholics would, irrespective of the ability of the judges, discredit the administration of justice in that province.

While a system of promotion is usually most desirable within any organization, promotion from one judicial position to another is to be regarded with misgiving. If the executive is to exercise no control and no suggestion of control over the judiciary, any major promotions become impossible, for they may always raise the question of the executive paying its debts to its friends. To object to the elevation of a judge to the chief justiceship on the same court or even, perhaps, from

<sup>1</sup>*Can H of C Debates*, March 21, 1941, p 1848. A number of these, however, were promotions and not original appointments.

<sup>2</sup>Dawson, *The Principle of Official Independence*, pp 32-3, *Saturday Night*, Sept 12, 1942 (editorial).

one branch to another in the same court would doubtless be carrying this fastidiousness to extremes, but promotions involving greater rewards are most certainly inadvisable.<sup>1</sup> Even greater objections may be taken to the movement, which has not been entirely unknown in Canada, from politics to the Bench and back to politics again, for whatever effect such versatility may have on politics, its effect on the judiciary is wholly harmful.

### *Extra-judicial duties*

The employment of judges in extra-judicial work, and especially their employment on boards and Royal Commissions of different kinds, has been under attack for many years. The judges profess to dislike it, the legal profession opposes it, and the Government always expresses great regret at each fresh assignment, but the practice shows not the slightest sign of abatement. One judge of the Appeal Court of Saskatchewan had in 1946 never sat on the court of which he was a member although he had been appointed eight years before, he was engaged in discharging various duties, chiefly diplomatic, for the Government. In the same year the Minister of Justice admitted that 'there are at present about fifteen judges who are doing some work that is outside the performance of their ordinary duties.'<sup>2</sup> In September, 1937, the Chief Justice of Quebec, in deploring the large number of cases which remained unheard, said

I am fully confident that had we during the past year not been deprived of the assistance of so many members of the Bench the result would have been different. For two years and more Mr. Justice Loranger, one of our most energetic and active workers, has, under a Commission from the Government, been seeking by application of a statute, to bring relief to our actual or imaginary long-suffering agricultural class. What I have said of Mr. Justice Loranger is true, in full measure, of Mr. Justice Archambault. The paternal instincts of our Government induced it to create a Commission of which our brother, Joseph Archambault, is the head to inquire into and report upon the conditions prevailing in our jails and penitentiaries. The work has occupied his full time for over two years. When it will end is, to say the least, uncertain.<sup>3</sup>

<sup>1</sup>*Can. H. of C. Debates* (unrevised), July 26, 1946, pp. 3980-1.

<sup>2</sup>*Ibid.* (unrevised), June 21, 1946, p. 2787.

<sup>3</sup>*Montreal Gazette*, Sept. 9, 1937. The Chief Justice was himself on an international judicial commission.

There would seem to be little purpose in taking elaborate care to separate the judge from politics and to render him quite independent of the executive, and then placing him in a position as a Royal Commissioner where his impartiality may be attacked and his findings—no matter how correct and judicial they may be—are liable to be interpreted as favouring one political party at the expense of the other. For many of the inquiries or boards place the judge in a position where he cannot escape controversy: he may be making decisions which at least touch on public policy, he may be considering, or conciliating, or deciding disputes on labour questions, he may be investigating and interrogating by very questionable procedures persons suspected of treasonable activities, he may be conducting inquiries on definitely political matters such as the Hong Kong or Bren gun charges. It has been proved time and again that in many of these cases the judge loses in dignity and in reputation, and his future usefulness is appreciably lessened thereby. Moreover, if the judge remains away from his regular duties for very long periods, he is apt to lose his sense of balance and detachment, and he finds that the task of getting back to normal and of adjusting his outlook and habits of mind to purely judicial work is by no means easy.

The situation is made more difficult by the fact that these Commissions and boards often carry with them a substantial addition to the judge's salary. The rule in the Judges Act is that he is not allowed to receive any extra remuneration,<sup>1</sup> but that he may receive a living allowance, and this is frequently on such a generous scale that it cannot fail to make a very welcome contribution to his income. Two judges on a Royal Commission on Coal received in living allowances in a little over two years \$15,212.50 and \$18,400.00 respectively, and, with other personal expenses added, the totals came to \$17,349.94 and \$24,351.69.<sup>2</sup> Another judge it is alleged, received in an eight-year period more than \$40,000 in addition to his salary because of services given on Royal Commissions.<sup>3</sup> To assert that these things have no

<sup>1</sup>*Can. Statutes*, 10 Geo. VI, c. 56, Section 37.

<sup>2</sup>*Can. H. of C. Debates* (unrevised) Feb. 17, 1947, p. 484.

<sup>3</sup>*Ibid.* (unrevised), June 21, 1946, p. 2811.

effect on a judge's independence may or may not be true, but even if that sinister factor were completely absent, the popular suspicion that such influences are present is in itself a most serious matter. A recent speech in the Commons did not exaggerate the difficulties necessarily associated with the practice.

To the extent that these tasks [the work on Commissions] are paid for, they are subversive of the principle of independence which is the bed-rock of our whole judicial system. If a judge is to receive remuneration in excess of his statutory compensation, which has been horribly inadequate for generations, he is beholden to the body paying him, be it the political party in power or be it a labour union or employer who commends his services.

It is highly impolitic for a practising barrister to say anything which might be construed as criticism of the Bench. It is most unfortunate that stern necessity imposes on me the duty of pointing out the dangers which are inherent in this practice to the greatest of our institutions. I do not accuse the Government in power of being the sole offender, but circumstances have made it the most frequent and the most recent offender. Whenever it has had a difficult problem to solve, whenever it is confronted with a perplexity, it has pulled down the Bench into the dust of the political arena in quest of a solution acceptable because of the high authority and so far unimpeached integrity of the Bench.

I say with sorrow that the reputation for impartiality, dignity and honour enjoyed by the Bench has been exposed to criticism by this practice. All who believe in the law, who depend upon its fearless administration, and who believe in the necessity that the people at large must have complete faith in the integrity of the judiciary, must oppose the continuance of this practice.<sup>1</sup>

The objection to judges doing additional work is plainly not founded on any suggestion that this work is badly done, quite the contrary. It is, indeed, so well done that the Government continually asserts that the great justification for the practice is that no one else can fill the gap and no one else can command the same public confidence. There is probably a good deal of truth in both these arguments, although neither will lessen the bad effects on the judiciary itself. Some investigations are of such a nature that it is certain that no one but a judge could conduct them acceptably, but those in this class are certainly not as numerous as the past utilization of the judiciary might suggest. Even the Minister of Justice has recently stated that there may be

<sup>1</sup>J. T. Hackett, M.P., *ibid.*, p. 2788.

other groups of people in the country who are in a position to take some of these positions, "gentlemen now in universities and in other walks of life, who are sufficiently known to their fellow citizens to have their situation in life give that guarantee, which is necessary when one of these important public duties has to be fulfilled, that it is being fulfilled by the best men available for the purpose"<sup>1</sup>

Another possibility was suggested by the Minister of Justice when introducing a bill in 1946 to enlarge the Exchequer Court.<sup>2</sup> He suggested that one purpose in adding to the numbers on the Court was to create there a pool of judges who would be available to serve on Royal Commissions and thus avoid interrupting the work of the provincial courts. This comes very close to establishing a permanent office of Royal Commissioner,<sup>3</sup> who could conduct inquiries single-handed and also preside over larger Commissions, who would develop a specialized talent and technique in elucidating evidence and information, and who could be relied upon to bring to an inquiry that detachment and impartiality which is necessary to secure the confidence of the nation in the work of a Commission. A year or two of experiment might easily result in one of the Exchequer judges ceasing to exercise any judicial duties whatever and devoting his full time to investigations of a public nature.

#### JUDICIAL INDEPENDENCE

The above review will have indicated how unique are the functions of the judge and how completely the Canadian government has accepted the principle of division of powers as applied to the judiciary. It may be well, in conclusion, to go over this general ground and recapitulate what has been said and implied in some of the preceding pages. The fundamental decisions in a democracy arise from a constant interchange of desires and commands, tentative advances and retreats, experiments and consolidations, the adoption of one policy, the rejection of another, the haphazard and almost unconscious acceptance of a third, compromises

<sup>1</sup>*Ibid* (unrevised), Aug. 2, 1946, p. 4346.

<sup>2</sup>*Ibid* (unrevised), July 5, 1946, pp. 3227-8.

<sup>3</sup>For a development of this suggestion, see Dawson, *The Principle of Official Independence*, p. 182.

without number—all forming a part of the extremely complex process of determining and applying public policy. This works fairly successfully under a system of rewards and punishments, or, as it is called, political responsibility, whereby public officials of many kinds make their decisions (whether these be based on interpretations of public opinion, or on orders received, or on their judgment of what is fitting and best under the existing circumstances) in the full knowledge that in the event of these decisions being wrong—or, in some instances, merely unpopular—they must take the blame, or, in the event of their being right—or popular—they may take the credit. The responsibility which flows from people to Parliament to Cabinet to administration and resulting action is thus both a stream of command—albeit somewhat uncertain and wandering, and sometimes showing *little perceptible motion*—and also a means whereby honesty and efficiency and devotion to public duty can be appraised and suitably recognized.

But the judicial function, as already indicated, does not involve this giving of commands, this carrying-out of plans, or this restless search for policies. On the contrary, any vestige of these things would destroy the value of the appraisements and judgments which the judiciary is called upon to make and which form the primary reason for its existence. Rewards and punishments likewise become not merely inappropriate, but dangerous, for they at once introduce the possibility that the judge may give his decisions not solely from his determination of the law, but influenced to some degree by the effects of these decisions on his own personal career and fortune. The problem of the judiciary thus becomes one of how to obtain the most conscientious performance of the judicial functions without involving intimidation or fear and without offering the hope of gain and preferment.

The solution has been found in judicial “independence.” The judge must be made independent of most of the restraints, checks, and punishments which are usually called into play against other public officials. He is thus protected against the operation of some of the most potent weapons which a democracy has at its command. He receives almost

complete protection against criticism, he is given civil and criminal immunity for acts committed in the discharge of his duties, he cannot be removed from office for any ordinary offence, but only for misbehaviour of a flagrant kind, and he can never be removed simply because his decisions happen to be disliked by the Cabinet, the Parliament, or the people. Such independence is unquestionably dangerous, and if this freedom and power were indiscriminately granted the results would certainly prove to be disastrous. The desired protection is found by picking with especial care the men who are to be entrusted with these responsibilities, and then paradoxically heaping more privileges upon them to stimulate their sense of moral responsibility, which is called in as a substitute for the political responsibility which has been removed. The judge is placed in a position where he has nothing to lose by doing what is right and little to gain by doing what is wrong, and there is therefore every reason to hope that his best efforts will be devoted to the conscientious performance of his duty.

The judge will thus usually begin with the twin assets of character and ability, and these become the foundation on which the indirect appeals are based. He is paid a substantial salary, which not only removes some of the obvious temptations, but frees him from financial distractions. The importance of his office is continually stressed, his own rectitude and sense of fairness are invariably assumed, the dignity of the court and the respect accorded his office are rarely, if ever, challenged, his social position is always assured. These efforts to accentuate the eminence of the office are made infinitely more effective by the fortunate regard which the legal profession has for the judiciary and its ingrained habit of regarding a seat on the Bench as the crown of a legal career. Thus some of the very men who build up the tradition may be induced to accept judgeships a few years later, influenced to a material degree by the tradition which they themselves have helped to create. Not the least important result of this prestige is its effect on the public, who have come to accept it—and with some justification—at its face value, and who see in judicial independence a greater promise of justice than could be obtained through the application of ordinary political sanctions.





PART VII

POLITICAL PARTIES



## CHAPTER XXI

### POLITICAL PARTIES

POLITICAL parties, as the preceding pages will bear witness, cannot be isolated from any account of Canadian government and then be brought in later as a perfunctory postscript to the main theme. For parties lie beneath the discussion of almost all governmental activities like the postulates underlying a book of Euclid, they provide the fundamental assumptions which are essential to the validity of the argument. It is, however, unwise to break too often or at too great length into the main exposition in order to clarify certain aspects of party organization or activity, these have therefore been merely touched upon in earlier chapters and discussion of them will be extended and amplified in those which follow.

It should be obvious that democratic government as it is understood and practised in Canada simply cannot function without the aid of the political party or more accurately, political parties, for the communist and fascist idea of a single party and the rigid suppression of all dissenters has clearly no place in a democracy. Admittedly there will be occasions when the actions of the parties become quite reprehensible, but these must be accepted as necessary concomitants of self-government. They will eventually work themselves out, and the nation will presumably emerge (although even this is always somewhat doubtful) sadder and wiser from the experience.

The merits and general usefulness of political parties may be mentioned, but need not be elaborated here. They keep the public both informed and aroused by giving forceful expression to opinion and criticism on all subjects of general interest. They enable those who are concerned with these matters (whether they are actuated by selfish motives or otherwise) to organize for the more effectual propagation of their views and the more ready implementation of these views through practical political expedients. The parties are the outstanding agents for bringing about co-operation and

compromise between conflicting groups and interests of all kinds in the nation, for as a rule it is only by a merging of forces that these can hope to become powerful enough to secure office. They sort out issues for special attention and they narrow down potentially long lists of candidates to very modest proportions, thereby simplifying the task of the voter so that he is enabled to form a fairly clear and relatively simple decision on a few major questions and a few leading people. The party in opposition supplies at all times an alternative government which is willing, and even eager, to assume power the moment it begins to slip from the hands of the party which has been in the majority. Moreover, this new government comes into office with its leaders virtually chosen and its programme largely prepared in advance, and the transition can thus be made with little uncertainty and with a minimum of friction. It is, of course, true that the party system also tends to bring with it many faults—an excessive selfishness, a distorted perspective, corruption, and so forth—but there can be no reasonable doubt that the merits decidedly outweigh the drawbacks.

The party system, and particularly the party system under cabinet government, will find the best conditions for its operation where there are only two parties, or, at least, two parties sufficiently large to provide as a rule a clear majority in the legislature.<sup>1</sup> For if a legislature is under the shifting control of a number of parties, constantly seeking different alignments which will ensure a more permanent or more profitable majority, legislative programmes tend to remain in a constant state of flux, executive leadership becomes hesitant, and short-term views unavoidably supplant more permanent policies. Under the two-party system the responsibility of the Opposition becomes more definite and inescapable, and the conflict of interests and the assertion of local views are less likely to be either so divisive or so intransigent. Third (and even fourth) parties may occur from time to time and by their appearance and freshness of approach do much good, but in the long run the best interests

<sup>1</sup>This position is accepted by most writers, but not by all. See Ramsay Muir, *How Britain is Governed*, pp. 145-52.

of government will be served by their absorption by the major parties or, as an alternative, the absorption of one of the major parties by the newcomer

Whatever the theoretical justification of the two-party system Canada, ever since the emergence of national parties, has endorsed it consistently, and while third parties have frequently arisen, none of them has yet been in a position to challenge effectively the predominance of the Liberals and the Conservatives

### THE ORIGIN OF THE MAJOR POLITICAL PARTIES

The origin of the Conservative party can be fixed definitely at 1854, when a number of separate groups in the Province of Canada were brought together in a temporary coalition (which turned out to be permanent) under the contradictory but accurate title of Liberal-Conservative. It was composed of extreme Tories, who a few years before had fought against responsible government, and moderate Liberals from Upper Canada, together with French Moderates (or *bleus* as they soon were called) under the leadership of Cartier and some English-speaking members from Lower Canada. The coalition soon fell under the influence of John A. Macdonald, who was able by his own personality and later by the strong urge of the Confederation movement to weld the members, augmented by small groups from the other provinces, into a genuine political party<sup>1</sup>. So successful, indeed, was this endeavour that the party was able to retain office, with but one five-year interval, until 1896.

The role and outlook of Macdonald and the Liberal-Conservative party have been compared not unjustly to those of Alexander Hamilton and the Federalists in the history of the United States. Each party was the party of centralization, each believed in identifying itself with the propertied, commercial, and industrial interests, each used these interests to advance nationalist as against local causes,

<sup>1</sup>See Escott Reid, "The Rise of National Parties in Canada," *Proceedings Canadian Political Science Association*, 1932, pp. 187-200, F. H. Underhill, "The Development of National Political Parties in Canada," *Canadian Historical Review*, Dec., 1935, pp. 367-87.

and received in return the powerful support which they could give. The centralizing influences of Macdonald and the Liberal-Conservative party did not stop at Confederation, they found expression in the "national policy" of a protective tariff, in the construction of the transcontinental railway, and in many other secondary policies which bore in the same general direction.

This was the work of a generation, and it was to a remarkable degree the work of one man. But Macdonald's bold schemes never allowed him to forget the details of politics, indeed, there are many reasons for believing that his wider schemes were often little more than the means of attaining his more immediate political ends. For he possessed an exceptionally shrewd political sense of both timing and tactics, and he was far from fastidious in the instruments and expedients which he used in gaining his victories. His greatest talent was his genius for conciliation. He was able to gain the support of Brown, his bitter enemy, for the Confederation project, he was able to induce Howe to accept defeat and join his Cabinet, he was able to retain the backing of the English Tories, French Moderates, Orangemen, and Roman Catholics. This trait was of inestimable value in the formative period of the Liberal-Conservative party, and later years were to show that leadership in any Canadian party, if it was to have any permanence, must continue to depend to an enormous degree on an ability to use conciliation and compromise.

The origin of the Liberal party is not so definite nor so easily traced. Its ancestry undoubtedly goes back to the early reformers who fought for responsible government, but after Confederation the separate elements in the provinces were slow in coming together and forming a genuinely national body. Many of the Liberals had opposed Confederation, and this continuing lukewarmness, encouraged by the rival centralizing tendencies of the Conservatives, helped to make them the defenders of the rights of the provinces, a stand which in itself did not promote national party unity. If the Conservatives can be said to have had an earlier model in the Federalist party in the United States, the Liberals (or Clear Grits as they were called in Upper Canada) had their

counterpart in Jefferson and the Anti-Federalists or States Rights party. Moreover, this resemblance went further than a mere belief in decentralized government, for both were based on a frontier agrarian democracy with distinct radical tendencies. The Clear Grits were indeed definitely influenced by the successors to the Jeffersonians, the Jacksonian Democrats. They were opposed to wealth and privilege in any form, and they favoured soft money, universal suffrage, frequent elections, and various other "republican" measures well known south of the border. Another group which was included in or affiliated with the Liberals was the *rouge* party from Quebec. It was anti-clerical and had aroused the opposition of the Roman Catholic Church, and after Confederation it gradually declined in size and importance. To these two elements were joined some reformers, secessionists, and independents from New Brunswick and Nova Scotia.<sup>1</sup>

The first Liberal Government came into power after the Pacific Scandal had broken over the head of Macdonald in 1873. This Government was supported by the above groups which acknowledged also a separate allegiance to their own leaders, but Alexander Mackenzie, the Prime Minister, was no Macdonald, and after almost five years the party left office still a party in name only. The dour leadership of Mackenzie, the troubled genius of his chief lieutenant, Edward Blake, the administration's support of practical policies rather than those with popular appeal, its misfortune in holding office during an economic depression, its excess of political rectitude and stern principles, and its reluctance to utilize freely such lowly devices as patronage and lavish public expenditures, all combined to accomplish its defeat, and send the disunited Liberals into the wilderness for almost two decades.

It was Laurier, who became Liberal leader in 1887, who made a genuine national party from the local and personal sections which gave him their support. He approached his task with the conviction which was to be his guiding political principle all his life, that the overwhelming need was the co-operation of the French and English people in Canada, and

<sup>1</sup>Reid, *op cit*, pp. 193-4



his first public address after he became leader was an appeal for national unity. The immediate party problem was to carry the local party successes in the provinces over into the federal field. For although the Liberals lost the general election of 1891, they were at that time in control in every province with the possible exception of *British Columbia*. In 1893 the Liberals held the first national party convention in Canada with the obvious intention (as indicated in the introductory remarks of Oliver Mowat) of consolidating the party's position, bringing the different elements together, and making the national leader better known to the party workers all over the Dominion. Laurier's first Cabinet in 1896, which included no less than three provincial Premiers, was a clear demonstration of the same purpose.

"Laurier," states Professor Underhill, "had a more difficult and subtle role to play [than Macdonald], because he led a party in which the radical agrarian Grit tradition in Ontario and the radical anti-clerical *rouge* tradition in Quebec were still strong. Under Laurier the old party of Brown and Mackenzie and Dorion had to combine Jeffersonian professions with Hamiltonian practices."<sup>1</sup> Laurier managed to discourage the extreme *rouge* tendencies, to establish better relations with the Roman Catholic Church, and to capture a large part of the moderate element in Quebec, while he appealed to Ontario through the consideration which he showed for provincial rights and the Imperial preferential tariff which his Government introduced in 1897. Even in the 1893 platform the party had noticeably retreated from the extreme free trade position which it had earlier advocated, and when it took office it tacitly accepted the necessity for maintaining the industries which had grown up under the protective system—a tenderness towards maintaining the *status quo* which became even more marked in the early years of the century. The Imperial preference was an ideal compromise as a party straddle. It was a move towards freer trade, it had a certain retaliatory aspect against the high American tariff, it retained many of the Canadian protective features intact, and it was a reassuring

<sup>1</sup>Underhill, *op cit*, pp 384-5

gesture to those Imperialists who had suspicions regarding the Empire loyalty of a French-Canadian Prime Minister

If the Liberals had been unlucky in the time of their accession to power in 1873, they were amply compensated in 1896, for they caught the tide at the flood and rode into a period of prosperity unmatched in Canadian history. The enormous influx of immigrants, the railway expansion, the opening and settlement of western Canada, the creation of two new provinces, the expansion of internal and external trade, the continuing growth of Canadian autonomy—these were counted as Liberal achievements, and the winning of three more general elections was their reward. The fifteen years in office not only gave the party strength, they enabled it to acquire a character and tradition which were to prove very useful reserves in the years ahead. Although it is true that the tides were favourable and the winds fair, the success of the party voyage was nevertheless due in large measure to the capacity of the captain, for Laurier brought to his task an honesty and a broad tolerance, a vision tempered with a skill in practical affairs, which have had few if any equals in Canadian history.

#### PARTY DISTINCTIONS

Although both major political parties had by the opening years of this century become genuinely national organizations, it would be erroneous to assume that party conflicts from that time on became pitched battles between opposing forces, between those who fought bravely for one set of principles and those who fought no less courageously for principles of an entirely different kind. The parties have, it is true, always disagreed, but they have not always fought their engagements on purely national issues, and it has been by no means uncommon to have the fates of Dominion Governments decided by a series of local skirmishes which have borne little real relationship to each other. Nor, when wider and more fundamental questions have been at stake, have the two parties always maintained a consistent attitude to them, and it is rarely possible to examine the course

pursued by either party and from that deduce any one philosophy or set of ideas which has been steadily pursued throughout the years<sup>1</sup>

The nearest approach to a constant policy has been furnished by the stand of each party on the protective tariff. The more extreme Liberal tendencies towards free trade, as noted above, were already toned down before the party victory of 1896, but the introduction of the Imperial preference indicated that Liberal principles had not been entirely forsaken. The party, however, then rested on its achievement and retained a tariff which was unmistakably protectionist in character until the Government brought down its proposals for reciprocity with the United States in 1911. It was an ironical turn of events that when the Liberals at last made a sincere attempt to carry one of their neglected principles into practice they sustained defeat. In 1921, when they returned to power, they reduced the tariff in piecemeal fashion over a number of years, but it would be an exaggeration to state that this resulted in anything more than a moderate tariff which never lost sight of its protective function. Moreover, while there may be a strong likelihood, there is no assurance that the Liberals at this time would, if left to themselves, have gone even as far as they did, for their position in Parliament was so uncertain that they were by no means free to do as they pleased. They lacked a genuine majority and were being kept in office by Progressive support, and the tariff reductions were moves in a long courtship which was designed to soften the heart of the Western free-trading farmers. One other allied policy, however, the Liberals followed persistently and with much success: they welcomed at all times negotiations with any country which was disposed to consider mutual tariff concessions.

The rather hazy outlines of the Liberal tariff policy are, however, somewhat sharpened when placed in contrast with that of the Conservatives. From the beginnings of the "national policy" in 1879 the Liberal-Conservative party steadily advocated a high tariff for protective purposes, and whenever the opportunity presented itself, the party was

<sup>1</sup>A. Brady, *Canada*, pp. 83-7.

prepared to act. But the Conservatives, like the Liberals, were influenced to some degree by political realities, and the necessity of not antagonizing irretrievably certain low tariff areas undoubtedly served as a restraining influence on the extremists. Conservatives, however, were willing in 1930 to advance duties against all countries, including the United Kingdom, and the concessions made at the Imperial Economic Conference of 1932 were not, in view of this earlier advance, very notable breaks with Conservative tradition. There are recent signs, however, which suggest that this constant adherence to principle, continuing for almost seventy years, may be on the verge of abandonment, for Mr. John Bracken, the new Progressive Conservative leader, has recently stated<sup>1</sup> that modern world conditions make it imperative for Canada to reconsider her position and endeavour to free rather than hamper international trade. Whether the party will countenance so complete a break with its traditional policy is not yet certain and must await the return of the party to office.

The field of Imperial relations may be used as another ground for testing party policies, but it too gives rather inconclusive results. The general tendency has been for the Conservatives to pride themselves on their loyalty to the Empire, and for the Liberals to favour an aggressive nationalism. But the threads of the story get badly tangled in the telling. Even in the days of the old Liberal-Conservative regime of Macdonald, who was a strong upholder of the British connection, there were incidents which indicated that national patriotism would on occasion assert itself against British authority. The first Liberal administration, acting on Blake's initiative, ran true to form and made several conspicuous advances towards greater autonomy, but the Liberals under Laurier by enacting the Imperial preference took an eminently practical step in the direction of Empire unity. The Liberal policy of a Canadian navy and the contrasting Conservative policy of a Canadian contribution to the British navy were, however, both in accord with the usual traditions, as was the Conservative denunciation of the

<sup>1</sup>*Toronto Globe and Mail*, Dec. 20, 1946. The Liberal Conservative party, which has changed its name with bewildering frequency in the last thirty years, became known in 1942 as the Progressive Conservative party.

reciprocity agreement of 1911 as an act of disloyalty to the Empire

The most startling departure from normal party practices occurred after the First World War when Sir Robert Borden, the Conservative Prime Minister, shot far beyond the Liberals in his assertion of national autonomy. He stubbornly and successfully asserted the right of Canadian delegates to attend the Peace Conference, the right of Canada to separate membership in the League of Nations, and the right of Canada to her own diplomatic representation at Washington. While his Conservative successor, Mr. Meighen, gave clear indication of his return to more orthodox procedures in a closer identification of Canada with Empire policies, these earlier ventures greatly disconcerted the Liberals and left them for some time groping for a policy which would enable them to regain their leadership in this field. But they soon recovered the initiative after they assumed office in 1921, and the movement towards more extensive and more independent Canadian powers in international affairs proceeded at an accelerated pace. For while the most venturesome of the Conservatives had been careful to insist that the unity of the Empire in foreign affairs be preserved, the Liberals showed no such qualms: they were willing to risk separate action and virtual Dominion independence and rely on mutual advantage and co-operation to supply the centripetal forces which would keep the Empire together. After a long series of incidents in which Mr. Mackenzie King, the Liberal Prime Minister, consistently asserted Canada's right to follow her own course in various phases of external policy, he participated in the Imperial Conference of 1926 which formally enunciated the political equality of the United Kingdom and the Dominions<sup>1</sup>. But, even then, the Conservatives made their modest contribution. The final seal of legal approval on Dominion autonomy was applied by the Statute of Westminster, and this was authorized on Canada's behalf by Mr. R. B. Bennett.

The attitude of the Dominion on the rights and position of the provinces in the federation provided for a long time a

<sup>1</sup>*Supra*, pp. 61-3

fairly satisfactory criterion to apply to the two parties. The party of Macdonald was, of course, the party of Confederation and centralized authority, and the Liberals, as noted above, stood for the rights of the provinces as against the dominance of the Dominion. This was confirmed by numerous struggles between Liberal provincial Governments on the one hand and Conservative Dominion Governments on the other, and it was responsible in large measure for the Liberal victory in 1896, where the leading question was the Conservative Cabinet's coercion of Manitoba on the separate school issue. From this time on, while both parties were careful to recognize the rights and powers of the provinces, the Liberals, partly as a result of their past history and partly because of their greater hold on Quebec, were the more solicitous for the maintenance of all provincial prerogatives. It was they, for example, who met the demands of the Maritime Rights movement, who returned the natural resources of the Prairie Provinces, and who later appointed the Rowell-Sirois Commission in an endeavour to find a solution to the problem of Dominion-provincial relations. It was, moreover, the Conservative party under Mr. Bennett which launched a Dominion programme of social reform in 1935 which was based on an attempt to exercise powers long regarded as provincial.

Thus far the history is unusually consistent, but the last few years have brought a marked change. The war experience, the growing urgency of social reform, and the conviction that the needs of the modern nation involve a far greater concentration of power, have led the Liberal Government under Mr. Mackenzie King to abandon the old party position as the ardent defender of provincial rights. The Liberal Government has thus advocated a great enlargement of Dominion authority which would involve giving to the federal government exclusive powers over all major fields of taxation and therefore a much more extensive participation in the social and economic life of the nation.<sup>1</sup>

Tests of party consistency on other topics will yield substantially the same inconclusive results. The Liberals profess

<sup>1</sup>*Supra*, pp. 130-6

to take the lead in public ownership and in progressive social legislation, and their record will in many respects bear this out. But it was the Conservative party which placed the government in the railway business on a gigantic scale and created the nationally owned system of radio broadcasting, and Mr. Bennett's "New Deal," unsuccessful though it proved to be, was far in advance of anything the Liberals had attempted at that time. The official Conservative policy in 1917 was in favour of conscription, the official policy of all parties in 1940 was against it, by 1945, the Conservatives had become conscriptionists once more, some Liberals were anti-conscriptionists, and most Liberals were wandering about somewhere in between. Time and again the Liberals have with excellent justification accused a Conservative Cabinet of assuming arbitrary and dictatorial powers and ignoring Parliament, yet never since the beginning of responsible government has a Cabinet taken the powers which the Liberal Government presumed to exercise during and after the Second World War.

The truth which lies buried in this confusing record is that although there is no rigid consistency to be found in either party's performance, certain broad tendencies and attitudes can be traced as fairly indicative of each party's general position. It may, for example, be asserted with some confidence that the Liberals desire a lower tariff than the Conservatives, that they are more inclined to favour the primary producers, especially in Western Canada, that they are more nationalistic and less Imperialistic,<sup>1</sup> that they are generally more tolerant of sectional or racial differences. In short, Liberals and Conservatives approach a new or old problem not with a predetermined philosophy behind them, but with a certain leaning or bias which, however, each is prepared to modify—and sometimes to modify substantially—should the circumstances seem to warrant it.

<sup>1</sup>"Canadian Liberals," Henri Bourassa is reported to have said "to live in the autonomy of the Dominion and the maintenance of the unity of the Empire, whereas Canadian Conservatives believe in the unity of the Empire and the preservation of the autonomy of the Dominions." and it is to a material degree just such a shift in emphasis which furnished the distinction.

There is a further distinction between the political parties which emerges from experience rather than principle, namely, that the Liberals have demonstrated over a long period that they are able to secure the support (and to a material degree the confidence) of the people of Quebec. In the days of Macdonald Quebec was almost invariably Conservative, but it transferred its allegiance in 1891 and since that time has never failed to send a majority, and frequently an overwhelming majority, of Liberal members to the House of Commons. This may be attributed in part to the greater Liberal sympathy with provincial rights, in part to the leadership of Sir Wilfrid Laurier, and especially, in recent years, to the wider tolerance and sympathy which the Liberals elsewhere in the Dominion have shown to French ideas and ambitions even when they did not agree with them. This willingness to allow differences of opinion and to make very substantial concessions and alterations in policy in order to obtain a greater measure of agreement had far-reaching consequences in the conscription issue, which in both world wars set the Conservatives against Quebec with disastrous effects on national unity and on the Conservative party. Thus in the four general elections from 1917 to 1926 the Conservatives carried a total of only 11 seats in Quebec out of a potential 260, in the three general elections from 1935 to 1945 the Conservatives carried in Quebec only 6 out of a potential 195—making a grand total of 17 out of 455, or less than 4 per cent. In the intervening election of 1930, however, they carried 24 seats and also, incidentally, attained office. While the Conservative party has to some degree made a virtue of necessity and has tried with some success to turn a weakness into a source of strength by denouncing its excessive Liberal concessions to Quebec, the awkward fact remains that without some substantial Quebec support no party in Canada can obtain office. It is, of course, obvious that a majority in the Commons without any Quebec members is mathematically possible, but past experience indicates that such a tremendous handicap is almost certainly too great to be surmounted.



## PARTY COMPROMISES

This argument leads indeed to what is probably the primary political generalization about Canadian parties, that no party can go very far unless it derives support from two or more regional areas in the Dominion,<sup>1</sup> and this leads to the further consequence that a national party must take as its primary purpose the reconciliation of the widely scattered aims and interests of a number of these areas. It is chiefly for this reason that the party leaders have been compelled to modify their principles and their policies, to favour the neutral shades rather than the highly satisfying—but politically suicidal—brighter colours. It is no accident that the three Prime Ministers with by far the longest terms in office—Macdonald, Laurier, and King—have possessed to an extraordinary degree the ability to compromise and to bring together people possessing divergent interests and beliefs.

The differences within the parties are thus frequently more acute than between the parties themselves, and each party, if it is to command anything approaching general support from its members, must work out some kind of accommodation within its own ranks. Extremes must be made to accept something far short of what they consider the ideal, the prairies must make concessions to Ontario, Ontario to Quebec, free traders to protectionists, farmers to miners, and so on across the Dominion. The inevitable result is that both major parties tend to be parties of the centre, swinging gently to right or left, to a little freer trade or a little more protection, with a slight urge towards centralization or back towards provincial rights, the pendulum never getting far beyond the point of stable equilibrium.<sup>2</sup>

<sup>1</sup>See H. McD. Clout, *Canadian Government and Politics*, pp. 79-90. These are, broadly, the Maritime Provinces, Quebec, Ontario, the Prairie Provinces, and British Columbia.

<sup>2</sup>Political parties in the United States exhibit precisely the same tendency and for substantially the same reasons. The Republican and Democratic parties "are not in any real sense political parties at all. They do not bring into union people of common fundamental beliefs or philosophies. On the contrary, they attempt to unite elements of the population that are often bitterly antagonistic in their political, social and religious views of life. They are in point of fact merely loose federations of state and sectional parties. Small wonder, then, that the two great national parties, attempting as they do to hold together a

It is for this reason that the platforms of the major political parties tend to be such conspicuously unsatisfactory documents, for they must always represent the highest common factor in a series of widely divergent terms, and that is apt to be a very small number indeed. The comparative insignificance of this general accord, however, cannot be widely advertised, and inasmuch as there is always a large fringe of voters who might not agree with even this modest statement, the party platform falls back on vague generalizations and ambiguous terms. Platitudes are wrapped up in an elaborate coating of words and symbols in the hope that few voters will attempt to pick them apart and so come to realize to what a small extent the party is committed to definite action. Everyone wants wise measures of social reform, sound immigration policies, scientific conservation of natural resources, improved educational facilities, measures which will stimulate international trade and widen the markets for Canadian goods, and the parties will promise these and other so-called policies freely.

Another way of widening the appeal is to include in the platform a number of paragraphs, each of which refers to a special area or interest, in the hope that almost everyone will find something to his liking which will catch his eye and perhaps his vote. Thus the platforms of the national parties have contained paragraphs on labour relations and the St. Lawrence Waterway (for the benefit of Ontario and Quebec), on the fisheries, the use of Canadian ports, and Maritime rights (for British Columbia and the Maritime Provinces), on the Hudson Bay railway, freight rates on grain, and the Peace River district (for Western Canada), and on veterans' affairs and rehabilitation (to gain the support of the veterans).<sup>1</sup> The absence of sharply defined principles, this softening of those which do exist, and the general attempt to emphasize sectional appeals are apt to produce platforms

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heterogeneous mixture of irreconcilables, must trim and equivocate on issues and have difficulty in developing true national leadership. Platforms and candidates must be all things to all men, which means very nearly that they must be nothing to anybody. H. L. Vernon, *New York Times*, June 12, 1932.

<sup>1</sup>See for example platforms given in R. MacG. Dawson, *Constitutional Issues in Canada, 1900-1931*, pp. 559-77.

which bear a close resemblance to one another or, at most, vary only in the matter of emphasis, a not unnatural tendency in view of party performances. If the platforms are drawn up for provincial elections, where the distinction between the parties is often nothing more than a choice between competing sets of persons or between the "ins" and the "outs," the differences often become so slight as to be quite farcical.<sup>1</sup>

Finally, the opportunism—and one may fairly say, the inescapable opportunism—embedded in the Canadian party situation tends to minimize the importance of the platform and emphasize the importance of the party leaders, who are the ones chiefly responsible for making the adjustments and compromises which are necessary for retaining support. "Our platform is our leader, and our leader is our platform" is sometimes openly avowed, and it is a maxim that is almost always accepted in practice. It follows, therefore, that the leader is the master of the platform, and tends to accept it as a general indication of the way in which the party would like him to move when and if he finds it desirable to do so.<sup>2</sup> Political leaders in the United States have accepted their party platforms in much the same spirit.

<sup>1</sup>Many examples could be given—but one from the Liberal and Conservative platforms in Saskatchewan in 1917 will suffice. (*Regina Leader*, March 30–April 28, 1917.)

#### LIBERAL

##### *"Conditions as to Employment for Girls and Women"*

The enactment of such laws and such amendments to existing laws as will provide adequately for

(a) the health and comfort of all girls and women employed in offices, stores, warehouses, and factories

(b) regulating the hours of employment and for the fixing of a minimum wage for all such employees

##### *Mothers' Pensions*

The inauguration of a system of pensions for mothers who for any cause are left without sufficient means to support and educate their children.

#### CONSERVATIVE

"The enactment of legislation for the bettering of the social and economic conditions of women and for the elimination of those legislative handicaps under which they are placed by reason of their sex."

The enforcement of rules respecting the hygienic conditions under which women work.

A minimum wage for all women wage earners, regardless of occupation.

Regulation governing the maximum hours of labour for women and girls.

Pensions for all mothers who through need or other disability are unable to bring up their families.

<sup>2</sup>See *infra*, pp. 585-7.

## MINOR POLITICAL PARTIES

Minor parties have appeared in Canada from time to time, but although they have not infrequently captured provincial governments, they have never presented either of the *major parties with a serious threat in the federal field*. The necessity of drawing support from several sections of the Dominion simultaneously has proved an insuperable barrier for parties which have usually appeared as detached centres of revolt, called into existence by some local dissatisfaction. The Co-operative Commonwealth Federation (hereafter called by its common name, the C C F party) has been able to shake off this parochialism with some measure of success, but it would be premature to suggest that its future in Dominion politics is assured. One difficulty, of course, which *minor parties everywhere encounter is that the major parties are always on the alert to steal the goods which their rivals put forward to catch the popular fancy*. The moment a new fashion is seen to make a dangerously effective appeal, one or other of the major parties—and conceivably both—will be likely to appropriate it as its own, so that the minor party's fresh ideas (which are usually its chief asset) are continually reappearing in the show-windows of its big and more successful competitors.

The history of the Progressive party, which made a strong bid for power after the First World War, is not without interest in this and in other respects. There had been several farmers' political movements in Canada since the days of the Clear Grits,<sup>1</sup> and this one, like the others, arose from the same basic conviction that the interests of the farmers were being grossly neglected. The general dislocations of the war and the fact that Western Canada (normally Liberal) had shaken off its old party allegiance over the conscription issue greatly lessened the difficulty of making a change, but the chief force of the movement was a distrust of the commercial and industrial interests, the belief that no substantial reduction in the tariff could be expected from the other parties, and the desire to place on the statute book certain ideas and tenets

<sup>1</sup>Notably the Patrons of Industry. See L. A. Wood, *A History of Farmers Movements in Canada*.

of the new movement. The farmers' group (many of them refused to call it a party) therefore proposed the immediate abolition of the tariff on many raw materials, on all foodstuffs, and on certain machinery, together with substantial all-round reductions, reciprocity with the United States, increases in the Imperial preference, direct graduated taxation on personal and corporation income and on large estates, assisted land settlement for veterans, public ownership of coal mines and all public utilities, and numerous political reforms, such as abolition of patronage, Senate reform, proportional representation, and the initiative, referendum, and recall.

The movement made its first great advance in Ontario in the provincial election of 1919, which resulted in the formation of a Farmer Labour Government. Two years later the United Farmers of Alberta obtained power as a result of an election in that province. In the Dominion general election in the same year the farmers—or Progressives—carried sixty-five seats in the House of Commons, all but four members coming from Ontario and the prairies. Although the Liberals did not have quite a majority in the House, they could count on the support of enough friendly Progressives to give them a moderate degree of security. The Farmer-Labour Government in Ontario lasted only a few years, the Progressives in the Dominion House lasted a little longer but gradually petered out, while the U.F.A., both in Alberta and at Ottawa, showed much more vitality but eventually fell before the onslaught of the Social Credit movement.

The appeal of the Progressives was too much to a class and to special areas, and they were never able to organize adequately on national lines. Many members, indeed, held impractical ideas about government by co-operative groups and not by parties, which they professed to hold in contempt, and their parliamentary tactics and lack of party responsibility did not make for general confidence. Much of the energy of the movement, moreover, was devoted to economic ends, and the wheat pools, or co-operative organizations for the marketing of wheat, were the result.

The most important reason for their failure, perhaps, was the unremitting efforts of the Liberals to absorb them, both by direct attack and by infiltration. The movement drew up its platform in November, 1918 and so impressed were the Liberals with its excellence that nine months later a substantial part appeared in the Liberal platform. Progressives, the Liberals kept insisting in the following years, had been Liberals originally and still were nothing more than a special section of the old party. Mr. Mackenzie King missed no occasion to invite the wanderers to return and the Liberal legislative programme bore such tangible signs of affection as reductions in the general tariff, increases in the Imperial preference, lowered sales taxes and other desired changes. "I have year in and year out," said Mr. King in August, 1927, "sought to bind as one party these men and women with similar ideas. That has been the whole aim of my leadership." The defences of the Progressives crumbled. In the election of 1925 they elected only twenty-four members, and in the election of the next year they split into three groups, the U.F.A., the independent Progressives, and the Liberal-Progressives. This virtually eliminated them as an important element in Dominion politics. The U.F.A. kept on for some years as a sectional group, but the bulk of the remainder linked up with the Liberals, and their leader accepted a portfolio in the Liberal Government.

The Social Credit party succeeded the U.F.A. in its native province and at Ottawa made a case of a party being hoisted on its own petard. For where the U.F.A. had capitalized on the discontent following the First World War, the Social Creditors capitalized on the discontent which arose during the depression, where the former had promised to relieve the Alberta farmers through free trade and co-operation, the latter produced fancy monetary schemes towards the same end. "The contest of the 1930's between the Social Credit government and the bankers was only one in the long series between east and west, city and country, banker and farmer, hard money and soft money, which had been going on ever since those early days when absence of hard cash forced resort to mooseskins, merchants' I.O.U.'s, or pieces of leather with

holes in them."<sup>1</sup> More recently, the monetary ideas have been given second place, the party—despite a record tainted with authoritarianism—has become an ardent upholder of free enterprise and individual liberty. It has thus developed a particular hatred for the C C F and all its proposals. Even Liberals, however, are regarded with deep suspicion, and the Social Creditors are in their strong individualism moving to the right of the Progressive Conservatives.

The imprint of Social Credit on provinces other than Alberta has been negligible, but in 1946 a movement appeared in Quebec called the Union des Electeurs which is a Quebec version of Social Credit. Its beliefs surpass those of all other parties in their vagueness and elusiveness although they have been set forth with all the prolixity which has often characterized its Alberta cousin. It apparently believes in the monetary ideas of Social Credit, and is equally opposed to anything that is associated with socialism and state control. It also asserts a belief in God. It does not regard itself as a political party, but simply as an organization that participates in politics. It therefore maintains a fine detachment towards elections and runs no candidates of its own, but it endorses candidates of whom it approves, and it has a decided weakness for those who advocate social credit and kindred doctrines.

While Quebec has been consistently Liberal in federal politics for many years, it is nevertheless a natural breeding-ground for minor parties which appear for a while and then vanish or become sublimated and reappear in a somewhat different form.<sup>2</sup> All of them are strongly pro-French and nationalist. An outstanding example was the Nationalist party in the early years of this century, which elected a group of members to the House in 1911, joined in a most unnatural alliance with the Conservatives, and was rewarded with three Ministers in the Cabinet. One promptly resigned when the

<sup>1</sup>A. R. M. Lower, *Colony to Nation*, p. 517.

<sup>2</sup>In the 1944 Quebec election the following parties participated: Liberal, Union National, Bloc Populaire, C C F, Labour Progressive, Canadian, Cardinalist, Union des Electeurs. The first four polled 39, 36, 15, and 3 per cent of the total vote in that order. H. F. Quinn "Parties and Politics in Quebec," *Canadian Forum*, May, 1944.

Borden naval policy was proposed, the other two very soon after the outbreak of the First World War. The present Union Nationale party in Quebec is nominally linked with the Conservatives, but it has so far remained a provincial party with no acknowledged tie with its larger affiliate. It has stayed out of the Dominion field, while the Progressive Conservatives at the last provincial election conveniently did not contest any seats. It is nationalist, intolerant, and devoted to the task of defending French Catholic Canada against the machinations of her enemies in the religious, economic, and political fields. The Bloc Populaire (a union of two earlier factions) has members in both the Dominion and Quebec legislatures. It is intensely nationalist and French Canadian, desires "real independence" from Britain, and stands firmly for provincial autonomy against Dominion encroachments. It has radical tendencies, and it thus demands the destruction of all trusts and advocates the extension of the co-operative movement, the state ownership of public utilities, and various measures of social reform. It is worthy of note that the two strongly nationalist parties, the Union Nationale and the Bloc Populaire, polled 51 per cent of the total vote at the 1944 provincial election.

The Communists, who openly masquerade as the Labour-Progressive party, have elected an occasional member to the Dominion and provincial legislatures. Their chief influence is indirect, and the C C F party has had some difficulty in keeping out Communist members whose beliefs and intentions have been open to suspicion and are not at all kindly regarded by C C F members.

The Co-operative Commonwealth Federation was formed in 1932 by members of an earlier labour party and a section of the old United Farmer (Progressive) organization, who believed that their political interests might be pooled to their mutual advantage.<sup>1</sup> Since that time the party has been able to make a more general appeal to members of all occupations and to record substantial political progress throughout the Dominion. It has attained office in Saskatchewan, is the

<sup>1</sup>D. Lewis and F. R. Scott, *Make This Your Canada*, pp. 117-19.



official Opposition in three other provinces, and has an active aggressive group in the House of Commons.

The C C F party continually stresses the fact that it is different from the major parties, and in certain important respects this is undoubtedly true. The C C F has a socialist programme, and thus contemplates a new social order based upon sweeping economic changes. Its purpose is stated in its original platform adopted at its first national convention in 1933:

We aim to replace the present capitalist system, with its inherent injustice and inhumanity, by a social order from which the domination and exploitation of one class by another will be eliminated, in which economic planning will supersede unregulated private enterprise and competition, and in which genuine democratic self-government, based upon economic equality, will be possible. This social and economic transformation can be brought about by political action, through the election of a government inspired by the ideal of a Co-operative Commonwealth and supported by a majority of the people. We do not believe in change by violence. We consider that both the old parties in Canada are the instruments of capitalist interests and cannot serve as agents of social reconstruction, and that whatever the superficial differences between them, they are bound to carry on government in accordance with the dictates of the big business interests who finance them. The C C F aims at political power in order to put an end to this capitalist domination of our political life. It is a democratic movement, a federation of farmer, labour, and socialist organizations, financed by its own members and seeking to achieve its ends solely by constitutional methods.

More explicitly the C C F proposed, as part of the planned economic order, the socialization of all financial agencies, transportation, communications, and public utilities generally, a national labour code, social insurance covering old age, illness, accident, and unemployment, freedom of association, socialized health services, crop insurance, removal of the burden of the tariff from the operations of agriculture, parity prices, encouragement of co-operative institutions, amendment of the British North America Act to give the Dominion government sufficient powers to carry out measures which are national in scope, and the abolition of the Canadian Senate. While some of these aims have been achieved in the intervening years since they were drafted, most of them are yet unrealized, and the original programme still constitutes the main framework of the C C F platform.

## CHAPTER XXII

### PARTY ORGANIZATION

A POLITICAL party, however lofty its principles, is as sounding brass unless it can achieve power, and hence the election of candidates to office becomes a matter of primary concern, equal and frequently superior in importance to the principles themselves. To succeed in an election on even a small scale demands organization, indeed, the entire effective life of a party as such is closely identified with organization. To keep the party ideas and principles attuned to public opinion, to persuade the voters to seek the realization of their aims through the one party rather than another, to stimulate interest, to systematize and consolidate effort before, during, and after an election, to bring the views of the party members to the attention of the parliamentary representatives, to perpetuate and give continuity to endeavour, to enable the voters through the enunciation of common purposes and the selection of representative candidates to become effective participants in one cause—all these aims can be achieved or at least aided by party organization, and the form which the organization will take will be determined in large measure by the zeal with which the members of a particular party desire them.

A party organization in Canada as elsewhere is essentially an empirical device for producing concrete political results, and one of its most obvious characteristics must therefore be its easy adaptability to circumstances. The organization may vary from party to party, although even more striking differences will sometimes be found between one province and another and between rural and urban constituencies within the same province. Constitutions which outline standard forms are very common in the Canadian parties, but they are in many instances suggestive rather than mandatory, and a great deal of local autonomy is permitted and even encouraged. Interference from provincial or Dominion headquarters in the activities of the party in the individual

constituencies is almost invariably resented by the latter, and even on those occasions when the local organization is torn by internal struggles, the higher party authorities will intervene reluctantly and with extreme circumspection.

All Canadian parties, no matter what form their organization and practices may take, continually pride themselves on their complete identification with democratic procedures, although there is little agreement on what party democracy really involves. Thus the *Toronto Globe* in an editorial preceding the first national convention held in Canada in 1893 wrote as follows:

The fundamental idea of a freely chosen party convention is the application of the principle of popular government to party institutions. A country may possess all the forms of free government and law-making, and yet the benefit of these will be practically withheld from those who are bound by allegiance to a party despotically governed. A party convention such as that to be held at Ottawa recognizes the right of every member of the party to a voice in the making of its policy, and the means to be adopted to carry it out. As the convention cannot include every member of the party, it is important that it shall be as large as is convenient, and shall be freely chosen and thoroughly representative of the great body of Liberals. Those conditions, we believe, will be fulfilled. In order to be truly popular and democratic it is necessary that the discussion shall be free, and that every delegate shall have a real voice and a real share in the proceedings. We have no fear of this great convention being conducted in any other way. Finally the publicity of the proceedings enables those who cannot take part to be made fully acquainted not only with the decisions of the convention, but with the discussion leading up to them. There have been unmistakable signs of an awakened interest in public affairs, and we expect that the reports of the convention will be eagerly read and freely commented on.<sup>1</sup>

Yet this convention did nothing more than frame a platform and help its members "go home with their political faith strengthened and their political zeal quickened."<sup>2</sup> It passed, it is true, a resolution of confidence in the leadership of Wilfrid Laurier, but there was no suggestion that it should elect a leader or even confirm Laurier in his position. This function of the party convention has been of more recent growth: it became fairly common in the provincial field during the opening years of this century, but did not appear in national politics until 1919.

<sup>1</sup>*Toronto Globe*, May 9, 1893.

Oliver Mowat, *Proceedings, Dominion Liberal Convention* (1893), p. 14.

These and other signs of the influence of the party rank and file or the party representative bodies have, indeed, always been sporadic and uncertain in the two major parties, although lip-service is constantly paid to the inherent virtues of so-called democratic control. In actual practice, the extent to which the leaders and their chief assistants feel the need for refreshment or re-dedication at the source of all party power has depended in the major parties almost entirely upon the fortunes of the moment. Success at the polls and the control of a government, for example, are usually taken as a sufficient justification and authorization for continued leadership, even although the convention from which the power has been derived and which has laid down a programme is many years removed. The continued success of Mr Mackenzie King has thus led the Liberals to ignore the national convention and its legendary prestige and authority for more than twenty-eight years (at the time of writing), while the Conservatives, with little love for conventions but less luck in elections, have had no less than three conventions in twenty-one years. Under such circumstances, protestations of a belief in the active participation of all members in party affairs begin to sound a trifle thin. It is but fair to add, however, that few of the party supporters themselves have felt the need to assert their rights in the midst of plenty. A winning team is a good team, and it would be considered sheer foolhardiness to risk a new captain or even new instructions which might quite conceivably break the happy succession of victories. In contrast to this, the organization and practices of the Co-operative Commonwealth Federation are very definitely devoted to the maintenance of a close identification of the party rank and file with its leaders.

Through all forms of Canadian party organization runs a current of influences from the United States, clear and persistent, yet not strong enough to bring about complete imitation. There can be no doubt, for example, that much of the belief that conventions should be held and that they should both state a platform and choose a leader, is derived from American examples, and a great part of the procedure at these conventions has also been copied from the same

source, although it has been toned down in many respects by a self-consciousness which occasionally asserts itself and partially succeeds in restraining the more phlegmatic Canadian from unseemly exhibitionism. There are many other resemblances, both superficial and profound. But differences are also common. In the United States the frequency and regularity of elections, the enormous number of elective offices, and the common practice of fighting municipal contests on standard party lines, tend to augment the importance of all party machinery to an extent unknown in Canada. Canadian party activity is not nearly as formal nor as sustained: there are long gaps between elections, and when the test comes, it is frequently unheralded, and hence must be met by quick improvisation without the benefit of a prolonged preparation which can gradually lead up to an electoral climax on a certain day specified in the constitution. The direct primary has thus made no impression on Canadians. The convention system has never been sufficiently important or corrupt in Canada to create a need for the direct primary as a necessary substitute, nor does the government readily lend itself to the primary device. It could with difficulty be incorporated into a scheme based on uncertain election days, and the one or two elective offices to be filled would make a primary an extremely expensive instrument for the achievement of so modest an end as the nomination of one or, at most, two candidates from each party.

Attention has already been drawn to the diversity in the organization and practices of Canadian political parties. The two major parties, however, have so many points in common that they may, so far as these matters are concerned, be conveniently grouped together, although for greater conciseness it has been necessary here to place the primary emphasis on one of them. The lot has fallen on the Liberals. The C C F, while using machinery of a similar kind, is run in a different spirit than its older rivals and it indulges in a kind of ultra-democracy or egalitarianism which is not unusual in parties with radical tendencies. The following description will therefore discuss first the organization and practices of

the Liberal and Progressive Conservative parties, and then indicate the chief points of difference with those found in the C C F.

In attempting to follow this programme, however, a further problem arises in dealing with the organization in the provinces, for here locality, custom, and race have tended to introduce variations. Parties in Quebec, for example (except in Montreal and a few other districts), are much more loosely organized than elsewhere, and local and personal influences, in the orthodox French tradition, seem to play a much larger part in determining the form of party action in a given area. On the other hand, it is generally true that forms of organization within a particular party will bear a decided family resemblance from province to province. It is clearly impossible within the scope of one chapter to hope to deal with the forms of organization of even two parties operating within the Dominion field and in nine separate provinces as well. A further obstacle is the absence of sufficient information on the subject. Party organization in Canada is almost a virgin field for study and research with nothing but a few scattered patches at present under cultivation, and any description, to say nothing of analysis and comparison, is thus inevitably restricted by the limited facts available.<sup>1</sup>

The following pages have thus been confined largely to the party organization in Ontario and the Dominion. This is fairly typical of that existing elsewhere in Canada, although, speaking very generally, it is somewhat more highly developed and systematized than in some of the other provinces. Occasional variations in the usual procedures have been indicated from time to time. It should be remembered, of course, that even within the one province no party insists on adherence to a rigid standard in such matters. The main objectives are to maintain party harmony and produce the maximum support on election day, and if the party members in one constituency wish to depart somewhat from the normal practice, provincial headquarters will be inclined to regard such idiosyncrasies with a helpful tolerance.

<sup>1</sup>In obtaining some of this information I wish to acknowledge the very substantial help given by a number of my students, especially Mr. L. H. Smith and Mr. A. H. Sovereign.

## A THE LIBERAL (AND PROGRESSIVE CONSERVATIVE) PARTY

(1) *The Poll Organization*

This, the smallest unit in the party organization, is built around the individual poll where the votes are cast. Inasmuch as an average constituency will have about 150 polls (a smaller number in the cities, a larger number in rural areas) there will normally be this many party polling sub-divisions or local districts. It is here that the party makes its immediate contact with the voters, here the real work of winning elections is accomplished, here are the rank and file and particularly the non-commissioned officers on whose efforts the final results largely depend. These non-commissioned officers comprise the party's committee for the poll, and it includes six or seven of the most energetic and helpful workers available, headed by a person known as the poll captain or chairman. They may be chosen by a meeting of party members in the polling sub-division, or by a body representing the party in a group of sub-divisions (a township, ward, or smaller area), or they may be informally called together by the chairman of the poll, who in turn has been placed there by some higher authority in the party.

One of the chief functions of the poll chairman is to maintain an active interested committee, one which will be willing to perform the somewhat dull duties between contests as well as the more arduous but much more stimulating duties which appear during the election. The members of the poll committee are expected to know or to ascertain the political affiliations of all voters in their sub-division, they will check the lists of voters and see that they are revised, they will persuade the party supporters and adherents to go to the poll on election day, they will approach those whose party allegiance is doubtful and will try or induce someone else to try to obtain their vote, they will see that transportation is available to take voters to the poll, they will act as scrutineers at the poll, they will give, in short, whatever assistance the party may require of them within that local area. Some of these workers will be paid by the party a few dollars for their labours on election day, but most of them serve without

*immediate remuneration, some for the cause itself, some for the enjoyment of the struggle, some for the sense of importance engendered, some for the more tangible though distant rewards of a rural postmastership, a job in the liquor store, or some other crumb from the patronage table*

Party organization at this lowest level is necessarily irregular and varied, the most disturbing influences being those which flow from the widely different degrees of party support within even a small area and the widely different conditions of city, town, village, and rural district. The organization, if it is to function effectively, must be able to adapt itself readily to its environment. This is most evident in the many ways in which the polling sub-divisions will cluster into groups, some quite informal, others regularly organized into a wider association. The purely rural polling area is by force of circumstances cut off from its neighbours, and must do the best it can under its own local officers. If a village has two or three polls, their poll committees will probably merge and the village in effect become the unit. In a small town with eight or ten polls, again the one party executive will supervise the grouped polls and add, perhaps, another seven or eight from the surrounding district. The township in the country and the ward in the city usually become further rallying points, and if circumstances are favourable, they will have their own executives and even permanent associations which administer party affairs at an intermediate level between the poll and the constituency. Similarly party election officials, known as block or district chairmen, will frequently be placed over a number of polls in the city or country respectively, not for the purpose of displacing the poll captains, but of bringing them and their assistants in closer contact with the central constituency organization. The invariable tendency is that as concentration of population mounts, the importance of the individual poll organization diminishes, and the major responsibility will pass from the hands of the poll executive to those of a more widely representative body. The one fact, however, which cannot be over-emphasized, is that party organization at this level *knows no arbitrary forms or standards* the successful group-



ing is that which fits the conditions of the area and the desires of those party members who are most immediately concerned.

Formal membership is apparently not stressed to any great degree by the Liberal party. Annual dues vary from twenty-five cents in the country to a dollar in some cities, but these seem to be of little real importance for while a fee is a formal requirement, party supporters who have paid none may be allowed to serve on committees and in various other capacities. Party orthodoxy is a more stringent requirement than dues, although the primary concern here is to ensure that members have no affiliations with other parties and are generally staunch supporters of the party faith. In some parts of the province applications for membership must first be filed with and scrutinized by the party executive which may, if it desires, refuse to accept them. The fee may be paid to the party organization in either the municipal area or the constituency, but the municipal organization must still pay an annual levy to the constituency association. Total paid membership varies widely from a few hundred to a required four thousand in one Ottawa constituency, this large number to be explained no doubt by the necessity for maintaining an unimpeachable party standing as a prerequisite for possible federal jobs. The Conservative party is usually more exacting than the Liberals in its insistence on the membership fee, although the amount is the same for both parties.

## (2) *The Riding Organization*

This, as the name indicates, is based on the riding or constituency, which elects a member to the legislature. In Ontario the number of provincial and federal constituencies is about equal (90 and 83 respectively) and as these are mainly laid out on county lines the boundaries are usually the same. The provincial riding serves for the most part as the unit, although occasionally (as in the Toronto area) the party may find the ward or the federal constituency more convenient.<sup>1</sup>

<sup>1</sup>In several areas, where federal and provincial constituency boundaries are not identical, there exist both a provincial and a Dominion riding association but such duplication is gradually being eliminated.

The riding association is the key party organization, tying in with the provincial association above and overseeing and checking on the poll organization below. Its nominating convention is by far the party's most vital representative body, it has the major responsibility for securing the election of its nominees, its vigilance is in large measure essential to secure activity in the polling sub-division, especially in such formal matters as the revision of voters' lists, it serves directly or through local bodies as a dispenser of patronage, it transmits opinions, suggestions, and complaints from the party members to the elected representative in Parliament or in the Legislative Assembly.

Members may join the riding association directly or indirectly through their local organizations. The association has its own President, Vice-Presidents, Secretary, and Treasurer and a number of honorary officers (notably the federal and provincial party leaders, ex-members of Parliament, etc.) elected at the annual meeting. The executive is composed of the officers, the Liberal candidates in the riding at the last federal and provincial elections, and a generous representation from various local groups, including municipal associations, and even at times the polling sub-divisions. The representatives of the municipal associations are chosen by these bodies themselves, the others are chosen at the meeting of the riding association. The exact personnel of this executive body will depend, however, upon the particular constituency, and especially upon the distribution of rural areas, villages, towns, and cities, and the existence of intermediate party organizations. Different local circumstances once more produce somewhat different solutions. Provisions in the constitution of the riding association ensure that there shall be a moderate number of women as party office-holders.

The riding association as a body makes itself felt chiefly through its general meetings, which are of two kinds: the annual and special meetings, and the nominating convention. The main difference between the two is not in personnel, which is usually the same, but in the exceptional character of the nominating convention. The latter is, indeed, simply a special meeting called for the specific purpose of choosing a

party candidate—Dominion or provincial—for the election. These meetings, although varying in size from one constituency to another, are fairly uniform in composition and procedure throughout the province.

The annual meeting of the riding association may be composed of delegates from all the polling sub-divisions or it may include all party members in the riding who choose to attend. Usually it is open to all members, for the interest in its proceedings is rarely great. Two or three hundred is considered to be an excellent attendance. Its main functions are the election of officers and the general discussion of party business, although outside speakers will be brought in to attract members and to stir up enthusiasm. Here appears once more the great problem of party organization—the maintenance of interest between elections. The annual meetings are normally very insipid affairs, and the practice of passing resolutions of such stirring character as those expressing loyalty to the King, welcome to a new Governor-General, admiration for federal and provincial party leadership, and approval of the party's representative in Parliament does little to brighten the proceedings. Occasionally the meeting, greatly daring, may place on record its opinion on a special measure or policy, but this is uncommon. The opportunity afforded by the annual meeting may, however, be utilized for the ventilation of grievances on such matters as the disposition of patronage, the rejection of proposed names for membership, the control of the party by a clique, the use of dictatorial methods in party business. These discussions may tend to get out of control and seriously imperil the party unity and solidarity which are so essential to the winning of elections. The Liberals in the Toronto area, for example, following a very poor showing in both Dominion and provincial elections, were split in 1945-6 into two separate factions, and the dispute proceeded with such vigour that each faction had its own association in each of the Toronto ridings.<sup>1</sup>

<sup>1</sup>The Conservative party in 1937 also encountered criticism and serious rebellion in several of the Toronto ridings, although the dissatisfaction was not carried as far as in the Liberal struggle noted above.

The normal method of nominating Liberal candidates in Ontario is by a nominating convention, and this is used even in those constituencies where the sitting member is a Liberal and it is generally anticipated that he will again receive the nomination. The convention, however, is regarded as the source of party approval and the member must therefore submit his record and his candidacy to it for vindication. The Progressive Conservative party in Ontario follows a different procedure. The usual way of nominating a candidate is to have him chosen by the riding executive and this is especially common if the selection is in little doubt. But if the riding association desires a convention, it may have one, although even so it must then apply to the Conservative district headquarters for permission. During the Taschereau regime in Quebec the Liberals (and in this they were followed by Quebec Conservatives) went even further than this, and they did not hesitate to make the arbitrary rule that if the sitting member were a Liberal, he was to be considered the official candidate without a convention, even although the constituency organization was anxious to have a nominating convention called.<sup>1</sup>

The nominating convention may be a public gathering where everyone present may participate, or it may be open to all party supporters, or it may be closed to everyone except delegates chosen by the polling sub-divisions. The decision on this matter is made by the annual meeting of the riding association or by its executive. If the convention is an open one, the official delegates usually sit and vote by

<sup>1</sup>The following statement was issued by the Liberal headquarters after consultation with the Premier, L. A. Taschereau:

"The Prime Minister has transmitted to the Liberal organization the request made to him for the holding of a convention in St. Lawrence division. This suggestion has been considered and we have come to the conclusion that the holding of such a convention in this division is neither necessary nor useful."

"Mr. Cohen, who has represented the division for the last eight years, has expressed his willingness to present himself again as our standard-bearer. He has rendered valuable service to the party and to the province and he is, therefore, our official candidate. The Liberal organization, and the Prime Minister, urge all Liberals in the division to rally around Mr. Cohen, to assure his success and therefore that of the party in the coming election so that we keep this seat in the Liberal fold." (*Montreal Gazette*, Nov. 5, 1935).

See *ibid.*, Aug. 21, 23, 24, Sept. 6, 24, Nov. 3, 1935, Feb. 7, 1936, for other examples of refusals of Liberal and Conservative conventions in Quebec.

themselves, the remainder of the meeting acting as spectators. If the party is very weak and badly organized and sometimes if it is exceptionally strong, the convention may be genuinely public and everyone may take part. The idea is prevalent in some quarters that a public convention is more "democratic" than a closed one, although the reasons for such a curious belief are difficult to surmise. The obvious dangers of the public convention are that opposing parties may gain control of its proceedings and nominate a poor candidate in order to secure later an easy victory in the election, or that a special faction may pack a convention unfairly to secure support for its candidate. Conventions in city districts are more vulnerable on these points and they therefore tend to be of the closed type. In the event of the membership of a public or open convention being suspect and hence of the nomination being tainted also, the party executive may risk a possible split and call another convention—this time confined to delegates only—and from it obtain a new nomination.

The usual practice, and the one favoured by the party organization, is to use the delegate system and make the convention a representative body which will reflect with some accuracy the opinion of the party members throughout the constituency. Attempts have been made to base this representation (as, indeed, it should be based) on the number of party votes cast in each poll at the most recent federal or provincial election, but these efforts introduced complications and do not appear to have been very successful. The common method is to allow each polling sub-division to be represented by a definite number of delegates, usually three or five, including both men and women. A normal attendance is four or five hundred, although one such convention in Saskatchewan reported no less than 1,675 registered delegates. If the party members in the local polling area are well organized and easily brought together, they will have a preliminary meeting or primary and choose the delegates to represent them. This is frequently not possible, and the delegates will then be chosen by a small active group in the area or picked by the executive of the riding association. The choice by the executive is, in fact, the usual method

followed by the Progressive Conservative party in Ontario. The delegates will almost always be formally certified by the proper authorities and will be compelled to present their credentials before being admitted to the convention floor.

The nominating convention, like the annual meeting of the riding association, also feels it necessary to pass resolutions "pointing with pride" to the achievements of its own party and leaders and "viewing with alarm" the shocking performances and inefficiency of its opponents. These do no harm and in some obscure way add zest to the occasion. The nominating convention rarely, however, attempts anything more than an occasional plank in a platform, and even this is not apt to receive much discussion or consideration. The chief business of the convention is the selection of the party candidate, and the delegates are apt to become impatient if there are too many preliminaries to the main bout.

The delegates will as a rule have a fair idea before the convention meets of what candidates are likely to be considered for the nomination. This is partly because the number of suitable or even willing candidates is limited, and also because the riding executive has appointed a committee to receive names and to sound out the possibilities. There appears to be no suggestion that this device is intended to shut out any objectionable candidates; it is designed rather to ensure that suitable men will have been approached and induced to allow their names to be placed before the convention. Instances are, indeed, on record where because there was no preliminary canvas no candidate could be picked, and a second nominating convention had to be called at a later date. If the sitting member comes from the party, this committee may not be appointed for the delegates will be strongly inclined to place their confidence in one who has already been a winner. The names considered by the nominating committee become fairly general property before the convention meets, and they have therefore already been considered to some degree by the delegates and in the polling sub-divisions. Some of the delegates, indeed, may be pledged in advance to vote for a certain candidate, or the combined polls in a town may have met together and agreed to give a

united support to the same person. Pledged votes are usually effective for only one ballot, the delegates being free thereafter to cast their votes as they please.

The names of those willing to run may be placed before the convention in a report from the nominating committee or each candidate may have his name presented by a delegate who makes a nominating speech reciting the virtues and claims of his nominee. Names of candidates other than those which have come before the committee may also be submitted by any delegate. An extremely common practice is for the names of one or two tried party men of long standing to be placed in nomination as a gesture of appreciation for past labours on behalf of the party. In such cases the nomination is made, bedecked with many complimentary remarks, the convention renders the appropriate applause, the nominee responds and declines the honour in a few gracious words, and the delegates thereupon indicate, even more heartily, their approval of such magnanimous conduct and the careful way in which the party courtesies have been observed by all concerned. After the nominations are declared closed, the candidates will be given an opportunity to speak. This is the invariable practice in Ontario, although it is interesting to observe that in some parts of Canada even the presence of the candidate at the convention is considered bad form. In Ontario and some other provinces the candidate would actually injure his cause if he were not present and willing to state his views on the outstanding current issues.

The rules of balloting are simple. The successful candidate must receive a majority (not merely a plurality) of votes cast, and balloting continues until this result is obtained. To expedite matters, where there are more than two candidates running, the lowest is dropped after each ballot, although few conventions are compelled to decide between more than two or three candidates. Once the choice is made, one of the defeated contestants will move that the vote be declared to be unanimous, and this is passed with enthusiasm. Candidates are frequently asked in advance of balloting to give a pledge to accept the decision of the convention as final and to support the successful candidate. The Conservative

party is exceptionally strict in demanding that this practice be observed. It requires nominations to be made in writing and candidates to give written pledges not only that they will abide by the convention's decision but also that they will not question the regularity of the convention (in such matters, for example, as the credentials or the standing of the delegates) at any time after the pledge has been given.

The convention's unanimous vote of loyalty and unity is followed by a speech from the party's new (or re-chosen) champion, who uses the opportunity to establish friendly relations with those on whom he must depend in large measure for his success. In some parts of Canada where the convention is closed to everyone except delegates, the latter will adjourn to a larger hall where the general public also can hear the candidate's address. The candidate will outline at this time the main points which he expects to stress in the coming campaign, and the delegates return to their homes presumably filled with enthusiasm and assured of coming victory.

Riding conventions are extremely jealous of their own powers and independence and they will not tolerate interference from any quarter, particularly from the higher party circles. Thus a mere intimation to one of the outlying constituencies that the Toronto headquarters wanted a delegate convention has been known to be decisive in influencing its choice for an open one. An attempt some years ago to have the Liberals in one riding refuse to make a nomination because it might create possible friction with the Progressives, was ignored by a rebellious convention. A 'saw-off' arranged between Liberal and Conservative headquarters in 1937 whereby the former got Frontenac-Addington, and the latter Dufferin-Simcoe, was denounced by both Liberal and Conservative local party organizations, and one convention at least came very close to repudiating its part of the bargain and nominating a candidate. There is thus little tendency for the provincial or federal headquarters to suggest to a convention the names of possible candidates, for the convention would be quick to resent any such attempt to influence its power of selection. Other circumstances may



also break the usual friendly atmosphere of these gatherings. Irregularities in the primaries, attempted packing of the convention, the use of questionable credentials, undue influences exerted by special groups, and unfair practices of any kind will be actively and vigorously resented and denounced. The great solvent of all difficulties is the overriding desire to do nothing to divide or to weaken the party and thereby console and strengthen the enemy. "It shall be the paramount duty of the officials conducting the convention to follow the 'Golden Rule.' " states a Conservative standard constitution, then, its high principles being tinged with practical candour, it adds, "and thus, avoiding discord, ensure united support for the candidate selected "

### *(3) Intermediate Organizations*

#### *(a) Regional (and district) associations*

In Ontario and in a few large cities in other provinces the number of constituencies within a comparatively small area has made it desirable for the party to interpose another body between the riding and provincial organizations. This is known in the Liberal party as a regional association. Ontario has six associations of this type. Eastern, Central, Northern and Northwestern, Southern, Western, and Toronto and Yorks, each including from twelve to twenty-five ridings. Each regional association holds its own annual meeting and elects an executive, and each is represented on the executive of the provincial association.

The regional association relieves the provincial body of much work, co-ordinates party activity in the area, transmits the desires of the provincial and federal associations to the riding associations, and renders assistance of a general kind on all party matters. The intervention of the regional association in local affairs is rare, but circumstances occasionally make it necessary in order to settle disputes in a particular riding. Such intervention is usually along conciliatory lines, but the regional association has the power to impose disciplinary measures, although their effectiveness will largely depend upon the popular support they can command in the

troubled constituency. The recent rebellion in the Liberal forces in Toronto and the multiplication of party organizations which resulted finally called for the conciliatory services of the President of the Ontario Association, the President of the National Liberal Federation, and a Dominion Cabinet Minister. These having been only moderately successful, sterner methods were used, and all official recognition was denied to the recalcitrant organizations.<sup>1</sup>

The Progressive Conservative party has a similar grouping arrangement in Ontario with eight "district" associations occupying approximately the same position and discharging substantially the same functions.

(b) *Young people's associations*

A special series of associations has been created by the Liberals, known as the Young Liberal Federation, which runs vertically parallel with the ordinary hierarchical organization. Each riding in the province is expected to have at least one active branch or club of this kind. The primary purpose of this extra series is to create a body which will interest the younger members of the party and turn their talents and energy into profitable party channels, while, as a by-product, preparing them for later absorption by the parent organization. Segregation probably makes both sections of the party somewhat happier: the younger members are given a sense of importance and responsibility and are freed from the dampening influences of their seniors, the older members may then go their own gait undisturbed by the nagging impatience and ebullience of youth. In short, segregation, while conducive to internal peace, is probably bad for both groups.

The Progressive Conservative party has a similar organization known as the Young Canada Progressive Conservative Association.

(c) *Women's associations*

The Ontario Liberal Women's Association is an effort to

<sup>1</sup>The same step was taken by the Conservative Central Association in 1937 against the Spadina Riding Association.

construct a women's organization along the same general lines as that for the young people. It also runs vertically through the province with its own groups in small local areas and ridings and its regional, provincial, and Dominion associations.

Here again it is believed that segregation will increase activity, interest, and responsibility on the part of the women. Great care, however, is taken to ensure the co-operation of the women's organization, for it is clearly inadvisable to give the impression that it is in any way neglected or is regarded as subordinate to the regular association. A woman has, as a matter of fact, two outlets for her party activities if she should choose to avail herself of them—the women's association and the regular one—and the leading women are continually consulted and their assistance utilized by the party leaders. Formal co-operation is provided by tying in the women's executives with those of the regular organization.

The women of the Progressive Conservative party are also organized in a separate women's association, and the purposes and general relationships resemble those described above.

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#### (4) *The Provincial Organization*

The provincial association is the effective head of the party organizations in Canada. There is, as will be seen presently, a national organization also, but this is essentially no more than a federation of autonomous provincial bodies. Poll, village or town or municipality or ward, riding, region or district, and province—these comprise the party building proper and the Dominion organization forms a tower or superstructure, which is by no means useless but could probably be removed without any serious impairment to party activity or efficiency on most matters. The party as a Dominion organization can have contact with the voter only through the province and at other lower levels. It would, of course, be conceivable for the party to keep its federal activities apart from those of the party in the province, but this would necessitate the creation of one party organization

at the provincial level and another in the Dominion constituencies, a duplication of effort and a confusion of activity which would be both extravagant in terms of expense and effort and utterly bewildering to the voters<sup>1</sup>. The natural alternative is for the party's national activities to be conducted through the existing provincial organization, but this cannot be done without a frank recognition of the primacy of the provincial party in the field. This acquiescence by the Dominion interests is given the more readily in that the larger part of petty patronage is now in the gift of the province, although the balance is to some degree maintained by the Dominion's control over the bulk of the really good jobs. Mutual interest and self-protection can usually be relied upon to keep the two interests working smoothly together, and such incidents as the King-Hepburn feud illustrate how disastrous can be the results when the party in the Dominion and the party in the province work at cross purposes.

The provincial organization, like its counterpart in the ridings, centres about the association and has three formal methods of expression—the executive bodies, the annual and special meetings, and the convention.

The Ontario Liberal Association is composed of six representatives (three of whom may be women) named by each riding association, Liberal Privy Councillors, senators, and members of the House of Commons, who come from Ontario, Liberal members of the Legislative Assembly, candidates defeated at the last Dominion and provincial elections in the province, and ordinary or sustaining members, who may attend meetings but have no voting privileges. The executive includes a President and Treasurer (elected at the annual meeting), twelve Vice-Presidents (two selected by each of the six regional associations), the presidents of the Women's Association and the Ontario Association of Young Liberal Clubs, and a Secretary (a paid official) appointed by the

<sup>1</sup>The Liberal party in Alberta was at one time organized in a Federal and a Provincial Association. In 1937 these were united and a new constitution for the Alberta Liberal Association adopted. The Conservatives disbanded their organization in Alberta in 1947. Some allege that this action was taken because they were discouraged, others, that they wished to give the Social Credit party a clear field with the object of co-operating at a later date.

executive. Between the Association and the executive is a large representative Management Committee of about fifty members, which is primarily concerned with organization and administration. It is this Committee, for example, which has charge of the arrangements for a provincial convention when a leader is to be chosen. In effect, the Management Committee speaks for the Association and it delegates to the executive much of the latter's authority.

The purposes of the Association are "to organize the Liberal party in the ridings of Ontario, and to promote the election of federal and provincial Liberal candidates." The great bulk of its power is exercised through the Management Committee and the executive, and a large part of its work is done by the permanent staff at Toronto. It is the provincial agent for the Dominion party organization, it has general supervision over all party agencies in the province, to which it gives direction and advice, and it has a special responsibility at the time of an election—to ensure that the party runs a candidate in every constituency, to check on the activities of the regional associations, to collect contributions for the party funds and to allot these funds among the ridings, to provide speakers, to issue campaign literature, and so forth. Approximately one-half of the Association's activities, it is said, are concerned with federal matters.

The Progressive Conservative Association of Ontario is very similar in composition and in general purpose and function to the Liberal body. There is the same willingness to include delegate and ordinary members,<sup>1</sup> and the same refusal to allow the latter any powers of any consequence, the chief purpose apparently being to encourage attendance at meetings while keeping the control in the hands of the representatives. This restriction has been much criticized, although the delegate membership in both party associations is sufficiently large and comprehensive (approximately 700).

<sup>1</sup>These delegate members include (1) officers of the Association (2) five officers from each district association (and similar women's associations and advisory committees) (3) forty-six officers and representatives from the young people's clubs, (4) all Conservative candidates in the last Dominion and provincial elections, (5) four (and four alternate) delegates from each provincial riding association.

to form a satisfactory representative body. Such a limitation has the additional merit of curbing Toronto influence, for as the meetings are held in that city, anything like an open membership would allow the local party population to pack a meeting to the great disadvantage of the remainder of the province.

The provincial association of each party holds an annual meeting, the one regular opportunity for the members in the province to get together in general session. The primary purposes are the election of officers, the appointment of committees, the hearing of reports and speeches, the passage of laudatory resolutions to be sent to party leaders, and the general stimulation of party enthusiasm and morale, all these being brought to a satisfactory climax by the consumption of a dinner of gargantuan proportions. The meeting is usually well attended. Each party, for example, can muster about 700 delegates (to say nothing of possible alternate or substitute delegates) and a host of other members and supporters. A gathering of 1,200 to 1,500 is not unusual. The meetings of the women's association and of the young people's association in each party will convene as a rule a day or so before the main gathering.

Aside from the above general statement, it is difficult to indicate what else the annual meeting is expected to do, for the Liberal and Progressive Conservative parties (unlike the CCF) have not yet decided this question for themselves. It might be considered a fair supposition, for example, that the annual party meeting would meet annually, but this has not by any means been a uniform practice even in Ontario where the provincial associations are unusually virile. Ontario Liberals, to give a striking instance, did not hold an annual meeting for eleven years, despite repeated demands from many prominent supporters that one should be called. A large group in the party was completely out of sympathy with the provincial Cabinet and its critical attitude towards the Ottawa Government, and the President of the Association (who was a member of the Ontario Cabinet) declared he would not call a meeting to allow "a lot of hot-heads to lay about them." Certainly there was no reason to suppose

that a meeting would have brought about any reconciliation between the conflicting factions, and inaction was apparently considered the most harmless solution. Amendments to the constitution of the Liberal Association were, however, passed at the first opportunity, and these guaranteed annual conventions, made members of the Dominion and provincial legislatures ineligible for the presidency, and gave authority to any four of the twelve Vice-Presidents to call a special meeting of the Association.

The matters which may be discussed at a provincial annual meeting are also uncertain. Here, if anywhere, resolutions dealing with party principles and policy might with propriety be debated and voted on, for at no lower level would this have any real significance. Thus a recent Liberal meeting went on record as approving an eight-hour day and a five-day week with no reduction in pay, a paid vacation of two weeks a year, equal pay for women for equal work, assistance for needy unemployables, greater Dominion-provincial co-operation in finance, better housing, veterans' preference in the provincial civil service, and other resolutions covering a wide field of provincial activity.

While the Liberals may have had an urgent desire to instigate measures of social and economic reform, a factor of at least equal significance was that the Liberals at the time were not in power. Under such circumstances any criticism can always be made with impunity, there is apt to be little or no sense of responsibility and no fear that the policies advocated will have to be put into effect. The opposite situation occurred in 1924 when at the Conservative annual meeting in Ontario two members of the legislature introduced a resolution which in effect implied a lack of confidence in the provincial Government, which was also Conservative. The resolution was not on the agenda and the chairman did not wish to receive it. The Premier thereupon rose and placed the Government's position before the convention.

In case anybody might suggest that there was any attempt to throttle the resolution, I ask that it be read. I have not read it myself and I do not know what is in it. But after it is read, I ask you to keep this much in mind. There are certain matters which are purely the matters of Government.

They are problems which the Government must consider and must decide for itself, and, having decided, it must take the full responsibility. So far as I or the members of the Government are concerned, we will welcome the fullest discussion of any or all matters of public interest, but I must point out that when it comes to matters of general public policy we, the Government, are the ones who must make the decisions and who must stand or fall by them. We must and do assume the fullest responsibility. Perhaps, even, it may mean that the time will come when the people will have to pass upon our decisions, but even in that case it is the Government which must face the answer.<sup>1</sup>

Two years later the same question arose again when the annual meeting proceeded to discuss "in a contentious spirit" the Ontario Temperance Act and other controversial matters. "If we are not here to dictate the policies of the Conservative party," said one delegate, "why are we here at all?" "Some of us object to the policies of the Ontario Government," said another speaker, "and now is the time to talk about them. If there is to be any unanimity in the party, we must thresh out these points." A resolution that these matters could be considered by the meeting was carried although another resolution that recommendations should be made to the Government was defeated. Eventually, after a lively discussion and through the combined good offices of the chairman and some of the members of the legislature, the dissentients were calmed down and the controversy was brought to a close. But in succeeding years the Ontario Conservative Association found it wiser to confine its discussions to more general public questions, which gave ample opportunity for speakers to air their views without involving even an indirect criticism of the Government.

Annual meetings have not always been content, however, to confine their activities to such mild forms of bear-baiting. The Progressive Conservatives in Manitoba held their first "annual" meeting in eight years in 1946, attended by some three hundred delegates. Manitoba had had for some years a coalition Government, supported by the Progressive Conservatives under the leadership of E. F. Willis, Minister of Public Works. The meeting, despite the objections of this Minister and many others, proceeded to take what would

<sup>1</sup>*Toronto Mail and Empire*, Nov. 19, 1921.

<sup>2</sup>*Ibid.*, March 27, 1926.



normally have been a routine motion of confidence in Mr Willis as a serious contest for the leadership. A rival contender, complete with a piper in full regalia and supporters bearing placards and leading parades around the hall, was defeated by a two-to-one vote, but it is rumoured that the party leaders were happy to read the clause in the new constitution whereby a two-year interval could elapse between meetings of the Association.

The provincial convention, which is held for the purpose of choosing a leader and drawing up a platform, is by far the most colourful of the party organizations in the province by virtue of its size, the greater importance of its functions, its dramatic possibilities, and, most of all, the circus fanfare and artificially stimulated excitement which mark its performances. The theatricalism of the American conventions, which is almost entirely absent from party meetings at the lower levels, bursts into provincial and Dominion conventions, although happily showing a little less exuberance than is displayed in corresponding meetings in the United States.

The idea of creating a special body to choose a leader is a fairly recent importation from the United States, and it is sufficiently democratic on the surface to make its stay a long one. The practice has not yet, however, won complete acceptance in all provinces, although there is no doubt that it is now so firmly entrenched that any other method of choice is in danger of being regarded as exceptional. The earlier method, which was used in all provinces, was for the party members of the legislature to make their own choice, although as the convention idea gained ground, there was a tendency to adopt half-way measures. Thus in 1925 the Conservative leader in Nova Scotia was chosen at a joint meeting of the provincial party executive and the candidates nominated by the party for the coming election, and in 1929 the Liberal leader in British Columbia was chosen by the Liberal members-elect and approved by the executive of the provincial Liberal Association. In 1911 in Ontario the Liberal members notified the meeting of the Reform Association of the resignation of the leader, but the Whip "made it clear

that the message he bore to the meeting on behalf of the legislative contingent was given as a matter of courtesy and was not by right."<sup>1</sup> Later the same group reported to the Association that it had offered the leadership to Mr. N. W. Rowell and that he had accepted.

The choice of a leader by the party members in the legislature had definite merits. The members had an active sense of responsibility in the matter for they were themselves most closely affected by the leader's ability to perform his functions. If he proved competent, he probably became Premier, if not, he could be quickly asked to resign and make way for a successor similarly chosen. His talents had already been put to the proof in the legislature and party councils where his colleagues could judge their quality, and while wire-pulling and manoeuvring for support were not absent, these were not reinforced by the demagoguery and luck which often influence to a substantial degree the choice by the present method.

There is certainly no assurance that the use of a convention will enable the party to institute a new system of democratic control by breaking away from the official or semi-professional element. In most instances it is the steady party worker and the patronage hunter who form the mainstay of such meetings. Responsibility for party policies and decisions is thus frequently lifted from the shoulders of those who should bear it, the party leaders, to those of the convention, which in no way can be considered to have responsibility in any real sense at all. The late John W. Dafoe in his biography of Sir Clifford Sifton stated substantially the same argument as follows:

Sir Clifford knew enough about conventions to know that unless public opinion was vigorously stirred up the convention would fall under the control of the official element in the party. In theory, the holding of a party convention returns the control of the party as to policy and leadership into the hands of the rank and file, in practice, it often means an opportunity to get an apparent endorsement from the rank and file for leaders and policies that are ripe for retirement. This perversion is possible owing to the manner in which delegates from the polls are usually chosen—not by a public gathering of electors, but by slimly attended meetings of the local party associations, which are usually made up of workers and members who are keenly interested in the party. A party convention, unless care is taken

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<sup>1</sup>*Toronto Globe*, Nov. 1, 1911

in the election of delegates, is apt to reflect not the opinions of the great mass of voters, but the wishes and purposes of the ultra-partisans—the “hard-boiled” practitioners of the political game.<sup>1</sup>

Moreover, although a convention is expected to make decisions on two exceptionally difficult matters, each calling for careful consideration, the weighing of various alternatives, and the exercise of dispassionate judgment, neither the qualifications of the delegates nor the conditions under which they must work are at all adapted to the tasks in hand. The time and facilities for gaining information which are at the disposal of the delegates are very limited, some, at least, of the candidates are frequently unknown to many of those present, the feverish surroundings are almost certain to react unfavourably on the delegates' capacity to make wise decisions, and there is always a danger that the convention may be rushed off its feet by the emotionalism of the moment. Thus arguments concerning party representative democracy carry but little weight when applied to an over-wrought crowd of 1,500 people trying to transact business of this nature and importance in the space of a few hours of hectic activity. Democratic organizations, like other human institutions, need to be given suitable conditions in which to perform their functions, and by no effort of the imagination could the party convention, provincial or national, be considered suitable.

The provincial convention (as distinguished from the meeting of the provincial association) is not called at stated intervals, but only when there is a leader to be chosen, and the custom has been steadily growing that a convention should be held to confirm or reject any leader who has been chosen by any other method. The distinction is therefore drawn between the leader in the House or legislature and the provincial leader of the party, the former being the choice of the party members of the legislature only, the latter of the convention or representative body of the party. Thus in 1929 after Mr. T. D. Pattullo was chosen House leader by the Liberal members of the Legislative Assembly of British Columbia, it was carefully announced that “the executive of

<sup>1</sup>John W. Dufor, *Sir Clifford Sifton*, pp. 414-18.

the British Columbia Liberal Association will assemble in Vancouver to consider the question of the permanent party leadership, which is a matter entirely apart from the choice of a temporary chief to guide the Opposition."<sup>1</sup>

It is, of course, usual for the House leader to be subsequently approved by the convention as the head of the party. An interesting case arose in Ontario in 1942-3. Mr. Mitchell F. Hepburn had retired from the Premiership and had advised the Lieutenant-Governor to send for Mr. G. D. Conant although the latter had not been chosen by even the Liberal members of the House. So great was the general dissatisfaction among the Liberals throughout the province that they demanded a convention<sup>2</sup> to make the choice, and, when the meeting was held, the mantle did not fall on Mr. Conant. In Ontario and in some of the other provinces the fact that a party leader has not been chosen by a convention is now a definite reproach which can be adequately answered in only one way—approval by a convention called for the purpose.

The responsibility which a leader, who has been originally elected by a convention, owes to another convention is not yet clearly established. He will undoubtedly feel that if he resigns the leadership, nobody but a convention can accept the resignation,<sup>3</sup> but only the most acute dissatisfaction could cause a convention to be called to force him out. Open hostility to Premier Anderson in Saskatchewan led to the submission of his resignation to the Conservative convention in 1933 as a way of clearing the party air, and he was thereupon re-elected by the convention with enthusiasm. An

<sup>1</sup>*Victoria Times*, Jan. 19, 1929.

<sup>2</sup>Both riding and regional associations were instrumental in having this convention summoned, for one association after another passed resolutions demanding such a meeting.

<sup>3</sup>"He [Mr. H. H. Dewar] was chosen, not by the members as House Leader, but by the delegates to the convention of 1919 as the official chief of the party throughout the province. He may take the ground that only a convention of the party can withdraw the responsibility laid upon him, and that until a convention decides the issue he must remain at the head of the provincial organization and retain the position of Parliamentary Leader." *Toronto Globe*, July 2, 1920 (editorial). Mr. Mitchell Hepburn resigned the Premiership of Ontario in 1942 (his party being still in office) but he did not relinquish his leadership of the party until a provincial convention formally met the following year.

effort to avoid this awkward situation appears in the Ontario Progressive Conservative constitution, for it provides for the calling of a convention only "upon the death or retirement" of the leader, and it would thus seem to be impossible to call such a convention in order to compel the leader to resign.

The Liberal provincial convention in Ontario has no fixed personnel, but the most recently held meeting in 1947 was composed of precisely the same delegate and *ex-officio* groups as the annual meeting of the provincial Association, with the addition of the members of the Management Committee, and the officers of the women's and young people's clubs in the province—about 960 in all.

The Progressive Conservative provincial convention is a larger and more diversified body. It includes all delegate members,<sup>1</sup> ten additional delegates from each provincial riding (preferably five men, three women, and two juniors), ten alternate delegates from each riding, and ninety special delegates "to be nominated by the executive committee." The total membership is about 1,670, of whom 1,260 come from the riding associations, 1,260 alternate delegates may also attend. The most interesting feature of this arrangement is the ninety unattached delegates. These, while they may be chosen to sit and speak for some special interest or activity, are, of course, quite unrepresentative in the sense that the other delegates have a representative party character. The Conservative party has also followed the same practice in its national convention, while a Conservative convention in Quebec in 1929 even gave special representation to the three universities in what must be assumed to have been a desperate attempt to present an appearance of wisdom and profound respectability.<sup>2</sup>

Aside from these and a few other minor differences, the composition and proceedings of provincial conventions of the major parties in Ontario are virtually identical. The tasks of the provincial convention, as already stated, are two: to approve a platform for the party and to choose its leader.

<sup>1</sup>*Supra* p. 536n.

<sup>2</sup>The nearest Liberal equivalent was the seating at a recent provincial (Ontario) convention of the editors of Liberal daily papers and "two legal experts" for unexplained reasons.

The convention appoints a resolutions committee to draft the platform, but most of the constructive work will have been done before the convention opens, either by individual effort or by another committee chosen by the executive for that purpose. The ruling conventions may suggest resolutions to this committee, but there is no obligation for it to transmit these to the convention, although, of course, amendments or new resolutions may be offered by any delegate on the floor when the proposals come up for approval. Any preliminary thought and study will obviously tend to produce proposals which are not only carefully drafted but which will also prove practicable and likely to gain general acceptance. The consideration given the platform in the convention itself depends entirely upon circumstances, the chief of which are, first, whether the convention is of the same party as the provincial Government, and second, the extent to which the convention is absorbed in the companion task of choosing a leader. Thus, three recent conventions in Ontario followed three different procedures: in one, the platform was discussed at considerable length on the floor, in another, the platform was virtually ignored "so as not to embarrass the new leader" who would also become the Premier in a few weeks, and in the third, the excitement over the election of a leader was so intense that every resolution as it came from the resolutions committee was declared carried unanimously without any discussion whatever.

While the convention is called for purely provincial purposes, the party representatives whose interests lie largely in Dominion politics do not and are not expected to remain aloof. Members in the Dominion Parliament and defeated federal candidates are given seats, and they may form a very powerful group. How openly they may try to influence proceedings will depend on the special conditions at the time. Thus in 1930 Mr. Mackenzie King sent a message to the Liberal convention at Toronto advising the delegates against any course which would tend to confuse Dominion and provincial issues, and adding that with this in mind he had decided to stay away from the meeting. Despite this request, the convention unanimously passed a resolution disapproving

of Mr. Bennett's actions and proposals at the recent Imperial Economic Conference. Another Liberal convention in 1943 was honoured by the presence of no less than eight Dominion Cabinet Ministers, and it is rather difficult to suppose that this participation in the selection of a provincial leader was calculated to carry out the "will and wish of every member of the federal Parliament that the convention should not experience restraint of any kind, but carry on its proceedings with a sense of utmost freedom in the direction of sane policies and avoiding extremes"<sup>1</sup>. The fact was, of course, that in 1943 the Dominion Cabinet could not have regarded with equanimity the selection of a leader who might prove as unsympathetic to the policies of the Dominion Government as Mr. Hepburn had proved to be in the preceding years. Ottawa had, in effect, its candidate for the Ontario leadership and it was prepared to back him to the limit in the convention.

The choice of a leader is the main purpose of the convention. Rigid rules are laid down to prevent any one candidate from gaining an unfair advantage. Their names are formally submitted in writing, nominating speeches are usually made, the candidates themselves are allowed to speak for a specified time, and the convention makes its choice by a series of secret ballots, usually with the provision that the lowest man drops out after each ballot until one candidate has received a majority of the votes cast. The first ballot, however, is frequently decisive, for it has become common for candidates whose chances are doubtful to withdraw at the very last moment before the balloting begins and thus make the majority immediately possible. The candidates (and, in Conservative conventions, the delegates also) are formally pledged to accept and support the choice, and the leadership is thus always offered by a unanimous vote of the convention.

This bald account gives no hint of the tense excitement that runs through all these proceedings which are reminiscent of both a revival meeting and a football game. Even before the convention opens there is a great display of activity in the lobbying for support, the private conferences, the making

<sup>1</sup>Mr. Mackenzie King's statement to the 1930 convention, *Toronto Globe*, Dec. 17, 1930.

of plans of strategy, the bargaining for votes, the attempts to estimate the possible position of wavering delegates after the first ballot, the receptions in the rival committee-rooms, the hand-shaking and back-slapping by the candidates themselves who wander about the lobbies in an atmosphere of forced geniality. Pretty girls are at the registration desk to bring to the convention an atmosphere of sweetness and light, buttons are issued bearing the pictures of different candidates surrounded by inspiring slogans, electric signs spell out more reasons for giving support to this or that rival contestant, pipers in full dress (who for some obscure reason are now considered indispensable at any important party convention) play the bagpipes and parade around the corridors, and an electric organ produces soothing airs in the convention hall when proceedings promise to get out of hand.

There are in attendance delegates from the city, who appear to be very much in their element, delegates from the country, who appear to be very much out of it, and women delegates, most of whom do not feel quite at ease in this troubled environment. There are delegates of distinction—Cabinet Ministers, hockey players, members of the legislature, champion swimmers, mayors, reeves, and others no less noted, there are delegates whose political past is so distant that they are unknown to the great majority of their fellows, there are delegates from the young people's clubs, who are plainly impressed with the gravity of the issues and the great decisions they will be called upon to make within the next forty-eight hours.

The making of speeches is interminable. The temporary chairman delivers a short speech, the permanent chairman delivers a longer one, the mayor of the city welcomes the convention in a few careful sentences, prominent members of the party, under one pretext or another, give long addresses "key-noting" the convention and arousing the delegates to an appreciation of the importance of the occasion. Speeches are made on committee reports, speeches are made on the platform and on other resolutions, then follow the nominating speeches, and then, at long last, the speeches of the rival candidates, each being received with wild demonstrations of



enthusiasm. The ballots are cast, and the leader is finally chosen. He thereupon delivers another speech, the delegates give him an ovation, the photographers take endless pictures, the leader and his wife receive the delegates, and the convention is brought to an end by the inevitable banquet.

#### (5) *The National Organization*

The permanent Dominion organization of the Liberal party is known as the National Liberal Federation, a name which appropriately suggests the loose connection between Dominion and provincial party activities. The membership consists of elected representative members from the provincial associations, all Liberal members of the Dominion Parliament, and sustaining members who may wish to join as individuals without a vote. The executive is made up of *ex-officio* members, such as, the President, National Leader, Secretary, National Director, presidents of the Young Liberals and the Association of Liberal Women, and others, and elected members, who are so chosen as to give representation to all sections of the Dominion. The Federation meets annually, but it is a private and not a public session.

The general purpose of the organization is to co-ordinate the effort of the Liberal party throughout the Dominion. It maintains a permanent staff, headed by the National Director, which distributes literature, raises and allots funds, assists the women's and young people's organizations, and keeps in close touch with the party's headquarters in each province. One of the chief functions of the annual meeting is to pass resolutions on policy and to exert pressure on the federal members to adopt and implement those policies on which the Federation believes it can speak for the party as a whole. A resolutions committee meets in advance of the general meeting, considers proposals submitted by riding and regional associations through the provincial body or by its own members, and brings these to the attention of the Federation. Measures passed by this annual meeting are transmitted to the Liberal members in Parliament in the form of recommendations, and they may then become a part of the official programme of the party, and, if the party is in power, of the

Government There is, however, no hard and fast obligation or sanction to compel the Cabinet or members of Parliament to implement these proposals, but the moral pressure is far from inconsiderable and will most certainly ensure a careful consideration by the caucus Family allowances, for example, made their initial appearance in Liberal policy by this route, although they did not at first receive the support of a majority of the Liberal members of Parliament

The Federation also acts as a useful liaison between the parliamentary group and the party throughout the Dominion and it can keep the former in touch with general trends in public opinion It thus becomes to some degree a committee of party grievances, and it promotes at all times, and particularly when the party is in power, the frank interchange of views between the leading party members and the Cabinet and parliamentary group Again, however, the influence of the Federation is moral only, and there is no threat, save the necessity of maintaining party harmony and winning the next election, which can compel the parliamentary group to follow the wishes of the larger body The nature of this interchange can be gathered from the following reference to the 1943 meeting

The meetings did not report any sentiment across the country at large that the major war policies of the Government were either bad or unpopular It should follow then that the meetings will not be followed by any major departures in policy But if there was satisfaction as to policy in its broad outlines, there was also some pretty plain talking inside the family about the administration of some of them, and it is no secret that some of the Ministers mainly concerned found themselves on the defensive before the meetings were over

So far as can be learned, the Liberal party moguls did not hear much to concern them regarding the organizational activities of the Conservative party under Mr Bracken's leadership, although reports from Ontario were unanimous that Premier Drew was handling himself with skill and discretion and that the public was favourably impressed at the start made by his Government there The C C F at the moment is considered the major threat Here the meetings had to consider what wartime tactics should be employed against active opponents who had used their position of irresponsibility to make stacks of political hay while the sun shone their way"<sup>1</sup>

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<sup>1</sup>*Winnipeg Free Press*, Sept. 29, 1943

The Progressive Conservative central organization (re-built in 1943) bears in all essentials a marked resemblance to its companion body in the Liberal party. In its composition it stresses less the parliamentary group<sup>1</sup> and relies slightly more on representation from the provincial and territorial associations, but its functions are substantially the same, and its relations with other party bodies are likewise consultative and advisory. It also maintains a permanent staff at Ottawa. Its annual meetings are devoted mainly to the enunciation of a party programme, and the Conservative position as the chief opposition party has enabled it to pronounce very freely upon topics ranging from price control and old age pensions to the annexation of Newfoundland. It has the power—presumably the exclusive power—to summon the national convention of the party.

But there are unmistakable signs that both Liberal and Conservative parliamentary authorities are becoming increasingly perturbed about the capers of this latest offspring which promises to become for each a family trouble-maker. In 1947 the resolutions of the Liberal Federation were buried for three weeks before being given to the public, an interval which was presumably devoted to their revision and possible emasculation by the parliamentary members. When they finally appeared, even so sturdy a Liberal paper as the *Winnipeg Free Press* gave only half of them in any detail and the report was tucked away on page 24 of the paper—a treatment which showed either alarm or contempt for the formal opinion of the highest council of the Liberal party. The Progressive Conservative Association in 1947 passed resolutions which did not seem to be particularly startling in any way, but they apparently caused consternation at Ottawa. For although the party had all the scope in these matters which comes from being in opposition, the resolutions were advanced with the astonishing proviso that there was no intention of committing the whole party membership to the Association's proposals. The meeting, it was added, was

<sup>1</sup>Only fourteen members of Parliament, chosen by caucus, and two senators, chosen by the Senate caucus, are on the executive which totals one hundred.

not a policy-making convention, because its sessions were too short to permit of adequate research, consultation, and consideration. Why the national party organization should waste its time in passing resolutions which have no official character and which any party follower or leader can disown at any time was not explained, but the statement almost certainly indicates a growing uneasiness on the part of the parliamentary group at the prospect of having its hands forced by a rival party body.

The national convention is a special and irregular manifestation of party activity called together either to construct a platform, or to plan for the party organization in the Dominion, or to elect a party leader, or to do all three. Its future, like that of its more humble relation in the provinces, is apparently assured. The major parties, however, have held only five national conventions in Canadian history, and these have been spread over a period of more than fifty years: two Liberal conventions (1893, 1919) and three Conservative (1927, 1938, 1942). Indeed, it might even be said that there have been only four by modern standards, for the convention of 1893 performed only one of the above functions, the discussion and adoption of a platform. In any event, to the Liberals belongs the questionable honour of beginning the practice, if not in 1893, then in 1919, when in a resolute effort to rehabilitate the shattered fortunes of the party and to choose a successor to Sir Wilfrid Laurier they called upon all members to unite in support of the cause of Liberalism. The results in terms of practical politics were as encouraging in 1921 as they had been a quarter of a century before, and the value and prestige of national conventions were greatly enhanced by the convincing manner in which this one had apparently passed the test at the polls. *Post hoc ergo propter hoc* may not carry conviction in an argument, but any political party will gladly accept the sequence of events and forego the logic.

The Conservatives for many years had little liking for or confidence in national conventions, although in 1910, at what appeared to be a very low point in their fortunes, Mr. Borden succeeded in securing party consent to a meeting as a source

of inspiration and a means of bringing the party leaders in closer touch with their followers. The early Liberal convention furnished the encouraging precedent. "The Liberal party was never so strong," ran an editorial in the *Toronto News*, "as during the three years immediately following the famous convention of 1893. It had ideals worth fighting for. The leader and his aides stood upon firm ground and laid about them in an ecstasy of bludgeoning. They knew the temper of the reserves."<sup>1</sup> The proposed convention, however, was for a variety of reasons postponed, and the electoral success of 1911 removed the most urgent inducement for a Conservative meeting.

Mr. Borden had been chosen leader of the Conservative party in 1901 by the usual method of a caucus of Conservative senators and members of Parliament. On his retirement in 1920 his successor was selected by a curious system which was apparently invented for the occasion by Sir George Foster.<sup>2</sup> Each party member of the Senate and House of Commons submitted, as his suggestion, a list of names in order of preference with the reasons for his choice attached, and Sir Robert Borden, having considered these carefully and consulted with his Cabinet, was then to make the selection.<sup>3</sup> After much difficulty, frequent discussions with Conservatives outside as well as inside Parliament, a serious divergence of views between Ministers and members of Parliament, and the refusal of the position by one candidate, Sir Robert finally selected Mr. Arthur Meighen, who in a few days became Prime Minister.

On Mr. Meighen's retirement in 1926, his resignation was accepted by a "Conservative Conference" of senators, members-elect of the House of Commons, and defeated candidates, and a temporary successor, Mr. Hugh Guthrie, was chosen to lead the party in the House. The disastrous reverse which the Conservatives had just suffered in the election and the contrasting success of the Liberals (who had

<sup>1</sup>*Toronto News*, Oct. 9, 1900 (editorial).

<sup>2</sup>W. S. Wallace, *Memoirs of the Rt. Hon. Sir George Foster*, p. 205.

<sup>3</sup>A detailed account of these different methods of selecting Conservative leaders is given in R. MacG. Dawson, *Constitutional Issues in Canada, 1900-21*, pp. 380-97.

used the convention system in 1919) convinced the majority of the conference that some greater effort should be made to identify the Conservative party as a whole with the selection of a new national leader. The obvious way to achieve this end was to hold a national congress of the party. The call was accordingly issued, and the first Conservative national convention assembled at Winnipeg in 1927. Mr. R. B. Bennett was chosen leader, and the next election returned the Conservatives to power. Once again a convention had been followed by victory.

But the system was not infallible. On Mr. Bennett's resignation, another Conservative national convention met in 1938 and selected Dr. R. J. Manion as his successor, only to see the party meet virtual annihilation two years later. After another singularly unsuccessful experiment with a modified version of the old system of choice by Conservative politicians the party in 1942 turned once more, though with some reluctance and little confidence, to the national convention. Again the convention's choice was not able to lead the party into office, although its fortunes noticeably improved. In short, recent experiences with conventions, if tested by election results, have been, at worst, discouraging, at best, inconclusive, which, indeed, is scarcely surprising, in that the victories which followed the early conventions can be readily explained by current political conditions and trends. It is probably an accurate statement that neither Liberals nor Conservatives have accepted whole-heartedly and with genuine conviction the system of choosing a national leader by the convention system. Most people believe in its efficacy as a vote-getter and a rouser of enthusiasm, but this belief is tinged with a definite lack of confidence in the reliability of the convention's judgment on men and measures. Nor is it possible with the present loose party organization and over so wide an area to "manage" the meeting and bring it under any kind of effective control, although Mr. Meighen was apparently the chief instrument in securing the choice of Mr. Bracken at the Conservative convention in 1942. The practice of making selections by the convention method is not likely, however, to be repudiated for some time to come,

for to do so would be to show an open preference for a restricted method of choice rather than for one based on the representative and, so it is usually believed, the democratic principle. No party will willingly expose itself to the reproach that it is afraid to trust the judgment of its own representative convention.

The usual broad similarities occur in the conventions of the two major parties as well as the usual minor variations. Inasmuch as the last Liberal national convention is over twenty-five years distant and the Conservatives have held three of these meetings within a fairly recent period, the Conservative precedents are likely to prove the more decisive, and the following account is therefore based in large measure on them. Space does not permit any extensive description of these gatherings, and only some of the most prominent features are indicated below.<sup>1</sup>

The composition of the national convention is bound to be varied and complex, for it is highly desirable to have all elements in the party represented.<sup>2</sup> There has, however, always been a decided tendency to provide generously for the official section of the party, the members of the Dominion

<sup>1</sup>An excellent account of the Conservative national convention of 1942 is given by J. W. Lederle, 'National Party Conventions: Canada Shows the Way,' *Southwestern Social Science Quarterly*, Sept., 1944, pp. 118-33.

<sup>2</sup>The delegates to the Conservative national convention of 1942 were apportioned as follows:

(1) *Ex-officio delegates* (a) Conservative Privy Councillors, (b) Conservative Senators, (c) Conservative members of the House of Commons, (d) members of provincial legislatures who were supporting the federal Conservative party, (e) provincial Conservative leaders, (f) members of the national convention committee and chairmen of the subcommittees of the national convention association.

(2) *Delegates-at-large* (a) as many delegates-at-large from each province as that province had federal constituencies, these being selected by the provincial associations at large with the aim of representing leading activities such as education, press, labour, agriculture, professions, business, etc. (b) delegates-at-large from Young Conservative Associations, nine from Ontario, nine from Quebec, three from each of the other provinces, at least four, four and one of each respectively being a young woman, (c) an unfixed number of apparently dubious antecedents, who were given credentials as delegates-at-large. (These had not previously been associated with the Conservative party, but were expected to be ready to unite "for the utmost vigour in prosecution of the war.")

(3) *Riding delegates* (a) three delegates from each federal riding and six from each two-member federal riding, (b) an equal number of alternate delegates.

The above gave a potential voting membership of around 1,200. Over 900 voting delegates attended the meetings. *Toronto Globe and Mail*, Oct. 7, 1942.

and provincial Cabinets and legislatures. The practice not only gives these members a large number of seats but it places them, because of their experience, broad acquaintance, prestige, familiarity with the issues and candidates, and other factors, in a position where they can exercise a great influence over, if not actually dominate, the convention.<sup>1</sup> But this group, based as it is on ten legislatures across the Dominion, is far from homogeneous, and dissension, if it should arise, is not as likely to appear between official and non-official sections as among the political leaders themselves. The presence and influence of this element can, however, scarcely be regretted, they should represent much of the practical wisdom of the party and they certainly constitute the most *responsible members, for their future lives and conduct will be in large measure determined by the decisions of the convention*.

The strong official element, however, will inevitably weaken the *influence of the ordinary party or riding delegates*, and this is accentuated by the inclusion of the two groups of delegates-at-large, 2 (a) and (c) above, whose presence at a party convention can scarcely be justified on representative grounds. The invitation to the "win-the-war" group, 2 (c), was a measure designed to meet exceptional circumstances, but the giving of representation to "leading activities" has been used before by Conservative although not by Liberal conventions. Neither party has apparently considered the possibility of apportioning delegates on a basis of party votes cast, though the *ex-officio* representation will indirectly accomplish this to a limited degree.

The resolutions which are passed by the convention and which constitute the party platform are drafted and considered with care. Any party association may send in suggestions, and a resolutions committee is appointed and at work some days before the convention assembles, sorting out the proposals and preparing the material for consideration by the convention committee on resolutions and the convention itself. Thus the Conservative convention of 1942 had a resolutions committee of 167 members which was

<sup>1</sup>The members of Congress who attend national conventions in the United States are an influential group, but they are relatively a small part of the total membership.



divided into six sub-committees on different subjects. These considered over a thousand suggested resolutions. The committee eventually reports to the convention, and the platform it proposes is debated and passed, item by item. In many instances, this approval is cursory and largely formal, but in others, the discussion may be keen and amendments may be moved and in rare instances carried. The recent tendency has been to draft long and comprehensive resolutions which lend themselves neither to effective debate nor to ready modification. Moreover, in all conventions, the delegates are very much more interested in the election of a leader than in considering a platform, and it is therefore impossible to count on any very prolonged consideration with the election pending. The real platform-maker thus becomes in large measure the resolutions committee, and a genuine effort is therefore made to have it both large and representative of all interests and geographical areas.

The election of a leader by the national convention follows with substantial exactness the procedure already outlined for provincial conventions engaged on the same task. The Liberals in 1919 did not allow the candidates to speak in their own behalf, but the restriction was made almost meaningless by the candidates being given an opportunity to display their wares in the discussion on the proposed resolutions. The Conservatives, however, have chosen to follow the more common and straightforward practice of allowing the candidates to address the convention under a strict time limit. The election is made by secret ballot—a vast improvement on the American open declarations by states—and the balloting proceeds until a candidate receives a majority. A substantial number of delegates are usually pledged in advance, but there is nothing to show that this is a serious restricting influence in enabling a convention to come to a decision. The same external aids to emotion and general enthusiasm are present as in provincial conventions, and in the 1942 contest one of the candidates did his part by collapsing in the middle of his address. The same pretty girls (on these occasions, both French and English) register the delegates, the same kilted pipers (but in greater numbers)

play the same inspiring airs, the same rousing speeches (although ranging over a wider area) go on and on interminably. One important variation, however, occurred in 1942: war shortages compelled the abandonment of the dinner.

The atmosphere of the convention is, however, best given by an eye-witness, and the following is a newspaper account of the concluding stages of the election of Mr Mackenzie King at the Liberal convention in 1919.

The decisive vote was cast and the electric period of waiting begun. There remained a tag end of resolutions to be passed and orators belloved and perspired in the faces of an audience that was thinking of something else. The great majority was jammed in the corridors, coatless, panting, eating messy ice cream cones, sucking at pop bottles through straws and talking, talking interminably. Here and there a woman delegate, uncured and damp, talked prohibition or wandered through the crowd. The heart of the assembly was in the Ontario committee room where the scrutineers were translating the will of the assemblage into figures. Outside, a brass band performed amid the dust unregarded.

There were half a dozen false alarms. Bursts of tired cheering from the main hall caused a stampede through the narrow side entrances. But bit by bit the big hall filled as the time drew near. Brown of Alberta was making a rousing speech when the time came, but he might as well have been making it in Cree in his native province, for all the attention he was getting. There was only one thing the audience cared about, and that thing was being rushed in by the door in front of the Quebec delegation. Brown swallowed his peroration and hustled into the background, and a hush fell over the great crowd as Premier Stewart of Alberta stood up with the fateful paper in his hand. There was silence till Mr King's selection was announced, then pandemonium broke loose. Mr King had no reason to complain of his reception as leader. There may have been a lack of abandon in the Ontario cheers but Quebec and the West made up for it. It had to be done all over again when the figures were given.

Then came one of the really nicest incidents of the convention, the motion by Mr Fielding that the election be made unanimous. While it is the customary thing to do that it takes real grit, and an innate sporting spirit to do it, as Mr Fielding did it. Unquestionably, he would have liked to crown his long career with the greatest honour his party had to bestow, but there was not a hint of bitterness, not a shadow of anything but whole-souled sincerity in his short, manly but graceful speech. Mr Graham followed, back in his old form, mentally debonair as ever, equally eager to offer his services in the ranks.

Mr King's speech was adequate and careful, nicely appreciative, duly modest. He omitted nothing, he said nothing he should not have said. He perorated effectively. He did not get across quite as completely as in his speech of the previous night when he was fighting for the prize he had won, but the speech he delivered was quite satisfactory to his audience, or at

least to that part of it which was given to satisfaction at all

The convention came to an end, appropriately enough with "God Save the King." Taking the political interpretation of the National Anthem the crowd sang "send him victorious" with especial fervour. There was a last cheer, a stampede to the platform where the successful candidate underwent the penalty of greatness by shaking a multitude of hands, and the Liberal Convention of 1919 passed into history.<sup>1</sup>

The choice of the convention is frequently narrowed by the operation of what Americans would call "availability," the necessity of choosing not only an able leader, but one whose qualities and background are such that he is likely to appeal to the voters and secure the return of his party to office. Personal integrity is indispensable, but the so-called popular qualities have certainly not been very conspicuous in the leaders chosen in recent years. Political experience in the Dominion rather than in the provincial legislature and extending over a long period is not so much stressed, it is simply assumed, and candidates without it rarely enter the contest. Racial origin (as between French and British) is unquestionably important, but the significance attached to it is apt to turn primarily on the political situation at the time of choice rather than on any permanent principle. The folly of attaching too much importance to many of these personal factors is best illustrated by the unfortunate fate which overtook Dr. Manion. He was a thoroughly likable man, he was a returned soldier and an eloquent speaker, he was a Roman Catholic from Ontario married to a French wife, a combination which might well have appealed to both Ontario and Quebec, yet although he was the choice of the convention, he led his party to overwhelming defeat.

The most important single factor in availability today is unquestionably the province from which the candidate comes. Too close an association with any province—and particularly with some provinces—is a heavy handicap. Thus a provincial Premier will not only lack the wider Dominion experience, but he may have so identified himself with his own province that he is as a result disliked in others. He need not, however, be a Premier to have provincial jealousy

<sup>1</sup>*Montreal Star*, Aug. 8, 1919

and distrust rule him out as a serious contender. Thus a candidate from Ontario is likely to be under suspicion from Quebec and from East and West as well, although one from Quebec, particularly if he were of British descent, would not encounter nearly the same opposition. On the whole, however, a Maritimer or a Westerner has the best chance of success, and the latter, because of the size of the Western vote, would receive a decided preference if there was much likelihood that the West could be induced to give its support. The recent leaning of the West in the direction of the minor parties, however, has seriously lengthened the odds for Westerners in the party leadership stakes.

A concluding reflection on the national convention suggests yet another similarity to the conventions which choose the provincial leaders. Although there can be no doubt that the environment is most unsuitable, the time for consideration deplorably limited, the delegates' knowledge of the contestants frequently inadequate, the danger of a candidate sweeping a convention off its feet always a grave possibility—despite these and other circumstances working against success, the selective function of the national convention, judged on its record, has been astonishingly well performed. It has, it is true, made a choice on only four occasions, yet on three of these it has been equal to its task and has chosen men of outstanding ability and character. One would find it very difficult to assert with confidence that any other method would have given better results.

#### B THE CO-OPERATIVE COMMONWEALTH FEDERATION PARTY

For a political group which prides itself upon being "not just another party but . . . a new venture in the technique of applied democracy," the Co-operative Commonwealth Federation has managed somehow to take on a surprising number of old party characteristics, and nowhere is this more discernible than in its general organization. There are undoubtedly greater differences between the organization of the C C F and that of the two major parties than between the organizations of the major parties themselves, but the resemblances in all three are many. After all, the general

aim of each is to elect candidates to office by inducing the voters to give them vigorous support, and these efforts must be made over a given area under certain conditions. It is therefore not surprising to find that the general machinery each party constructs for this task is very similar. It is true that the C C F party has insisted on its unique character, as indeed have all parties at all times, and it has frequently scorned (again in the best party tradition) the inferior organization and techniques and spirit of its rivals. In some measure the C C F has been able to demonstrate the truth of these contentions, although many of the differences would not appear to be nearly as profound or as significant as the statements of the party would indicate. The C C F, in short, displays yet another characteristic which it holds in common with other parties, a tendency towards exaggeration and wishful thinking. It will not be necessary to describe in detail here the organization of the C C F party, but an attempt will be made to indicate as briefly as possible the major points in which this offers a contrast to that of the two larger parties.

The most distinctive feature of C C F organization is the primary assorted grouping of its members at the lowest level, a product of its brief history rather than of its philosophy. In the early thirties a number of separate protest groups and minor parties came together in a coalition designed to secure more effective political action, but they insisted on maintaining their individuality and making it essentially a co-operative endeavour. After some years these separate parties disappeared, but the C C F is still organized in local clubs or units (the terms are interchangeable) and other organizations, such as trade unions and farmers' societies, may affiliate for political purposes. In some provinces, such as Saskatchewan, this basic organization has become very much simplified, and the local units and their representation on higher bodies present few if any differences in comparison with corresponding groups in the other parties. It thus appears probable that the present complex arrangement, which is so prominent a feature of the C C F in Ontario, is by no means inescapably identified with the

party, but is rather a temporary scaffolding which will disappear as the structure takes permanent form.

The basic unit of organization in Ontario is thus the club, the members of which may be bound together by social, political, or economic interests. Anyone may join who subscribes to C C F principles and policies "as set out in the national and provincial conventions" (a prodigious achievement, if taken literally), who pays the fee of three dollars, and who can pass the scrutiny of the proper authorities. These clubs are not numerous, although they will average several to a constituency. Thus although the C C F polled a little less than 400 000 votes in 1945 in Ontario, its actual membership in the province is reputed to be only about 16,000. But the members are aggressive and hard-working, and the clubs act as effective centres both for study and for propaganda for the party policies. The great merit of the club is that it has been able to meet with conspicuous success, albeit in a concentrated form, the problem of maintaining continuity of interest between elections. The other form of membership (as noted above) is collective, chiefly through economic organizations, which give support, financial and otherwise, to the C C F for political ends.

The complications of this arrangement become evident only at the higher levels when matters of representation are involved. The body immediately above the club is the constituency association. It is composed of the general body of members within the area (the federal riding in Ontario, the provincial riding in Saskatchewan), and these may directly, or through elected delegates, attend the constituency nominating convention and choose the party's candidate for the election. The club has thus no rights as such in the constituency organization: the club members simply participate as C C F members acting in another capacity. Both the association and the clubs may elect delegates and submit resolutions to the provincial convention, but the scheme adopted must be such as to avoid any duplications. The affiliated bodies may send delegates to both the constituency and the provincial conventions, and may also submit resolutions independently. The purpose and function of the consti-

tuency association are in general the same as those of the corresponding associations of the major parties

The C C F in Ontario has also set up zone committees from the clubs and constituency associations to link together local and provincial activity. These are still largely in the formative stage, and, as committees, correspond roughly to the executives of the district and regional associations of the other two parties. A provision in the C C F Ontario constitution dealing with party municipal associations for the apparent purpose of contesting municipal elections does not appear to be used at present.

The C C F has also organized a Youth Movement comparable to the young people's associations in the Liberal and Progressive Conservative parties.

The chief provincial executive body in the C C F is the provincial council, which is essentially representative in character. It is composed of twenty members elected from the zone committees, twenty elected by the provincial convention, one from the Youth Movement, the President, the past President (for one year), three Vice-Presidents (one representing agriculture, one labour, one neither of these), two provincial members of the national council, and the provincial leader—forty-seven or -eight in all. Inasmuch as it is a large and somewhat cumbersome body and normally meets only five times a year, it appoints an executive from its own number to carry on between meetings. This executive is composed of thirteen members: the President, the provincial leader, the Vice-Presidents, and eight others. The functions of the executive and of the council are substantially the same.

The council (and the executive) is charged with the usual powers of oversight and supervision. It has charge of all the propaganda of the movement, and the C C F believes strongly in the efficacy of the printed and spoken word, the radio, the moving picture, and all other methods for conveying the party message. The council publishes a small monthly newspaper, promotes lecture series, and furnishes materials for study groups. It authorizes campaigns for party funds. It supervises the work of the paid organizers. It holds conferences and discussions within various economic and political organizations. It also conducts research projects.

Indeed, one of the conspicuous merits of the C C F is its belief, which it carries out in practice, in the efficacy of study and research as auxiliaries to party and government endeavour. It has appreciated far more than the other parties the complexity of modern economic and social life, and is far less inclined to rely on the slapdash methods of its rivals who, in matters of this kind, are still floundering about with the techniques of the last century.

A more unusual function given to the council is the power to suspend and expel any person, group, or organization offending against any of the fundamental principles and policies of the C C F. A striking and significant element in the constitution is the detailed provision for the expulsion of members, this apparently being deemed necessary in order to deal effectively with the danger of Communist infiltration. There is throughout, however, a pronounced tendency to look askance at all who are not prepared to accept the gospel as revealed to the C C F members in annual meeting assembled. The major parties have always been inclined to emphasize consistent party support as the essential prerequisite for membership, the C C F, while not by any means ignoring party regularity, stresses more especially belief in the party dogmas.

The provincial convention, states the constitution, "is the supreme governing body in the movement" in the province, subject to the national C C F constitution and the decisions of national conventions. Inasmuch as conditions differ so widely in the provinces, provincial autonomy is correspondingly great, and the real power—as in the other parties—resides in the provincial body. The convention meets at least once a year.

The composition of the convention has been already given in part. Its members attend as delegates from the constituency associations or clubs (the numbers determined on a basis of total membership), as delegates from the affiliated organizations (the numbers similarly determined), and as members of the provincial council which was elected at the last provincial annual convention. There may also be invited members (without a vote) who are provincial C C F members in the Ontario or Dominion legislatures who have



not been chosen as regular delegates under one of the above categories

The provincial convention reviews the work of the party during the year, receives reports, elects officers (including the provincial leader, who is thus chosen each year), and considers and passes resolutions submitted by a constituency association, club, or affiliated body, or by the provincial council. These resolutions are forwarded long before the meeting, and are printed and circulated for scrutiny and discussion among all component bodies at least one month before the convention assembles. A unit may propose in advance any amendment to these resolutions (which is also printed and circulated), and may instruct its delegates how to vote. The provincial council may submit with the consent of a special resolutions committee, appointed by the convention, emergency resolutions. In practice, the convention throws itself with tremendous zest into the business of passing upon these resolutions. Its task is largely that of approval or rejection, for while many resolutions receive a brief, scrappy, staccato discussion and a very few may be given something approaching adequate consideration, the great bulk of them receive the most summary treatment. This is not caused by any desire of the convention to shirk the responsibility, but simply because the party clubs and other bodies have already indulged in such an orgy of drafting and submitting resolutions that no convention even of supermen could possibly spare more than a glance at the welter which threatens to engulf it. The implications of this situation as well as those which spring from other convention practices, such as the annual election of the leader and the constant surveillance of him and his assistants, will be discussed in the following chapter.

The national organization of the C C F is virtually identical, *mutatis mutandis*, with that of the province. The national convention is composed of the members of the national council, delegates from each organized federal constituency with not less than fifty members (extra delegates being given to constituencies with large C C F membership), delegates from affiliated organizations (also depending on total membership), and one delegate from each organized

provincial section of the Youth Movement. The national (like the provincial) council is chosen in part by the national convention, and in part by the provincial conventions, with a member from the national Youth Movement. Similarly, the national executive is composed of the national officers and others elected by the national council.

The national convention, which meets every two years, is, again, in power and functions in substantially the same position as the provincial convention, though operating over a wider field. The same is true, also, for the council and the executive. Resolutions come before the convention from any body which is entitled to representation and from provincial councils, with the same powers regarding emergency resolutions emanating from the national council, and they receive the same pre-convention consideration, and the same summary disposal in the convention itself. In 1946, for example, the convention considered twenty pages of resolutions, a great many of which received only a nominal consideration. Even the power of discipline appears also in the national constitution, and an aggrieved member may appeal from the local body to the provincial council and convention and from there to the national council, and finally to the national convention.

The Dominion leader, who is the President (but not the chairman), is chosen every two years. The control of the convention over its leader and C C F members of Parliament, however, appears to rest more on trust and genuine co-operation than in the provinces of either Ontario or Saskatchewan where the provincial organizations are well developed. The national council sits from time to time with the C C F members in the House of Commons for the purpose of discussing public questions and determining the party policy. There is little indication that the council is disposed on such occasions to assume a dominant role, although the resolutions of the national convention are, in theory at least, binding on all C C F members of Parliament.

## CHAPTER XXIII

### PARTY ACTIVITIES AND PROBLEMS

THE preceding chapter on party organization has dwelt almost entirely upon its formal manifestations, the ways in which the active member is able to participate in the party's meetings and decisions on policy and leadership. This participation is by no means unimportant, and it shows every sign of becoming more so as the organization develops and as popular expression through party channels becomes increasingly common. But, as has already been suggested, the success of the party in its major task of winning votes and thereby attaining office does not by any means depend solely on these formal committees and showy gatherings; it rests to a far greater degree upon the prosaic labours of the party canvassers and field workers and their ability to make an impression on the individual voter. The party is above all a fighting organization, and while it must be firmly founded on the opinions and goodwill of its members and must provide occasional open demonstrations that it possesses public interest and support, it must also adopt the army practice of keeping its own counsel on many matters and directing a substantial number of its operations in secret. Thus by far the greater part of the party's labours in organizing the constituencies—in providing canvassers, in checking voters' lists, in adopting means to get its supporters to go to the polls, in buying votes (a far rarer method than is generally supposed), in procuring support by promises of special jobs or general employment—is accomplished as unostentatiously as possible and is entrusted to those workers who through inclination or self-interest will give the party unquestioning support. This part of the organization and those who direct and operate it constitute the party "machine," a name which optimistically suggests the mechanical efficiency with which the party is presumed to deliver the vote on election day.

The reality of this supposed efficiency is never easy to determine, for while results may be at times impressive, there is no way of analysing them with any certainty, and there

is an invariable predilection on the part of defeated candidates to account for their humiliation by throwing the blame on the unscrupulous and regimented activities of the opposing forces. The thoroughness with which an area will be organized in the interests of any party will always depend in large measure upon the party's general position and the circumstances of the time. If the party is strong in a particular constituency, the need for thorough organization and effort is not urgent, and there will be a tendency to direct its attention to the more doubtful districts. Again, if a party has been long in control of a provincial government, it is very likely to have built up an elaborate network of party workers and civil servants who will be able to exert considerable weight in an election. A compensating factor, however, will often appear in that independent voters dislike a machine which works too efficiently, and from this may come the paradoxical result that a very high degree of organization (unless it is kept very much in the background) may bring about unpopularity and defeat.

Inasmuch as all parties are reluctant to reveal the extent and nature of many of these operations, especially when they are on (or over) the verge of questionable practices, accurate information is not readily obtained. A study of the operation of the Liberal machine in Saskatchewan was, however, made some years ago<sup>1</sup> and it may be used as an example of the way in which a strongly entrenched party is able to build up and conserve its voting strength.

Each constituency in Saskatchewan had its own organizer, and each polling sub-division its own workers while in between were a number of liaison or "key" men who kept the organizer informed at all times of the state of each area in the constituency. The voters were all carefully scanned and their political views ascertained, and systematic efforts were made to win over all doubtful voters to the Liberal side. In these and allied endeavours, the Saskatchewan Liberals were simply following the normal party practice, although

<sup>1</sup>Escott Reid, "The Saskatchewan Liberal Machine before 1929," *Canadian Journal of Economics and Political Science*, Feb., 1936, pp. 27-40. For patronage in Ontario, see Norman Ward, "The Bristol Papers," *ibid.*, Feb., 1946, pp. 78-87.

it seems probable that the work may have been done more thoroughly than in most parts of Canada. The provincial organizer, however, seems to have kept in exceptionally close contact not only with the organizers in the ridings but with all party workers throughout the province. Any information likely to be of value came directly to his office, and it was then relayed by him to those chiefly concerned—usually the member or candidate—in the ridings.

The most notable part of the Saskatchewan machine was the close identification of the provincial civil servants and the work of the civil servants with the Liberal party. The highways inspectors, for example, were among the most active of the party workers, and though they did not often discuss politics with the voters, they were most sensitive to any party disaffection in their districts and were a constant source of information for the party authorities. Road supervisors, sanitary inspectors, liquor store managers, and many others were loyal supporters of the party and usually energetic party workers as well. Appointments to the service were made, of course, largely from staunch Liberals, public works, especially roads, were undertaken with a clear eye to party advantage, and contracts were granted in exchange for party support. The power of the Liberal machine was eventually broken, and although the party later returned to power, its organization was never as highly developed or as successful as in the earlier period. An interesting postscript occurred when the C C F Government assumed office in Saskatchewan in 1944. One of its pledges was the elimination of patronage and the institution of a merit system, but the proposal to carry this into execution precipitated a struggle with its own party organization which demanded that patronage should be retained. The reason advanced was the natural but far from original one that the old gang had all the jobs and that the new Government would find itself thwarted in its work by an unco-operative service. A reform act was, however, eventually placed on the statute books.

Party patronage still remains an important adjunct of government throughout Canada. It has certainly not lost its appeal for provincial Governments, for there is not a single province in Canada which has a genuinely reformed

civil service, although several have passed statutes which are apparently designed to persuade the simple-minded reformer of their wholly honourable intentions.<sup>1</sup> The Dominion service, as noted in an earlier chapter, is in large measure under a merit system, but there are those in authority who, while reconciled to a life of virtue, still recall with nostalgic satisfaction the old uninhibited days which, while undoubtedly troublesome and even at times embarrassing, nevertheless had compensating advantages in the constituencies which are not easily forgotten.

Closely allied to the party patronage system is what is known as the "pork barrel," a kind of large-scale patronage and bribery offered to a community in the hope of winning support, an appeal to what President Cleveland called "the cohesive power of public plunder." These promises are not pledges on matters of general policy which the party, if elected, will redeem, they are special concessions dangled before a particular interest or area in exchange for the election of the "right" candidate. In any such bidding, the party in power enjoys a substantial advantage, for it is not only in a position to implement its promises, but it can, if it desires, spend the money first and trust to the collective gratitude of the community to repay the debt on election day. "The 'pork barrel,'" says one writer, "dispensed with equal rapacity by all parties and governments since Confederation, has been the worst penalty of our Canadian democracy. It has been the chief source of whatever of corruption has degraded our public life during the past fifty years. It has sinned more than all other agencies combined against efficiency and honesty in politics. And it stands to-day as one of the chief reasons for the extravagance and waste that father taxation and debt."<sup>2</sup>

<sup>1</sup>The Saskatchewan reform is very new and, on the face of it, genuine, but so are allied measures in some other provinces which have proved to be little more than a pretence. Saskatchewan has also allowed its civil servants "full political rights" that is, they may openly and vigorously support and canvass for any political party, a privilege so palpably unworkable and fraught with so many sinister possibilities that the other reform is placed under the gravest suspicion. For so-called reform in Nova Scotia see "Report on the Civil Service," No. 18, *Report of the Royal Commission on Provincial Development*, 1944.

<sup>2</sup>M. Grattan O'Leary, "The Pork Barrel," *Maclean's Magazine*, Feb. 15, 1921, reprinted in R. MacG. Dawson, *Constitutional Issues in Canada 1900-1931*, pp. 194-201.

Tariff protection used to be the most sinister of these inducements, and it still plays a part, but public works of all kinds make the most direct and obvious appeal to the average voter. The list is long, but the public purse is deep. Dry docks, wharves, breakwaters, post offices, bridges, armaments, harbour improvements, grain elevators, rifle ranges, free mail delivery, customs houses, increased pay for the civil servants—these are all federal projects, and the party in the provincial field can also offer attractive sweetmeats of its own. Many of these expenditures would normally be denounced by the Opposition in Parliament when the estimates are discussed and passed, but they are commonly placed in the supplementary estimates, where they are slipped through a weary and ill-attended House in the last few days of the session.<sup>1</sup>

The pork barrel has a closed as well as an open end, for those similarly minded in the Opposition can do nothing but make sweeping promises and await the day when it will be their turn to draft estimates and vote them through an acquiescent House. Deserving wants and necessary improvements in anti-Government constituencies are thus frequently neglected, while others with far less justification meet with a ready and even extravagant response, the question ceases to be one of need, but primarily what support for the party in power has been given in the past or is likely to be forthcoming in the future. A speech of a member of the House some years ago is revealing in its frank statement of what may be called the punctilio of the pork barrel.

*Mr McGibbon* The riding which I have the honour to represent, and which is an important one from the revenue standpoint, has been literally starved for about ten years. We think it is time that members on this side of the House should have some of the requirements of their constituencies considered. We are not pressing for appropriations this year, but we cannot understand the minds of some hon. gentlemen opposite. After having fed so freely from the public estimates for the last ten years they are still hungry. When the time comes, when we can loosen the strings of the treasury, his [the Minister's] first consideration should be those constituencies which have received nothing for some years past.

<sup>1</sup>*Ibid.*, pp. 198-201. For an account of the "pork barrel" some years ago, see *Canadian Annual Review*, 1904, pp. 227 ff., O. D. Skelton, *Life and Letters of Sir Wilfrid Laurier*, II, pp. 265-70.

*Mr Hanbury* Conservative constituencies?

*Mr McGibbon* Yes, Conservative constituencies. I am one of those who believe in being frank about these things. I took my medicine when I was sitting on the other side of the House and could not get anything, we all had to take that medicine. We take the ground that we are not going to have hon. gentlemen opposite collect the fees while we write the prescriptions. I want to press very strongly upon the Minister that this action be taken, and I do not think any member of this House will object to it. We are all human, we all know what we are here for and most of us are pretty good party people. We follow our party sometimes when we differ from it, because of the greater good to the country, but when the time comes, as we hope it will come, when this depression lifts and the treasury contains a little money, we want the needs of the people in those parts of this country which have been neglected looked after before there are any railway stations built in Tennessee, or new wharves or anything of that kind.<sup>1</sup>

"Elections," said Israel Tarte with some cynicism and much truth, "are not won by prayers," and one of the instruments of victory is money. All parties therefore possess central campaign funds, and each is very careful to keep secret the source, the size, and the disposal of its fund and to talk loudly and none too sincerely about the abuses which gather about those of its rivals. The money is used for general party purposes: for campaign literature, radio time, newspaper advertising, lecture halls, billboards, travelling expenses, paid organizers, and assistance to needy candidates and to those running in doubtful constituencies. These and other legitimate outlets dotted across the continent make very heavy demands, and any party which is fighting more than two hundred engagements simultaneously will use up ammunition in enormous quantities. It is generally accepted that a campaign fund for a major party today needs to be somewhere over a million dollars even though it is all spent for quite proper purposes, but there is some reason to believe that a general scaling down of these huge expenditures might be feasible.

The cost of election campaigns is too high and some means must be found to lower it. Political corruption—wholesale bribery and the distribution of liquor—has to a large extent disappeared from our political morality, usages and customs. It has been succeeded, though, by more modern methods of propaganda and proselytism, which are almost as ex-

<sup>1</sup>*Can. H. of C. Debates*, May 1, 1931, pp. 1191-2



pensive. Electors, rightly or wrongly, believe that campaign funds are unlimited, and that they are to be dug or gouged out of an almost bottomless purse. As soon as an election is in prospect, candidates, organizers, and supporters get together and decide that their man must have the largest and best-equipped hall in the community. He must have the best brass band, and his advertising must be on the front page. He must have the best and the longest possible time on the radio. His placards and posters must be the most artistic, and if Rembrandt or Titian were alive to-day they would be hired to decorate the fence-posts of the neighborhood with the portraits of the candidate.<sup>1</sup>

The most serious problem which arises out of the party campaign funds (assuming they are legitimately spent) is the source from which they are derived. So far as the C C F party is concerned, this has not yet presented any serious difficulty. That party, although it will receive contributions from anyone in sympathy with its aims, has placed its chief reliance on membership dues, its tenets are not likely to appeal to the wealthier part of the community, and, as the late Mr. Woodsworth frankly stated, "we are too few in number as yet to have come to the attention of some of the men who may be anxious to secure concessions."<sup>2</sup> If no very substantial amount of money is received from any one source, no very substantial obligations can be created, and if this or any other party is able to dispense with large contributions, it will beyond any reasonable doubt be more independent and occupy a much less ambiguous position. It may be noted in passing that the C C F fund, so far as it is derived from contributions made by the trade unions, raises a different kind of problem, for these payments have the effect of compelling all members of these unions, whether individual supporters of the C C F or not, to contribute to its campaign.

The two major parties have not been favoured with the happy poverty of the C C F, and on several occasions they have faced scandals of the first magnitude which have developed through contributions to the party funds. The Pacific Scandal of 1873 is perhaps the most notorious, but the problem is not one which can be dismissed as belonging to a remote and unsavoury past. In 1926 an official inquiry

<sup>1</sup>C. G. Power (Minister of National Health), *ibid.*, March 13, 1939, p. 1810.

<sup>2</sup>*Ibid.*, July 31, 1931, p. 4392.

disclosed that various liquor interests in British Columbia had been in the habit of making large contributions to the coffers of both major and minor parties, indeed, certain of the liquor companies seem to have developed so fine a sense of impartiality and public spirit that they insisted on making exactly the same contribution to the Liberals and the Conservatives alike. A more common practice, however, and one which exhibits less impartiality though a greater appreciation of the hard realities of the situation, divides the contributions giving 60 per cent to the Government party and 40 per cent to its opponents. In 1929 another investigation showed that a public utility company in Manitoba, which was interested in securing water-power concessions, made generous contributions to all three political parties which were active in the province. In 1931 the Beauharnois inquiry revealed that a company in search of water-power rights on the St. Lawrence had contributed well over \$700,000 to various campaign funds, individual and collective, of both major parties,<sup>1</sup> although the Liberals, who happened to be in power in both the Dominion and Quebec, received by far the greater amount. While there was nothing to indicate that this lavish expenditure by a company, which was expecting very substantial concessions from the Governments of Canada and Quebec, made any difference in the actions of these Governments, there was also not the slightest doubt that the contributions were made in the expectation that matters in which the company was interested would as a result follow a smoother course. "Gratitude," said the open-handed president of the Beauharnois Corporation with revealing naiveté, "was always regarded as an important factor in dealing with democratic governments."

The most recent disclosures have come from the Province of Quebec, where the Union Nationale party has been developing a most profitable technique for replenishing its

<sup>1</sup>The total ascertained payments were, in fact, \$864,000, but there is some doubt as to the intended destination of \$125,000 of this total. The Conservative central fund received nothing from the Beauharnois Corporation, although \$200,000 was offered as a contribution to that fund and was refused.

<sup>2</sup>*Ibid.*, July 28, 1931, p. 1260.

campaign fund<sup>1</sup> The custom had apparently been long established in the province that tavern keepers on obtaining their licences for the first time were expected to make a contribution to the Government party, but the new system imposed a levy of \$500 on many of those who wished to renew their licences for the coming year In some instances, the renewals were first refused, and the tavern keeper was then given the opportunity of having his licence restored on the payment of \$3,000 Other renewals were refused outright because the applicants were supporters of another party At least one unsuccessful attempt was made to wring tribute from a firm of distillers in Scotland This firm was asked to advance its price on Scotch whiskey sold to the Quebec Liquor Commission by fourteen shillings a case, and the extra charge was then to be refunded to the party treasury Such transactions, while not unknown in Canada, have been fortunately rather rare

Abuses of this kind should not, however, be allowed to obscure the fact that campaign funds, while not absolutely essential in a democracy, undoubtedly perform a most useful function in assisting parties to promulgate their ideas whether at elections or at other times Indeed, the chief objection to having the state itself make grants to all party funds is not that the purpose to be served by these grants would be questionable, but rather that no system of apportionment could be worked out which would be accepted by all parties as satisfactory Little if any objection can therefore be taken to the practice of members of a party making gifts, and even fairly generous gifts, towards its support and for the attainment of ends in which the donors believe, although the motives which prompt the contributions may, of course, be far from praiseworthy Gifts from corporations stand in a somewhat different light, for it is by no means obvious that corporate bodies are eager to propagate opinions and ideas apart from the selfish and mercenary ends which they wish to achieve In Canada, indeed, for many years any corporation (other than those formed for political purposes) was forbidden to make contributions to the support of a candidate

<sup>1</sup>Blair Fraser, "Shakedown," *Maclean's Magazine*, Nov 15, 1945

or a party, but as this was supposed to be unfair to trade unions,<sup>1</sup> the statute was repealed in 1930. Any contributions which are made to more than one party are inevitably suspect. They can scarcely fail to lack sincerity of purpose and are bound to raise grave doubts as to the ends which are expected to be served: the primary object is almost certainly to secure what the president of the Beauharnois company called "gratefulness," and hence in due course to gain valuable assistance in obtaining special favours and concessions. The existing law takes no account of these difficulties. The campaign funds are secret, money may be received from any personal or corporate source, and disbursements may be made from the general fund without any accounting or publicity.

The problems raised by party campaign funds, however, were engaging the attention of Parliament in the years immediately preceding the Second World War, and in 1939 a bill was proposed by a special committee of the House of Commons which was expected to remedy the worst of the abuses. The great weapon which the bill proposed<sup>2</sup> to use against the abuses was publicity, for it was believed that the sinister influences which hover about campaign funds could be almost completely dispelled by making the contributions and the disbursements openly and generally known. It was recognized that this publicity would probably lead to a temporary falling-off in contributions, but it would also tend to encourage the small subscriptions from the rank and file of the party, a change which was naturally considered to be wholly beneficial.

The bill therefore proposed to compel the treasurer of every political organization to keep an itemized account of all contributions made to the organization, the name and address of all donors and the date of the gifts, all payments made by the organization, the name and address of all

<sup>1</sup>The argument here was somewhat unusual. It was difficult to enforce the law to prevent contributions from business corporations because of the secrecy of both the funds and the accounts of the corporations, whereas the trade-union accounts were semi-public and hence more accessible for checking and enforcement. Repeal was effected at the instance of the Labour and Progressive groups. *Can. Stat. Rev.* 20:21 (no. 1) c. 16.

<sup>2</sup>See *Can. H. of C. Journals* 1939 (1st session) pp. 408-17.

persons to whom payments were made, and the date of such payments. Every treasurer was then to file with the Chief Electoral Officer within thirty days after an election (a) the total sum received, (b) the name and address of every contributor of \$500 or more, (c) the total expenditures between the date of the issue of the writ and election day, (d) the name and address of every person or association receiving between those dates \$10 or more, together with the amount, date, and purpose of such expenditure. A summary of this statement was to be published by the Chief Electoral Officer in the *Canada Gazette*. The bill was probably not as searching in its demands as might be desirable, but its enactment would have been a great improvement on the old (and still existing) system of secrecy. The bill was, however, dropped, and the war prevented its revival. No party, however, and particularly neither of the major parties, has shown any real enthusiasm for taking drastic action on campaign funds—and the accuracy of that statement may be put to the test by simply recalling that the date of the Beauharnois scandal was eight years before the outbreak of war.

A closely related problem is the absence of effective control over the election expenditures of individual candidates, which may range from \$500 to \$600 (as reported by one member) to \$25,000 or more (as reported by another). Here the existing law has attempted to set certain limits and make demands for the publication of exact information.<sup>1</sup> A candidate cannot spend over \$1,000 from his own account, and any further payments, while unlimited, must be made through his agent. Claims against the candidate are valid for only a limited time, the agent must keep a record of all contributions received and all expenditures made, and detailed statements of these matters must be sent to the returning officer in the constituency, who must publish a statement, on a form prescribed, in a newspaper published or circulated in the constituency. Superficially, the provisions appear to be fairly adequate, but they are far from being so,

<sup>1</sup>See R. A. Mackay, "After Beauharnois," *Macleans Magazine*, Oct. 15, 1931, reprinted in Dawson, *Constitutional Issues*, pp. 208-18. *Can. Statutes*, 2 Geo. VI c. 46.

for there is a general lack of responsibility for enforcing their terms, and even a candidate who makes no return—and this is a common failing<sup>1</sup>—can avoid the consequences by going before a judge and pleading illness, inadvertence or other “reasonable cause” and get off scot free. Mr. Bennett stated that the efficacy of the Election Act was completely frustrated by the spinelessness of its own provisions.

We provided that if [returns] were not filed certain results would follow. They have not always been filed on time. Extensions have not been granted. Then, on an *ex parte* application with just an advertisement in a newspaper you go to a friendly judge and get an order on the ground that you did not think about it. What is the sense in our passing this legislation if it requires the deposit of a thousand dollars to unseat a member who has relied upon these very things—all the technical machinery of the law, which may be relied upon through the employment of skilled members of the legal profession? There must be some summary method of dealing with it, and that summary method must provide that upon proof of contravention of the provisions that man must forfeit his seat. It must not be necessary to deposit a thousand dollars, it must not be necessary to meet all the technical requirements now in the act. The inspector-general of elections should be in a position, at the request of any elector in any constituency, to demand that action be taken and that that action should be summary. A man should forfeit his seat upon proof of *an illegal condition* existing. If he says he did not know, that is no excuse. It is the job of a candidate to know what is being done. If we are to prevent the racketeer and the hoodlum this combination which has been bleeding all parties during the years from carrying on, we must provide a punishment to fit the crime. If a candidate is likely to lose his seat, he will not have this combination going around handling his election. The danger lies in the fact that you have this type of person dealing with a situation about which he does not want the candidate to know anything, and about which the candidate does not want to know anything—where the money comes from that runs the election.\*

The special committee of the House, mentioned above, also incorporated in its suggested bill provisions designed to improve these parts of the Election Act although not in as thorough a manner as that urged by Mr. Bennett. The major changes proposed to place the responsibility for enforcement on the Chief Electoral Officer instead of the local returning officer. Returns of receipts and expenditures were to be made to the Chief Electoral Officer and were to be

<sup>1</sup>Mackay, *op. cit.*, p. 214.

<sup>2</sup>Can. H. of C. Debates, April 5, 1938, pp. 2030-2.

published by him in the *Canada Gazette*, he was to have the power of inquiry following complaints filed by at least ten electors, who were required to deposit \$200, which would be returned if the complaints were "well founded", results of such inquiry were to be forwarded to the Speaker of the House of Commons. No election expense, other than personal expenses lawfully incurred by the candidate, was to be incurred or authorized by the candidate or his agent which was in excess of a sum equal to twenty cents for each name on the official list of voters for that election. These proposals, however, also came to nothing when the bill was allowed to drop, and no amendments along these lines have been passed since that time.

There are thus two outstanding remedies available for most of the evils surrounding the spending of money at elections—publicity, and provisions to ensure that the publicity can be actually achieved, or, to use Mr. C. G. Power's phrase, "to provide for real instead of veiled publicity." Once the facts are known, any healthy democracy should be capable of meting out the necessary punishments. But in Canada today, the facts are not known—some lie buried quite beyond reach, while others, which are more accessible, cannot be dug out with the inadequate tools which the statute provides.

Party rivalries not unnaturally play an important part in Dominion-provincial relations, although the way in which these are likely to be affected by party bidding for popular support is by no means clear. It can be assumed that normally the maximum co-operation and friendliness can be expected when Dominion and provincial governments are controlled by the same party,<sup>1</sup> and such co-operation will include also the granting of any special favours by the Dominion to the province. Thus H. A. Robson, Liberal leader in Manitoba, speaking in 1927 on the subject of an agreement regarding

<sup>1</sup>This is, of course, subject to rare exceptions, and when Dominion and provincial members of the same party fall out, the dispute becomes doubly bitter because of the family connection. Thus the recent Liberal Government of Ontario under the leadership of Mr. Hepburn carried its dislike of the Liberal Government at Ottawa under Mr. King to such lengths that it passed a resolution of censure on the latter's war policies. Many of Mr. Hepburn's followers disapproved of the quarrel, and the effects of these disagreements were soon reflected in the standing of the Liberal party in Ontario.

the transfer of the natural resources of Manitoba stated "We claim to be in a position to have this matter settled satisfactorily with despatch and without any risks of litigation. We hold the advantage of position in this by reason of our affiliations with the federal Liberal Party. From this very important standpoint, the existence in Manitoba of a Government in sympathetic contact with the federal Government will undoubtedly give the province an advantage in all matters of negotiation."<sup>1</sup> Three years later Mr Mackenzie King, speaking in the Dominion Parliament, accepted the same principle in his famous "five-cent speech," and after a few years re-stated it in a somewhat milder form:

So far as giving money from this federal treasury to provincial governments is concerned, in relation to this question of unemployment as it exists to day I might be prepared to go a certain length possibly in meeting one or two of the western provinces that have Progressive premises at the head of their governments, but I would not give a single cent to any Tory government. May I repeat what I have said? With respect to giving moneys out of the federal treasury to any Tory government in this country for these alleged unemployment purposes with these governments situated as they are to-day with policies diametrically opposed to those of this government, I would not give them a five cent piece.<sup>2</sup>

A guarantee of provincial co-operation is to be found in the fact that, save in one province out of nine, Liberal Governments are already in office.

It would seem that this is the kind of national Government the people of Canada really want, a Government, in the Dominion and provinces alike, that will be able to give expression to the will of the people as unmistakably expressed at the polls.<sup>3</sup>

However natural such a stand may be, and leaving aside the questionable ethics on which it is based, an avowal along these lines is of very doubtful political value. Certainly there is a strong probability that many people will be antagonized by the idea that considerations of party advantage will materially affect Dominion-provincial arrangements, which, they like to believe, are thought out on a higher plane and are determined by principles and not by expediency.<sup>4</sup> There

<sup>1</sup>*Manitoba Free Press*, April 25, 1927.

<sup>2</sup>*Can. H. of C. Debates*, April 3, 1930, pp. 1227-8.

<sup>3</sup>Quoted in *ibid.*, Oct. 19, 1945, p. 1295.

<sup>4</sup>Such idea can be readily corrected by a study of the Dominion-provincial negotiations of 1916 and 1947, and of J. A. Maxwell, *Federal Subsidies to the Provincial Governments in Canada*.



can be little doubt, for example, that the "five-cent speech" heavily handicapped the Liberals in the election which followed almost immediately.

The curious and paradoxical aspect of this Dominion-provincial party relationship is that despite what has been stated above about friendly co-operation when the Dominion and provincial Governments belong to the same party, it is by no means certain that a party is better off in the constituencies when it is in power in both places. Under such circumstances the dominant party cannot shift the blame for inaction, mistakes, or unpopular policies, for it is clearly bound to accept responsibility through its control of both Dominion and provincial Governments, whereas any unfortunate consequences which may occur under a separation of party control can usually be ascribed to the neglect or the errors committed by the other side. It has even been said that Sir John Macdonald believed that there was no disadvantage in having his opponents in power in the provinces, but the care which Sir John took to keep his provincial fences intact does not seem to justify such a statement. Certainly Sir Wilfrid Laurier paid exceptional attention to the party fortunes in the provinces and he never doubted that solid provincial support was a great bulwark for the party in the Dominion.

The reverse, however, may not be true. While it may be advantageous for a Dominion Government to have its own party in power in the provinces, it may well be that a provincial Government is more secure if it is politically opposed to the party in power in Ottawa. Here and there, no doubt, a provincial Government in such a situation may lose through a lack of federal cordiality and assistance, but even in these circumstances, fate, working through the Dominion Government, has placed a magnificent electoral weapon in the hands of the provincial Cabinet which it can scarcely fail to use to its advantage. The election issues which have always been most successful in the Canadian provinces have been those which were directed at the encroachments of the Dominion, and these can be urged without restraint if the provincial Cabinet is not handicapped in advance by belonging to the

same party as its federal adversary. Unity of party control may arouse indeed, the suspicion in the province that its Ministers are unduly acquiescent in Dominion policies and that they are afraid of antagonizing their friends in Ottawa by fighting fearlessly for the rights of the province. This was the opinion, for example, voiced by a Western paper in the following editorial:

These western provinces have had sharp conflicts with Ottawa in an endeavour to secure full equality with the other provinces in Confederation, and are still suffering in a very considerable degree from discrimination in federal legislation. Too close relationship between federal and provincial parties in the past has been a potent factor in preventing the removal of this discrimination, and undoubtedly the maintenance of such relationship will prove a handicap in the future. It may be difficult at times to maintain a clear-cut distinction, but the needs of this country will be better served if provincial parties as far as possible adhere to provincial matters and avoid those relationships with federal parties which have proved to be detrimental to the welfare of these western provinces.<sup>1</sup>

That a provincial electorate may be little moved by a desire to choose a Government of the same party as the federal Cabinet receives a substantial degree of confirmation in the records, and a review of past elections will show cyclic party movements which follow a fairly constant pattern. First, the great majority of the Dominion and provincial governments will belong to the same political party, second, the provincial governments will begin to fall away to the opposition party or parties until these are in a majority, third, there is an overturn in the Dominion Parliament which brings it once more in sympathy with the provinces, whereupon the cycle begins anew.

Thus in 1878-9 when the Conservative party came back into power and national party lines began to be drawn with some clarity, all six<sup>2</sup> provincial governments except Ontario were Conservative. By 1886 four had become Liberal, and by 1891 every one had fallen to the opposition. The Liberals, however, lost a little ground at this point, although in 1896

<sup>1</sup>*Grain Growers Guide*, quoted in *Canadian Annual Review*, 1923, pp. 715-16.

<sup>2</sup>In the above review British Columbia is not counted until after 1900, for party lines there were hopelessly blurred and Governments were formed largely on personal rather than party consideration. The first normal party Government was that led by Premier McBride in 1903.

when they captured the Dominion Parliament, Quebec was the only one of the six in the Conservative column. The Liberals thus had control of the federal and five provincial legislatures.

In 1900 the first defection occurred, and by 1905 the Conservatives had captured three provinces, this number was raised to four out of the nine by 1911 when the Conservatives swept into power in the Dominion. This is the only instance where the shifting of party governments in the provinces fails clearly to conform to the general pattern.

The Conservatives (with Union Government) stayed in power until they were defeated by the Liberals in 1921. But before the general election took place in that year the Conservatives had already lost every single government in the nine provinces, eight of them being held by the Liberals. Once again the cycle was back at the original point of departure.

But not for long. By 1924 opposition or coalition parties had already captured four provincial governments, and by 1930 this number had been raised to seven, leaving the Liberals in control of only two provinces. Dominion and provincial harmony was thus in large measure restored with the Conservative victory in the Dominion in 1930.

This time nemesis was no doubt greatly hastened by the depression, and when the next Dominion election came round after a five-year period, not one provincial government was held by the Conservative party. Seven of the nine were Liberal, and the Liberals secured a record number of seats in the Dominion House of Commons in the election of 1935.

Up to 1940 the Liberals were able to hold their own in the provinces, but by 1945 opposition and coalition governments controlled six of the nine provincial legislatures. The Dominion elections in the latter year returned the Liberals with a very small majority.

No profound conclusions can, of course, be drawn from these sequences, but one generalization seems possible. The records would suggest at least that provincial electorates show a decided tendency to fall away from the party which gains control of the Dominion Parliament. The provinces

would appear to feel happier when they are able to assert their independence of the party in control of the Dominion Parliament, and this tendency steadily grows and spreads and is virtually never reversed until a party change at Ottawa gives an impetus in the opposite direction.

While normally provincial elections are fought on provincial issues, questions which involve Dominion-provincial relations (and particularly financial relations) have been very common, although these too may be considered to be provincial issues. There are also numerous instances where Dominion issues have virtually forced the provincial questions out of the field, especially when either party has felt it would gain by such a manoeuvre and turn attention from less popular topics. Thus the Saskatchewan Liberal Government, wishing to capitalize on the popularity of reciprocity in that province as shown in the 1911 federal election, fought the provincial election in the following year on the same question. "The issue in the election," said the Liberal leader, "will be the trade question", and in his manifesto of sixteen paragraphs, the one dealing with reciprocity was given as much space as the other fifteen combined. A second manifesto, proclaimed just before the election, declared that reciprocity was "the whole issue."<sup>1</sup> In 1925 the Premier of Nova Scotia announced "The one and really great issue in this [provincial] election is to lift from this province the weight of an oppressive tariff visited upon us to our damage by a closely organized body of manufacturers a thousand miles away."<sup>2</sup> The Conservative leader not unnaturally refused the challenge, promised to give Nova Scotia a purely "business government," and stressed especially the great need to divorce provincial from Dominion politics. He (Mr Rhodes) "would hew to the line, let the chips fall where they may."

Yet Premier Rhodes presided at the Winnipeg National Conservative Convention [in 1927]. In the federal election the whole weight of his Government was thrown in the Conservative interest. During the recent visit of the Hon. R. B. Bennett the Premier spoke from his platform, and "subscribed" to his policies. His Minister of Mines, speaking from the same platform as Mr. Bennett, said, "if one is in agreement with the principles of

<sup>1</sup>*Canadian Annual Review*, 1912, pp. 561-6.

<sup>2</sup>*Ibid.*, 1925-6, pp. 402-3.

the Conservative Government in Nova Scotia it is impossible not to be a Conservative in federal politics as well." While there are sound reasons for local and federal politics being "divorced" the fact remains that they are not. Mr Rhodes' first lieutenant, the Minister of Mines, said, "I am not of those who believe in divorcing federal and provincial politics in their entirety. It cannot be done. One cannot be a political chameleon."<sup>1</sup>

Party candidates, Dominion and federal, will almost invariably support one another on the hustings, for it is mutually advantageous for them to make the party supporter a consistent voter in both fields. "Political chameleons" clearly do not make for party solidarity and reliability. The same reciprocal exchange of talent does not extend so commonly to Dominion and provincial Cabinet Ministers although it is by no means unknown. The support of members of a provincial Cabinet is almost always given freely and eloquently in a Dominion election, and it is appreciated and not infrequently recognized by appointment to the federal Cabinet.<sup>2</sup> Federal Ministers, however, do not participate in provincial elections with anything like the same frequency, for such intervention is apt to be misconstrued and resented as an interference in provincial affairs.<sup>3</sup>

Some consideration has been given in earlier pages to the methods used to draw up a party platform and to choose a party leader, and it was seen that all parties are now generally agreed that these two functions should be exercised by party meetings chosen for the purpose. But there is little agreement—particularly between the major parties and the C C F—beyond this point, and such things as the nature of the platform, the relation of the members of the legislature to the platform, and the continuing relation between the leader

<sup>1</sup>*Queen's Quarterly*, Winter, 1929, pp. 161-3.

<sup>2</sup>Thus Wilfrid Laurier's first Cabinet in 1896 included no less than three provincial Premiers—Mowat, Fielding, and Blair. Mr Bennett in 1930 made the Premier of Nova Scotia a federal Minister, the Premier of Ontario, the High Commissioner for Canada in London.

<sup>3</sup>A most exceptional intervention was that of Dominion Ministers in the Quebec election of 1939, but this could be attributed to the serious emergency caused by the Quebec Premier's demand for popular support against participation in the war. On that occasion the four French Canadians in the federal Cabinet announced that a victory for the Quebec Union Nationale party (under the Premier) would be followed by their withdrawal from the Dominion Government, and they threw their influence wholeheartedly into the provincial struggle with conspicuous success.

and the party organization have been the occasion for the adoption of different practices and much controversy. These and allied questions have been already raised under the head of party organization, but they deserve a fuller discussion.

The nature of the platform and its influence on party measures are in some respects still a matter for disagreement. Certainly the major parties do not regard their platforms with the same apparent respect as does the C C F, although the latter's brief experience in office probably makes such comparison a little premature. Some significance may attach to the prominence which each party gives to its own formal pronouncements. The Liberals did not hesitate in 1893 and again in 1919 to publish the proceedings of their conventions and with them the full statement of their programmes. The Conservatives, who had derived great enjoyment and possibly some political advantage from sniping at the Liberals with their 1919 principles, quite deliberately avoided presenting their enemies with the same kind of ammunition when their national programme was drafted in 1927, for in a published report running to 436 pages they were unable to find room for a verbatim statement of the platform. The other two Conservative national conventions were not even honoured with a souvenir book of pictures. The C C F party, on the other hand, has had the courage—or foolhardiness—to publish the proceedings of their conventions, provincial and national, in detail, and the most conspicuous section is that which gives the impressive list of resolutions which have been proposed and considered.

The C C F party, moreover, is quite willing to be explicit in its general aims and in many of the measures which it puts forward. This may be due in part to its realization that the opportunity to carry these into execution is still remote, but it arises chiefly from the system it uses and the remarkable fertility of its conventions at all levels in producing proposals of infinite number and variety. The older parties are inclined to be more cautious. It has already been pointed out that the Canadian situation frequently leads both these parties to follow contradictory policies in the drafting of platforms: some sections are kept very general in order to avoid an-

tagonizing different groups of voters, while others deal with a wide variety of particular topics on the assumption that each of these will appeal to a special element or area in the Dominion. Even so, both the majority parties admit the desirability of phrasing all paragraphs, with few exceptions, in very vague terms, so that when the party eventually goes into action it will have the advantage of flexibility in interpretation and not find itself hampered by bonds which it itself has tied. The following comment by a friendly newspaper on the Conservative platform of 1927 gives a somewhat cynical statement of this attitude:

The Conservative convention has apparently listened with at least one ear to the wise advice which came to it from all over the country not to attempt to write a "platform". The loose and unrelated collection of resolutions which it has adopted—commonly with little discussion and even less consideration—come as near as such a flood of words possibly could to being the absolute zero in the way of "platforms". Most of them are notable for what they leave out and yet some of them could with profit have left out more. The convention has got the right idea, i.e. that the best platform for a hurried, haste-driven and heterogeneous gathering to adopt is either a blank piece of paper or one to which even the most cantankerous Grit could not take exception.<sup>1</sup>

Even when the platform is fairly specific, the official party leaders, when they attain power, will use their discretion as to how much of it shall be enacted or executed and whether the time or the occasion for action has yet arrived. This might fairly be dubbed the "chart and compass" theory, for the metaphor was used by Mr. Mackenzie King at the time of his election as leader, and he reverted to it on several later occasions. Mr. King insisted that the 1919 platform of the Liberal party was given to him and the leaders for guidance only, and that if elected to office he would not attempt to follow it literally but would exercise a wide discretion.<sup>2</sup> This is by no means an uncommon attitude, and the great majority of Liberals and Conservatives would adopt such a position with little hesitation. They acknowledge an obligation to observe in general fashion Liberal and Conservative principles (although these may at times become a tangle

<sup>1</sup>*Montreal Daily Star*, Oct. 12, 1927 (editorial)

<sup>2</sup>*Can. H. of C. Debates*, May 23, 1923, p. 3048

elusive), and they accept broadly the party platform,<sup>1</sup> though it is not to be construed as mandatory as to time or extent.

On the other hand, members of these parties will support with reasonable certainty the party manifesto which the leader issues to the country immediately before an election. The manifesto has in effect tended to supplant the platform, and this emphasizes yet again the dominating position of the party leader.<sup>2</sup> The manifesto is drafted by him, usually with assistance from those in his immediate confidence, and it stresses those issues on which the leader counts to win the election. The manifesto may and probably will draw heavily from the formal platform, but its great merit is its timeliness and its close association with the immediate problem of how the electorate will vote. No platform can anticipate accurately matters of this kind, and even the C C F party, which is most inclined to glorify the platform as an inspired pronouncement, does not dispense with a manifesto before the election although it insists that this be approved by the convention or, failing that, by the national (or provincial) council.

The Conservative party has been the chief sufferer—or beneficiary—in recent years from the dominant position which the leader has acquired over the party policies and, indeed, over many of the accepted party principles. Time and again the Conservative leaders have cut loose from traditional Conservative policies—not without some justification, but frequently at the cost of creating a disunited and dissatisfied element in the party following. Sir Robert Borden's nationalist tendencies in the later years of the First World War, Mr. Meighen's ill-starred Hamilton speech in which he advocated a general election before any troops should be sent abroad to fight another war, Mr. Bennett's endorsement of a programme of social legislation, and Mr. Bracken's recent departure in the direction of freer trade, are all outstanding examples of how far a Conservative

<sup>1</sup>Even this is not to be taken too literally. W. S. Fielding, a past and then a later Minister of Finance, said he had not voted for the tariff section in the Liberal platform, that he had never concealed his disapproval of it, and that it was not discussed at his election. *Ibid.*, June 6, 12 1922, pp. 2529-30, 2851.

<sup>2</sup>*Supra*, pp. 225-7.



leader has led, or attempted to lead, his party from the well worn traditional path. Two contemporary comments from the Conservative press will indicate the shock which some of these pioneering adventures administered to many loyal Conservatives.

Our own view is that since the party came into power in 1911 Conservative leaders have at times taken a little too much on themselves in the matter of shaping policy. The policy of the Conservative party is delivered to the leader, and it is his business to do what he can to carry it out, not to presume to add to it or subtract from it.<sup>1</sup> When Sir Robert Borden was leader and Prime Minister he exceeded his warrant in laying down the doctrine of Canada's status as an independent member of the family of nations, free to vote against the mother country in international conferences. He had no proposition of Conservative policy that he could refer to in order of explaining his taking the long step he took for the separate diplomatic representation of Canada at Washington. He was not carrying out an article of Conservative policy when he took his stand against the Sovereigns conferring titles on Canadians for services deserving of such recognition.

Mr. Meighen did more than his duty as a party leader when he stated in his Hamilton speech that, should Britain again find herself in a crisis similar to that of 1914 no troops would be allowed to leave the country to aid her until a general election should be held and the people's consent by that means obtained.

When leaders go against the grain of their party's traditional sentiments and expressed policy, they should be pulled up and required to keep within the party charter. Few of the party leaders this country has ever had, whether Conservative or Liberal, have not at moments gone somewhat beyond their latitude. For that they ought to be checked, not disposed of unless they are very wrong-headed.<sup>2</sup>

The Prime Minister [Mr. Bennett] to-night is to continue his series of broadcasts and is to take the public, including the members of the Conservative party and not excluding, probably, his colleagues in the Government, a little further into his confidence. No doubt he will have a large audience. He has already, in his address of Wednesday evening, startled and shocked the Canadian people, and more especially those of Conservative leanings, by informing them that he proposes to "reform" the business of the country by means of a policy of intervention, regulation and control. He has stated that the old order has passed, never to return, that corrections are imperative and that the right time to bring about the changes has come. Reform means intervention and he nails the flag of "progress" to the masthead. All of which is very strange talk from a Conservative.

<sup>1</sup>This is itself as unorthodox a piece of Conservative doctrine as the ideas which are being criticized.

*Toronto Mail and Empire* Sept. 18, 1926 (editorial).

Prime Minister, strange because it does violence to every Conservative principle, and strange because it is glaringly illogical.

It is not pretended, or, at any rate, it is not stated, that this policy of so-called reform is the policy of the cabinet as a whole, and it most certainly cannot be represented as the policy of the Conservative party since the party has been given no opportunity of saying whether or not it is disposed to fly the flag of Socialism side by side with the historic banner under which Conservatism heretofore has always made its appeal to responsible, sober-minded Canadians.<sup>1</sup>

The clear answer to criticism of this kind is that if the party solidarity and support are to be maintained, a closer liaison between leader and party must be established and continually maintained, and today both the Liberal and the Progressive Conservative parties are making an effort to bridge the gap by means of the annual meetings of their national representative bodies. These meetings, unlike the conventions are not exhibitions on a grand scale held to impress the public with the magnitude of the party forces, but private gatherings for discussion and criticism, designed to keep the members of Parliament attuned to party opinion and to inform the party in the country of the programme and intentions of the legislative group. There is still both scope for leadership and an opportunity for consultation before action: the party leader (whether in or out of power) still retains the responsibility, but he makes his decisions with a better appreciation of the state of party opinion, while the general party membership feels that it is an actual participant in the making of policy. The danger lies in a possible disturbance of this delicate relationship: an attempted assertion of control by the party association, or a callous and overbearing attitude by the legislative members, particularly if they should happen to constitute the Government. Mutual self-interest and party loyalty, however, should contrive to hold the balance even.

The relations of the C C F national council with the party members of Parliament do not seem to be very different from those of the national bodies of the major parties with their representatives in Parliament which have just been discussed. But the C C F holds a national convention every

<sup>1</sup> *Montreal Gazette*, Jan. 4, 1935 (editorial)

two years and the provincial convention meets annually, both of these being large representative gatherings, which the major parties cannot duplicate in the national field and for which they provide only feeble counterparts in the province. These C C F conventions moreover, are inclined to be very active and aggressive and to limit the discretion and the initiative of the leader. It must also be borne in mind that the C C F leader is chosen afresh at each convention, so that his tenure of office may be readily and quickly terminated if for any reason he begins to lose the confidence of the party representatives.

The C C F convention therefore gives a frequent opportunity to the party rank and file to review the work of the leaders during the past year and to stimulate them, if need be, by criticism and suggestion. This is fundamentally a healthy process, and, if used with restraint, should benefit leaders and ordinary workers alike. Certainly the provincial annual meetings of the major parties as commonly conducted are almost entirely routine affairs which arouse no interest either within or without the party. That the C C F considers its conventions as instruments for a genuine review of policy was demonstrated at the Ontario convention of 1945 which followed the C C F leader's abortive Cestapo charges against the Ontario Government and the party's subsequent reverse at the polls. One of the resolutions submitted to the convention questioned the wisdom both of precipitating an election and of making the charges, and it constituted a virtual vote of want of confidence in the leader. Mr Jolliffe. The convention went into closed session, the leader spoke an hour and a half in his own defence, and the whole matter was debated at length, resulting in the vindication of Mr Jolliffe by the convention. If Canadian parties want to institute sane democratic control over their leaders, this precedent should furnish an instructive example of how it may be done.

But, as stated above, this power must be used with restraint, and there appears to be little reason to believe that the C C F party as a whole has appreciated what this involves. There is, for example, the extraordinary emphasis placed on the convention's power to pass resolutions, which

are supposedly binding on all members of the party and particularly on those who sit in the legislature. While it is true that these resolutions are first discussed to some extent by groups and meetings at a lower level and are then discussed by the convention itself, this latter consideration is almost always of a most cursory nature and in very many instances cannot fail to be based on insufficient information. A recent Ontario C C F convention was expected to pronounce upon the merits of no less than 199 proposals dealing with almost every subject under the sun including the National War Labour Board, cattle, tourist trade, the atomic bomb, old age pensions, immigration, the C C F constitution, publications, nursery schools, religious freedom, university scholarships, Dominion-provincial relations, sewage disposal, sale of war assets, arts and crafts, and Palestine. To accept the opinion of local units on many of these questions as authoritative is on the face of it preposterous, and to accept the collective opinion of the convention as being of any greater value is even more so, for the time which the convention was able to devote to these resolutions was quite literally on an average not more than three or four minutes each.<sup>1</sup> The resolutions passed by the conventions of the other parties are obviously open to attack on the ground that they frequently receive the most casual consideration by the delegates, but they are few in number, are usually general in character, and are rarely expected to be binding immediately and in detail on the party leaders.

This outpouring of collective wisdom by the C C F convention does not, however, prove very embarrassing so long as the party remains in opposition, but accession to power and acceptance of office seem to increase rather than stem the flood, to the evident discomfiture of the Premier and his Cabinet. This situation has so far been confined to Saskatchewan, but the experience there is not encouraging, for the convention is apparently quite willing to issue a multi-

<sup>1</sup>The minutes of a Dominion convention in 1916, which was faced with 127 resolutions covering an extremely wide range, give this time with some exactness. Early resolutions apparently received a moderate allotment of time, but on the last day they were passed at the rate of at least thirty an hour, or an average of two minutes to each resolution.

plicity of orders to its ministerial representatives and demand that they be implemented without delay. On a few issues, indeed, the Saskatchewan Cabinet and members of the legislature have apparently balked at implementing immediately the dictates of the convention.

Such precipitancy and the assertion of what in most instances cannot fail to be an immature and ill-informed judgment over the more careful and considered opinion of the leading representatives of the party in the name of democracy, fall little short of mob rule. Procedure of this kind does not permit reasoned discussion, it fails to utilize the expert knowledge and judgment of a civil service which is trained in what may be a highly specialized field, it ignores administrative and other difficulties which are frequently of decisive importance, it is not designed to produce deliberate and tentative progress towards a desired end, it disregards authority and decision by those who are best informed and who are willing to be judged by their record and performance, and substitutes ill-informed verdicts and instructions by those who have no responsibility and have little to lose by failure. The C C F party asserts that the vital considerations in all these matters are the principles and the policies and that the persons who carry them out are entirely secondary, but human institutions can work successfully only if they are being operated by people who are able to devote their utmost efforts and best talents and judgment to their task. In the words of Professor Finer

For the rank and file of employers and employees and of members of the liberal professions it is rather a limited personal experience which informs and moves them. Think of the railway ticket-collector, the postman, the grocer, the bank clerks, the bus driver, the miner, the textile worker, and multitudes of others who constitute the modern community and ask: what would they know of any fruitful use to government, even of a rural district council, if no external agencies existed to discover and report to them the things they do not and cannot otherwise know? Save for the help of a few, we should be at a standstill. Wants which are largely the issue of instinctive dispositions could be expressed, but how to prevent these from causing collisions with their undesirable consequences, how to attain them in an order of priority which would neither baulk the desire nor kill the possibility of its satisfaction, whether the satisfaction is in any degree possible—these things are not to be learned in the appropriate degree from the common

experience of the average man. It requires years of close and constant application to master even a single branch of public affairs.<sup>1</sup>

Another aspect of the same general question which has also arisen in Saskatchewan is the assertion by the C C F's Provincial Council or party executive of the right to instruct the Cabinet on matters of policy and immediate action.<sup>2</sup> The Saskatchewan Cabinet must thus appear at intervals before the Council to explain and justify its policy, and indicate what it is contemplating for the future. The party has also set up in the same province a Legislative Advisory Committee composed of the Premier and another member of the legislature and three members of the Provincial Council. This body "assists the C C F legislative group in preparing legislation in conformity with C C F policies," and it advises the Premier on ministerial appointments.<sup>3</sup> Even this surveillance and control is not deemed sufficient, for the provincial convention has adopted the device of dividing itself into five large panels, each covering one or more wide subjects, and each attended by one or more Cabinet Ministers. These committees were primarily designed to allow a little more consideration to be given to the convention resolutions, but they also cross-examine the Ministers and make sure that they are not deviating from the path which the party has marked out for them.

The common factor underlying all these attempts at control is a distrust by the party of its own leaders, founded, it would seem, on the old Jacksonian prejudice against anyone who wields political authority. The party has not studied or, if it has done so, it has not taken to heart the wise admonition of Professor Laski: "The business of the modern citizen is not to ask, what shall I do? But rather,

<sup>1</sup>H. Finer, *The Theory and Practice of Modern Government*, I, pp. 445-6.

<sup>2</sup>The same general problem involving repeated public criticism of the Government by members of the party executive occurred when the United Farmers of Ontario were in power in that province in 1920. See *Canadian Annual Review*, 1920, pp. 540-50.

<sup>3</sup>"Whenever the C C F House Leader is called upon to form a Government, he shall submit the names of the proposed Cabinet Ministers to this Committee, which shall act in an advisory capacity, realizing that final responsibility for ministerial appointments must rest with the Premier." C C F (Saskatchewan Section) Constitution, Article 17, Section 2.

whom shall I trust?"<sup>1</sup> No matter how intelligent people may be, they can directly participate in only a very few of the acts and decisions of government. They can, however, choose their representatives, and, having chosen them, they should give them their confidence, modified at all times by the power of withdrawing that confidence when the occasion warrants. But such a reserve power does not involve a constant suspicion of the motives and performance of those representatives, a day to day interference with the operations of government, a nagging and poking at Ministers in the expectation that they will be found wanting in the conduct of their departments. A certain amount of this suspicious vigilance is necessary on the part of political opponents in a legislative body, but it should be rarely used in a party which is united on a common programme, where the members are bound together by ties of political friendship, and where feelings of mistrust and suspicion are unusual and unhappy symptoms of some deeper maladjustment. A party should choose and give its support to its leaders, it should allow them to work out the party policies to the best of their abilities under the most helpful conditions the party can create, and it should judge them on long-term results when their measures will have had an opportunity to justify themselves. Party democracy, like any other kind, can work efficiently only through a frank recognition of the very definite limitations which a complex modern civilization imposes on those who hold the final and decisive political power as well as on those who are more immediately charged with the intricate business of government.

<sup>1</sup>*The New Republic*, July 16 1919

## APPENDICES

- A    *The British North America Act, 1867*
- B    *The British North America Act, 1871*
- C    *The Parliament of Canada Act, 1875*
- D    *The British North America Act, 1886*
- E    *The British North America Act, 1915*
- F    *The British North America Act, 1940*
- G    *The British North America Act, 1946*
- H    *The British North America Act, 1949*





# A THE BRITISH NORTH AMERICA ACT, 1867

*Brit Statutes, 30 Victoria, Chapter 3*

*An Act for the Union of Canada, Nova Scotia, and New Brunswick, and the Government thereof, and for Purposes connected therewith*

[29th March, 1867]

WHEREAS the Provinces of Canada, Nova Scotia, and New Brunswick have expressed their Desire to be federally united into One Dominion under the Crown of the United Kingdom of Great Britain and Ireland, with a Constitution similar in Principle to that of the United Kingdom

And whereas such a Union would conduce to the Welfare of the Provinces and promote the Interests of the British Empire

And whereas on the Establishment of the Union by Authority of Parliament it is expedient, not only that the Constitution of the Legislative Authority in the Dominion be provided for, but also that the Nature of the Executive Government therein be declared

And whereas it is expedient that Provision be made for the eventual admission into the Union of other Parts of British North America

Be it therefore enacted and declared by the Queen's most Excellent Majesty by and with the Advice and Consent of the Lords Spiritual and Temporal, and Commons, in this present Parliament assembled and by the Authority of the same, as follows

## I PRELIMINARY

1 This Act may be cited as the British North America Act, 1867 Short Title

2. The Provisions of this Act referring to Her Majesty the Queen extend also to the Heirs and Successors of Her Majesty, Kings and Queens of the United Kingdom of Great Britain and Ireland Application of Provisions referring to the Queen

## II UNION

Declaration  
of Union

3 It shall be lawful for the Queen by and with the Advice of Her Majesty's Most Honourable Privy Council, to declare by Proclamation that on and after a Day therein appointed not being more than Six Months after the passing of this Act the Provinces of Canada, Nova Scotia, and New Brunswick shall form and be One Dominion under the Name of Canada and on and after that Day those Three Provinces shall form and be One Dominion under that Name accordingly

Construc-  
tion of  
subsequent  
Provisions  
of Act

4 The subsequent Provisions of this Act shall, unless it is otherwise expressed or implied, commence and have effect on and after the Union, that is to say, on and after the Day appointed for the Union taking effect in the Queen's Proclamation and in the same Provisions, unless it is otherwise expressed or implied, the Name Canada shall be taken to mean Canada as constituted under this Act

Four  
Pro  
vinces

5 Canada shall be divided into Four Provinces named Ontario, Quebec, Nova Scotia, and New Brunswick

Provinces of  
Ontario and  
Quebec

6 The Parts of the Province of Canada (as it exists at the passing of this Act) which formerly constituted respectively the Provinces of Upper Canada and Lower Canada shall be deemed to be severed, and shall form two separate Provinces The Part which formerly constituted the Province of Upper Canada shall constitute the Province of Ontario, and the Part which formerly constituted the Province of Lower Canada shall constitute the Province of Quebec

Provinces of  
Nova Scotia  
and New  
Brunswick

7 The Provinces of Nova Scotia and New Brunswick shall have the same Limits as at the passing of this Act

Decennial  
Census

8. In the general Census of the Population of Canada which is hereby required to be taken in the Year One thousand eight hundred and seventy-one, and in every Tenth Year thereafter the respective Populations of the Four Provinces shall be distinguished

## III EXECUTIVE POWER

**9** The Executive Government and Authority of and over Canada is hereby declared to continue and be vested in the Queen

Declaration  
of Executive  
Power in the  
Queen

**10** *The Provisions of this Act referring to the Governor General extend and apply to the Governor General for the Time being of Canada, or other the Chief Executive Officer or Administrator for the Time being carrying on the Government of Canada on behalf and in the Name of the Queen, by whatever Title he is designated*

Application  
of Provisions  
referring to  
Governor  
General

**11** There shall be a Council to aid and advise in the Government of Canada, to be styled the Queen's Privy Council for Canada, and the Persons who are to be Members of that Council shall be from Time to Time chosen and summoned by the Governor General and sworn in as Privy Councillors, and Members thereof may be from Time to Time removed by the Governor General

Constitution  
of Privy  
Council for  
Canada

**12** All Powers, Authorities, and Functions which under any Act of the Parliament of Great Britain, or of the Parliament of the United Kingdom of Great Britain and Ireland, or of the Legislature of Upper Canada, Lower Canada, Canada, Nova Scotia, or New Brunswick, are at the Union vested in or exerciseable by the respective Governors or Lieutenant-Governors of those Provinces, with the Advice, or with the Advice and Consent of the respective Executive Councils thereof, or in conjunction with those Councils or with any Number of Members thereof, or by those Governors or Lieutenant-Governors individually, shall, as far as the same continue in existence and capable of being exercised after the Union in relation to the Government of Canada, be vested in and exerciseable by the Governor General, with the Advice or with the Advice and Consent of or in conjunction with the Queen's Privy Council for Canada or any Members thereof, or by the Governor General individually, as the Case requires, subject nevertheless (except with respect to such as exist under Acts of the Parliament of Great Britain or of the Parliament of the United Kingdom of

All Powers  
under Acts  
to be exer-  
cised by  
Governor  
General  
with advice  
of Privy  
Council or  
alone

Great Britain and Ireland) to be abolished or altered by the Parliament of Canada

Application  
of Provisions  
referring to  
Governor  
General in  
Council

**13** The Provisions of this Act referring to the Governor General in Council shall be construed as referring to the Governor General acting by and with the Advice of the Queen's Privy Council for Canada

Power to  
Her Majesty  
to authorize  
Governor  
General to  
appoint  
Deputies

**14.** It shall be lawful for the Queen, if Her Majesty thinks fit, to authorize the Governor General from Time to Time to appoint any Person or any Persons jointly or severally to be his Deputy or Deputies within any Part or Parts of Canada, and in that Capacity to exercise during the Pleasure of the Governor General such of the Powers, Authorities, and Functions of the Governor General as the Governor General deems it necessary or expedient to assign to him or them subject to any Limitations or Directions expressed or given by the Queen but the Appointment of such a Deputy or Deputies shall not affect the Exercise by the Governor General himself of any Power Authority, or Function

Command of  
Armed  
Forces to  
continue to  
be vested in  
the Queen

**15** The Command-in-Chief of the Land and Naval Militia and of all Naval and Military Forces, of and in Canada is hereby declared to continue and be vested in the Queen

Seat of  
Government  
of Canada

**16** Until the Queen otherwise directs the Seat of Government of Canada shall be Ottawa

#### IV LEGISLATIVE POWER

Constitution  
of Parlia-  
ment of  
Canada

**17** There shall be One Parliament for Canada, consisting of the Queen, an Upper House styled the Senate and the House of Commons

Privileges  
etc of  
Houses

**\*18** The Privileges Immunities, and Powers to be held, enjoyed, and exercised by the Senate and by the House of Commons and by the Members thereof respectively shall be such as are from Time to Time defined by Act of the Parliament of Canada, but so that the same shall never exceed those at the passing of this Act held, enjoyed, and exercised by the Com-

\*Repealed by 1875 Amendment, and a new Section substituted See Appendix C

mons House of Parliament of the United Kingdom of Great Britain and Ireland and by the Members thereof

**19** The Parliament of Canada shall be called together not later than Six Months after the Union

First Session of the Parliament of Canada

**20** There shall be a Session of the Parliament of Canada once at least in every Year so that Twelve Months shall not intervene between the last Sitting of the Parliament in one Session and its first Sitting in the next Session

Yearly Session of the Parliament of Canada

### *The Senate*

**\*21** The Senate shall, subject to the Provisions of this Act, consist of Seventy-two Members, who shall be styled Senators

Number of Senators

**22.** In relation to the Constitution of the Senate, Canada shall be deemed to consist of Three Divisions

Representation of Provinces in Senate

1 Ontario,

2 Quebec,

3 The Maritime Provinces, Nova Scotia and New Brunswick, which Three Divisions shall (subject to the Provisions of this Act) be equally represented in the Senate as follows Ontario by Twenty-four Senators, Quebec by Twenty-four Senators, and the Maritime Provinces by Twenty-four Senators, Twelve thereof representing Nova Scotia, and Twelve thereof representing New Brunswick

In the Case of Quebec each of the Twenty-four Senators representing that Province shall be appointed for One of the Twenty-four Electoral Divisions of Lower Canada specified in Schedule A to Chapter One of the Consolidated Statutes of Canada

**23** The Qualification of a Senator shall be as follows

Qualifications of Senator

(1) He shall be of the full age of Thirty Years

(2) He shall be either a Natural-born Subject of the Queen, or a Subject of the Queen naturalized by an Act of the Parliament of Great Britain or of the Parliament of the

\*Altered by 1915 Amendment See Appendix E

United Kingdom of Great Britain and Ireland, or of the Legislature of One of the Provinces of Upper Canada, Lower Canada, Canada, Nova Scotia, or New Brunswick, before the Union, or of the Parliament of Canada after the Union

(3) He shall be legally or equitably seised as of Freehold for his own Use and Benefit of Lands or Tenements held in free and common Socage, or seised or possessed for his own Use and Benefit of Lands or Tenements held in Franc-alieu or in Roture within the Province for which he is appointed, of the Value of Four thousand Dollars, over and above all Rents, Dues, Debts, Charges, Mortgages and Incumbrances due or payable out of or charged on or affecting the same

(4) His Real and Personal Property shall be together worth Four thousand Dollars over and above his Debts and Liabilities

(5) He shall be resident in the Province for which he is appointed

(6) In the case of Quebec he shall have his Real Property Qualification in the Electoral Division for which he is appointed, or shall be resident in that Division

Summons of  
Senator

**24** The Governor General shall from Time to Time, in the Queen's Name, by Instrument under the Great Seal of Canada summon qualified Persons to the Senate, and subject to the Provisions of this Act, every Person so summoned shall become and be a Member of the Senate and a Senator

Summons of  
First Body  
of Senators

**25** Such Persons shall be first summoned to the Senate as the Queen by Warrant under Her Majesty's Royal Sign Manual thinks fit to approve, and their Names shall be inserted in the Queen's Proclamation of Union

Addition of  
Senators in  
certain cases

**\*26** If at any Time on the Recommendation of the Governor General the Queen thinks fit to direct that Three or Six Members be added to the Senate, the Governor General may by Summons to Three or Six qualified Persons (as the Case may be)

\*Altered by 1915 Amendment See Appendix E

representing equally the Three Divisions of Canada, add to the Senate accordingly

**27.** In case of such Addition being at any Time made the Governor General shall not summon any Person to the Senate, except on a further like Direction by the Queen on the like Recommendation, until each of the Three Divisions of Canada is represented by Twenty-four Senators and no more

Reduction of  
Senate to  
normal  
number

**28** The Number of Senators shall not at any Time exceed Seventy-eight

Maximum  
number of  
Senators

**29** A Senator shall subject to the Provisions of this Act hold his Place in the Senate for Life

Tenure of  
Place in  
Senate

**30** A Senator may by Writing under his Hand addressed to the Governor General resign his Place in the Senate, and thereupon the same shall be vacant

Resignation  
of Place in  
Senate

**31.** The Place of a Senator shall become vacant in any of the following Cases —

Disqualifi-  
cation of  
Senators

- (1) If for Two consecutive Sessions of the Parliament he fails to give his Attendance in the Senate
- (2) If he takes an Oath or makes a Declaration or Acknowledgment of Allegiance Obedience, or Adherence to a Foreign Power, or does an Act whereby he becomes a Subject or Citizen, or entitled to the Rights or Privileges of a Subject or Citizen, of a Foreign Power
- (3) If he is adjudged Bankrupt or Insolvent or applies for the Benefit of any Law relating to Insolvent Debtors, or becomes a public Defaulter
- (4) If he is attainted of Treason or convicted of Felony or of any infamous Crime
- (5) If he ceases to be qualified in respect of Property or of Residence, provided, that a Senator shall not be deemed to have ceased to be qualified in respect of Residence by reason only of his residing at the Seat of the Government of Canada while holding an Office under that Government requiring his Presence there



**Summons on Vacancy in Senate**      **32** When a Vacancy happens in the Senate by Resignation, Death, or otherwise the Governor General shall by Summons to a fit and qualified Person fill the Vacancy

**Questions as to Qualifications and Vacancies in Senate**      **33** If any Question arises respecting the Qualification of a Senator or a Vacancy in the Senate the same shall be heard and determined by the Senate

**Appointment of Speaker of Senate**      **34** The Governor General may from Time to Time by Instrument under the Great Seal of Canada, appoint a Senator to be Speaker of the Senate, and may remove him and appoint another in his Stead

**Quorum of Senate**      **35** Until the Parliament of Canada otherwise provides, the Presence of at least Fifteen Senators, including the Speaker, shall be necessary to constitute a Meeting of the Senate for the Exercise of its Powers

**Voting in Senate.**      **36** Questions arising in the Senate shall be decided by a Majority of Voices, and the Speaker shall in all Cases have a Vote, and when the Voices are equal the Decision shall be deemed to be in the Negative

### *The House of Commons*

**Constitution of House of Commons in Canada**      **37** The House of Commons shall, subject to the Provisions of this Act, consist of One hundred and eighty-one Members of whom Eighty-two shall be elected for Ontario, Sixty-five for Quebec, Nineteen for Nova Scotia, and Fifteen for New Brunswick

**Summoning of House of Commons**      **38** The Governor General shall from Time to Time in the Queen's Name, by Instrument under the Great Seal of Canada, summon and call together the House of Commons

**Senators not to sit in House of Commons**      **39** A Senator shall not be capable of being elected or of sitting or voting as a Member of the House of Commons

**Electoral districts of the four Provinces**      **40** Until the Parliament of Canada otherwise provides Ontario, Quebec, Nova Scotia and New Brunswick shall for the Purposes of the Election of Members to serve in the House of Commons, be divided into Electoral Districts as follows —

## 1 ONTARIO

Ontario shall be divided into the Counties, Ridings of Counties, Cities, Parts of Cities, and Towns enumerated in the First Schedule to this Act, each whereof shall be an Electoral District, each such District as numbered in that Schedule being entitled to return One Member

## 2 QUEBEC

Quebec shall be divided into Sixty-five Electoral Districts, composed of the Sixty-five Electoral Divisions into which Lower Canada *is at the passing of this Act divided under Chapter Two of the Consolidated Statutes of Canada, Chapter Seventy-five of the Consolidated Statutes for Lower Canada, and the Act of the Province of Canada of the Twenty-third Year of the Queen, Chapter One, or any other Act amending the same in force at the Union, so that each such Electoral Division shall be for the Purposes of this Act an Electoral District entitled to return One Member*

## 3 NOVA SCOTIA

Each of the Eighteen Counties of Nova Scotia shall be an Electoral District The County of Halifax shall be entitled to return Two Members, and each of the other Counties One Member

## 4 NEW BRUNSWICK

Each of the Fourteen Counties into which New Brunswick *is divided, including the City and County of St John, shall be* an Electoral District The City of St John shall also be a separate Electoral District Each of those Fifteen Electoral Districts shall be entitled to return One Member

41 Until the Parliament of Canada otherwise provides all Laws in force in the several Provinces at the Union relative to the following Matters or any of them, namely,—the Qualifications and Disqualifications of Persons to be elected or to sit or vote as Members of the House of Assembly or Legislative Assembly *in the several Provinces the Voters at Elections of*

Continuance  
of existing  
Election  
Laws until  
Parliament  
of Canada  
otherwise  
provides

such Members, the Oaths to be taken by Voters, the Returning Officers, their Powers and Duties, the Proceedings at Elections, the Periods during which Elections may be continued, the Trial of Controverted Elections, and Proceedings incident thereto, the vacating of Seats of Members, and the Execution of new Writs in case of Seats vacated otherwise than by Dissolution — shall respectively apply to Elections of Members to serve in the House of Commons for the same several Provinces

Provided that, until the Parliament of Canada otherwise provides, at any Election for a Member of the House of Commons for the District of Algoma, in addition to Persons qualified by the Law of the Province of Canada to vote, every male British Subject, aged Twenty-one Years or upwards, being a Householder, shall have a Vote

Writs for  
first Elec-  
tion

**42** For the First Election of Members to serve in the House of Commons the Governor General shall cause Writs to be issued by such Person, in such Form and addressed to such Returning Officers as he thinks fit

The Person issuing Writs under this Section shall have the like Powers as are possessed at the Union by the Officers charged with the issuing of Writs for the Election of Members to serve in the respective House of Assembly or Legislative Assembly of the Province of Canada, Nova Scotia or New Brunswick, and the Returning Officers to whom Writs are directed under this Section shall have the like Powers as are possessed at the Union by the Officers charged with the returning of Writs for the Election of Members to serve in the same respective House of Assembly or Legislative Assembly

As to casual  
Vacancies

**43** In case a Vacancy in the Representation in the House of Commons of any Electoral District happens before the Meeting of the Parliament, or after the Meeting of the Parliament before Provision is made by the Parliament in this Behalf, the Provisions of the last foregoing Section of this Act shall extend and apply to the issuing and returning of a Writ in respect of such Vacant District

As to Elec-  
tion of

**44** The House of Commons on its first assembling after a

General Election shall proceed with all practicable Speed to elect One of its Members to be Speaker Speaker of House of Commons

**45** In case of a Vacancy happening in the Office of Speaker by Death, Resignation, or otherwise, the House of Commons shall with all practicable Speed proceed to elect another of its Members to be Speaker As to filling up Vacancy in Office of Speaker

**46.** The Speaker shall preside at all Meetings of the House of Commons Speaker to preside

**47** Until the Parliament of Canada otherwise provides, in case of the Absence for any Reason of the Speaker from the Chair of the House of Commons for a period of Forty-eight consecutive Hours the House may elect another of its Members to act as Speaker, and the Member so elected shall during the Continuance of such Absence of the Speaker have and execute all the Powers Privileges, and Duties of Speaker Provision in case of absence of Speaker

**48** The Presence of at least Twenty Members of the House of Commons shall be necessary to constitute a Meeting of the House for the Exercise of its Powers and for that Purpose the Speaker shall be reckoned as a Member Quorum of House of Commons

**49** Questions arising in the House of Commons shall be decided by a Majority of Voices other than that of the Speaker, and when the Voices are equal, but not otherwise, the Speaker shall have a Vote Voting in House of Commons

**50** Every House of Commons shall continue for Five Years from the Day of the Return of the Writs for choosing the House (subject to be sooner dissolved by the Governor General), and no longer Duration of House of Commons

**\*51** On the Completion of the Census in the Year One thousand eight hundred and seventy-one, and of each subsequent decennial Census the Representation of the Four Provinces shall be readjusted by such Authority, in such Manner, and from such Time, as the Parliament of Canada from Time to Time provides, subject and according to the following Rules — Decennial Re adjustment of Representation

\*Repealed by 1946 Amendment and a new Section substituted See Appendix G

- (1) Quebec shall have the fixed Number of Sixty-five Members
- (2) There shall be assigned to each of the other Provinces such a Number of Members as will bear the same Proportion to the Number of its Population (ascertained at such Census) as the Number Sixty-five bears to the Number of the Population of Quebec (so ascertained)
- (3) In the Computation of the Number of Members for a Province a fractional Part not exceeding One Half the whole Number requisite for entitling the Province to a Member shall be disregarded, but a fractional Part exceeding One Half of that Number shall be equivalent to the whole Number
- (4) On any such Re-adjustment the Number of Members for a Province shall not be reduced unless the Proportion which the Number of the Population of the Province bore to the Number of the aggregate Population of Canada at the then last preceding Re-adjustment of the Number of Members for the Province is ascertained at the then latest Census to be diminished by One Twentieth Part or upwards
- (5) Such Re-adjustment shall not take effect until the Termination of the then existing Parliament

\*

Increase of  
number of  
House of  
Commons

**52** The Number of Members of the House of Commons may be from Time to Time increased by the Parliament of Canada provided the proportionate Representation of the Provinces prescribed by this Act is not thereby disturbed

### *Money Votes, Royal Assent*

Appropriation and tax  
Bills

**53** Bills for appropriating any Part of the Public Revenue or for imposing any Tax or Impost, shall originate in the House of Commons

Recommendation of  
money votes

**54** It shall not be lawful for the House of Commons to adopt or pass any Vote, Resolution, Address, or Bill for the Appropriation of any Part of the Public Revenue, or of any Tax or

\*New Section 51A added by 1915 Amendment See Appendix E

Impost, to any Purpose that has not been first recommended to that House by Message of the Governor General in the Session in which such Vote, Resolution, Address, or Bill is proposed

**55** Where a Bill passed by the Houses of Parliament is presented to the Governor General for the Queen's Assent he shall declare, according to his Discretion but subject to the Provisions of this Act and to Her Majesty's Instructions, either that he assents thereto in the Queen's Name, or that he withholds the Queen's Assent, or that he reserves the Bill for the Signification of the Queen's Pleasure

Royal Assent to Bills etc

**56.** Where the Governor General assents to a Bill in the Queen's Name, he shall by the first convenient Opportunity send an authentic Copy of the Act to one of Her Majesty's Principal Secretaries of State and to the Queen in Council within Two Years after Receipt thereof by the Secretary of State thinks fit to disallow the Act such Disallowance (with a Certificate of the Secretary of State of the Day on which the Act was received by him) being signified by the Governor General by Speech or Message to each of the Houses of the Parliament or by Proclamation, shall annul the Act from and after the Day of such Signification

Disallowance by order in Council of Act assented to by Governor General

**57** A Bill reserved for the Signification of the Queen's Pleasure shall not have any Force unless and until within Two Years from the Day on which it was presented to the Governor General for the Queen's Assent the Governor General signifies, by Speech or Message to each of the Houses of the Parliament or by Proclamation that it has received the Assent of the Queen in Council

Signification of Queen's pleasure on Bill reserved

An Entry of every such Speech Message or Proclamation shall be made in the Journal of each House, and a Duplicate thereof duly attested shall be delivered to the proper Officer to be kept among the Records of Canada

## V PROVINCIAL CONSTITUTIONS

*Executive Power*

Appoint-  
ment of  
Lieutenant  
Governors of  
Provinces

**58** For each Province there shall be an Officer, styled the Lieutenant-Governor, appointed by the Governor General in Council by Instrument under the Great Seal of Canada

Tenure of  
office of  
Lieutenant  
Governor

**59.** A Lieutenant-Governor shall hold Office during the Pleasure of the Governor General, but any Lieutenant-Governor appointed after the Commencement of the First Session of the Parliament of Canada shall not be removable within Five Years from his Appointment, except for Cause assigned which shall be communicated to him in Writing within One Month after the Order for his Removal is made, and shall be communicated by Message to the Senate and to the House of Commons within One Week thereafter if the Parliament is then sitting and if not then within One Week after the Commencement of the next Session of the Parliament

Salaries of  
Lieutenant  
Governors

**60** The Salaries of the Lieutenant-Governors shall be fixed and provided by the Parliament of Canada

Oaths etc.  
of Lieu-  
tenant-  
Governor

**61** Every Lieutenant-Governor shall, before assuming the Duties of his Office, make and subscribe before the Governor General or some Person authorized by him, Oaths of Allegiance and Office similar to those taken by the Governor General

Application  
of provisions  
referring to  
Lieutenant  
Governor

**62** The Provisions of this Act referring to the Lieutenant-Governor extend and apply to the Lieutenant-Governor for the Time being of each Province or other the Chief Executive Officer or Administrator for the Time being carrying on the Government of the Province, by whatever Title he is designated

Appoint-  
ment of  
Executive  
Officers for  
Ontario and  
Quebec

**63** The Executive Council of Ontario and of Quebec shall be composed of such Persons as the Lieutenant-Governor from Time to Time thinks fit, and in the first instance of the following Officers, namely,—the Attorney-General, the Secretary and Registrar of the Province, the Treasurer of the Province, the Commissioner of Crown Lands, and the Commissioner of Agriculture and Public Works, with in Quebec, the Speaker of the Legislative Council and the Solicitor General

**64** The Constitution of the Executive Authority in each of the Provinces of Nova Scotia and New Brunswick shall, subject to the Provisions of this Act, continue as it exists at the Union until altered under the Authority of this Act

Executive Government of Nova Scotia and New Brunswick

**65** All Powers, Authorities, and Functions which under any Act of the Parliament of Great Britain or of the Parliament of the United Kingdom of Great Britain and Ireland, or of the Legislature of Upper Canada, Lower Canada, or Canada, were or are before or at the Union vested in or exerciseable by the respective Governors or Lieutenant-Governors of those Provinces, with the Advice or with the Advice and Consent of the respective Executive Councils thereof, or in conjunction with those Councils, or with any Number of Members thereof, or by those Governors or Lieutenant-Governors individually, shall, as far as the same are capable of being exercised after the Union in relation to the Government of Ontario and Quebec respectively, be vested in and shall or may be exercised by the Lieutenant-Governor of Ontario and Quebec respectively, with the Advice or with the Advice and Consent of or in conjunction with the respective Executive Councils or any Members thereof, or by the Lieutenant-Governor individually, as the Case requires, subject nevertheless (except with respect to such as exist under Acts of the Parliament of Great Britain, or of the Parliament of the United Kingdom of Great Britain and Ireland,) to be abolished or altered by the respective Legislatures of Ontario and Quebec

Powers to be exercised by Lieutenant Governor of Ontario or Quebec with advice or alone

**66** The Provisions of this Act referring to the Lieutenant-Governor in Council shall be construed as referring to the Lieutenant-Governor of the Province acting by and with the Advice of the Executive Council thereof

Application of provisions referring to Lieutenant Governor in Council

**67** The Governor General in Council may from Time to Time appoint an Administrator to execute the Office and Functions of Lieutenant-Governor during his Absence, Illness, or other Inability

Administration in absence etc. of Lieutenant-Governor

**68** Unless and until the Executive Government of any Province otherwise directs with respect to that Province, the

Sites of Provincial Governments



Seats of Government of the Provinces shall be as follows, namely,—of Ontario, the City of Toronto, of Quebec, the City of Quebec, of Nova Scotia, the City of Halifax, and of New Brunswick, the City of Fredericton

### *Legislative Power*

#### 1 ONTARIO

**Legislature for Ontario**      **69** There shall be a Legislature for Ontario consisting of the Lieutenant-Governor and of One House styled the Legislative Assembly of Ontario

**Electoral districts**      **70** The Legislative Assembly of Ontario shall be composed of Eighty-two Members, to be elected to represent the Eighty-two Electoral Districts set forth in the First Schedule to this Act

#### 2 QUEBEC

**Legislature for Quebec**      **71** There shall be a Legislature for Quebec consisting of the Lieutenant-Governor and of Two Houses, styled the Legislative Council of Quebec and the Legislative Assembly of Quebec

**Constitution of Legislative Council**      **72** The Legislative Council of Quebec shall be composed of Twenty-four Members, to be appointed by the Lieutenant-Governor in the Queen's Name, by Instrument under the Great Seal of Quebec, one being appointed to represent each of the Twenty-four Electoral Divisions of Lower Canada in this Act referred to, and each holding Office for the Term of his Life unless the Legislature of Quebec otherwise provides under the Provisions of this Act

**Qualification of Legislative Councillors**      **73** The Qualifications of the Legislative Councillors of Quebec shall be the same as those of the Senators for Quebec

**Resignation Disqualification etc**      **74.** The Place of a Legislative Councillor of Quebec shall become vacant in the Cases, *mutatis mutandis*, in which the Place of Senator becomes vacant

**Vacancies**      **75** When a Vacancy happens in the Legislative Council of Quebec by Resignation, Death, or otherwise, the Lieutenant-Governor, in the Queen's Name, by Instrument under the Great

Seal of Quebec, shall appoint a fit and qualified Person to fill the Vacancy

**76.** If any Question arises respecting the Qualification of a Legislative Councillor of Quebec, or a Vacancy in the Legislative Council of Quebec, the same shall be heard and determined by the Legislative Council

Questions as to Vacancies etc

**77** The Lieutenant-Governor may from Time to Time, by Instrument under the Great Seal of Quebec, appoint a Member of the Legislative Council of Quebec to be Speaker thereof, and may remove him and appoint another in his stead

Speaker of Legislative Council

**78** Until the Legislature of Quebec otherwise provides, the Presence of at least Ten Members of the Legislative Council, including the Speaker, shall be necessary to constitute a Meeting for the Exercise of its Powers

Quorum of Legislative Council

**79** Questions arising in the Legislative Council of Quebec shall be decided by a Majority of Voices, and the Speaker shall in all Cases have a Vote, and when the Voices are equal the Decision shall be deemed to be in the negative

Voting in Legislative Council

**80** The Legislative Assembly of Quebec shall be composed of Sixty-five Members, to be elected to represent the Sixty-five Electoral Divisions or Districts of Lower Canada in this Act referred to, subject to Alteration thereof by the Legislature of Quebec. Provided that it shall not be lawful to present to the Lieutenant-Governor of Quebec for Assent any Bill for altering the Limits of any of the Electoral Divisions or Districts mentioned in the Second Schedule to this Act, unless the Second and Third Readings of such Bill have been passed in the Legislative Assembly with the Concurrence of the Majority of the Members representing all those Electoral Divisions or Districts, and the Assent shall not be given to such Bill unless an Address has been presented by the Legislative Assembly to the Lieutenant Governor stating that it has been so passed

Constitution of Legislative Assembly of Quebec

### 3 ONTARIO AND QUEBEC

**81** The Legislatures of Ontario and Quebec respectively

First Session of Legislatures

shall be called together not later than Six Months after the Union

Summoning  
of Legisla-  
tive As-  
semblies

**82.** The Lieutenant-Governor of Ontario and of Quebec shall from Time to Time, in the Queen's Name, by Instrument under the Great Seal of the Province, summon and call together the Legislative Assembly of the Province

Restriction  
on election  
of holders  
of offices

**83** Until the Legislature of Ontario or of Quebec otherwise provides a Person accepting or holding in Ontario or in Quebec any Office, Commission, or Employment, permanent or temporary, at the Nomination of the Lieutenant-Governor to which an annual Salary, or any Fee, Allowance, Emolument or profit of any Kind or Amount whatever from the Province is attached shall not be eligible as a Member of the Legislative Assembly of the respective Province, nor shall he sit or vote as such but nothing in this Section shall make ineligible any Person being a Member of the Executive Council of the respective Province or holding any of the following Offices, that is to say the Offices of Attorney-General, Secretary and Registrar of the Province Treasurer of the Province, Commissioner of Crown Lands and Commissioner of Agriculture and Public Works and in Quebec Solicitor General, or shall disqualify him to sit or vote in the House for which he is elected, provided he is elected while holding such Office

Continuance  
of existing  
election  
Laws

**84** Until the Legislatures of Ontario and Quebec respectively otherwise provide, all Laws which at the Union are in force in those Provinces respectively, relative to the following Matters, or any of them namely,—the Qualifications and Disqualifications of Persons to be elected or to sit or vote as Members of the Assembly of Canada the Qualifications or Disqualifications of Voters the Oaths to be taken by Voters the Returning Officers, their Powers and Duties, the Proceedings at Elections, the Periods during which such Elections may be continued, and the Trial of controverted Elections and the Proceedings incident thereto, the vacating of the Seats of Members and the issuing and Execution of new Writs in case of Seats vacated otherwise than by Dissolution,—shall respectively

apply to Elections of Members to serve in the respective Legislative Assemblies of Ontario and Quebec

Provided that until the Legislature of Ontario otherwise provides, at any Election for a Member of the Legislative Assembly of Ontario for the District of Algoma in addition to Persons qualified by the Law of the Province of Canada to vote, every male British Subject, aged Twenty-one Years or upwards, being a Householder shall have a Vote

**85** Every Legislative Assembly of Ontario and every Legislative Assembly of Quebec shall continue for Four Years from the Day of the Return of the Writs for choosing the same (subject nevertheless to either the Legislative Assembly of Ontario or the Legislative Assembly of Quebec being sooner dissolved by the Lieutenant-Governor of the Province) and no longer

Duration of  
Legislative  
Assemblies

**86.** There shall be a session of the Legislature of Ontario and of that of Quebec once at least in every Year so that Twelve Months shall not intervene between the last Sitting of the Legislature in each Province in one Session and its first Sitting in the next Session

Yearly Ses-  
sion of  
Legislature

**87** The following Provisions of this Act respecting the House of Commons of Canada shall extend and apply to the Legislative Assemblies of Ontario and Quebec, that is to say,—the Provisions relating to the Election of a Speaker originally and on Vacancies, the Duties of the Speaker the absence of the Speaker, the Quorum, and the Mode of voting, as if those Provisions were here re-enacted and made applicable in Terms to each such Legislative Assembly

Speaker  
Quorum etc

#### 4 NOVA SCOTIA AND NEW BRUNSWICK

**88** The Constitution of the Legislature of each of the Provinces of Nova Scotia and New Brunswick shall, subject to the Provisions of this Act, continue as it exists at the Union until altered under the Authority of this Act, and the House of Assembly of New Brunswick existing at the passage of this Act shall, unless sooner dissolved, continue for the Period for which it was elected

Constitu-  
tions of  
Legislatures  
of Nova  
Scotia and  
New Bruns-  
wick

## 5 ONTARIO, QUEBEC AND NOVA SCOTIA

First Elec-  
tions

**89** Each of the Lieutenant-Governors of Ontario, Quebec and Nova Scotia shall cause Writs to be issued for the First Election of Members of the Legislative Assembly thereof in such Form and by such Person as he thinks fit, and at such Time and addressed to such Returning Officer as the Governor General directs, and so that the First Election of a Member of the Assembly for any Electoral District or any Subdivision thereof shall be held at the same Time and at the same Places as the Election for a Member to serve in the House of Commons of Canada for that Electoral District

## 6 THE FOUR PROVINCES

Application  
to Legisla-  
tures of  
provisions  
respecting  
money votes  
etc

**90** The following Provisions of this Act respecting the Parliament of Canada namely,—the Provisions relating to Appropriation and Tax Bills the Recommendation of Money Votes, the Assent to Bills, the Disallowance of Acts and the Signification of Pleasure on Bills reserved—shall extend and apply to the Legislatures of the several Provinces as if those Provisions were here re-enacted and made applicable in Terms to the respective Provinces and the Legislatures thereof with the Substitution of the Lieutenant-Governor of the Province for the Governor General of the Governor General for the Queen and for a Secretary of State, of One Year for Two Years and of the Province for Canada

## VI DISTRIBUTION OF LEGISLATIVE POWERS

*Powers of the Parliament*Legislative  
Authority of  
Parliament  
of Canada

**91** It shall be lawful for the Queen by and with the Advice and Consent of the Senate and House of Commons to make Laws for the Peace, Order, and good Government of Canada, in relation to all Matters not coming within the Classes of Subjects by this Act assigned exclusively to the Legislatures of the Provinces, and for greater Certainty, but not so as to restrict the Generality of the foregoing Terms of this Section, it is hereby declared that (notwithstanding anything in this Act) the

exclusive Legislative Authority of the Parliament of Canada extends to all Matters coming within the Classes of Subjects next hereinafter enumerated, that is to say,—

- 1 The Public Debt and Property
- 2 The Regulation of Trade and Commerce
- \*
  - 3 The raising of Money by any Mode or System of Taxation
  - 4 The borrowing of Money on the Public Credit
  - 5 Postal Service
  - 6 The Census and Statistics
  - 7 Militia, Military and Naval Service and Defence
  - 8 The fixing of and providing for the Salaries and Allowances of Civil and other Officers of the Government of Canada
  - 9 Beacons, Buoys, Lighthouses, and Sable Island
  - 10 Navigation and Shipping
  - 11 Quarantine and the Establishment and Maintenance of Marine Hospitals
  - 12 Sea Coast and Inland Fisheries
  - 13 Ferries between a Province and any British or Foreign Country or between Two Provinces
  - 14 Currency and Coinage
  - 15 Banking Incorporation of Banks, and the Issue of Paper Money
  - 16 Savings Banks
  - 17 Weights and Measures
  - 18 Bills of Exchange and Promissory Notes
  - 19 Interest
  - 20 Legal Tender
  - 21 Bankruptcy and Insolvency
  - 22 Patents of Invention and Discovery
  - 23 Copyrights
  - 24 Indians and Lands reserved for the Indians
  - 25 Naturalization and Aliens
  - 26 Marriage and Divorce
  - 27 The Criminal Law, except the Constitution of Courts of Criminal Jurisdiction, but including the Procedure in Criminal Matters

\*New Sub-section 2A added by 1940 Amendment See Appendix F

- 28 The Establishment, Maintenance, and Management of Penitentiaries
- 29 Such Classes of Subjects as are expressly excepted in the Enumeration of the Classes of Subjects by this Act assigned exclusively to the Legislatures of the Provinces

And any Matter coming within any of the Classes of Subjects enumerated in this Section shall not be deemed to come within the Class of Matters of a local or private Nature comprised in the Enumeration of the Classes of Subjects by this Act assigned exclusively to the Legislatures of the Provinces

*Exclusive Powers of Provincial Legislatures*

Subjects of  
exclusive  
Provincial  
Legislation

**92** In each Province the Legislature may exclusively make Laws in relation to Matters coming within the Classes of Subjects next hereinafter enumerated, that is to say —

- 1 The Amendment from Time to Time, notwithstanding anything in this Act of the Constitution of the Province except as regards the Office of Lieutenant-Governor
- 2 Direct Taxation within the Province in order to the Raising of a Revenue for Provincial Purposes
- 3 The borrowing of Money on the sole Credit of the Province
- 4 The Establishment and Tenure of Provincial Offices and the Appointment and Payment of Provincial Officers
- 5 The Management and Sale of the Public Lands belonging to the Province and of the Timber and Wood thereon
- 6 The Establishment Maintenance and Management of Public and Reformatory Prisons in and for the Province
- 7 The Establishment Maintenance and Management of Hospitals, Asylums Charities and Flecmosynary Institutions in and for the Province, other than Marine Hospitals
- 8 Municipal Institutions in the Province
- 9 Shop, Saloon, Tavern, Auctioneer, and other Licenses in order to the raising of a Revenue for Provincial Local or Municipal Purposes
- 10 Local Works and Undertakings other than such as are

of the following Classes —

- (a) Lines of Steam or other Ships, Railways, Canals, Telegraphs, and other Works and Undertakings connecting the Province with any other or others of the Provinces, or extending beyond the Limits of the Province
  - (b) Lines of Steam Ships between the Province and any British or Foreign Country
  - (c) Such Works as, although wholly situate within the Province, are before or after their Execution declared by the Parliament of Canada to be for the general Advantage of Canada or for the Advantage of Two or more of the Provinces
- 11 The Incorporation of Companies with Provincial Objects
  - 12 The Solemnization of Marriage in the Province
  - 13 Property and Civil Rights in the Province
  - 14 The Administration of Justice in the Province, including the Constitution Maintenance, and Organization of Provincial Courts both of Civil and of Criminal Jurisdiction, and including Procedure in Civil Matters in those Courts
  - 15 The Imposition of Punishment by Fine, Penalty, or Imprisonment for enforcing any Law of the Province made in relation to any Matter coming within any of the Classes of Subjects enumerated in this Section
  - 16 Generally all Matters of a merely local or private Nature in the Province

#### *Education*

**93** In and for each Province the Legislature may exclusively make Laws in relation to Education subject and according to the following Provisions —

**Legislation  
respecting  
Education**

- 1 Nothing in any such law shall prejudicially affect any Right or Privilege with respect to Denominational Schools which any Class of Persons have by Law in the Province at the Union
- 2 All the Powers, Privileges and Duties at the Union by Law conferred and imposed in Upper Canada on the Separate Schools and School Trustees of the Queen's Roman Catholic Subjects shall be and the same are hereby



extended to the Dissident Schools of the Queen's Protestant and Roman Catholic Subjects in Quebec

- 3 Where in any Province a System of Separate or Dissident Schools exists by Law at the Union or is thereafter established by the Legislature of the Province an Appeal shall lie to the Governor General in Council from any Act or Decision of any Provincial Authority affecting any Right or Privilege of the Protestant or Roman Catholic Minority of the Queen's Subjects in relation to Education
- 4 In case any such Provincial Law as from Time to Time seems to the Governor General in Council requisite for the due Execution of the Provisions of this Section is not made, or in case any Decision of the Governor General in Council on any Appeal under this Section is not duly executed by the proper Provincial Authority in that Behalf, then and in every such Case, and as far only as the Circumstances of each Case require, the Parliament of Canada may make remedial Laws for the due Execution of the Provisions of this Section and of any Decision of the Governor General in Council under this Section

*Uniformity of Laws in Ontario Nova Scotia and  
New Brunswick*

Legislation  
for uniformity  
of Laws in  
three  
Provinces

94 Notwithstanding anything in this Act the Parliament of Canada may make Provision for the Uniformity of all or any of the Laws relative to Property and Civil Rights in Ontario Nova Scotia, and New Brunswick, and of the Procedure of all or any of the Courts in those Three Provinces and from and after the passing of any Act in that Behalf the Power of the Parliament of Canada to make Laws in relation to any Matter comprised in any such Act shall, notwithstanding anything in this Act, be unrestricted but any Act of the Parliament of Canada making Provision for such Uniformity shall not have effect in any Province unless and until it is adopted and enacted as Law by the Legislature thereof

*Agriculture and Immigration*

**95** In each Province the Legislature may make Laws in relation to Agriculture in the Province and to Immigration into the Province, and it is hereby declared that the Parliament of Canada may from Time to Time make Laws in relation to Agriculture in all or any of the Provinces, and to Immigration into all or any of the Provinces, and any Law of the Legislature of a Province relative to Agriculture or to Immigration shall have effect in and for the Province as long and as far only as it is not repugnant to any Act of the Parliament of Canada

Concurrent powers of Legislation respecting Agriculture etc

VII JUDICATURE

**96.** The Governor General shall appoint the Judges of the Superior District, and County Courts in each Province except those of the Courts of Probate in Nova Scotia and New Brunswick

Appointment of Judges.

**97** Until the Laws relative to Property and Civil Rights in Ontario Nova Scotia and New Brunswick and the Procedure of the Courts in those Provinces, are made uniform, the Judges of the Courts of those Provinces appointed by the Governor General shall be selected from the respective Bars of those Provinces

Selection of Judges in Ontario etc

**98** The Judges of the Courts of Quebec shall be selected from the Bar of that Province

Selection of Judges in Quebec.

**99** The Judges of the Superior Courts shall hold office during good Behaviour but shall be removable by the Governor General on Address of the Senate and House of Commons

Tenure of office of Judges of Superior Courts

**100** The Salaries Allowances, and Pensions of the Judges of the Superior District and County Courts (except the Courts of Probate in Nova Scotia and New Brunswick), and of the Admiralty Courts in Cases where the Judges thereof are for the Time being paid by Salary shall be fixed and provided by the Parliament of Canada

Salaries etc of Judges

**101.** The Parliament of Canada may, notwithstanding anything in this Act, from Time to Time, provide for the Consti-

General Court of Appeal, etc

tution, Maintenance, and Organization of a General Court of Appeal for Canada, and for the Establishment of any additional Courts for the better Administration of the Laws of Canada

### VIII REVENUES, DEBTS, ASSETS, TAXATION

Creation of  
Consoli-  
dated rev-  
enue fund

**102** All Duties and Revenues over which the respective Legislatures of Canada, Nova Scotia, and New Brunswick before and at the Union had and have Power of Appropriation except such portions thereof as are by this Act reserved to the respective Legislatures of the Provinces, or are raised by them in accordance with the special Powers conferred on them by this Act, shall form One Consolidated Revenue Fund, to be appropriated for the Public Service of Canada in the Manner and subject to the Charges in this Act provided

Expenses of  
Collection  
etc

**103.** The Consolidated Revenue Fund of Canada shall be permanently charged with the Costs Charges and Expenses incident to the Collection, Management, and Receipt thereof and the same shall form the first Charge thereon, subject to be reviewed and audited in such Manner as shall be ordered by the Governor General in Council until the Parliament otherwise provides

Interest of  
Provincial  
public debts

**104** The annual Interest of the Public Debts of the several Provinces of Canada, Nova Scotia, and New Brunswick at the Union shall form the Second Charge on the Consolidated Revenue Fund of Canada

Salary of  
Governor  
General

**105** Unless altered by the Parliament of Canada the salary of the Governor General shall be Ten thousand Pounds Sterling Money of the United Kingdom of Great Britain and Ireland payable out of the Consolidated Revenue Fund of Canada and the same shall form the Third Charge thereon

Appropri-  
ation from  
time to  
time

**106** Subject to the several Payments by this Act charged on the Consolidated Revenue Fund of Canada, the same shall be appropriated by the Parliament of Canada for the Public Service

Transfer of  
stocks etc.

**107** All Stocks, Cash, Banker's Balances and Securities

for Money belonging to each Province at the time of the Union, except as in this Act mentioned shall be the Property of Canada, and shall be taken in Reduction of the amount of the respective Debts of the Provinces at the Union

**108** The Public Works and Property of each Province, enumerated in the Third Schedule to this Act, shall be the Property of Canada

Transfer of property in schedule

**109.** All Lands, Mines, Minerals and Royalties belonging to the several Provinces of Canada, Nova Scotia, and New Brunswick at the Union, and all Sums then due or payable for such Lands, Mines, Minerals, or Royalties shall belong to the several Provinces of Ontario Quebec Nova Scotia and New Brunswick in which the same are situate or arise, subject to any Trusts existing in respect thereof and to any Interest other than that of the Province in the same

Property in Lands, Mines etc

**110** All Assets connected with such Portions of the Public Debt of each Province as are assumed by that Province shall belong to that Province

Assets connected with Provincial debts

**111** Canada shall be liable for the Debts and Liabilities of each Province existing at the Union

Canada to be liable for Provincial debts

**112** Ontario and Quebec conjointly shall be liable to Canada for the amount (if any) by which the Debt of the Province of Canada exceeds at the Union Sixty-two million five hundred thousand dollars, and shall be charged with Interest at the Rate of Five per Centum per Annum thereon

Debts of Ontario and Quebec

**113** The Assets enumerated in the Fourth Schedule to this Act belonging at the Union to the Province of Canada shall be the Property of Ontario and Quebec conjointly

Assets of Ontario and Quebec

**114** Nova Scotia shall be liable to Canada for the Amount (if any) by which its Public Debt exceeds at the Union Eight million Dollars, and shall be charged with Interest at the Rate of Five per Centum per Annum thereon

Debt of Nova Scotia

**115.** New Brunswick shall be liable to Canada for the Amount (if any) by which its Public Debt exceeds at the Union Seven

Debt of New Brunswick

million Dollars, and shall be charged with Interest at the Rate of Five per Centum per Annum thereon

Payment of  
interest to  
Nova Scotia  
and New  
Brunswick

**116** In case the Public Debts of Nova Scotia and New Brunswick do not at the Union amount to Eight million and Seven million Dollars respectively, they shall respectively receive by half-yearly Payments in advance from the Government of Canada Interest at Five per Centum per Annum on the Difference between the actual Amounts of their respective Debts and such stipulated Amounts

Provincial  
public pro-  
perty

**117.** The several Provinces shall retain all their respective Public Property not otherwise disposed of in this Act subject to the Right of Canada to assume any Lands or Public Property required for Fortifications or for the Defence of the Country

Grants to  
Provinces

**\*118** The following Sums shall be paid yearly by Canada to the several Provinces for the Support of their Governments and Legislatures

	Dollars
Ontario	Eighty thousand
Quebec	Seventy thousand
Nova Scotia	Sixty thousand
New Brunswick	Fifty thousand

Two hundred and sixty thousand

and an annual Grant in aid of each Province shall be made, equal to Eighty Cents per Head of the Population as ascertained by the Census of One thousand eight hundred and sixty-one and in the Case of Nova Scotia and New Brunswick by each subsequent Decennial Census until the Population of each of those two Provinces amounts to Four hundred thousand Souls at which Rate such Grant shall thereafter remain Such Grants shall be in full Settlement of all future Demands on Canada, and shall be paid half-yearly in advance to each Province but the Government of Canada shall deduct from such Grants, as against any Province, all Sums chargeable as Interest on the Public Debt of that Province in excess of the several Amounts stipulated in this Act

\*Altered by the 1907 Amendment, *supra*, pp 121-2, 140

**119** New Brunswick shall receive by half-yearly Payments in advance from Canada for the Period of Ten years from the Union an additional Allowance of Sixty-three thousand Dollars per Annum, but as long as the Public Debt of that Province remains under Seven million Dollars, a Deduction equal to the Interest at Five per Centum per Annum on such Deficiency shall be made from that Allowance of Sixty-three thousand Dollars

Further  
grant to  
New Bruns-  
wick

**120** All Payments to be made under this Act, or in discharge of Liabilities created under any Act of the Provinces of Canada Nova Scotia, and New Brunswick respectively and assumed by Canada, shall, until the Parliament of Canada otherwise directs be made in such Form and Manner as may from Time to Time be ordered by the Governor General in Council

Form of  
payments

**121** All Articles of the Growth, Produce, or Manufacture of any one of the Provinces shall from and after the Union, be admitted free into each of the other Provinces

Canadian  
manufac-  
tures etc

**122** The Customs and Excise Laws of each Province shall subject to the Provisions of this Act, continue in force until altered by the Parliament of Canada

Continu-  
ance of cus-  
toms and  
excise laws

**123** Where Customs Duties are, at the Union, leviable on any Goods Wares, or Merchandises in any Two Provinces those Goods, Wares, and Merchandises may from and after the Union, be imported from one of those Provinces into the other of them on Proof of Payment of the Customs Duty leviable thereon in the Province of Exportation, and on Payment of such further Amount (if any) of Customs Duty as is leviable thereon in the Province of Importation

Exportation  
and Import-  
ation as be-  
tween two  
Provinces

**124** Nothing in this Act shall affect the Right of New Brunswick to levy the Lumber Dues provided in Chapter Fifteen of Title Three of the Revised Statutes of New Brunswick, or in any Act amending that Act before or after the Union, and not increasing the Amount of such Dues, but the Lumber of any of the Provinces other than New Brunswick shall not be subject to such Dues

Lumber  
Dues in New  
Brunswick.

**125** No Lands or Property belonging to Canada or any Province shall be liable to Taxation

**126** Such Portions of the Duties and Revenues over which the respective Legislatures of Canada, Nova Scotia, and New Brunswick had before the Union Power of Appropriation as are by this Act reserved to the respective Governments or Legislatures of the Provinces, and all Duties and Revenues raised by them in accordance with the special Powers conferred upon them by this Act, shall in each Province form One Consolidated Revenue Fund to be appropriated for the Public Service of the Province

## IX MISCELLANEOUS PROVISIONS

### *General*

**127.** If any Person being at the passing of this Act a Member of the Legislative Council of Canada, Nova Scotia or New Brunswick, to whom a Place in the Senate is offered does not within Thirty Days thereafter, by Writing under his Hand addressed to the Governor General of the Province of Canada or to the Lieutenant-Governor of Nova Scotia or New Brunswick (as the Case may be), accept the same, he shall be deemed to have declined the same, and any Person who, being at the passing of this Act a Member of the Legislative Council of Nova Scotia or New Brunswick, accepts a Place in the Senate shall thereby vacate his Seat in such Legislative Council

**128** Every Member of the Senate or House of Commons of Canada shall before taking his Seat therein take and subscribe before the Governor General or some Person authorized by him and every Member of a Legislative Council or Legislative Assembly of any Province shall before taking his Seat therein take and subscribe before the Lieutenant-Governor of the Province or some Person authorized by him, the Oath of Allegiance contained in the Fifth Schedule to this Act and every Member of the Senate of Canada and every Member of the Legislative Council of Quebec shall also, before taking his Seat therein, take and subscribe before the Governor General or

some Person authorized by him, the Declaration of Qualification contained in the same Schedule

**129.** Except as otherwise provided by this Act, all Laws in force in Canada, Nova Scotia, or New Brunswick at the Union, and all Courts of Civil and Criminal Jurisdiction, and all legal Commissions, Powers and Authorities and all Officers Judicial, Administrative and Ministerial existing therein at the Union, shall continue in Ontario, Quebec, Nova Scotia, and New Brunswick respectively as if the Union had not been made, subject nevertheless (except with respect to such as are enacted by or exist under Acts of the Parliament of Great Britain or of the Parliament of the United Kingdom of Great Britain and Ireland,) to be repealed, abolished, or altered by the Parliament of Canada, or by the Legislature of the respective Province, according to the Authority of the Parliament or of that Legislature under this Act

Continu-  
ance of ex-  
isting Laws  
Courts  
Officers etc.

**130** Until the Parliament of Canada otherwise provides, all Officers of the several Provinces having Duties to discharge in relation to Matters other than those coming within the Classes of Subjects by this Act assigned exclusively to the Legislatures of the Provinces shall be Officers of Canada, and shall continue to discharge the Duties of their respective Offices under the same Liabilities, Responsibilities and Penalties as if the Union had not been made

Transfer of  
officers to  
Canada

**131** Until the Parliament of Canada otherwise provides, the Governor General in Council may from Time to Time appoint such Officers as the Governor General in Council deems necessary or proper for the effectual Execution of this Act

Appoint-  
ment of new  
officers

**132** The Parliament and Government of Canada shall have all Powers necessary or proper for performing the Obligations of Canada or of any Province thereof, as Part of the British Empire, towards Foreign Countries, arising under Treaties between the Empire and such Foreign Countries

Treaty obli-  
gations

**133** Either the English or the French Language may be used by any Person in the Debates of the Houses of the Parliament of Canada and of the Houses of the Legislature of Quebec, and

Use of Eng-  
lish and  
French Lan-  
guages



both those Languages shall be used in the respective Records and Journals of those Houses, and either of those Languages may be used by any Person or in any Pleading or Process in or issuing from any Court of Canada established under this Act and in or from all or any of the Courts of Quebec

The Acts of the Parliament of Canada and of the Legislature of Quebec shall be printed and published in both those Languages

*Ontario and Quebec*

Appoint-  
ment of ex-  
ecutive offi-  
cers for  
Ontario and  
Quebec

**134.** Until the Legislature of Ontario or of Quebec otherwise provides, the Lieutenant-Governors of Ontario and Quebec may each appoint under the Great Seal of the Province the following Officers, to hold Office during Pleasure, that is to say—the Attorney-General, the Secretary and Registrar of the Province the Treasurer of the Province, the Commissioner of Crown Lands, and the Commissioner of Agriculture and Public Works, and in the Case of Quebec the Solicitor General and may by Order of the Lieutenant-Governor in Council from Time to Time prescribe the Duties of those Officers and of the several Departments over which they shall preside or to which they shall belong, and of the Officers and Clerks thereof and may also appoint other and additional Officers to hold Office during Pleasure and may from Time to Time prescribe the Duties of those Officers, and of the several Departments over which they shall preside or to which they shall belong and of the Officers and Clerks thereof

Powers  
duties etc  
of Execu-  
tive officers

**135** Until the Legislature of Ontario or Quebec otherwise provides, all Rights, Powers Duties Functions Responsibilities or Authorities at the passing of this Act vested in or imposed on the Attorney-General, Solicitor General Secretary and Registrar of the Province of Canada, Minister of Finance Commissioner of Crown Lands, Commissioner of Public Works, and Minister of Agriculture and Receiver General by any Law, Statute or Ordinance of Upper Canada, Lower Canada, or Canada, and not repugnant to this Act, shall be vested in or imposed on any Officer to be appointed by the Lieutenant-

Governor for the Discharge of the same or any of them, and the Commissioner of Agriculture and Public Works shall perform the Duties and Functions of the Office of Minister of Agriculture at the passing of this Act imposed by the Law of the Province of Canada as well as those of the Commissioner of Public Works

**136.** Until altered by the Lieutenant-Governor in Council, the Great Seals of Ontario and Quebec respectively shall be the same, or of the same Design as those used in the Provinces of Upper Canada and Lower Canada respectively before their Union as the Province of Canada Great Seals

**137** The Words "and from thence to the End of the then next ensuing Session of the Legislature" or Words to the same Effect, used in any temporary Act of the Province of Canada not expired before the Union shall be construed to extend and apply to the next Session of the Parliament of Canada if the subject Matter of the Act is within the Powers of the same as defined by this Act or to the next Sessions of the Legislatures of Ontario and Quebec respectively if the Subject Matter of the Act is within the Powers of the same as defined by this Act Construction of temporary Acts.

**138** From and after the Union the Use of the Words "Upper Canada" instead of "Ontario" or "Lower Canada" instead of "Quebec" in any Deed Will Process Pleading, Document, Matter or Thing, shall not invalidate the same As to Errors in Names.

**139** Any Proclamation under the Great Seal of the Province of Canada issued before the Union to take effect at a Time which is subsequent to the Union whether relating to that Province, or to Upper Canada or to Lower Canada and the several Matters and Things therein proclaimed shall be and continue of like Force and Effect as if the Union had not been made As to issue of Proclamations before Union to commence after Union

**140** Any Proclamation which is authorized by any Act of the Legislature of the Province of Canada to be issued under the Great Seal of the Province of Canada whether relating to that Province, or to Upper Canada, or to Lower Canada, and which is not issued before the Union, may be issued by the As to issue of Proclamations after Union

Lieutenant-Governor of Ontario or of Quebec, as its Subject Matter requires, under the Great Seal thereof, and from and after the Issue of such Proclamation the same and the several Matters and Things therein proclaimed shall be and continue of the like Force and Effect in Ontario or Quebec as if the Union had not been made

**Penitentiary**      **141** The Penitentiary of the Province of Canada shall until the Parliament of Canada otherwise provides, be and continue the Penitentiary of Ontario and of Quebec

**Arbitration respecting debts etc**      **142** The Division and Adjustment of the Debts, Credits, Liabilities, Properties, and Assets of Upper Canada and Lower Canada shall be referred to the Arbitrament of Three Arbitrators, One chosen by the Government of Ontario, One by the Government of Quebec, and One by the Government of Canada and the Selection of the Arbitrators shall not be made until the Parliament of Canada and the Legislatures of Ontario and Quebec have met, and the Arbitrator chosen by the Government of Canada shall not be a Resident either in Ontario or in Quebec

**Division of records**      **143** The Governor General in Council may from Time to Time order that such and so many of the Records Books and Documents of the Province of Canada as he thinks fit shall be appropriated and delivered either to Ontario or to Quebec and the same shall thenceforth be the Property of that Province and any Copy thereof or Extract therefrom duly certified by the Officer having charge of the Original thereof shall be admitted as Evidence

**Constitution of townships in Quebec**      **144** The Lieutenant-Governor of Quebec may from Time to Time, by Proclamation under the Great Seal of the Province to take effect from a day to be appointed therein constitute Townships in those Parts of the Province of Quebec in which Townships are not then already constituted, and fix the Metes and Bounds thereof

#### X INTERCOLONIAL RAILWAY

**Duty of Government and Parliament of**      **145** Inasmuch as the Provinces of Canada, Nova Scotia and New Brunswick have joined in a Declaration that the Construc-

tion of the Intercolonial Railway is essential to the Consolidation of the Union of British North America, and to the Assent thereto of Nova Scotia and New Brunswick, and have consequently agreed that Provision should be made for its immediate Construction by the Government of Canada. Therefore, in order to give effect to that Agreement it shall be the Duty of the Government and Parliament of Canada to provide for the Commencement within Six Months after the Union of a Railway connecting the River St. Lawrence with the City of Halifax in Nova Scotia, and for the Construction thereof without Intermission, and the Completion thereof with all practicable Speed

Canada to  
make Rail  
way herein  
described

#### XI. ADMISSION OF OTHER COLONIES

**146** It shall be lawful for the Queen, by and with the Advice of Her Majesty's Most Honourable Privy Council on Addresses from the Houses of the Parliament of Canada and from the Houses of the respective Legislatures of the Colonies or Provinces of Newfoundland, Prince Edward Island and British Columbia to admit those Colonies or Provinces or any of them into the Union, and on Address from the Houses of the Parliament of Canada to admit Rupert's Land and the North-western Territory, or either of them, into the Union on such Terms and Conditions in each Case as are in the Addresses expressed and as the Queen thinks fit to approve subject to the Provisions of this Act, and the Provisions of any Order in Council in that Behalf shall have effect as if they had been enacted by the Parliament of the United Kingdom of Great Britain and Ireland

Power to ad-  
mit New  
foundland  
etc. into  
the Union

**\*147.** In case of the Admission of Newfoundland and Prince Edward Island or either of them each shall be entitled to a Representation in the Senate of Canada of Four Members and (notwithstanding anything in this Act) in case of the Admission of Newfoundland the normal Number of Senators shall be Seventy-six and their maximum Number shall be Eighty-two, but Prince Edward Island when admitted shall be deemed to be comprised in the third of the Three Divisions into which Canada is, in relation to the Constitution of the Senate divided by this Act, and accordingly after the Admission of Prince Edward

As to Repre-  
sentation of  
Newfound-  
land and  
Prince Ed-  
ward Island  
in Senate

\*Altered by 1915 Amendment See Appendix E

Island, whether Newfoundland is admitted or not, the Representation of Nova Scotia and New Brunswick in the Senate shall, as Vacancies occur, be reduced from Twelve to Ten Members respectively, and the Representation of each of those Provinces shall not be increased at any Time beyond Ten \*except under the Provision of this Act for the Appointment of Three or Six additional Senators under the Direction of the Queen

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\*Altered by 1915 Amendment See Appendix E

B THE BRITISH NORTH AMERICA ACT, 1871

*Brit Statutes, 34-35 Victoria, Chapter 28*

*An Act respecting the establishment of Provinces in the  
Dominion of Canada*

[29th June, 1871 ]

WHEREAS doubts have been entertained respecting the powers of the Parliament of Canada to establish Provinces in Territories admitted, or which may hereafter be admitted, into the Dominion of Canada, and to provide for the representation of such Provinces in the said Parliament and it is expedient to remove such doubts, and to vest such powers in the said Parliament

Be it enacted by the Queen's Most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons in this present Parliament assembled, and by the authority of the same, as follows —

1 This Act may be cited for all purposes as The British North America Act, 1871 Short title

2 The Parliament of Canada may from time to time establish new Provinces in any territories forming for the time being part of the Dominion of Canada but not included in any Province thereof, and may at the time of such establishment, make provision for the constitution and administration of any such Province and for the passing of laws for the peace order, and good government of such Province and for its representation in the said Parliament Parliament of Canada may establish new Provinces and provide for the constitution etc thereof

3 The Parliament of Canada may from time to time, with the consent of the Legislature of any Province of the said Dominion, increase diminish or otherwise alter the limits of such Province, upon such terms and conditions as may be agreed to by the said Legislature and may with the like consent, make provision respecting the effect and operation of any such increase or diminution or alteration of territory in relation to any Province affected thereby Alteration of limits of Provinces

Parliament  
of Canada  
may, legislate  
for any ter-  
ritory not  
included in  
a Province

4. The Parliament of Canada may from time to time make provision for the administration, peace, order, and good government of any territory not for the time being included in any Province

Confirma-  
tion of Acts  
of Parlia-  
ment of  
Canada 52  
& 53 Vict  
(Canadian)  
cap 3 33  
Vict (Cana-  
dian) cap 3

5 The following Acts passed by the said Parliament of Canada, and intituled respectively,—“An Act for the temporary government of Rupert’s Land and the North Western Territory when united with Canada”, and “An Act to amend and continue the Act thirty-two and thirty-three Victoria, chapter three, and to establish and provide for the government of “the Province of Manitoba,” shall be and be deemed to have been valid and effectual for all purposes whatsoever from the date at which they respectively received the assent, in the Queen’s name, of the Governor General of the said Dominion of Canada

Limitation  
of powers of  
Parliament  
of Canada  
to legislate  
for an estab-  
lished Pro-  
vince

6. Except as provided by the third section of this Act, it shall not be competent for the Parliament of Canada to alter the provisions of the last-mentioned Act of the said Parliament in so far as it relates to the Province of Manitoba, or of any other Act hereafter establishing new Provinces in the said Dominion, subject always to the right of the Legislature of the Province of Manitoba to alter from time to time the provisions of any law respecting the qualification of electors and members of the Legislative Assembly, and to make laws respecting elections in the said Province

## C THE PARLIAMENT OF CANADA ACT, 1875

*Brit Statutes, 38-39 Victoria, Chapter 38*

*An Act to remove certain doubts with respect to the powers of the Parliament of Canada under Section Eighteen of the British North America Act, 1867*

[19th July, 1875]

WHEREAS by section eighteen of the British North America Act, 1867, it is provided as follows "The privileges immunities and powers to be held, enjoyed, and exercised by the Senate and by the House of Commons and by the Members thereof respectively, shall be such as are from time to time defined by Act of the Parliament of Canada but so that the same shall never exceed those at the passing of this Act held enjoyed, and exercised by the Commons House of Parliament of the United Kingdom of Great Britain and Ireland and by the Members thereof "

30 & 31  
Vict. c. 3

And whereas doubts have arisen with regard to the power of defining by an Act of the Parliament of Canada in pursuance of the said section the said privileges powers or immunities, and it is expedient to remove such doubts

Be it therefore enacted by the Queen's Most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal and Commons in this present Parliament assembled and by the authority of the same as follows —

1. Section eighteen of the British North America Act, 1867, is hereby repealed without prejudice to anything done under that section, and the following section shall be substituted for the section so repealed

Substitution  
of new section  
for section 18  
of 30 & 31  
Vict. c. 3

The privileges immunities, and powers to be held, enjoyed, and exercised by the Senate and by the House of Commons, and by the members thereof respectively, shall be such as are from time to time defined by Act of the Parliament of Canada, but so that any Act of the Parliament of Canada defining such privileges, immunities, and powers shall not confer any



privileges, immunities, or powers exceeding those at the passing of such Act held, enjoyed, and exercised by the Commons House of Parliament of the United Kingdom of Great Britain and Ireland, and by the Members thereof

Confirmation  
of Act of  
Parliament  
of Canada  
31 & 32  
Vict c 24

**2** The Act of the Parliament of Canada passed in the thirty-first year of the reign of Her present Majesty, chapter twenty-four intituled "An Act to provide for oaths to witnesses being administered in certain cases for the purposes of either House of Parliament," shall be deemed to be valid, and to have been valid as from the date at which the Royal Assent was given thereto by the Governor General of the Dominion of Canada

Short title

**3** This Act may be cited as the Parliament of Canada Act 1875

## D THE BRITISH NORTH AMERICA ACT, 1886

*Print Statutes, 49-50 Victoria, Chapter 35*

*An Act respecting the Representation in the Parliament of Canada of Territories which for the time being form part of the Dominion of Canada, but are not included in any Province*

[25th June, 1886]

WHEREAS it is expedient to empower the Parliament of Canada to provide for the representation in the Senate and House of Commons of Canada or either of them, of any territory which for the time being forms part of the Dominion of Canada, but is not included in any province

Be it therefore enacted by the Queen's most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal and Commons, in this present Parliament assembled, and by the authority of the same as follows —

1 The Parliament of Canada may from time to time make provision for the representation in the Senate and House of Commons of Canada or in either of them of any territories which for the time being form part of the Dominion of Canada, but are not included in any province thereof

Provision by  
Parliament  
of Canada  
for represen-  
tation of  
territories

2 Any Act passed by the Parliament of Canada before the passing of this Act for the purpose mentioned in this Act shall if not disallowed by the Queen be and shall be deemed to have been, valid and effectual from the date at which it received the assent, in Her Majesty's name of the Governor General of Canada

Effect of  
Acts of Par-  
liament of  
Canada

It is hereby declared that any Act passed by the Parliament of Canada whether before or after the passing of this Act, for the purpose mentioned in this Act or in the British North America Act 1871 has effect, notwithstanding anything in the British North America Act 1867 and the number of Senators or the number of Members of the House of Commons specified

34 & 35  
Vict c 28

30 & 31  
Vict c 3

in the last-mentioned Act is increased by the number of Senators or of Members, as the case may be, provided by any such Act of the Parliament of Canada for the representation of any provinces or territories of Canada

Short title  
and con-  
struction.  
30 & 31  
Vict. c. 3  
34 & 35  
Vict c. 28

3 This Act may be cited as the British North America Act, 1886

This Act and the British North America Act, 1867, and the British North America Act, 1871, shall be construed together, and may be cited together as the British North America Acts 1867 to 1886

## E THE BRITISH NORTH AMERICA ACT, 1915

*Brit. Statutes, 5-6 George V, Chapter 45*

*An Act to amend the British North America Act, 1867*

[19th May, 1915]

Be it enacted by the King's most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons in this present Parliament assembled, and by the authority of the same as follows —

I. (1) Notwithstanding anything in the British North America Act, 1867 or in any Act amending the same, or in any Order in Council or terms or conditions of union made or approved under the said Acts or in any Act of the Canadian Parliament—

Alteration  
of con-  
stitution  
of Senate.

30 and 31  
Vict., c. 3

- (1) The number of senators provided for under section twenty one of the British North America Act, 1867, is increased from seventy-two to ninety-six
- (ii) The Divisions of Canada in relation to the constitution of the Senate provided for by section twenty-two of the said Act are increased from three to four, the Fourth Division to comprise the Western Provinces of Manitoba, British Columbia, Saskatchewan and Alberta, which four Divisions shall (subject to the provisions of the said Act and of this Act) be equally represented in the Senate, as follows — Ontario by twenty-four senators Québec by twenty-four senators the Maritime Provinces and Prince Edward Island by twenty-four senators ten thereof representing Nova Scotia ten thereof representing New Brunswick, and four thereof representing Prince Edward Island, the Western Provinces by twenty-four senators, six thereof representing Manitoba six thereof representing British Columbia, six thereof representing Saskatchewan, and six thereof representing Alberta

- (iii) The number of persons whom by section twenty six of the said Act the Governor General of Canada may, upon the direction of His Majesty the King, add to the Senate is increased from three or six to four or eight, representing equally the four divisions of Canada
- (iv) In case of such addition being at any time made the Governor General of Canada shall not summon any person to the Senate except upon a further like direction by His Majesty the King on the like recommendation to represent one of the four Divisions until such Division is represented by twenty-four senators and no more
- (v) The number of senators shall not at any time exceed one hundred and four
- (vi) The representation in the Senate to which by section one hundred and forty-seven of the British North America Act, 1867, Newfoundland would be entitled in case of its admission to the Union is increased from four to six members, and in case of the admission of Newfoundland into the Union, notwithstanding anything in the said Act or in this Act, the normal number of senators shall be one hundred and two, and their maximum number one hundred and ten
- (vii) Nothing herein contained shall affect the powers of the Canadian Parliament under the British North America Act, 1886

49 and 50  
Vict., c. 35

(2) Paragraphs (i) to (vi) inclusive of subsection (1) of this section shall not take effect before the termination of the now existing Canadian Parliament

Constitution  
of House of  
Commons

2 The British North America Act, 1867, is amended by adding thereto the following section immediately after section fifty-one of the said Act —

“51A Notwithstanding anything in this Act a province shall always be entitled to a number of members in the House of Commons not less than the number of senators representing such province ”

Short title

3 This Act may be cited as the British North America Act 1915, and the British North America Acts, 1867 to 1886, and this Act may be cited together as the British North America Acts, 1867 to 1915

F THE BRITISH NORTH AMERICA ACT, 1940

*Brit. Statutes*, 3-4 George VI, Chapter 36

*An Act to include Unemployment Insurance among the classes of subjects enumerated in Section Ninety-one of the British North America Act, 1867*

[10th July, 1940]

WHEREAS the Senate and Commons of Canada in Parliament assembled have submitted in address to His Majesty praying that His Majesty may graciously be pleased to cause a Bill to be laid before the Parliament of the United Kingdom for the enactment of the provisions hereafter set forth —

Be it therefore enacted by the King's most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons in this present Parliament assembled, and by the authority of the same as follows —

1 Section ninety-one of the British North America Act, 1867, is amended by inserting therein after item 2 "The regulation of trade and commerce" the following item —

"2A Unemployment insurance."

Extension of  
exclusive legis-  
lation authority  
of Parliament  
of Canada  
30 & 31  
Vict., c. 3

2 This Act may be cited as the British North America Act, 1940, and the British North America Acts, 1867 to 1930, the British North America Act, 1907 and this Act may be cited together as the British North America Acts 1867 to 1940

Short title  
and citation  
7 Edw. 7  
c. 11

G THE BRITISH NORTH AMERICA ACT, 1946

*Brit Statutes*, 10 George VI, Chapter 63

*An Act to provide for the readjustment of representation in the House of Commons of Canada on the basis of the population of Canada*

[26th July, 1946]

WHEREAS the Senate and House of Commons in Parliament assembled have submitted an address to His Majesty praying that His Majesty may graciously be pleased to cause a Bill to be laid before the Parliament of the United Kingdom for the enactment of the provisions hereinafter set forth,

Be it therefore enacted by the King's most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons, in this present Parliament assembled and by the authority of the same, as follows

New provision  
as to read-  
justment of  
representation  
in Commons  
30 & 31  
Vict c 3

1. Section fifty-one of the British North America Act 1867, is hereby repealed and the following substituted therefor

"51.—(1) The number of members of the House of Commons shall be two hundred and fifty-five and the representation of the provinces therein shall forthwith upon the coming into force of this section and thereafter on the completion of each decennial census be readjusted by such authority, in such manner, and from such time as the Parliament of Canada from time to time provides, subject and according to the following rules —

1 Subject as hereinafter provided, there shall be assigned to each of the provinces a number of members computed by dividing the total population of the provinces by two hundred and fifty-four and by dividing the population of each province by the quotient so obtained, disregarding, except as hereinafter in this section provided, the remainder, if any, after the said process of division

2 If the total number of members assigned to all the provinces pursuant to rule one is less than two hundred and fifty-

four, additional members shall be assigned to the provinces (one to a province) having remainders in the computation under rule one commencing with the province having the largest remainder and continuing with the other provinces in the order of the magnitude of their respective remainders until the total number of members assigned is two hundred and fifty-four

3 Notwithstanding anything in this section, if upon completion of a computation under rules one and two, the number of members to be assigned to a province is less than the number of senators representing the said province rules one and two shall cease to apply in respect of the said province, and there shall be assigned to the said province a number of members equal to the said number of senators

4 In the event that rules one and two cease to apply in respect of a province then for the purpose of computing the number of members to be assigned to the provinces in respect of which rules one and two continue to apply, the total population of the provinces shall be reduced by the number of the population of the province in respect of which rules one and two have ceased to apply and the number two hundred and fifty-four shall be reduced by the number of members assigned to such province pursuant to rule three

5 Such readjustment shall not take effect until the termination of the then existing Parliament

(2) The Yukon Territory as constituted by Chapter forty-one of the Statutes of Canada, 1901 together with any Part of Canada not comprised within a province which may from time to time be included therein by the Parliament of Canada for the purposes of representation in Parliament shall be entitled to one member "

2 This Act may be cited as the British North America Act, 1946 and the British North America Acts 1867 to 1943, and this Act may be cited together as the British North America Acts, 1867 to 1946

Short title  
and citation



# H THE BRITISH NORTH AMERICA ACT, 1949

*Brit Statutes, 12-13 George VI, Chapter 22*

*An Act to confirm and give effect to Terms of Union agreed between Canada and Newfoundland*

[A.D. 1949]

WHEREAS by means of a referendum the people of Newfoundland have by a majority signified their wish to enter into confederation with Canada,

And whereas the Agreement containing Terms of Union between Canada and Newfoundland set out in the Schedule to this Act has been duly approved by the Parliament of Canada and by the Government of Newfoundland,

And whereas Canada has requested and consented to the enactment of an Act of the Parliament of the United Kingdom to confirm and give effect to the said Agreement and the Senate and House of Commons of Canada in Parliament assembled have submitted an address to His Majesty praying that His Majesty may graciously be pleased to cause a Bill to be laid before the Parliament of the United Kingdom for that purpose

Be it therefore enacted by the King's most Excellent Majesty by and with the advice and consent of the Lords Spiritual and Temporal and Commons in this present Parliament assembled and by the authority of the same as follows

Confirmation  
of terms of  
Union

1 The Agreement containing Terms of Union between Canada and Newfoundland set out in the Schedule to this Act is hereby confirmed and shall have the force of law notwithstanding anything in the British North America Acts 1867 to 1946

Repeal of 24  
& 25 Geo  
5 c 2

2 In accordance with the preceding section the provisions of the Newfoundland Act 1933 other than section three thereof (which relates to guarantee of certain securities of Newfoundland) shall be repealed as from the coming into force of the said Terms of Union

3 This Act may be cited as the British North America Act, 1949, and the British North America Acts 1867 to 1946, and this Act may be cited together as the British North America Acts, 1867 to 1949 Short title  
rd citation



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